

Upper Cumberland River Basin Harlan Diversion Project Harlan Kentucky Contract No. DACW62-89-C-0092

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Harlan Diversion Project Construction Foundation Report

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February 1994

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Construction Foundation Report Harlan Diversion Project Harlan, Kentucky

February 1994

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U.S. Army Corps of Engineers Nashville District P.O. Box 1070 Nashville, Tennessee 37202-1070

Harlan Diversion Project Construction Foundation Report

Table of Contents

	I and the second se	Page N	<u>o.</u>
1	Introduction 1.1 Location and Description of Project		1 2 3 3
2 .	. Contract Modifications Related to Foundation Condition	lons.	5
3 .	. Contract Quantities - Estimated and As-Built		5
4	Foundation Exploration and Testing 4.1 Investigations Prior to Construction		
5 .	 Geology 5.1 Regional Geology 5.2 Site Geology 5.3 Engineering Characteristics of Foundation Materials 	1	.7
6.	Downstream Portals 6.1 Excavation Grades - Design and As-Built. 6.2 Dewatering Provisions. 6.3 Overburden Excavation. 6.4 Rock Excavation. 6.5 Foundation Preparation and Clean-up. 6.6 Rock Reinforcement. 6.7 Rock Protection. 6.8 Drainage Provisions. 6.9 Instrumentation. 6.10 Foundation Approval and Mapping. 6.11 Possible Future Problems and Recommended Observations.	2 2 2 3 3 3	557991122
7.	Upstream Portals 7.1 Excavation Grades - Design and As-Built 7.2 Dewatering Provisions	3 3	7

Table of Contents-Continued

			Page No
7.	Upst	ream Portals	
	. 6	Rock Reinforcement	. 42
	_		
-	. 7	Rock Protection	43
7	. 8	Drainage Provisions	43
7	. 9	Instrumentation	. 43
7	.10	Foundation Approval and Mapping	. 43
	.11	Possible Future Problems and Recommended	43
/	• 1 1	Possible ruture Problems and Recommended	
		Observations	43
8.	Tunr	nels	
	. 1	Excavation Grades - Design and As-Built	. 47
_		Occasionada Branchian	. 4 /
	. 2	Overburden Excavation	47
-	. 3	Rock Excavation - Top Headings	47
8	. 4	Rock Excavation - Bottom Headings	53
8	. 5	Foundation Preparation	
_	. 6	Rock Reinforcement	56
_		ROCK Reinforcement	56
-	. 7	Rock Protection	
8	. 8	Water Occurrence and Drainage Provisions	
8	. 9	Instrumentation	61
_	.10	Foundation Approval and Mapping	63
		Describle Dubum Dublems and Described	63
8	.11	Possible Future Problems and Recommended	
		Observations	64
9.		Engineering Characteristics of Foundation Materials	71 71 72
	9.1.		74
	9.1.		
	9.1.		/ -1
	9.1.		
		Recommended Observations	
9	. 2	Slurry Trench	74
	9.2.	.1 Construction Equipment - Phase 1	75
	9.2		
	9.2		
	9.2		
	9.2.	.5 Geomembrane Liner	75
	9.2.		
	9.2		
	9.2		
_			
		Floodwall and Closure Structure	
	0 3	1 Construction Cummany	70

Table of Contents-Continued

1.0	II i a b		72 Daidas	<u>Page</u>	<u>No</u> .
10.	10.	1	72 Bridge Abutments Piers		83 83
11.	11. 11. 11.	1 2 3 4	38 and Bridge Excavation Grades - Design and As-Built Overburden Excavation Rock Excavation Rock Reinforcement Bridge Foundation		86 87 87
12.	Ref	ere	nces		92
13.	App	end	ices		
	Α.	Ger	neral		
	В.	Dov	wnstream Portal		
	C.	Ups	stream Portal		
	D.	Tur	nnels		
	E.	Div	version Embankment and Slurry Trench		
	F.	Flo	oodwall and Closure Structure		
	G.	Hig	ghway 72 Bridge		
	Н.	Hig	ghway 38 and Bridge		
	I.	Ins	strumentation Graphs		

Appendices

<u>Appendix</u>	Plate No. 1	Drawing No.	Description
A - General	A-1 A-2 A-3 thru	Q1A-64/1 Q1A-4/382 5	Project Location Map Boring Legend Geologic Descriptions
B - Downstream Portal	B-1 B-2 B-3 B-4 B-5 B-6 B-7 B-8 B-10 B-11 B-12 B-13 B-14 B-15 B-17 B-17 B-19 B-19 B-19	Q1A-64/61.3 Q1A-64/39.1 Q1A-64/40.1 Q1A-64/41.1 Q1A-64/42.1 Q1A-64/43.1 Q1A-64/44.1 Q1A-4/383 Q1A-4/385 Q1A-64/60.3 Q1A-64/69.2 Q1A-64/70.2 	General Plan Boring Plan Geologic Section I-I Geologic Section J-J Geologic Section K-K Geologic Section L-L Geologic Section M-M Excavation Sections Section Location Plan Excavation Sections Rock Slope Treatment Rock Slope Treatment Rock Slope Treatment Added Rock Reinforcement Added Rock Reinforcement Geologic Map Geologic Map, T-4 Portal Geologic Map, T-3 Portal Geologic Map, T-2 Portal Geologic Map, T-1 Portal
C - Upstream Portals	C-1 C-2 C-3 C-4 C-5 C-7 C-8 C-10 C-11 C-12 C-13 C-13 C-14 C-15 C-17 C-18 C-17 C-18 C-21 C-22 C-22	Q1A-64/106.1	General Plan Boring Plan Geologic Section C-C Geologic Section D-D Geologic Section E-E Geologic Section F-F Geologic Section G-G Geologic Section H-H Cross Sections Back-Slope Cross-Sections Nosing Plan Nosing Sections Nosing and Base Slab Detail Rock Slope Treatment Rock Slope Treatment Nosing 1 Reinforcement Nosing 2 Reinforcement Nosing 3 Reinforcement Geologic Map Nosing Surface Map Nosing 1 Map Nosing 2 Map Nosing 3 Map

Appendices - Continued

<u>Appendix</u>	Plate No. Draw	ing No.	<u>Description</u>
D - Tunnels	D-2 Q1A D-3 Q1A D-4 Q1A D-5 Q1A	47 67	General Plan Boring Plan Section A-A Section B-B Plan, Section, and Profile Support Details Tunnel 1 Maps Tunnel 2 Maps Tunnel 3 Maps Tunnel 4 Maps
E - Diversion Embankment and Slurry Trench	E E-2 Q1A E-3 - E-4 Q1A E-5 Q1A E-6 Q1A E-7 Q1A E-8 -	1-64/73.3 1-4/397 1-64/74.3 1-64/45.1 1-64/401 1	
F - Floodwall and Closure Structure	F-2 Q1F F-3 - F-4 Q1F F-5 Q1F	1-64/82 1-64/83.3 1 1-64/84.1 1-64/91.1	Piling Records
G - Highway 72 Bridge	G-2 Q1A G-3 Q1A	-81/76.2	Bridge Layout Pile Layout & Record Pier Details Pier Foundation Maps

Appendices - Continued

Appendix	<u>Plate No.</u>	Drawing No.	Description
H - Highway 38 and Bridge	H-2 H-3 H-4	Q1A-81/3.2 Q1A-81/4.3 Q1A-4/399 Q1A-4/400 19	Bridge Layout Caisson Details Boring Logs Boring Logs Caisson Hole Maps
I - Instrument	ation		
·	I-12 thru I-23 I 24 thru I 32 thru	Q1A-64/79.1 Q1A-64/80.3 11 u 23 u 31 u 40 u 48	Section and Details

Harlan Diversion Project

Foundation Report

[1. Introduction.

1.1 Location and Description of Project. The southeastern Kentucky community of Harlan is located in a flood prone area near the confluence of three major forks of the Cumberland River. The project consists of four parallel tunnels which divert Clover Fork through Ivy Hill and away from the Harlan central business district. Each tunnel is 32 feet high, 34 feet wide, and approximately 2,000 feet long. The tunnels are reinforced by rock bolts and lined with shotcrete.

Near the upstream portals a diversion structure diverts Clover Fork into the tunnels. The diversion embankment is approximately 30 feet high, with a maximum height of 50 feet. It is 200 feet wide at the base and 600 feet long. A slurry trench and sheet pile cutoff prevents seepage beneath the embankment. A floodwall and a closure structure across a single track railroad line are incorporated into the left abutment of the diversion embankment.

Changes in the flow of Clover Fork required two highway relocations, one at either end of the diversion tunnels. Upstream, Kentucky Highway 38 was relocated away from the existing Clover Fork channel through road cuts and over a 316 feet long, two span, post-tensioned, I-beam bridge which spans the diverted channel as it enters the tunnels. On the downstream side Kentucky Highway 72 was re-built over a 450 feet long, four span, pre-tensioned, I-beam bridge which spans Clover Fork as it exits the tunnels.

1.2 Construction Authority and Project History. Initial studies for this project were authorized by the Energy and Water Development Act of 1981 (PL 96-367, Section 202.) This act authorized the design and construction, at full Federal expense, of flood control measures in the portions of the Cumberland River Basin damaged by severe flooding in April 1977.

The Supplemental Appropriations Act of 1982 (PL 97-257 of September 1982) further required that high levees and floodwalls constructed to comply with the Energy and Water Development Act of 1981 shall provide for a standard project flood (SPF) level of protection where the consequences from overtopping caused by large floods would be catastrophic. Similar language was contained in the Energy and Water Development Appropriation Bill of 1983 (HR Report 97-850 of September 1982), which also specifically directed the Army Corps of Engineers to study and design protection for several Upper Cumberland River Basin communities, including Harlan, Kentucky.

Introduction.

House Joint Resolution 492 (PL 98-3222 of July, 1984) directed the Corps of Engineers to implement immediately "nonstructural flood control measures such as relocation sites, flood-proofing and flood plain acquisition, and evacuation as described in the General lan for Section 202 Program Implementation."

An Environmental Impact Statement was published in April 1988.

A sealed-bid solicitation (No. DACW62-89-B-0026) was issued on 1 June 1989 with a 2 August 1989 deadline.

On 1 September 1989 Contract No. DACW62-89-C-0092 was awarded to Grassetto USA Construction, Inc. and Incisa USA, Inc., Joint Venture, for the sum of \$ 28,781,458.55. The Government Estimate was \$ 31,305,500. The final construction cost, including all contract modifications, was \$ 31,430,719.49. Notice to proceed was given on 21 September 1989. The originally scheduled completion date was 20 September 1992, and was extended to 7 March 1993.

The Harlan Flood Control Project Groundbreaking Ceremony was held on 9 October 1989 at the downstream portal site. Ceremony participants included Congressman Harold Rogers, Colonel James A. Ward, Colonel James King, Area Engineer J.C. McDaniels, Contractor Representative Massimo Rossi, and Harlan Mayor L.C. Howard.

- 1.3 Purposes of Report. This Harlan Diversion Project Foundation Report was prepared to insure the preservation of records of foundation conditions encountered during construction and of methods used to adapt structures to these conditions. The Foundation Report along with referenced preconstruction reports and construction progress reports will provide a complete record of project foundation conditions. As stated in Engineering Regulation No. 1110-1-1801, the potential uses of this Report include:
 - 1. Planning additional foundation treatment should the need arise after project completion.
 - 2. Evaluating the cause of stress, deformation or failure of a structure.
 - 3. Planning remedial action should failure of a structure occur as a result of foundation deficiencies.
 - 4. Planning foundation explorations and anticipating foundation problems for future comparable construction projects.
 - 5. Determining the validity of claims made by construction contractors in connection with difficulties arising from alleged foundation conditions or from alleged changed conditions.
 - Serving as part of the permanent collection of project engineering data required by ER 1110-2-100, Appendix A.

1.4 Resident Construction Staff.

J.C. McDaniels......Area Engineer
Capt. Allyn Allison...Assistant Area Engineer
Ed Robertson......Construction Representative
Charles Melton.....Office Engineer
Paul A. Ross......Geologist
Tim Shy........Geologist
Ronnie Boswell.....Materials Engineering Technician
Dan Ferrell......Contract Administrator
Tommy Clayton.....Construction Inspector
James Forrester....Construction Inspector
Robert Marshall....Construction Inspector
Orville Wicker.....Construction Inspector
Debbie Klinger....Secretary
Teresa Perkins....Secretary

1.5 Design Staff.

Marvin Simmons......Chief of Geology Section John Stanton.....Geology Section Paul Booth.....Soils Section Daphne Jackson.....Soils Section Jesse Perry......Chief of Design Branch Gordon McClellan.....Chief of Civil-Structural Section William Wilson.....Civil-Structural Section Buddy Abbott......Chief of Relocations Section Hank Phillips......Chief of Hydraulics Section Don Getty......Hydrology and Hydraulics Branch Charles Davis......Instrumentation and Inspection Section Ray Hedrick..... Environmental Branch Rob Karwedsky..... Environmental Branch Sandra Martin......Waterways Experiment Station Richard Humphries.....Tunnel Design Consultant (Golder Assc.) Don Mills.....Bridge Design Consultant (Kroboth Eng.)

1.6 Prime Contractor's Supervision and Quality Control.

Renatto Bozetti.....Project Manager
Lou Case.......Project Engineer
Hank Leatherman....General Superintendent
Jerry Haney......Tunnel Superintendent (1st Shift)
Roy Hill......Tunnel Superintendent (2nd Shift)
Tom Trapp......Chief QC & Instrumentation Specialist

1.7 List of Major Subcontractors.

Company	Responsibility
Codell Construction Co Winchester, Kentucky	Surface Excavations, Roads, & Diversion Embankment
London Bridge London, Kentucky	Hwy 38 & Hwy 72 Bridges, Upstream Portal Nosing Concrete, Railroad Closure Structure and Floodwall
Hayes Drilling Inc Lexington, Kentucky	Hwy 38 Bridge Caisson Drilling
GeoCon Inc Lakeland, Florida	Slurry Trench
Underground Petroleum Equipment Company Lexington, Kentucky	Underground Tank Removal

2. Contract Modifications Related To Foundation Conditions

No.	<u>Date</u>	Description	Cost
22	Sep 90	Clearing U/S Portal- Extended clearing limits uphill because portal slopes were revised.	\$ 3,546
25	Nov 90	Nosing Reinforcement U/S and D/S- Install additional angled and vertical bolts, up to 40 feet long, to reinforce corners and nosings prior to blasting.	99,576
37	Apr 91	Hwy 72 Bridge Revision- Additional concrete required to provide pier footing embedment into rock.	39,925
39	Jun 91	Hwy 38 Bridge Revision- Revise caissons and wing walls because rock was lower than anticipated.	164,135
40	Jun 91	Hwy 38 Slide Removal and Trim Nosings-Remove landslide material from slope and roadway between stations 55+00 and 57+00. Trim overhanging rock from upstream portal nosings.	24,550
45	Aug 91	Trim Nosings and Additional Concrete- Trimming and additional concrete was required because nosings shifted during blasting.	119,710
56	Apr 92	Reinforce D/S portal slope and cracked shotcrete above tunnels 3 and 4.	10,634
63	Sep 92	Extend diversion embankment seepage cutoff farther into the right abutment by adding sheet pile wall section, because rock was lower than anticipated.	122,547
66	Oct 92	Remove and replace cracked shotcrete above downstream portal of tunnel 4.	35,209
76	Jun 93	Additional test blasting and engineering control for U/S portal noses; redrill presplit holes cut off because of blasting; remove boulders outside of Hwy 38 template between stations 49+00 and 72+00.	61,541

3. Contract Quantities - Estimated and As-Built

Estimated and as-built quantities and unit prices are shown on pages 6 through 12.

CONTRACTOR: GRASSETTO & INCISA USA (JV)

ADDRESS: P.O. BOX 669

SAXTER, KY. 40806

DATE: 25-Jun-93

CONTRACT NO. # DACH62-89-C-0092

PERIOD COVERED BY THIS ESTIMATE: 22 SEP 89 THRU 19 JUN 93

				CONTRACT	·	TOTAL TO	DATE
TEN NO.	DESCRIPTION	UNIT	QUANTITY	UNIT PRICE	APICUNT	GUANTITY	ANCUNT
K.1	NOBILIZATION & PREPARATORY WILK	L\$	1	*******	2,300,000.00	1.00	2,300,000.00
M_1	CLEARING, GRUBBING & REMOV STR	LS	1	140,305.39	140,305.39	1.00	140,305.39
C.t	DIVERSION & CARE OF WATER	L\$	1	356,465.96	356,465,96	1.00	356,465.96
D. 1	EXCAVATION	CT	380000	6.62	2,515,600.00	444,628.00	2,956,677.36
9.2	PRESPLITTING	\$ #	133360	2.76	368,073.60	136,184.00	375,867.84
8.1	TUNNEL EXCAVATION	CT	283100	34.53	9,775,443.00	263,578.20	9,791,955.25
P _n i)	ROCK DOWELS	LP	1600	4.34	6,944.00	1,442.00	6,258.28
1.2	ROCK BOLTS (SURFACE)	LF	11000	4.24	68,640.00	20,286.00	126,584.64
IF.3	TESTING ROCK BOLTS	EACH	10	1,551.28	15,512.80	10.00	15,512.80
IF.4	DRILLING DRAIN HOLES	LF	9000	8.13	73,170.00	11,235.00	91,340.55
1.5	SLOTTED PVC PIPE FOR BRAIR HLS	LF	1680	1.68	2,822.40	1,636.00	2,748.40
F.6	STRIP DRAINS	LP	1800	2.74	4,932.00	4,347.00	11,910.7
5-7	SHOTCRETE	27	18750	21.52	403,500.00	21,193.90	456,092.7
1.8	SHOTCRETE TEST SAMPLES	EACH	380	111.04	42,195.20	347.00	38,530.8
4. 1	SHOTCRETE, FIBER REINFORCED	SY	72500	23.87	1,730,575.00	73,066.10	, 1,744,087.8
8.2	ROCK BOLIS (TUNNEL)	LF	108000	4.91	530,280.00	108,876.00	534,581.1
1.1	SLURRY TRENCH modif= 63	SF	13640	14.43	224,105.20	13,646.70	224,215.2
1.1	COMPACTED FILL	CT	67000	3.48	253,160.00	77,012.00	268,001.7
1.2	A-INCH SLOTTED PIPE	LF	790	1.25	5,775.00	712.00	5,874.0
1.3	8-INCH SOLID PIPE	LF	80	5.12	409.60	80.00	409.6
11.4	BACKFILL MATERIAL	TON	100	20.20	2,020.00	305.30	6,167.0
U.I	CLEARING & GRUBBING FOR ROADS	LS	1	57,033.80	59.,035.80	1,00	59,035.8
4.2	ROADWAY EXCAVATION	CY	352000	4.62	2,330,240.00	371,876.00	2,461,819.1
H.3 .	EMBANQUENT-IN-PLACE	CY	28400	4.04	114,736.00	28,400.00	114,736.0
U.4	SPECIAL EXCAVATION	CT CT	15700	6.32	99,224.00	13,348.00	84,359.3
u.5	DENSE GRADED AGGREGATE BASE	TON	9600	20.20	193,920.00	9,003,81	181,876.9
U.6	BIT CONCRETE SURFACE CLASS 1	TON	580	39.34	22,817.20	886.66	34,881.2
u.7	BIT CONCRETE SURFACE CLASS A	TOM	380	40.66	15,430.80	507.14	20,620.3
24.8	BIT CONCRETE BASE CLASS 1	TON	7340	33.13	243,174.20	7,720.48	255,779.5
21.9	BIT MATERIAL FOR TACK	7CN	3.9	308.72	1,080.52	3.50	1,080.5
LI.10	SLOPED & FLARED BOX 1-0 18-IN	EACH		2,351.35	14,105.10	6,00	14,108.1
u.s1	SLOPED & FLARED SOX 1-0 30-18	EACH	1	3,749.95	3,749.95	1,00	3,749.9
LI.12	CURB DOX INLET, TYPE A	EACH	3	752.95	2,258.85	3.00	2,258.
24.13	CURB BOX INLEY, TYPE &	EACH	•	3,192.18	6,384.36	2.00	6,384.3
W.14	JUNCTION BOX, TYPE 8	EACH	1	1,862.38	1,882.38	1.00	1,882
LL.15	MANHOLE, TYPE A	EACH	1	2,253.30	2,253.30	1,00	2,253.
U.16	MANHOLE, TYPE C	EACH	1	4,197.45	4,197.65	9.00	0.0
24.17	PIPE CULVERT, 15-INCH	LF	140	37.65	5,271.00	149.00	5,609.
24.38	PIPE CLEVERT, 18-INCH	LF	250	40.66	10,165.00	250.00	10,165.
24:19	PIPE CULVERT, 24-INCK	LF	100		•	126.00	6,641.6
21.20	PIPE CULVERT, 30-INCH	LF	n		•	72,00	4,968.0
24.21	PIPE CULYERT, 48-INCH	LF	84	-	•	84.00	10,500.0

- BIG Form FBA-E ELECTRONIC VERSION APPROVED BY INJUSACE 15 MARCH 1987.

P.4/12

[(# 2004) 24 21:17 HONELOW (606) 273-3457

6

GONTRACTOR: GRASHETTO & INCLEA USA (JV)

ADDRESS; P.D. BOX 669 BAXTER, KY. 40

ET-nut-ES 131A0

BAXTER, KY. 40806 CONTRACT NO.: DACW62-89-C-0092

PERIOD COVERED BY THIS ESTIMATE 22 SEP 89 THRU 19 JUN 93

					CONTRACT	•••••••	TOTAL TO DATE		
٠.	ITEN NO.	DESCRIPTION	UKIT	QUANTITY	UNIT PRICE	AMOUNT	QUARTITY	AMOUNT	
2	J. 2 2	PIPE CULVERT, 60-INCH	LF	144	152.65	21,981.60	148.00	22,592.20	
. 2	ح.ن	CONCRETE, CLASS A	CT	28	123.23	3,450.44	27.96	3,447.98	
2	J.24	STEEL REINFORCEMENT	LE	1650	9.89	1,468.50	1,450.00	. 1,468.50	
Z	1.25	STEEL "Y" BEAN GUARDRAIL MONING 44	ĻF	2800	17.43	48,804.00	3,212.50	55,993.88	
2	1.26	TERMINAL SECTION NO. 1	EACH	1	42.17	42.17	8.00	337.36	
2	1.27	END TREATMENT, TYPE ZA	EACH	2	430.70	861.40	4.90	1,722.80	
Z	J-28	END TREATMENT, TYPE 3	EACH	Z	451.79	903.58	2.00	903.58	
2	J.29	END TREATMENT, TYPE 4	EACH	1	691.37	691.37	1.00	691.37	
2	4.30	END TREATMENT, TYPE 7 mod## 44	EACR	4	677.68	2,710.72	4.00	2,710.72	
2	1.31	BRIDGE END CONNECTORS, TYPE A	EACH	8	821.43	6,571.44	8.00	6,571.44	
1	1.32 1.32	15-INCH ENTRANCE PIPE	LF	68	27.38	1,861.84	83.00	2,272.54	
1	J.33	EDGE KET	LF	90	9.59	863.10	90.00	863.10	
2	J.34	CONCRETE BARRIER TYPE 12L (TEN modific 22	LF	0	41.07	0.00	0.00	0.00	
2	1.33	PERFORATED PIPE 8-INCH	LF	100	8.25	625.00	100.00	825.00	
7	3.34	NON-PERFORATED PIPE 8-INCH	LF	20	5.12	102.40	20.00	102.40	
2	u.\$7	CONCRETE ENTRANCE PAYERENT	SY	16	29.03	464.48	32.00	928.96	
	IJ. 3 8	R-OF-WAY MARKERS, RURAL TYPE 1	EACH	30	60.24	3,912.00	50.00	3,012.00	
2	.J.30	P.CEMENT CONCRETE PAVEMENT &-IN	27	70	29.03	2,032.10	70.00	2,032.16	
1	24.40	CHARNEL LINING, CLASS 11	TON	190	26.01	4,941.90	246.94	6,422.91	
1	ti.41	STANDARD CURS AND GUTTER	LF	380	12.87	4.890.60	380.00	4,890.60	
	U.42	STANDARD INTEGRAL CURB	LF	35		316.40	35.00	316.40	
	2J.43	SILT TRAP, TYPE B	EACH	5	281.62	1,408.10	3.00	844.86	
· ;	11.44	SILT CHECKS	EACH	25	78.03	1,950.75	13.00	1,014.39	
	Li .45	MAINTENANCE & CONTROL OF TRAFFI	LS		23,000.13	23,000.13	1.00	23,000.13	
	X .1	KY. RIGHNAY 38 BRIDGE	LS	1	•	628,627.23	1.00	628,627.23	
•	X.2	EY. HIGHWAY 72 BRIDGE	LS	1	- •	1,458,453.98	1.00	1,458,453.98	
	X.3	STEEL TEST PILES NP 14x73 (BRG	LP	108		4,577.44	107.81	4,870.86	
	BC.4	CAST STEEL PILE POINTS	EACH	87		12,447.09	87.00	12,447.09	
	ZX.3	STEEL PILES 14x73 (BRIDGE)	LF	1650		62,122.50	1,799.78	67,761.72	
	2K. A	CYCLOPIAN STONE PROTECTION modil= 37	TON	0		8.00	0.00	0.00	
	24.7	STR EXCAV (UNCLASS) KY MIT 38 mode= 39	E7	715		17,774,90	619,00	15,388.34	
	2K.8	STR EXCAV (UNCLASS) KY HAY 72 mod#= 37	CT	1720		41,366.00	1,414.00	34,054.80	
	2x.9	BRILLED FOUND OVE CAISSONS mod#- 39	LF	150		63.345.00	158.70	58,573.01	
	2 6.10	BRILLED FOUND ROCK CAISSONS mode 39	LF	225		122,481,00	241.40	131,408.50	
	2C .11	PROOF TEST HOLES	LF	360		6.210.00	416.00	7,176,00	
	2L.1	STEEL SHEET PILLING, TYPE PZ 22	LF	160		7.644.80	176.07	8,412.62	
	21.2	STEEL SREET PILING, TYPE PSZ7.5	LF	1360		77,248.00	1,625.86	72,348.65	
	2 L1	STEEL R-PILES (RP 12x74)	LF	1540		57,981.00	1,265.40	47,642.31	
	29.2	FILE POINTS (NP 12x 74)	EACH	46		6,581.22	46.00	6,581.22	
,	26.1	TYPE 1 STONE PROTECTION modile 37	TON	13410		504,886.50	14,129.30	531,968.15	
	20.1	SEED ING W/ SEED MIX NO. 1	HEF	490		28,338.30	882.75	34,254.54	
	- · · ·	—				7,118.80	118.58	6,493.44	
l	20.2	SEED HIX NO. 1 PLUS CHOMM VETCH	HE P	130	34.76	(,116.00	1 10.35	a, 773.40	

THE FORE TSA-E ELECTRONIC VERSION APPROVED BY HOUSACE 15 MARCH 1987.

P.5/12

2 346 EYS(361) HOLDARY S1:11 EE' 85 NUT

7

CONTRACTOR: GRASSETTO & INCISA USA (JV)

ADDRESS: P.O. BOX 669

BAKTER, KY. 40806

DATE: 25-Jun-93

DENTRACT NO.: DACHEZ-89-C-0092

PERIOD COVERED BY THIS ESTIMATE: 22 SEP 89 THRU 19 JUN 93

1.					CONTRACT		TOTAL TO	DATE
ITER WO.	DESCRIPTION	u	IIT	QUARTETY	UNIT PRICE	AHOUNT	QUANTITY	AHOURT
29.3	SEED HIX NO.1 PLUS RED CANARY	199	F	40	48.45	2,738.00	0.00	0.00
20.4	BEED & PROTECT, METHOD 2	MS	f	400	54.76	21,904.00	543.60	29,767.54
20.5	VEGETATIVE MULCH	TO	4	40	150.60	6,024.00	70.93	* 10,682.06
20.6	AGRICULTURAL LIMESTONE	ta	N.	. 90	32.86	2,957.40	107.68	3,538.36
29.7	FERTILIZER (10-20-20)	ta	N	8.5	423.04	3,595,84	10.10	4,272.70
29.5	FERTILIZER (20-10-10)	TO	N	2.2	425.78	936.72	9.00	0.00
20.9	TOPSOIL, 12 INCH THICK	5 Y		103340	3.01	311,053.40	75,939.88	228,579.04
20.10	\$00	\$Y		1000	2.74	2,740.00	519.00	1,422.06
20_11	PLANTING	LS		1	41,070.93	41,070.93	1.00	41,070.93
29. 1	INSTRUMENTATION	LS		1	45,092.83	45,092.63	1.00	45,092.83
20,1	CHAIN-LINK FENCE	LF		1800	60.24	108,432.00	2,096.00	126,263.04
29.2	CATES	EA	CH.	4	752.98	3,011.92	4.00	3,011.92
24.1	MEDTEXTILE mode: 37	\$1		19000	5.51	104,690.00	24,957.17	137,514.01
₹.2	GEOCOPPOSITE	\$1	,	6000	13.23	79,380.00	6,439.35	85,192.60
23	GECHENBRAKE LINER	81	,	15100	11.16	168,516.00	15,896.43	177,404.16
25.1	UNDERGROUND TANK REMOV & DISP	LS	1	1	6,317.75	6,317.75	1.00	4,317.75
21.1	GOVERNMENT OFFICE COMPLEX	L	}	1	172,499.55	172,499.55	1.00	172,499.55
20.1	8-INCH PVC WATERLINE	U	1	240	27.59	6,621.60	242.10	6,679.54
21.2	8-INCH BATE VALVES	E	CH	2	750.00	1,500.00	2.00	1,500.00
21.3	16-INCH STEEL CASING PIPE	LI	:	190	50.00	9,500.00	190.00	9,500.00
3E.1	TUNNEL BASE SLAB	Li	•	7740	148.54	1,149,699.60	7,751.00	1,151,333.54
天,2	NORTH & SOUTHSIDE I-MALL	L	3	1	17,853.43	17,853.43	1.00	17,853.43
£.\$	NORTH & SOUTHSIDE T-WALL	L	3	1	36,078.70	36,078.70	1.00	36,078.70
¥.4	RAILROND CLOSURE STRUCTURE	u		1	52.063.05	52,083,05	1.00	52,083,05
36.5	PORTAL MOSING	L	3	1	********	1,023,469.95	1.00	1,023,469,95
X.6	CONCRETE HEADWALLS		KSI	2	1,961,35	3,962.70	2.00	3,962.70
50. 1	SWING SATE	L	l	1	128,060,70	125,060.70	1.00	128,060.70
	G .	0	0	0	9.90	0.00		0.00
.:	0		0	0	0.00	0.00		9,00
	0	0	0	0	0.00	8.00		0.00
	0	0	0		0.00	0.00		0.00
	0	0	0	9		0.00		0.00
i ·	0	0		9	0.00	0.00		0.00
i :	0	0			0.00	0.00		0.00
	0	0	0	Č	9.00	0,00		0.00
-1	0	ò	P	Č	0.00	0.00		0.00

TOTAL ORIGINAL CONTRACT MOUNT WORLD STEN HODS

\$29,530,875.67

EMG, Form, 93A-E ELECTRONIC VERSION APPROVED BY HOUSAGE 15 MARCH 1987.

P.6/12

2546-673 11:12 HPU-PH ST:11 66' 82 HUT

GONTRACTOR: GRASSETTO & INCISA USA (4V)

ADDRESS: P.O. BOX 669 SAXTER, KY, 40806

DATE: 25-Jun-93

STREET NO. 1 BACHEZ-89-C-0092 PERIOD COVERED BY THIS ESTIMATE 22 SEP 89 THRU 19 JUN 93

.. **

				CONTRACT		TOTAL TO	DATE
ITEM NO.	DESCRIPTION	UNIT	CUARTITY	UNIT PRICE	AMOUNT	QUANTITY	ANCUNT
PINDYED HOOI	FIGATIONS([e.HEW ITEMS NOS.]	•		-			
	FUNDS ALLOCATION modif= 1	0	0	90.00	\$0.00		\$0.00
	FUNDS ALLOCATION modes 2	0	0	90.00	\$0,00		\$0.00
•••	FUNDS ALLOCATION modif= 3	0	0	90.00	\$0.00		90.00
	FUNDS ALLOCATION mod#= 4	0	0	90.00	\$0.00		\$0.00
7,2	OVERHAUL & PLACE FILL modif= 5	CT	3146	92.30	97,233.80	3,146.00	\$7,235.80
T.3	SEPTIC SYSTEM mode= 5	LS	1	\$3,156.00	23, 156.00	1.00	\$3,156.00
T-41	12-INCH CMP modifi= 5	LF	90	\$21.50	81,935.00	92.00	\$1,978.00
π,\$	#2 & #610 AGGREGRATE mod#= 5	TON	1000	\$14.53	\$14,530.00	1,559.29	\$22,656.48
т.6-	BITUMINOUS SURFACE (RE) modific 5	TON	400	\$59.56	\$23,824.00	420.60	\$25,050.94
T.7	CHAIN LINK PENCE (RE) mod#= 5	LF	794	\$15.92	\$12,640.48	791.40	\$12,599.09
T.8	FLAG POLE modific 5	LS	1	\$2,350.00	\$2,350.00	1.00	\$2,350.00
	TIME EXTERSION - WEATHER 18DAY modes 6	0	0	80.00	\$0.00		\$0.00
T.9.	6-INCH DIAMETER WELL mode- 7	LF	650	\$8.90	\$5,785.00	765.00	1 \$6,808.50
T.10	8-INCH CASING mode= 7	L3	1	\$2,716.00	\$2,716.00	1.00	\$2,716.0
1.11	1-1/4 INCH WATER LINE modes 7	LF	500	\$4.29	\$2,145.00	302.00	\$1,295.5
T.12	FURNISH & INSTALL PUMP SYSTEM modific 7	L8	1	\$8,900.00	\$8,900.00	1.00	\$8,900.0
***	FUNDS ALLOCATION modF= 8	0	0	\$0.00	\$0.00		\$0.0
!-	FARDS ALLOCATION mode 9	đ	0	\$0.00	\$0.00		\$0.0
•••	ALTERNATE DISPOSAL AREA GEORGE modif- 10	٥	0	30.00	20.00		\$0.0
-+	ADMINISTRATIVE MOD REV SCOPE 5 mod#= 11	0	0	\$0.00	. \$0.00		80.0
8.2	REHOVE CONTAMINATED MATERIAL mode= 12	CY	250	992.00	\$23,000.00	610.00	\$56,120.0
	FUNDS ALLOCATION modify 13	0	0	\$0.00	20.00		\$0.0
V.1.	8-INCH PVC PIPE modes 14	LF	936	\$112.35	\$105,159.60	963.00	\$108,193.0
y.2	ERI	EA	7	\$3.815.00	\$26,705.00	7.00	\$26,705.0
V.3	CONCRETE ENCASEMENT modé= 14	CT	4.5	\$395.00	\$1,777.56	5.25	\$2,073,7
Y.4	CONCRETE ANCHOR modific 14	EA	7		84,319.00	7.00	84,319.0
	TIME EXTENSION WEATHER 17 DAYS mode 15	. 0			\$0.00		\$0.0
<u>-</u> -∱ · · ·	FUNDS ALLOCATION mode 14	a	9		\$0.00		\$0.0
5.3	SHOTCRETE SARRIER WALL mode 17	LS	•		813,500.00	1.00	\$13,500.0
3.3	REMOVE CONTAMINATED WATER mode: 18	BAL	20000		942,000.00	19,601.00	941,162.1
B.4	LAS TEST modile 18	EA			\$2,190.00	4.00	\$2,190.0
	FARDS ALLOCATION mode 19	. 0		90.00	20.00		\$0.0
بأب	TIME EXTENSION WEATHER 4 DAYS mode: 21	0	6	90.00	80.00		\$0,0
M.Z	ASSESTOS RESIDVAL OLD HAR SCH mode: 20	LS			\$290,745.00	1.00	\$290,745.0
M.4	BISP other than 3-Cty fill (b) modified		1	264,250.00	964,250.00	1.00	\$64,250.0
h.13	MISCELLANEOUS REVISIONS modile 23	LE	•	\$11,441.00	811,441.00	1.00	\$11,441.0
24.5	ACOITIONAL CLEARING mode- 22	LE	,	85,344.00	85.344.00	1.00	25,344.0
m.2:	SECUTED CHAINEL LINING modes 22	LB		87.848.00	87.848.00	1.00	\$7,848.
≥.4	BOYT BARRIER VALL mode- 22	LE		\$7,994.00	\$7,904.00	1.00	87,904.0
	PARDS ALLOCATION model 24			• • • •	20.00		90.0
, -	LAMES WORKELINE MARKET 63	٠	,	, ,,,,,,			••••

SNE FORM 93A-E ELECTRONIC VERSION APPROVED BY HQUEACE 15 MARCH 1987.

S1\7.9

1 HETELAED APISE ARISE 1888 UT : T3 HOST ON (888) 253-3425

CONTRACTOR: GRASSETTO & INCISA USA (JV)

DATE: 25-Jun-93

ADDRESS: P.O. BOX 669 BAXTER, KY. 40806

PERIOD COVERED BY THIS ESTIMATE:22 SEP 89 THRU 19 JUM 93

				CONTRACT		TOTAL TO DATE		
ITEN NO.	DESCRIPTION	UNIT	ATTAINTE	UNIT PRICE	ANCUNT	QUANTITY	AMOUNT	
	TIME EXTENSION WEATHER I BAY modifie 26	0	0	\$0,00	\$0.00		\$0,00	
719	ANCHOR BOLTS U/S mod#= 25	LF	6040	\$11.15	867,346.00	6,056.00	867,524.40	
7.1Q	ANCHOR BOLTS D/S modif= 25	LF	3265	89.85	E\$2,160.25	3,264.00	\$32,150.40	
Y15	SEVER LINE TIE IN modP= 27	i.s	1	\$36,000.00	\$36,000.00	1.00	\$36,000.00	
•••	FUNDS ALLOCATION modific 28	0	0	80.00	80.00		\$0.00	
البات	DELETE DISPOSAL ALT "A" mod#- 29	LS	1	10.00	\$0.00		\$0.00	
	FUNDS ALLOCATION mod#= 30	0	0	\$0.00	80.00		\$0.00	
•••	FUNDS ALLOCATION mode= 31	0		\$0.00	\$0.00		\$0.00	
x.f	ERA	LS	1	90.00	\$0.00		\$0.00	
	TIME EXTENSION WEATHER 4 DAYS modific 33	0	•	90,00	\$0.00		\$0.00	
U.Á	MARLAN WATERLINE RELOCATION mod#= 35	LE	1	\$9,440.00	89,440.00	1.00	\$9,440.00	
	FUNDS ALLOCATION mod#= 36	0	0	90.00	\$0.00		30.0	
74. ا	SIZE 57 STONE modilin 37	TON	150	\$23.75	\$3,562 .50	130.55	\$3,100.5	
K.12	ERR	CY	120	\$106.00	\$12,960.00	182.00	819,456.0	
K.13	CONCRETE OUTSIDE 18 IN LIMIT modil- 37	CT	65	\$106.00	\$6,890.00	65.00	\$6,890.0	
K.14	DECK DRAINS modif= 37	LE	1	\$9,812.00	\$9,812.00	1.00	\$9,812.0	
K.15	ERR	TON	1040	\$30.12	131,324.80	961.63	\$28,964.3	
	TIME EXTENSION WEATHER 17 DAYS modils 38	0	0	\$0.00	20.00		\$0.0	
K.16 ·	BRILL FOUND OVE 60 IN CAISSON modif- 39	LF	75	\$630.00	\$62,250.00	55.70	844,231.0	
K. 17	DRILL FOUND ROCK 60 IN CAISSON mode= 39	LF	90	\$1,150.00	\$103,500.00	106.60	\$122,590.0	
K. 18	REVISE HAY 38 BRIDGE ABUTHENTS modes 39	LS	1	\$27,700.00	\$27,700.00	1.00	\$27,700.0	
J.48 .	SLIDE REMOVAL MIT 38 modifi- 40	LB	1	\$6,200.00	\$8,200.00	1.00	\$8,200.0	
44,4	TRIM U/8 NOSINGS mode= 40	LS	1	\$6,030.00	\$6,030.00	1.00	\$6,030.0	
4.50	CONCRETE BARRIERS MY 38 modf= 40	LE	1	\$1,330.00	\$1,350.00	1.00	\$1,350.	
D. 12	APPALON WITH NETTING modes 40	SY	6500	\$1.38	\$8,970.00	6,938.00	89,574.4	
	FUNDS ALLOCATION mode= 41	0	9	30.00	\$0.00		\$0.1	
	TIME EXTENSION WEATHER 9 DAYS modif= 42	0	0	30.00	\$0.00		90.0	
	PURIDS ALLOCATION wode= 43		0	\$0.00	\$0,00		90.0	
E.7	ROCK TRIPMING mode= 45	LE	1	\$33,230.00	\$33,230.00	1.00	\$33,230.0	
E.61	MAUL ROCK TO D/A mode= 45	LS	1	34,570.00	\$4,570.00	1.00	\$4,570.0	
¥.6.	SURFACE PREPARATION modific 45	LE	1	\$12,250.00	\$12,250.00	1.00	\$12,250.0	
E.10 :	CONCRETE MOSING Modifie 45	CY	300	3195.00	958,500.00	425.30	\$121,933.5	
E.11	SHOTCRETE (\$10E\$) modes 45	ଫ	80	\$107.00	\$8,560.00	149.50	\$15,996.	
E. 12	SHOTCRETE (TOP) modif= 45	CT	20	8130.00	\$2,600.00	80.00	\$10,400.0	
E. 13	12-INCH CORRUGATED METAL PIPE GOODS 46	LE	1	34,600.00	\$4,800.00	1.00	\$4,800.0	
W.51	SITURINGUS SEAL MATERIALS mode= 47	TON	12	2613.00	\$7,380.00	11.65	87,164.	
u.52	SITUMINOUS SEAL AGERERATE SOCIS- 47	TON	100	361.50	\$6,150.00	227.94	\$14,018.	
	PURIDS ALLOCATION modil- 48	0	g	\$0.00	30.00		90.	
4	FUNDS ALLOCATION and 49	•	Č	90.00	80.00		90.0	
m.2	CUTOFF/PIPE INTERSECTION mode: 50	LE	1	\$15,000.00	\$15,000.00	1.00	\$15,000.0	
11.	MEATRER 2 DAY EXTENSION model 51			90.00	\$0.00		\$0.0	
3.5	ACCESS ROAD RELOCATION mode 52	LE	•		\$13,465.00	1.00	\$13,465.0	

FORM FORM 93A-E ELECTRONIC VERSION APPROVED ST HOUSAGE 15 MARCH 1967.

P.B/12

GONTRACTOR: GRASSETTO & INCISA USA (JV)

". ADDRESS: P.O. BOX 669

BAXTER, KY. 40806

DATE: 25-Jun-93

CONTRACT NO. : BACH62-89-C-0092

PERIOD COVERED BY THIS ESTIMATE:22 SEP 89 THRU 19 JUN 93

	L MO. DESCRIPTION			CONTRACT		TOTAL TO BATE	
ITEN NO.	DESCRIPTION	UNIT	TTETHAUD	UNIT PRICE	ANDURIT	GUANT LTY	AFFOUNT
21,53	ABUTHENT DRAINAGE modif- 53	LE	1	\$3,692.00	\$3,492.00	1.00	\$3,692.00
%: 14	GECHENGRAME CONNECTION modil= 53	LE	1	\$582.00	\$582.00	1_00	\$582.00
5 7,11	D\S SLOPE REPAIR modif= 56	L\$	1	\$18,634.00	\$10,634.00	1.00	. \$10,634.00
	TIME WEA OUT - HAR 92 2 DAYS mod#= 37		0	\$0,00	20,00		30.00
2. 15,	BURYEY HARLAH ROAD modif= 58	LS	1	\$3,132.00	\$3,132.00	1.00	\$3,132.00
D.A.	SLANTINE CONSULTANT mode= 58	LB	1	\$8,057.00	88,057.00	1,00	88,057.00
17 14	MISC & RESIDENT OFFICE mode= 58	L\$	1	\$8,261.00	\$8,261.00	1.00	38,261.3
	FUNDS ALLOCATION modif= 59	0	٥	90.00	\$0.00		\$0.0
R. 19	EXCAVATION (BRIDGE) mode 60	CT	300	\$52.00	815,600.00	65.48	\$4,600.9
r.12	SMOTCRETE D/S mod#= 60	CT .	75	\$208.00	\$15,600.00	108.00	922,464.0
DC 13	SHOTCRETE U/S modif= 60	L\$	1	\$4,055.00	\$4,055.00	1.00	84,055.0
10.5 .	REMOVE BARRIER PIPE mode= 60	LS	1	\$1,370.00	\$1,370,00	1.00	\$1,370.0
声•\$	EXCAYATION (SPLASH) model- 60	LS	1	\$10,839.00	\$10,839.00	1.00	\$10,839.0
E. 3	TYPE 1 STONE (SPLASH) modific 60	TM	450	\$23.00	\$10,350.00	352.87	38,116.0
2. 4	CONCRETE & (SPLASH) mod#= 60	CY	50	\$108.00	\$5,400.00	67.00	\$7,236.0
	WEATHER APR-JUN 92 4 DAYS modific 47	0	0	90.00	\$0.00		\$0.0
	FUNDS ALLOCATION modif= 62	0	0	\$0.00	90.00		\$0.0
M_3	STEEL SHEETPILING mode 63	LF	3000	\$43.70	\$131,100.00	2,526.35	\$110,401.5
3 1,4	PILING EXTRACTION modif= 63	LF	300	\$22.00	96,600.00	105.00	\$2.310.0
1915	STANDEY TIME (EQUIP) mode 43	KTR	40	240.00	\$1,400.00	45.00	\$1,800.0
2.6	KOMATEL 180 mode- 63	KR	20	\$92.00	\$1,840.00	22.00	\$2,024.0
3. 7	CAT 8-4 DOZER mod#= 63	NR	20	267.00	81,380.00	15.00	\$1,035.0
17.6	HITACHI 181 mod#= 63	MR	20	\$140.00	\$2,800.00	68.50	\$9,590.0
Dr. 9	BYRAPAC COMPACTOR Modific 63	HR	20	\$85.00	\$1,700.00	0.00	\$0.0
M. 10	PC-150 L/TAMPER modes 63	KIR	20	\$105.00	\$2,100,00	0.00	\$0.0
BI , 11	MORATSU 155A modific 63	MR	20	\$155.00	\$3,100.00	19.50	\$3.022.5
D. 12	MOBILIZATION mode= 63	EA	4	9430.00	\$1,720,00	2.00	\$860.0
D. 13	Ext	LE	1	\$0.00	20_00	2.00	30.0
L.M	H-2, CHANNEL LINING modif- 64	LE	1	\$39.200.00	\$39,200.00	1.70	\$39,200.0
N.4	TYPE I DITCH, STONE modific 64	?¥	200	\$30.00	\$6,000,00	261.33	\$7.539.9
m,Š	GROUT, CHANNEL LINING Mode 44	CT .	40	9132.00	25,280.00	52.00	\$6,864.0
trait.	MISC ITEMS MOD 66 mode= 66	LE	1	\$16,200.00	\$16,200.00	1.00	\$16,200.0
W.15	REPROVE SHOTCHETE mode- 66	CH	30	9207.00	\$6,210.00	38.50	87,969.5
F.16	PLACE SHUTCRETE modific 66	CY	22		83,036.00	15.00	\$2,070.0
F.17	LOAD AND MAUL MATERIAL moder 66	HAR.	10	\$271.00	82,710,00	14.00	93,794.0
N.54	HIGHNAY 38 REPAIRS mode= 66	us	1	\$7,053.00	87,053.00	1.00	\$7,053.0
	FUNDS ALLOCATION modifie 65	0		90.00	\$0.00		\$0.0
	FUNDS ALLOCATION modes 67	ā	0	90.00	\$0.00		\$0.0
D.4	RENOVE AND REPLACE COFFERDAN models 68	LS	1	\$33,738.00	\$33,938.00	1.00	£33,938.0
	WEATHER DELAY 10 DAYS mode 69	•	•	\$0.00	\$0.00	1.441	\$0.0
••••	FUNDS ALLOCATION moder 70	ŏ	0	\$0.00	90.00		20. 0
	ADMIN REVISE NOD 22 COST CODE modific 71		ā	\$0.00	30.00		90.0

UNA PORM 93A-E ELECTRONIC VERSION APPROVED BY HOUSAGE 15 MARCH 1987.

P.9/12

17H SB .63 17:14 HUSTUN (606)573-3457 PAYMENT ESTIMATE - CONTRACT PERFORMANCE(Continuetion)

SHEET

SCHTRACTOR: GRASSETTO & INCISA USA (JV)

ADDRESS; P.O. BOX 669

BAXTER, KY, 40806

DATE: 25-Jun-93

\$69TRACT NO.1 DACH62-89-C-0092

PERICO COVERED BY THIS ESTIMATE: 22 SEP 89 THRU 19 JUN 93

	_ \			CONTRACT		TOTAL TO DATE		
ITEN NO.	BESCRIPTION	UNIT	GUARTITY	UNIT PRICE	AMOUNT	TT THAU	AMOUNT	
	PUNDS ALLOCATION modil— 72	0	0	\$0.00	\$0.00		\$0.00	
20.14	HENERANE CONNECTION model 73	L\$	1	13,341.00	\$3,341.00	1.00	\$3,341.00	
J 2015	RELOCATE WATERLINES modif= 73	1.8	1	\$2,890.00	\$2,890.00	1.00	\$2,890.00	
, 21. 7	CLEAR UP TRACTS 614,615 mod#- 73	L\$	1	\$10,263.00	\$10,263.00	1.00	\$10,263.00	
20.6	EMBANISMENT NAUL modific 75	LS	1	348,216.00	\$48,216.00	1.00	348,216.00	
D ,6	HISCELLANEOUS EXCAVATION modific 76	LS	1	\$61,541.00	961,541.00	1.00	\$61,541.00	
·	FUNDS ALLOCATION model 74	0	0	\$0.00	\$0.00		\$0.00	

THE FORM PSA-E ELECTRONIC VERSION APPROVED BY HOUSAGE 15 MARCH 1987.

P. 18/12

25PE-E25(909) NOTOCH ST:II E6, 88 NTC
1 (81 39M4 (318184) 81 39M4 FRZ) 9F/ 519 1H FRST /2:SS 82/98 (32433333) 1

4. Foundation Exploration and Testing.

4.1 Investigations Prior to Construction. During the period __om 1984 through early 1989, numerous investigations were conducted in the areas of the portals, tunnels, bridge abutments, roadways, and diversion structure.

Soils testing included USCS classification, Standard Penetration Testing, determination of moisture content, Atterberg limits, and gradations. Rock testing included determination of unconfined compressive strength, elastic moduli, seismic velocity, point load index, Poisson's ratio, direct shear strength, and unit weight. Rock properties and test data graphs and tables, taken from Feature Design Memorandum No. 3, are presented in Section 5.3, "Engineering Characteristics of Foundation Materials".

The locations of preconstruction borings are shown on PLATES B-2, C-2, and D-2. All boring logs and test data are included in the Harlan Diversion Project Feature Design Memorandum No. 3 and in the construction Specifications for the Harlan Diversion Project-Appendices A and B.

4.2 Investigations During Construction. Investigations during construction consisted of exploratory air-track and jack-hammer dralling, core drilling, and trenching. Testing included determination of unconfined compressive strength, slake durability, and gradations.

4.2.1 Downstream Portal Investigations.

4.2.1.1 Drilling and Trenching. On 7 November 1989 seven exploratory air-track holes were drilled to locate top of rock in the downstream portal area. On 13 November five more air-track holes were drilled to determine top of rock along Kentucky Highway 72 near future bridge abutment number 2. These holes indicated a steeply dipping top of rock surface, on approximately the same slope as the original ground surface. Elevations at which rock was encountered did not differ significantly from information presented in the contract drawings.

On 16 January 1990 three backhoe trenches were dug to determine the depth to rock along the planned ten-feet-wide bench above the tunnels. The actual rock surface was found to be 7½ to 9 feet lower than the estimated rock line. On 23 January 1990 five nearly horizontal air-track holes were drilled to determine distance to unweathered rock on the 1V:1H slope above the ten-feet-wide bench. Harder rock was found 9 to 13 feet behind the existing slope. Because rock at the design bench level was lower than estimated and because of slides in the overburden and weathered siltstone 1V:1H slopes, adjustments were made to the backslope. These changes are discussed further in Section 6 of this report.

4.2.1.2 Testing. In September 1990 samples of rock blasted from the downstream portal area were tested for slake durability. The samples were taken by the contractor and tested by C.V.E. Testing of Harlan. All material exceeded the minimum SDI of 95% required for use in the Kentucky Highway 72 roadway embankment.

Slake Durability Index (SDI)

Tunnel	St	tation	Elev.	Rock Type	SDI
1	D/S	Portal	1175	Siltstone	98.9%
2	D/S	Portal	1175	Siltstone	97.9%
3	D/S	Portal	1175	Siltstone	98.8%

All samples were described as dark gray, massive, sandy shale, elsewhere described as siltstone, and tested with Kentucky method #64-513-79 (ASTM D 4644-87). This test method covers the determination of the durability index of a shale or other similar rock after two drying and wetting cycles with abrasion. Slake durability index is defined as the percentage of dry mass retained of a collection of shale pieces on a No. 10 sieve after two cycles of oven drying and 10 minutes of soaking in water with a standard tumbling and abrasion action. Despite the high test results, siltstone roadheader cuttings used as fill on the diversion embankment broke down significantly during the placement, leveling, and compaction processes. Wet material pumped under equipment load and it became necessary to control the moisture content in constructing the embankment.

4.2.2 Upstream Portal Investigations. Seven airtrack holes were drilled to determine the elevation of hard rock across the top of the vertical portal backslope from baseline A to baseline C. See Plate C-8 for baseline locations. Harder rock was found to be about ten feet below the design bench elevation. Based on drilling results the upstream portal slopes were changed as described in Section 7.1.

4.2.3 Highway 72 Bridge Investigations.

4.2.3.1 Drilling. Three five-feet-deep jackhammer holes were drilled in each pier foundation to determine it's suitability, as required by Kentucky Standard Specifications for Road and Bridge Construction and the Division of Construction Guidance Manual. All nine holes indicated moderately hard siltstone, with no voids below foundation level.

4.2.3.2 Testing. From December 1990 through February 1991, more siltstone samples from the Highway 72 bridge pier foundations were tested to determine slake durability. Material from this area was used as backfill around the bridge abutments. Test results are tabulated on the next page.

Foundation Investigations.

Slake Durability Index

Pier No.	Location	Elev.	Rock Type	SDI
1	20 ft. from U/S end	1150	Siltstone	96.6%
1	14 ft. from D/S end	1148	Siltstone	96.3%
2	Not Recorded	1149	Siltstone	97.9%
3	Not Recorded	1148	Siltstone	97.2%

4.2.4 Highway 38 Bridge Investigations.

4.2.4.1 Drilling. Each of the Highway 38 bridge abutments is supported by eight caissons. Before the caisson holes were augered, proof test NX core holes were drilled to a level five feet below the proposed bottom of each caisson. Bedrock was lower than expected in some areas, requiring modification to caisson design. Where revisions deepened and relocated caissons, jackhammer proof test holes were drilled five feet below the bottom of the completed caisson hole. The Highway 38 bridge foundation is discussed in detail in Section 11 of this report. Logs of drill holes are included in Appendix H, PLATES H-3 and H-4.

 $\underline{4.2.4.2}$ Testing. In documenting foundation conditions the Corps of Engineers tested cores from the proof test holes to determine unconfined compressive strength. Test results, in pounds per square inch (PSI), are recorded below.

Caisson No.	Sample Elev.	Rock Type	PSI
A2-1	1197.7	Sdy siltstone	12,891
A2-2	1172.0	Sdy siltstone	8,435
A2-3	1182.0	Siltstone	6,605
A2-4	1189.5	Siltstone	4,647
A2-4	1179.5	Sdy siltstone	10,822
A2-4	1174.5	Sdy siltstone	9,549
A1-7	1172.0	Siltstone	4,535
A2-5	1175.0	Siltstone	7,162
A1-5	1160.5	Sdy siltstone	12,700

4.2.5 Tunnel Investigations. To provide documentation of tunneling conditions, rock cores from the tunnels were tested to determine unconfined compressive strength. The samples were cored by the contractor and tested by both the contractor and the Corps of Engineers project laboratory. Test results in pounds per square inch (PSI) are tabulated below.

Tunnel		Compressive Rock Type	Strength Test R	esults Date Tested
2	10+71	Siltstone	2,200*	990
2	10+71	Siltstone	3,750	990
2	10+71	Siltstone	6,262	990
2	10+71	Siltstone	5,375	990

Foundation Investigations. 1

	Unconfined	Compressive Str	ength Test Re	sults
<u>Tunnel</u>	Station	Rock Type	PSI	Date Tested
				-
2	10+71	Siltstone	2,812	990
1	19+80	Sandstone	18,125	1-09-91
1	19+80	Sandstone	13,875	1-09-91
1	19+80	Sandstone	+17,800**	1-10-91
1	20+86	Siltstone	3,062	1-09-91
1	20+86	Siltstone	6,062	1-09-91
1	20+86	Sdy siltstone	10,350	1-10-91
2	13+42	Siltstone	5,50C	1-09-91
2	13+42	Siltstone	5,750	1-09-91
2	13+42	Siltstone	4,725	1-10-91
2	18+86	Siltstone	4,500	4-12-91
3	14+10	Sdy siltstone	10,250	4-12-91
3	14+10	Sdy siltstone	9,000	4-12-91
2	19+85	Siy Sandstone	11,250	4-30-91
3	17+47	Siltstone	2,500	5-01-91
3	17+47	Siltstone	3,000	5-01-91
3	19+80	Sdy siltstone	10,750	5-20-91
3	20+70	Sdy siltstone	8,750	5-29-91
3	22+30	Sdy siltstone	8,500	5-29-91

- * Test results believed to be inaccurate because of a bad cap.
- ** Sample not taken to failure.

The number of cores taken in different materials may be biased toward the higher strength sandy material which occurs in widely spaced bands and beds ranging from less than 0.1 foot to 2.4 feet thick. Material excavated from the tunnels is about 92 per cent siltstone with a compressive strength ranging from about 4,000 to 6,000 PSI. The actual extent of the different materials is shown on tunnel geology maps in Appendix D, Plates D-12 through D-169.

4.2.6 Slurry Trench Investigations.

4.2.6.1 Backfill Borrow Site. In August and September 1991, several backhoe pits were dug in the alluvium upstream of the embankment and adjacent to Clover Fork to locate the best borrow site for slurry trench backfill material. Gradation test results indicated that, although on the fine side of the specification requirement, suitable backfill material was available from the upper four to six feet of the alluvium. Details of slurry trench construction are presented in Section 9.2 of this report. A test pit location plan and gradation results are included in Appendix E, PLATES E-8 through E-10.

4.2.6.2 Right Abutment of Diversion Embankment. In June 1992, the contractor drilled four air-track holes to determine depth to bedrock in the right abutment area. Indications were that the top of rock surface was lower than the estimated top of rock line. A Corps of Engineers drill crew drilled three additional SPT/core holes which confirmed

Foundation Investigations. 16

the preliminary findings. This led to a modification extending the foundation seepage cutoff farther into the right abutment with the addition of a sheet pile wall section. See Section 9.2, "Slurry Trench".

5. Geology

Regional Geology. The Cumberland River basin upstream of Pineville, Kentucky comprises the northwestern twothirds of the Cumberland Mountains region, a subdivision of the Cumberland Plateau physiographic province. The region is about 25 miles wide by 125 miles long and lies entirely within the Pine Mountain Overthrust. It is bounded on the northwest by Pine Mountain and on the southeast by Cumberland Mountain, two asymmetric ridges with general crest elevations of 2,500 and 2,800 feet above mean sea level respectively. The topography of the area is in early maturity typified by rugged mountainous terrain dissected by a well developed drainage system which varies from rectangular to dendritic in form. The highest point in Kentucky, The Double on Black Mountain (Elev. 4,150), is located in the headwaters of the Cumberland River. The valleys are steep sided and narrow.

5.2 Site Geology.

- 5.2.1 Physiography. The tunnels were excavated through Ivy Hill, a southwesterly trending spur which extends from the southwest end of Black Mountain. Generally, elevations range between 1,152 feet above mean sea level (stream bed elevation at the downstream portal) to over 3,300 feet at the closest peak on Black Mountain. The maximum elevation above the tunnels is 1524 feet. The average depth of cover over the crown of the tunnels is approximately 218 feet with the maximum being 324 feet. The hillside slopes are variable but generally are very steep, varying between 1V:1H to 1V:3H. The Cawood Sandstone member forms prominent cliffs in many areas around the project site. The floodplains of the Cumberland River and its major tributaries are irregular in width due to migration of channels. This migration produces an alternating wide and narrow floodplain. The banks of the river are steep, sloping about 1V:3H.
- 5.2.2 Description of Overburden. Overburden soils and soil-like materials in the project area are generally one of four types: residuum, colluvium, alluvium, or artificial fill. Residuum, or residual soil, is decomposed bedrock characterized by its clayey and sandy clay nature and relict bedding texture. Colluvium, found on hill slopes and at the base of slopes, is generally loose accumulations of sandy soils containing boulders, cobbles, and rock fragments which have fallen from outcroppings of the overlying Cawood Sandstone. Alluvial soils are found at the lower elevations of the project where they have been deposited by the waters of Clover Fork. Alluvial materials range from silts to gravels, and may be mixed with colluvium and artificial fill. Artificial fill is

Geology.

found throughout the project area primarily in association with railroad, highway, or dwelling construction. The upstream area, most notably the H-2 disposal site and the diversion embankment site, contained large amounts of trash, scrap metal, and other waste materials classified as artificial fill.

5.2.3 Bedrock Stratigraphy. The Harlan Diversion project is located entirely within the outcrop area of the Hance formation. The material immediately below the tunnels is part of the upper Hance coal zone. It is marked in the tunnel exploration at about elevation 1140 in borings C-200, C-201, Above this is 160 to 180 feet of slightly and C-207. micaceous, moderately hard gray siltstone through which the tunnels were driven. This material varies in character across the site being more shaly in some areas and more massive in others. This has led to variations in the description of this material between the two extremes of siltstone and shale. Xray analysis and the appearance of most of the core tends to support a classification as siltstone however the bedding characteristics in some locations suggest a shale. generally referred to as a siltstone since this seems to represent the majority of the material. Within the tunnels, bedding is generally massive except for thin to thick (0.1 foot to 2.4 feet thick) beds of hard, light gray, very fine grained, quartzose sandstone and sandy siltstone. These were confined mainly to the middle one-third of the tunnels. They were all hard and continuous to discontinuous. At most they constitute about 8% of the tunnel excavation.

The upper contact of the siltstone facies is transitional with the Cawood Sandstone member becoming interbedded and commonly cross-bedded, variably silty, micaceous, and carbonaceous, moderately hard to hard, fine to medium grained, well cemented, light gray to tan with occasional carbonaceous or shaly zones. The sandstone forms low cliffs on the flanks of Ivy Hill about 140 feet above the crown of the tunnels. These cliffs are the source of the abundant large blocks of sandstone which are found in the colluvium at the base of the slopes.

5.2.4 Bedrock Structure. Bedding is nearly horizontal, with dips ranging between 0 and 7 degrees to the southwest. Bedding thickness varies across the site being more shaly in some areas and more massive in others. Within the tunnels, bedding is generally massive except for thin to thick beds of hard, light gray, very fine grained, quartzose sandstone and sandy siltstone. These were confined mainly to the middle one-third of the tunnels.

Joints spacing ranges from very closely spaced to extremely widely spaced and are usually extremely widely spaced. Most joints are generally planar to slightly curved, of moderate to high continuity, tight, clean, slightly rough to smooth, not healed, and dry. Joints located near the original ground surface are sometimes stained or contain a moderately thin filling of silty clay, and show water seepage. Zones of curved

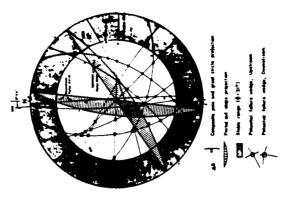
joints, up to 2½ feet thick, occur in the portal areas and along the Hwy 38 road cut. These zones are composed of very closely spaced, parallel, tight joints with a moderately thin, very soft, residual silty-clay filling. The joint surfaces are smooth, not healed, and commonly show water seepage. The strike of these features is often nearly parallel to the adjacent river channel and the dip is high angle, curved, and irregular. They are difficult to project downward because they often step riverward along bedding planes.

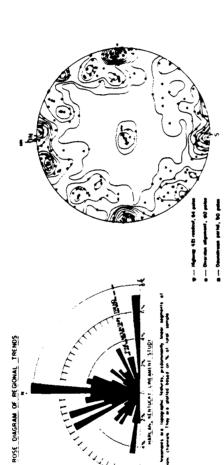
Occurrance of prominent joints in the tunnels is confined to an area within about 100 feet of either portal, relatively near the original ground surface. These are typically of moderate continuity, tight to wide open, smooth to slightly rough, not healed, and stained. There was no evidence of faulting in any of the tunnel or portal excavations. Graphical representation of discontinuity data is included in Section 5.3.

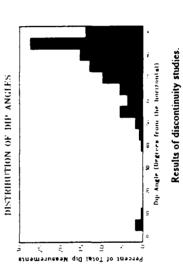
- 5.2.5 Bedrock Weathering. At the overburden/bedrock contact and along near surface discontinuities the bedrock is highly weathered to decomposed with the siltstone reduced to a soft soil with relict rock texture (residuum). Depth of weathering varies but is usually limited to less than ten feet below top of rock.
- 5.2.6 Groundwater. Within the Harlan project area groundwater occurs in both the alluvial soils and in the bedrock. The groundwater in the alluvium is at or slightly above the adjacent river level. The permeability of the bedrock is low and the occurrance of groundwater is more dependent upon fracturing of the rock. For this reason well yields are extremely variable.

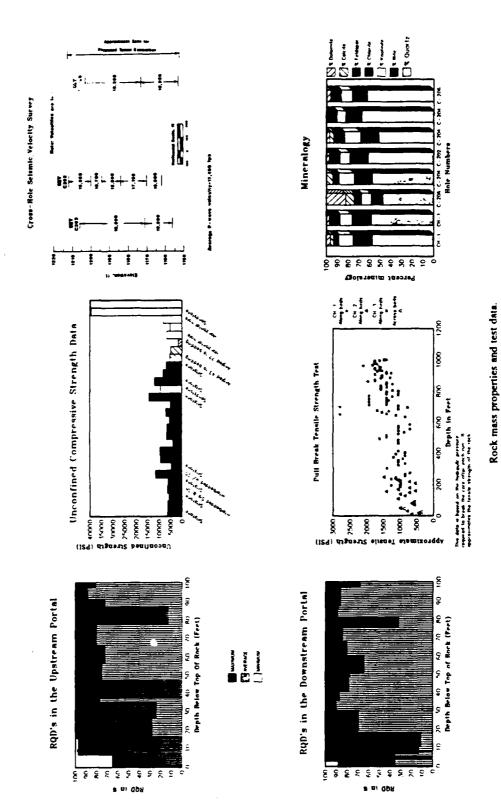
Observation well C-204 located on Ivy Hill near tunnel 4 was monitored throughout the tunnel construction contract. Water levels in this well remained stable throughout construction. A graph of water levels is included in Appendix I, PLATE I-23.

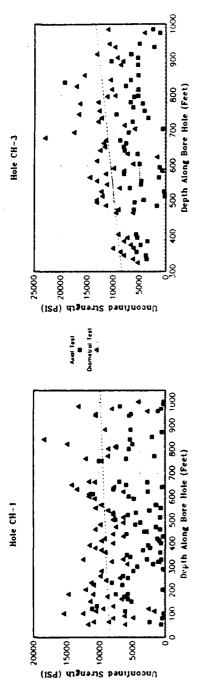
5.3 Engineering Characteristics Foundation Materials. Engineering characteristics of the foundation rock are presented on pages 20 through 24. These graphs and tables were taken from Feature Design Memorandum No. 3. Complete data from testing conducted during the project design phase are presented in the Harlan Diversion Project Feature Design Memorandum No. 3, Engineering Features and in the construction specifications for the Harlan Diversion Project, Appendices A and B. Design of the diversion tunnels and characteristics of foundation materials was the subject of an article by Marvin Simmons and John Stanton, published in the Bulletin of the Association of Engineering Geologists, No. 1, 1991.



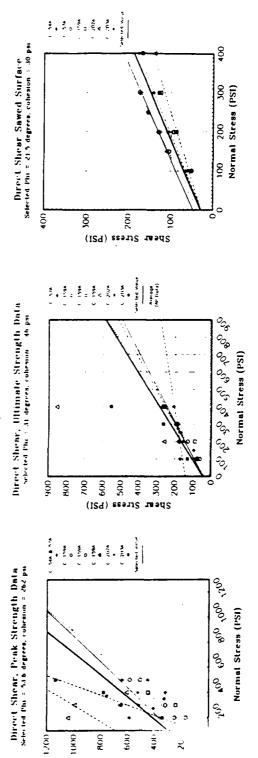








Point load test data from horizontal borings, performed with an SBEL model PLT-10. The lines shown are a best fit linear regression analysis. Unconfined strength is based on the point load index multiplied by 24.



Shear Stress (PSI)

Direct shear strength test data.

Rock properties and triaxial strength test data.

Sample Sumplessive Of Elasticity Poisson's Strength Surget Strength All Poisson's Strength All Opin All Opi				Unconfined	Modulus		Triaxial Compressive	Tensile	Orientation of Loading	.mit
Silvatore 1,424 6,250 0,710 0,250 90 90 90 90 90 90 90	Hole I	N.	Sample	Compressive	of Elasticity	Poisson's	Strength	Strength	to Bedding	n eight
Siltetone 1,424.9 6,250 0.710 0.230 9 Shally Smeletone 1,424.1 5,620 0.677 0,239 9 Shally Smeletone 1,386.2 1,280 3,150 0,146 9 Shally Smeletone 1,384.3 18,700 3,150 0,146 9 90 Siltstone 1,291.3 1,870 1,177 0,241 7 9 90 Siltstone 1,290.3 4,520 1,077 0,209 1,000 90 42 Siltstone 1,197.1 3,200 1,077 0,209 42 42 Siltstone 1,197.1 4,600 6,410 7 40 42 Siltstone 1,197.2 9,280 6,410 7 42 90 Siltstone 1,187.2 9,280 6,410 7 1,250 90 Siltstone 1,187.3 4,200 6,410 7 1,250 90 Siltstone 1,187.3 6,	Number	Material	Elevation	Strength	x 10°psi	Katio		psi	(degrees)	., ੰਦਾ fr
Siltetone 1,424.1 5,620 0,677 0,259 90 Shuly Sandstone 1,384.2 1,870 3,1127 0,1183 90 Shuly Sandstone 1,384.3 18,070 3,191 0,146 90 Shilstone 1,384.3 18,070 3,191 0,146 90 Shilstone 1,290.3 4,550 1,077 0,209 42 Silstone 1,187.4 4,550 2,200 42 Silstone 1,187.4 4,600 42 Silstone 1,187.4 4,600 42 Silstone 1,187.4 4,600 6,410 1,750 90 Silstone 1,187.5 9,430 6,410 1,750 90 Silstone 1,187.5 9,500 6,529 0,186 90 Silstone 1,187.5 9,500 6,529 0,186 90 Silstone 1,183.7 1,183.0 4,511 0,255 90 Silstone 1,187.5 <	C-54A	Siltstone	1,424.9	6,250	0.710	0.250			8	.68.7
Shaly Sandstone 1.389.3 8,620 1.127 0.183 90 Shaly Sandstone 1.384.9 18,620 1.1580 0.146 90 Siltstone 1.291.5 6,290 0.772 0.241 90 Siltstone 1.290.6 6,290 1.077 0.209 90 Siltstone 1.199.0 5.200 1.077 0.209 42 Siltstone 1.197.4 4,500 1.077 42 Siltstone 1.197.4 4,600 42 Siltstone 1.197.4 4,600 42 Siltstone 1.197.4 4,600 42 Siltstone 1.197.4 4,600 42 Siltstone 1.187.4 9,200 6,410 1,660 Siltstone 1.187.5 9,430 6,410 1,660 90 Siltstone 1.187.7 1,26.2 0,186 1,750 90 Siltstone 1.187.7 1,28.0 4,511 0,252 0,186	C-54A	Siltstone	1,424.1	5,620	0.677	6.259			8	167.3
Shaly Sandstone 1386.2 12.580 3.150 0.146 90 Siltstone 1.394.9 18,700 5.191 0.146 90 Shale & Siltstone 1.290.6 6,290 1.007 0.209 9 Siltstone 1.290.6 6,290 1.007 0.209 42 Siltstone 1.187.4 3.200 4.20 42 Siltstone 1.187.4 4,660 42 Siltstone 1.187.4 4,600 42 Siltstone 1.187.2 8,120 6,410 90 Siltstone 1.187.2 8,120 6,410 90 Siltstone 1.168.2 14,250 6,529 0,186 90 Siltstone 1.168.2 14,250 6,529 0,186 90 Siltstone 1.166.3 6,520 0,186 90 90 Siltstone 1.166.4 6,680 6,529 0,186 90 Siltstone 1.166.4 6,680 6,529	C-54A	Shaly Sandstone	1,389.3	8,620	1.127	0.183			8	163.3
Sindstone 1344.9 18,070 5.191 0.146 Silistone 1,291.5 6,290 0,772 0,241 90 Silistone 1,200.3 4,950 1,007 0,209 42 Silistone 1,177.3 30 190 42 Silistone 1,177.3 4,600 42 42 Silistone 1,197.4 4,600 42 42 Silistone 1,197.4 4,600 42 90 Silistone 1,187.4 9,280 6,410 7 90 Silistone 1,187.4 9,500 6,529 0,186 7 90 Silistone 1,165.7 9,500 6,529 0,186 7 90 Silistone 1,165.7 6,520 4,511 0,205 9 90 Silistone 1,165.7 6,520 4,511 0,205 9 9 Silistone 1,164.4 6,680 4,511 0,205 9 9	C-54A	Shaly Sandstone	1,386.2	12,580	3.150	0.146			8	163.2
Siltstone 1,291.5 6,290 0,772 0,241 90 Siltstone 1,290.6 6,290 1,007 0,209 42 Siltstone 1,197.0 5,200 1,007 0,209 42 Siltstone 1,197.4 4,950 6,410 42 42 Siltstone 1,194.7 4,600 6,410 42 42 Siltstone 1,194.7 4,600 6,410 1,060 90 Siltstone 1,197.3 8,120 6,410 7 90 Siltstone 1,197.3 9,500 8,120 90 90 Siltstone 1,186.2 14,250 6,529 0,186 1,750 90 Siltstone 1,165.7 6,520 3,180 4,511 0,255 90 Siltstone 1,164.4 6,680 4,511 0,255 38 1,800 90 Siltstone 1,104.4 6,680 4,511 0,255 38 1,800 90	C-54A	Sandstone	1,384.9	18,070	5.191	0.146			8	159.5
Shale & Silistone 1,290,6 6,290 1,007 0,209 90 1. Silistone 1,190,0 5,200 1,007 9,290 42 2. Silistone 1,197,1 37 400 42 2. Silistone 1,195,1 4,600 6410 42 2. Silistone 1,195,1 4,600 6410 90 2. Silistone 1,187,4 9,280 6410 1,060 90 2. Silistone 1,187,2 9,430 6410 1,750 90 3. Silistone 1,186,2 1,250 90 90 4. Silistone 1,165,7 6,520 0.186 90 5. Silistone 1,164,4 6,680 4,511 0.255 38 1,800 90 5. Silistone 1,104,8 <	C-57	Siltstone	1,291.5	6,290	0.772	0.241			8	167.3
5 Siltstone 1,200.3 4,950 42 5 Siltstone 1,195.1 37 400 42 5 Siltstone 1,195.1 37 400 42 2 Siltstone 1,195.1 37 400 42 2 Siltstone 1,194.7 4,600 6,410 90 2 Siltstone 1,187.4 9,200 6,410 90 3 Siltstone 1,180.2 8,120 6,410 90 4 Siltstone 1,180.2 9,500 6,410 90 5 Siltstone 1,165.7 9,500 6,529 0,186 90 6 Siltstone 1,165.3 6,520 0,186 90 90 5 Siltstone 1,165.3 6,520 0,186 90 90 5 Siltstone 1,165.3 6,520 0,186 90 90 5 Siltstone 1,165.4 6,680 4,511 0,255 90 90 5 Siltstone 1,106.8 5,200 4,511 0,255 90 <td>C-57</td> <td>Shale & Siltstone</td> <td>1,290.6</td> <td>6,290</td> <td>1.007</td> <td>0.209</td> <td></td> <td></td> <td>8</td> <td>167.3</td>	C-57	Shale & Siltstone	1,290.6	6,290	1.007	0.209			8	167.3
5 Siltstone 1,199.0 5,200 39 190 5 Siltstone 1,187.4 37 400 2 Siltstone 1,195.1 4,600 1,060 2 Siltstone 1,187.4 9,280 1,060 2 Siltstone 1,187.4 9,280 6,410 1,060 3 Siltstone 1,187.2 8,120 6,410 1,1750 4 Siltstone 1,178.2 9,500 6,529 0,186 1,750 5 Siltstone 1,165.9 3,750 6,520 1,186 1,750 5 Siltstone 1,165.3 6,520 0,186 1,750 1,750 5 Siltstone 1,165.3 6,520 0,186 3 1,800 5 Siltstone 1,165.4 6,520 0,186 3 1,800 5 Siltstone 1,165.4 6,680 4,511 0,255 38 1,800 5 Siltstone 1,208.9 5,260 1,600 5,20 1,800 5,30 5 Siltstone 1,198.8 8,130 </td <td>C-200</td> <td>Siltstone</td> <td>1,200.3</td> <td>4,950</td> <td></td> <td></td> <td></td> <td></td> <td>42</td> <td></td>	C-200	Siltstone	1,200.3	4,950					42	
5 Silustone 1,187.4 39 190 2 Silustone 1,177.5 400 1,060 2 Silustone 1,187.4 9,280 1,060 2 Silustone 1,187.4 9,280 6,410 1,060 2 Silustone 1,187.2 8,120 6,410 1,750 3 Silustone 1,187.2 9,500 6,529 0,186 1,750 4 Silustone 1,168.2 1,250 6,520 0,186 1,750 5 Silustone 1,165.7 6,520 6,520 3,760 1,750 5 Silustone 1,165.3 6,520 4,511 0,255 38 1,800 5 Silustone 1,165.4 6,680 4,511 0,255 38 1,800 5 Silustone 1,208.6 1,600 5,260 5,260 5,260 5,260 5 Silustone 1,208.9 8,130 6,500 8,130 8,130 5 Silustone 1,208.9 8,130 8,130 8,30 5 Silustone 1	C-200	Siltstone	1,199.0	5,200					42	
Siltstone 1,177.5 400 2 Siltstone 1,195.1 4,600 2 Siltstone 1,194.7 4,600 1,060 2 Siltstone 1,187.4 9,280 6,410 1,060 3 Siltstone 1,180.2 8,120 6,410 1,150 4 Siltstone 1,169.3 6,520 0,186 1,150 5 Siltstone 1,165.7 6,520 0,186 1,800 5 Siltstone 1,109.2 1,600 1,600 1,600 5 Siltstone 1,208.6 1,600 5,260 1,600 1,100 5 Siltstone 1,208.8 <t< td=""><td>C-200</td><td>Siltstone</td><td>1,187.4</td><td></td><td></td><td></td><td></td><td></td><td>42</td><td></td></t<>	C-200	Siltstone	1,187.4						42	
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	CH-3	Siltstone	1,168.7						0	

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Hole Number	Material	Sample Elevation	(degree	C psi	(degrees	C psi	¢ (degrees	C psi	(degrees)	C ps
C-54A	Siltstone	1,424.5	58	165						
C-54A	Shaly Sandstone	1,383.9					30	5		
C-55A	Shaly Sandstone	1,383.2	69	197	29	27				
C-55A	Shaly Sandstone	1,383.0					35	22		
C-55A	Shaly Sandstone	1,373.3							31	4
C-55A	Shaly Sandstone	1,373.1							26	12
C-57	Silusione & Shale	1,282.5	65	190	26	45				
C-57	Siltstone	1,281.1					26	30		
C-57	Siltstone	1,279.4					28	17		
C-159A	Siltstone	1,197.2	45	37	29	2				
C-159A	Siltstone	1,184.3	53	40	30	16				
C-159A	Siltstone	1,178.6							21	12
C-159A	Siltstone	1,179.5	50	35	33	0				
C-202A	Siltstone	1,179.4							19	18
C-202A	Siltstone	1,166.4	36	36	22	3				
C-202A	Siltstone	1,160.6			17	92				
C-202A	Siltstone	1,156.4	23	109	14	31				
C-203A	Siltstone	1,341.3	72	35	32	0				
C-203A	Siltstone	1,203.0	40	115	26	35				
C-203A	Siltstone	1,194.2	69	80						

6. Downstream Portals

6.1 Excavation Grades - Design and As-Built.

6.1.1 Overburden. Overburden slopes were designed to be 1V:1H along the left side slope and above the tunnel portals, and 1V:2H along the right side slope, as shown on the general plan of the downstream portal, PLATE B-1. During construction, slides developed on the 1V:1H overburden slopes, some of them extending back to joint faces in highly weathered rock. Treatment consisted of laying back the slope to 1V:1½H in overburden, or to the joint faces which had been exposed in rock. As a result, some of the overburden slopes were flatter or set back farther into the hillside than originally planned. An increase in excavation quantities resulted. Design and asbuilt cross-sections are included in Appendix B.

6.1.2 Rock. All finished rock slopes were presplit. The specifications allowed a one foot offset between lifts and limited lift depth to 30 feet. Approval was given to the contractor's request to offset slopes three feet between lifts to accommodate drilling equipment, as shown on PLATE B-8.

A ten-feet-wide bench was to be constructed at the top of rock. To establish the bench, a 2V:1H slope was presplit from top of rock down to bench level. Below this bench, rock slopes were designed to be 4V:1H and vertical. Because of low rock at the

bench level and continuing development of slides in the 1V:1H slopes, the bench at top of rock was set back 15 feet into the hillside. A new 1V:1H backslope was laid out. Rock was encountered at approximately elevation 1290. A 2V:1H presplit slope was developed from approximately 1290 to 1270. This allowed final slopes to be constructed in harder, less weathered rock. An extra bench was created and the design bench was lowered and widened.

Overbreakage resulted in areas where joints occurred behind the presplit surfaces. The rock was often too fractured to bolt effectively. In such areas loose blocks were removed and joint faces, rather than presplit faces, form the finished slope.

Below elevation 1230, blasting caused the rock to shift along smooth, nearly horizontal bedding planes and high angle joints which crossed the outside corners. Displaced rock was either pinned with additional rock bolts, or removed. Because of this, in some areas the final excavated surfaces were below and behind design lines.

PLATE B-10 illustrates plan and as-built slope configurations as well as overburden/soft-rock/hard-rock contacts as determined from contractors survey data, exploratory pits, and drill holes.

6.2 <u>Dewatering Provisions.</u> Groundwater entering the downstream portal excavation was limited to small seeps occurring primarily at the overburden/rock contact and along bedding planes and joints. The total amount of groundwater seepage was negligible compared to surface runoff.

Control of surface water runoff was necessary to prevent flooding of the work area during periods of rainfall. An intermittent stream entered the area above the tunnel 1 portal. A shotcrete dam was constructed to divert the stream into an 18-inch diameter corrugated polyethylene pipe which carried it down the slope and away from the work area. Water which collected in the work area was processed through a water treatment plant to remove suspended solids, and then pumped into Clover Fork.

6.3 Overburden Excavation.

- 6.3.1 <u>Dates.</u> Surveyors began layout at the downstream portal area during the last week of September 1989. Overburden excavation began in November 1989 and continued in conjunction with rock excavation until July 1990.
- 6.3.2 Methods and Equipment. Excavation began at the top of the portal area. Two D-8 Caterpillar dozers and a Komatsu PC150LC backhoe cut the 1V:1H slope and moved the material to an existing bench at approximately elevation 1215. Large sandstone boulders which were uncovered during overburden removal were blasted so they could be loaded and hauled to

Downstream Portals.

disposal. A John Deere 844 and a Fiat Allis 745H rubber-tired endloader loaded material into 16 cubic yard capacity highway dump trucks which transported it to disposal area L-11 by way of Route 72 and US 119.

6.3.3 Construction Summary, Problems, and Treatment. The general treatment of the portal slopes included installation of rock anchors on an 8 by 8 feet pattern, strip drains, and a four-inches-thick, welded-wire-fabric reinforced, shotcrete covering.

Occasionally, shotcreting of the 1V:1H backslope was delayed beyond contract specified time limits, for two reasons, (1) slides required repositioning of finish slopes and (2) design slopes were dependent upon an estimated top of rock line. When rock was encountered lower than anticipated, benches and backslopes had to be lowered or set back into the hillside. Both of these conditions were unpredictable and required that shotcrete application be delayed so that benches and slopes could be adjusted as the excavation progressed. For these reasons overburden slopes were not shotcreted.

In December 1989, excavation of the 1V:1H backslope began along the left portal slope between stations 7+00 and 9+80. A slide began developing along the top of this 1V:1H slope, between station 8+25 and 8+75 on 15 December, 1989. Tension cracks developed 15 feet upslope from the top at the 1V:1H cut, accompanied by bulging and cracking in the overburden and weathered rock portion of the slope. The material was removed and the slope stabilized by laying it back to 1V:1½H. The slope was warped into the steeper 1V:1H slopes on either side. Removing the slide and laying back the slope moved the top of the cut uphill and outside project limits, requiring the acquisition of additional property.

During January 1990, slides continued to develop on 1V:1H backslopes. Two slides located along the left side slope from station 8+10 to 8+60 and from 9+30 to 9+75 occurred along joint planes which trend N15°W, 60° SW; nearly parallel to the cut slope. Seepage along the overburden/siltstone contact and along the joint planes saturated the overburden and contributed to slope failure. Treatment consisted of removing slide material back to the joint face using a D-8 dozer and backhoe.

Slides also developed along the 1V:1H backslope above tunnels 2 and 3. Cracks appeared in the ground surface above the top of the cut-slope, accompanied by cracking and outward movement in the weathered siltstone along a nearly horizontal bedding plane. After heavy rains on 10 and 11 February 1990, an overburden slide occurred from station 7+50 to 8+00 on the left side slope. Saturated soil continued to slide along a joint face which intersected the cut slope. Cracking in the overburden extended 13 feet upslope of the clearing line. A backhoe was used to remove slide material back to the joint face.

Downstream Portals.

6.3.4 <u>Disposition of Materials.</u> Most of the overburden excavated from the downstream portal area was hauled to disposal area L-11. In December 1989, a small amount was used as fill at the Corps of Engineers office site.

6.4 Rock Excavation.

- $\underline{6.4.1}$ Dates. Rock excavation began at the downstream portal in January 1990 and was completed on 14 September 1990.
- 6.4.2 Methods and Equipment. Blasting began at the top of rock, about elevation 1290 at its highest point, and continued to the finished grade near elevation 1155. Ingersoll Rand ECM 350 air-track drills with 750 CFM air compressors were used to drill blast holes. A Gardner-Denver RDC 16 rotary drill was used briefly, during May 1990, to drill 5%-inch diameter blast holes for ten production blasts. A Caterpillar D-8 dozer pushed material to a John Deere 844 and a Fiat Allis 745H endloader for loading into trucks which transported it to the disposal area.
- 6.4.3 Blasting. All finished rock slope surfaces were presplit. Presplit drilling and blasting began in February 1990 on the 2V:1H slope from top of rock down to bench level, approximately elevation 1290 to 1270. During March 1990, blasting continued with development of the 4V:1H presplit slope and production blasting from approximately elevation 1270 to 1245. In April blasting continued on the right side slope along Baseline "A", along the backslope above the tunnel portals, and along the outside corners of tunnels 1 and 2. Details of initial presplit (PS) and production (Prod) blasting are shown on the next page.

Type	Dia.	Spacing	Depth	Stem	Powder 11	os/delay
PS	2½-3"	36"	15-30'	2-4'	Detagel, Iresplit	
					200 grain Primacon	rđ
Prod	4 "	5x5,6x6,	8-15'	6-7'	ANFO, Iremite	40-65
		7 x 7				

Presplit blasts were detonated with electric blasting caps. Production blasts were detonated with the Nonel system. The first presplit shot across the face of tunnel 1 was loaded with 200-grain detonating cord only and failed to produce good breakage. The holes were cleaned out and reloaded with detonating cord and Detagel which produced satisfactory results.

Excavation continued on the downstream portals to approximately elevation 1200 during May 1990. This included presplitting adjacent to tunnels 1, 3, and 4. Production blasting continued in front of tunnel 2. Experimentation with different materials and techniques were conducted in an effort to reduce blast damage to the outside corners. Additional vertical rock bolts and pattern rock bolts were installed before adjacent blasts

were fired. Despite the precautions that were taken, some damage did occur and this resulted in final excavated surfaces that were below and behind design lines.

Presplit (one 30-feet lift) and production blasting (two 15-feet lifts) continued from elevation 1230 to 1200 in front of tunnels 3 and 4. The left side slope, along baseline C from station 11+00 to 11+66, was presplit in one lift from elevation 1215 to 1186. Details of typical blasts are shown below.

Experimentation with different presplit materials, hole spacing, and deck loading was done in an effort to reduce blast damage to the outside corners of the downstream portals. To reinforce the corners, ten and twenty feet long vertical rock bolts were installed on the outside corners of tunnels 1 and 3 Pattern rock bolts were installed in prior to blasting. vertical faces before adjacent blasting. Installation of anchor bars, welded wire fabric, and shotcrete continued within the time restraints set forth in the specifications. Despite the precautions damage occurred as rock shifted along smooth, nearly horizontal bedding planes and high angle joints which cross the outside corners. Displaced rock was secured with additional rock bolts, or removed. Blast damage to shotcrete also became a problem. A production blast in front of tunnel 3 from elevation 1215 to 1200 resulted in shifting of the tunnel 2 corner along a joint and bedding planes and damaged shotcrete on the face of tunnel 2.

The Contractor employed Dr. James Mahar, a rock mechanics consultant, to evaluate conditions at the downstream portal. Dr. Mahar presented his findings and recommendations at a meeting held at the Pineville Area Office on 1 June 1990. The recommendations included the installation of 40-feet long, vertical, stressed anchors on two-feet centers around the corners and two feet inside the presplit lines. Extra length rock bolts installed normal to joints which cut across the corners were also recommended. It was suggested that the blasting plan be re-evaluated and modified if necessary.

Presplitting the remaining 45 feet in one single lift was discussed, this being a way of preserving more of the rock corner by eliminating a horizontal offset which would be required if two separate presplit shots were made.

A modified version of Dr. Mahar's plan was used. Additional bolts up to 40 feet long were installed on each side of the corners, angled to intersect and anchor behind cross-cutting joint planes. Vertical bolts up to 40 feet long were installed from the elevation 1200 level, on four-feet-centers around the

outside corners. The layout of additional bolts is shown on PLATES B-14 and B-15.

The blasting plan was revised to reduce the overall size of the blasts and reduce presplit hole spacing to 18 inches. Presplitting from elevation 1200 to 1155 was completed in one lift. Other details of the revised blasting plan were:

Type	Dia.	Spacing	Depth	Stem	Powder	
PS	3"	18"	45'	4 '	Detagel	and Unigel
Prod	3½	7'x 7'	15'	6 '	ANFO	

Blasting resumed on 19 June below elevation 1200 with much improved results. Excavation was completed to invert level by 26 June. Installation of pattern rock bolts, drains, and shotcrete was completed around the portal corners. Blasted rock was pushed back up to the portal faces to a level four feet below springline to form an access ramp and work platform for construction equipment. The two roadheaders were moved into position in front of tunnels 1 and 2 with excavation of the top headings scheduled to begin in early September 1990.

- 6.4.4 Disposition of Excavated Materials. Initially rock excavated from the downstream portal was placed in disposal area L-11. Beginning in February 1990 the material was hauled to the proposed site for the new Harlan High School. Blasted rock was also used as fill in the Highway 72 bridge abutment approach ramps.
- 6.5 Foundation Preparation and Clean-up. Slopes were cleaned using compressed air and water, and hand tools. Water was used sparingly on the more weathered siltstone surfaces. Scaling of loose rock from the surface slopes was accomplished with the excavating equipment as the cut was brought down. The contractor proposed to use high pressure water sprayers (greencutting), rather than sandblasting, to remove latency and rebound material which accumulated at the bottom of the slope during shotcrete application. This method was used with good results. Cleaning of the cold-joint was further improved upon by placing two-by-eight- feet plywood sheets along the bottom of the slopes being shotcreted. The plywood reduced the accumulation of rebound material and kept the exposed bottom edge of the welded wire reinforcement cleaner. About 15 minutes after shotcrete application, the plywood was removed and the joint area was green-cut. This procedure worked very well and green-cutting, instead of sandblasting, was approved for continued use.
- 6.6 Rock Reinforcement. Downstream portal slopes were reinforced with rock dowels and rock bolts as shown on PLATES B-11 through B-15. Both rock dowels and rock bolts were epoxy coated No. 7, grade 60, fully threaded steel bars manufactured by the Dywidag Company.

Rock dowels were installed on ten feet spacing along the more weathered, upper portion, of the rock slopes. Dowels were grouted with Conbextra-S non-shrink grout and were not stressed. Dowels were installed within 24 hours of exposure of the excavated face.

Pattern rock bolts, were installed on a 10 by 10 feet staggered pattern. Additional bolts, up to 25 feet in length, were installed as necessary. Rock bolts were anchored with Fosroc Lokset resin cartridges and stressed to 30 kips. Bolts were installed within 48 hours of exposure of the excavated face. The resin manufacturer's data indicated that with %-inch bolts and 1%-inch holes, the 1%-inch resin cartridges would "yield", or encapsulate, 16 inches of bolt per 12-inch cartridge including a 15% loss which was considered to be typical. In practice, however, a 12-inch cartridge would yield only about 12 inches of bolt encapsulation. At first it was suspected that the resin loss was occurring as resin was forced into cracks in the rock. While that may have been true in some instances, the difference was attributed primarily to variations in hole diameter. Measurements with a hole gauge indicated holes to be the correct 1%-inch diameter at the bottom of the hole, with the diameter increasing slightly toward the collar. This lower than anticipated yield was obvious from the beginning, when resin failed to show at the rock surface as a bolt was inserted. Nine 12-inch cartridges were required for each ten feet long bolt. For longer bolts, the number of resin cartridges was increased accordingly.

6.6.1 Rock Bolt Testing. Specifications required two types of rock bolt testing, pull-out tests and load cells. Two pull-out tests were conducted at the upstream portal area early in the bolting program to check the strength of the anchorage system and insure the adequacy of bolting procedures. Three load cells were installed on downstream portal surface bolts to monitor long-term performance. For both types of tests, only the fast-set anchor zone resin cartridges were used. Results of the pull-out tests are shown below. Load cells are discussed in Section 6.9.

6.6.1.1 Pull-Out Tests. Test bolts were to be stressed to 1½ times the yield strength of the bolt, or to failure, whichever was less. The yield strength of a No. 7 grade 60 bolt is 36 kips, therefore the maximum test load was to be 54 kips. In the first two tests elastic deformation of the bolts occurred before failure of the anchorage. Since the ultimate strength of this type of bolt is 52½ kips, the maximum load applied to the remaining three test bolts at the upstream portal was reduced to 42 kips. The tensioning jack was calibrated against a load cell before each test. Both tests were conducted in hard, unweathered, siltstone using ten feet long, No. 7, grade 60 bolts. Bolt elongation was measured, where recorded, using a hand held scale. Drill hole diameters were 1½-inches. Resin cartridges were 1½-inches in 61 meter by 12 inches long. Mr. Gary Greenfield of the Fosroc/Celtite

Company was on site to observe the first two pull-out tests. Test results are tabulated below.

Test <u>No.</u>	Bond* Length	Stress* Length	Jack PSI	Load (Kips)	Strai (Ins)	n Remarks
S-1	36-in.	76-in.	1300	10		Elastic deform-
			2800	20		ation began at
			4100	30	0.47	50 kips, testing
			5600	40	0.59	discontinued
			7000	50		
S-2	36-in.	76-in.	1300	10		Elastic deform-
			2800	20		ation began at
			4100	30	0.30	47.5 kips, test-
			5600	40	0.40	ing discontinued
			7000	50		

*Bond and stress lengths are calculated based on the observed yield of 12 inches of bolt encapsulation per 12-inch resin cartridge.

Rock bolt pull-out test results confirmed the adequacy of the 36-inch bond zone used for all surface bolts. All surface bolts were tensioned to 30 kips. Three more rock bolt pull-out tests were made at the upstream portal. Details of these tests are included in Section 7.6.1 of this report.

6.7 Rock Protection. Even though SDI test results were in the 97% to 99% range, some deterioration occurs when this siltstone is subjected to repeated wetting and drying cycles, over a period of a few months. A four-inch minimum thickness of welded-wire-fabric reinforced wet-mix shotcrete was applied within 96 hours of exposure of the excavated face to protect against slaking and weathering.

6.7.1 Shotcrete Mix Design. All shotcrete was the wet-mix type. A water reducing admixture was added to a mix having a one-inch slump. The resulting mix had a slump of about 2%-inches measured at the hopper.

The typical shotcrete mix used on surface slopes was:

Mix SG-6

Cement 850 lbs. AEA 2 oz. (air-entraining admixture)
Sand 1715 lbs. WRA 51 oz. (water-reducing admixture)
Stone 1010 lbs. Water 302 lbs.

Accelerator was added at the nozzle as conditions required.

6.8 Drainage Provisions. Drain holes were drilled and slotted PVC pipes installed on a 12-by-12 feet pattern before shotcrete was applied. Drain holes were 15 feet deep and angled 5° upward. Strip drains were installed as necessary.

Downstream Portals.

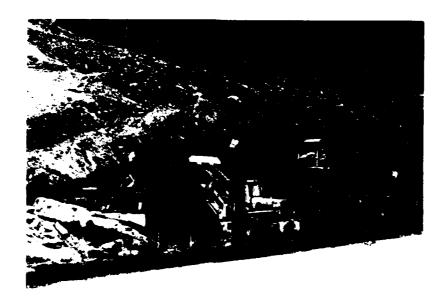
Strip drains were Hitek 6, a 10-inch wide by %-inch thick geocomposite drain, described as a cuspated high density polyethylene core wrapped in a geotextile filter sleeve, produced by Burcan Industries.

6.9 Instrumentation. Three load cells were installed on downstream portal slopes. Two load cells, L1DS and L2DS, were installed near the downstream portals of tunnels 2 and 3 respectively, on 12 July 1990. These first two installations were observed by Mr. Bill Jewsberry of Slope Indicator Company, the load cell manufacturer. A third load cell L3DS was installed on 14 August 1990. Load cells were monitored daily for the first 60 days after installation and while excavation was within 80 feet, and weekly thereafter for the duration of all tunnel excavation.

The contractor was required to give immediate notification if a load cell reading was five kips greater than the previous reading. Load cells 1, 2, and 3 were installed with a 20 to 25 kip lock-off load, and showed total increases of only about four kips during the monitoring period. Graphs of load cell readings are included in Appendix I, PLATES I-24 through I-31.

- 6.10 Foundation Approval and Mapping. Immediately after exposure, portal slopes were inspected by a Corps of Engineers geologist, at which time additional scaling and rock bolting was directed as necessary. Foundation approval was given after final clean-up, before shotcrete was applied. Maps of the downstream portal slopes are included on PLATES B-16 through B-20.
- 6.11 Possible Future Problems and Recommended Observations. On 23 January 1992 cracks were first noticed in the shotcreted backslope above the tunnel 3 corner, from about elevation 1260 to 1240. Study of geologic maps and construction photographs indicated the cracking occurred along a smooth, high-angle joint face. The cracked shotcrete showed about five inches displacement, which was apparently caused by a buildup of water pressure due to frozen drain holes. The location of the electrical substation, which supplied power to the tunnels, blocked access by shotcrete equipment. preliminary fix, the slope was reinforced with 15 additional rock dowels in May 1992. The area became accessible for completion of the remedial work in October 1992. Laborers used jackhammers to remove cracked shotcrete. Inspection of the underlying rock surface indicated that the shotcrete had cracked and separated from the rock surface along the smooth joint face, and no rock movement was involved. The rock surface had remained mostly unweathered and fresh. Additional drains were installed and new shotcrete applied.

Similar shotcrete cracking can be expected in the future. Repair may be dangerous and difficult because of the steepness of the slopes and limited access.



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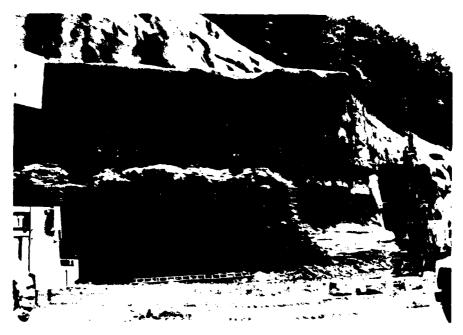
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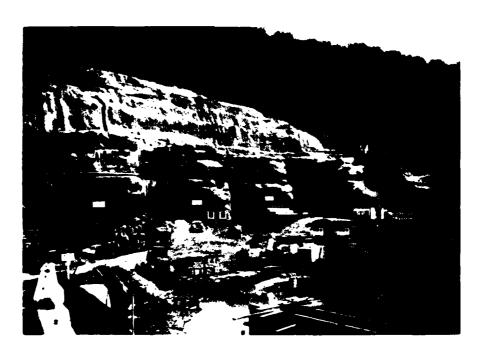
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Immed Logener, from elevation 12% to 12% Connel conterline and elevation 1270 are painted on tace. Note loss of corner back to the joint tace. May 180



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Analysis 1991

7. Upstream Portals.

7.1 Excavation Grades - Design and As-Built. The contractor's surveyor developed a stationing system whereby cross-sections could be made perpendicular to the vertical backslope. All layout and mapping above elevation 1210 utilized this stationing system. Baseline locations are shown on PLATE C-1.

Baseline A = Station 3+20.5 Baseline B = Station 2+00 Baseline C = Station 0+79.5

7.1.1 Overburden. Overburden slopes were designed and constructed at 1V:1H, as shown on the general plan of the upstream portal, PLATE C-1. Because of changes to the underlying rock slopes, the overburden slope extended slightly higher up-hill than originally planned.

7.1.2 Rock.

7.1.2.1 Backslope. The slope was designed to be 2V:1H in weathered rock. A 10-feet-wide bench was to be created where the top of rock line intersected the vertical slope near elevation 1270. Below this bench the vertical slope extended down to tunnel invert at elevation 1168.

Seven air-track holes were drilled to determine the elevation of harder rock along the vertical portal backslope. Harder rock was found to be about 10 feet below the design bench elevation. Based upon these findings, the upstream portal design was changed in the following ways:

- Vertical slope remained in design location, but lowered approximately 10 feet, to begin in harder rock.
- The bench, where the vertical slope intersected the hard rock surface, was widened from 10 to 15 feet.
- 3. 2V:1H slopes were created in hard siltstone and the overlying sandstone, upslope from the 15-ft. bench.
- 4. On 2V:1H slopes, a 10-feet-wide bench was created at the top of each lift.
- 5. In continuing development of the vertical face which extended down to tunnel invert level, vertical presplit lines were offset three feet at the top of each lift.

Lowering the bench to begin in less weathered rock was, in large part, a safety consideration. The intent was to reduce the chances of slope failures and rock fall from the top of the vertical slope, which would eventually be about 100 feet directly above the working surface. These changes increased the amount of clearing and excavation above the portals, and increased the tunnel lengths by about three feet. Design and as-built cross-sections are shown on PLATE C-10.

- 7.1.2.2 Side Slopes. Side slopes were designed and constructed on 4V:1H.
- 7.1.2.3 Nosings. Three nosings project from the backslope to channel water flow into the tunnels. Each nosing is about 34 feet wide at the back, 20 feet wide at the tip, and 130 feet long. Nosings are about 42 feet high with nearly vertical sides. Nosings consist of rock left in placed during portal excavation, protected by a covering of shotcrete or concrete. The concrete portion of nosing 2 also supports the Highway 38 bridge. Nosing sections and details are included on PLATES C-11 through C-13.
 - 7.2 Dewatering Provisions. Groundwater entering the upstream portal excavation was limited to small seeps occurring at the overburden/rock contact and along bedding planes and joints. The total amount of groundwater seepage was negligible compared to surface runoff.

As the excavation progressed downward below the level of existing Highway 38, about elevation 1200, control of surface runoff became more difficult. Water collected in the portal area during periods of rainfall. Ponded water interfered with work activities, including instrumentation readings, since the muddy water could not be disposed of in the river. After waiting for the suspended solids to settle out, the water was pumped into Clover Fork. Eventually, as the tunnels top headings were completed, water was piped through a tunnel to the downstream portal area and processed through the water treatment plant, before being discharged into Clover Fork.

- 7.3 Overburden Excavation. Initial clearing of the upstream portal area was done in January and February 1990. Additional clearing which was required because of changes to the rock slopes was done in May 1990. Much of the overburden was removed in conjunction with adjacent Highway 38 excavation which began in March and was completed by the end of July 1990.
- 7.3.1 Disposition of Excavated Material. Overburden materials from the upstream portal area were placed in the H-2 disposal area.
- 7.4 Rock Excavation. Rock excavation began at the upstream portal on 28 June 1990 and was completed on 4 April 1991. Blasting began at the top of the slope in sandstone at about elevation 1321, and continued down to tunnel invert level at elevation 1168. Ingersoll Rand ECM 350 air-track drills with 750 CFM air compressors were used to drill blast holes.

Above elevation 1210, blasting methods were similar to those used on the Highway 38 road-cut on either side of the portal. Below elevation 1210, more controlled blasting techniques were used to minimize blast damage to the portal nosings.

7.4.1 <u>Disposition of Excavated Materials.</u> A Caterpillar 977L endloader loaded shot rock directly onto Euclid R50 dump trucks. The material was hauled to the H-2 disposal area, stockpiled for later use as embankment fill, or used to backfill areas in the Highway 38 subgrade which were over-excavated to remove unsuitable material.

7.4.2 Blasting Above Elevation 1230.

7.4.2.1 Presplit Blasting. In developing the upstream portal back slope, the angled presplit holes ran approximately parallel to the existing ground surface only a short distance away. Firing the presplit line in a separate operation before the production blast disturbed the remaining rock and made it difficult to drill the production blast holes. If production holes were drilled before the presplit shot, holes were cut off and could not be loaded. For these reasons, the presplit and production blasts were usually fired in one operation, separated by millisecond delays.

Presplit holes were 2%-inches in diameter, drilled on 36-inch centers, with a maximum depth of 30 feet. A typical presplit blast contained 30 holes. To keep blast vibrations down, a maximum of 15 holes were fired per delay period, with a 17-millisecond surface delay between groups of holes. Holes were loaded with Detagel, primed with detonating cord, and initiated with an instantaneous electric blasting cap. Using four feet of crushed gravel stemming, this resulted in 100.9 pounds of explosives per delay period.

The condition of the final slopes formed by presplit blasting was generally good. Back break occurred in areas where joints intersected the rock face at an angle of about 15° or less. Several blocks fell out from the face leaving overhangs which were removed or bolted.

7.4.2.2 Production Blasting. Production holes were 3½ and 4-inches in diameter, drilled on a six-by-six, seven-by-seven, or eight-by-eight feet square pattern, with a maximum depth of 30 feet. The number of holes per shot varied. Holes drilled adjacent to the presplit lines were shortened so that they would be no closer than six feet to the presplit line at the bottom of the hole. Holes were loaded with Iremite and ANFO with one pound cast primers and non-electric caps. Holes were stemmed with six to seven feet of crushed gravel. Powder factors ranged from 1.2 to 1.7 pounds per cubic yard. To keep blast vibrations down, the amount of explosives was limited to less than 85 pounds per delay period. Seismographs were set up for all blasts to monitor vibration levels at nearby structures.

7.4.2.3 Excavation Summary, Problems, and Treatment. Blasting exposed joints of very high continuity which intersected the portal backslope at a 15° angle, and dipped toward the open excavation (N 40°E, 75°SE). Zones, up

Upstream Portals.

to 2.4 feet thick, of very closely spaced (approximately %-inch) joints were noted. These are shown as joints number 15, 16, and 20 on the geologic map of the upstream portal slopes, Plate C-10. The joints are coated with very soft, residual silty clay, are slightly rough to smooth and are not healed.

A revised bolting plan, developed with the assistance of District Engineering and Construction Divisions, was implemented to provide additional support for rock prone to spalling and down-dip gravity sliding along joint faces. Bolt spacing was reduced from ten-by-ten feet to five-by-five feet, and bolts were angled 5° above horizontal. Bolts were lengthened as necessary, up to a maximum 25 feet length, to provide anchorage about five feet behind the joint planes. Asbuilt bolt locations are shown on PLATES C-14 and C-15.

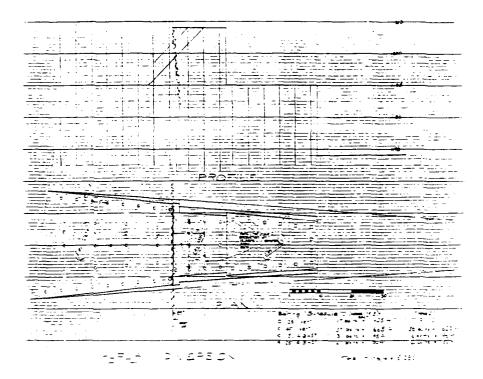
Joint mapping and projection of strike identified advantages for the use of longer bolts between stations 0+60 and 1+00, elevations 1237 and 1242. 15-feet long bolts were installed to anchor three to five behind the joint plane. Joint filling caused difficulty for resin insertion, clogging the drill hole and requiring repeated reaming to clear the hole. Drilling holes without water produced better results.

Although no actual damage to the shotcrete was observed during blasting, several shotcrete undercuts developed behind template. One occurred near station 1+85 in an area where fallouts had occurred during previous excavation. Other fallouts were noted at stations 1+00, 2+00 and 2+30. Short rock dowels were installed in each fallout area prior to shotcrete backfilling. A five-by-five feet pattern of bolts up to 25 feet long, was installed as in the previous lift.

7.4.3 Blasting Below Elevation 1230.

7.4.3.1 Nosing Reinforcement Prior to Blasting. Nosings were reinforced with vertical bolts ranging in length from 15 to 45 feet, anchored below tunnel invert level to elevation 1165-1163. Bolts were installed on five feet centers around the perimeter of the nosings, and on approximately sixby-ten feet spacing on interior surfaces of the nosings. Bolts were also installed on 45° angles to anchor behind high angle joints.

The bolt installation procedure required 2½-inch diameter drill holes with a five feet anchor zone at the base. A threaded coupling was used on bolts longer than 40 feet. After grout in the bond zone had cured, the remainder of the hole was filled with grout. Finally, the bolt was tensioned to 30 kips and the load locked off.



Reinforcement plan for upstream portal nosings.

7.4.3.2 Blasting Plan. Dr. Calvin Konya of Precision Blasting Services in Montville, Ohio was called in as a blasting consultant. Controlled blasting techniques were implemented to minimize damage to the upstream portal nosings. Blasting methods and results are summarized below.

7.4.3.3 Blasting Sequence. Presplit holes were drilled for the full 42 feet depth of the nosings and, after stemming the lower portion of these holes, presplit and production blasts were fired in two lifts of about 21 feet each. Following presplitting one, two, and three row production blasts were used to excavate rock between the nosings.

7.4.3.4 Presplit Blasting. Presplit holes were 2½- inches in diameter, drilled on 18-inch centers. Initially holes were loaded with eight sticks of ½-inch diameter by 12-inch long cartridges of "Red-E-Split A" taped onto 50-grain detonating cord and spaced 34 inches center-to-center. With two feet of stemming this produced a weight of 0.116 pounds of explosives per foot of hole. Because of excessive fly rock and heaving, loading was later reduced to 0.10 and eventually 0.07 pounds per foot by increasing spacing between sticks and leaving a four feet air space above the upper stick. Presplit holes were fired simultaneously with high precision blasting caps.

7.4.3.5 Production Blasting. Production holes were three-inches in diameter, drilled on a five-by-seven feet rectangular pattern, and 20 to 22 feet deep. Production holes

Upstream Portals.

were loaded with two-inch diameter Iremite E, and stemmed with about four feet of crushed stone. A row of buffer holes was used between the production holes and the finished slope. These were drilled on four feet centers parallel to, and three feet away from, the presplit wall. The buffer holes were 2½-inches in diameter and depths varied depending upon the slope of the adjacent presplit wall. Buffer holes were loaded with 1½-inch diameter Iremite E. Production shots were fired with non-electric caps and delayed to open near the center and fire progressively toward each side.

7.4.3.6 Problems and Solutions. Blasting and excavation was complicated by frequent movements of nosing blocks sideways along bedding planes and high angle joints. Extensive bolting and changes to the blasting plan resulted in some improvement.

As blasting began between nosing 1 and 2, lateral movement of the rock mass of nosing 1 occurred along a bedding plane at elevation 1190. The nosing tip rotated in an upstream direction and offsets as much as 1.4 feet were measured. The horizontal movement cut off presplit drill holes which had not been loaded and fired. Portions of the two-inch thick shotcrete "flash-coat" cracked during blasting and had to be removed.

The tip of nosing 2 also shifted during blasting. The displaced block contained very closely spaced joints which dipped 70 degrees toward the open excavation. Unlike the other two nosings, nosing 2 had no bench out in front at elevation 1190 which would serve to stabilize the displaced block. The disturbed rock was determined to be a safety hazard and a backhoe was used to remove it. About 120 cubic yards of additional concrete was required to replace it. Ten additional 20-feet-long bolts were installed in the end of the nosing between elevations 1200 and 1190.

Two smaller blasts of one row each were made in an attempt to reduce movements of adjacent nosings, without significant improvement. Blasting consultant Dr. Konya recommended returning to two row breakup shots between nosings, and this was done.

Two other changes were made to reduce movement of the nosings during blasting. To reduce bolt breakage, stressing of bolts was discontinued. Bolt angles were increased to 30° downward from horizontal to provide better anchorage across bedding planes. These changes may have helped slightly, but rock displacements continued to occur.

As excavation of the nosings progressed, it became apparent that the contract requirement of shotcreting the exposed rock surface within 96 hours would have to be waived. When shotcrete was applied before blasting on the opposite side of a nosing, shifting of the rock mass would crack the shotcrete

to the extent that it had to be removed and new shotcrete applied. For this reason, shotcrete was delayed until adjacent blasting was complete. To prevent weathering of the siltstone a "flash coat", an approximately one-inch-thick layer of protective shotcrete, was applied as soon as possible after exposure, to be followed by installation of rock anchors, welded wire fabric, and the full four-inch thickness of shotcrete at a later time. Blasting of the upstream nosings was completed in April 1991. The nosings were surveyed so that final trimming requirements could be determined. Trimming was necessary and the contract was modified to accomplish this. A hoe-ram was used to trim nosings to within required lines to allow clearance for concrete forms. To reduce trimming requirements, the position of nosing 1 was rotated one degree upstream from its design position. Nosings 2 and 3 were not changed.

- 7.5 Foundation Preparation and Clean-up. Loose rock was removed by a backhoe as the slopes were brought down. Final cleaning was by compressed air and water, and hand tools.
- 7.6 Rock Reinforcement. Pattern rock dowels and bolts were installed as at the downstream portals, except that horizontal spacing was decreased from 10 feet to 8.6 feet. The general bolting details are shown on Plate C-16. In some areas the bolting pattern was changed and longer bolts installed, as described in Section 7.6 of this report. Rock bolt materials were the same as used elsewhere on the project.

7.6.1 Rock Bolt Testing. Three rock bolts were pull tested to confirm the adequacy of the anchorage system. Test results are shown below.

Test No.	Bond Length	Stress Length	Jack PSI	Stress (Kips)	Strain (Ins)	Remark.
S- 3	36 in.	76 in.		10 20 30 40 42	0.10 0.22 0.40 0.81 1.15	No failure.
S-4	24 in.	88 in.		10 20 30 40 42	0.13 0.32 0.50 0.90 1.40	No failure.
S -5	26 in.	110 in.		10 20 30 40 42	0.25 0.38 0.52 0.78 0.94	No failure.

Bond and stress lengths are calculated based on the observed yield of 12 inches bolt encapsulation per 12-inch resin cartridge. No failures resulted with bond zones as short as 24 inches and loads as high as 42 kips. Pull-out tests confirmed the adequacy of the 36-inch bond zone used for surface bolts. All surface bolts were tensioned to 30 kips.

- 7.7 Rock Protection. As at the downstream portals, siltstone slopes were shotcreted to protect against weathering. The Cawood sandstone exposed at the top of the slope was not shotcreted.
- 7.8 Drainage Provisions. As at the downstream portal, slotted PVC pipe lined drain holes were installed 15 feet deep, angled 5° upward, on a 12-by-12 feet pattern. Strip drains were installed as needed to drain joints which intersected the slope face.
- 7.9 Instrumentation. Load cells L4US, L5US, and L16US were installed with a lock-off load of about 25 kips. L4US and L5US showed gradual increases and leveled off at 30 to 34 kips. L16US showed a gradual decrease and leveled off at 19 kips. Graphs of load cell readings are included in Appendix I, FLATES I-24 through I-31.
- 7.10 Foundation Approval and Mapping. Immediately after exposure, portal slopes were inspected and additional scaling and rock bolting was done if necessary. Slopes were mapped before wire mesh shotcrete reinforcement was installed. Foundation approval was given after final cleanup, immediately before shotcrete application. Geologic maps of the upstream portal slopes are included on PLATES C-19 through C-23.
- 7.11 Possible Future Problems and Recommended Observations. As has already occurred at the downstream portal, freezing of drain holes could lead to shotcrete cracking.



Upstream portal slope. Strip drains installed along joints, elevation 1275 to 1260. 31 July 1990



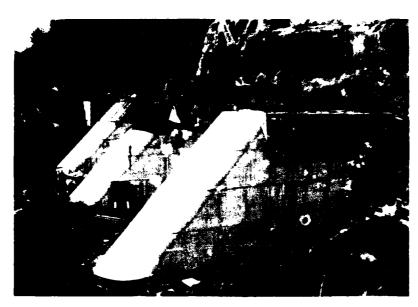
Top of nosing 1, elevation 1210, with vertical boits laid out. View southwest across upstream portal area. 13 November 1995



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8. Tunnels

8.1 Excavation Grades - Design and As-Built. Each of the four tunnels is an inverted "U" shape, 34 feet wide and 32 feet high. An over-excavation tolerance of six-inches outside the "B" line was allowed. When surveys indicated that an area had been undercut, it was trimmed with a roadheader. Overcut areas were filled with shotcrete to within the six-inch tolerance.

The tunnels range from 1836 to 2059 feet and average 1941.5 feet in length. Having a uniform grade of one foot vertically in 162.5 feet horizontally, the tunnels slope about 12 feet from upstream to downstream.

- <u>8.2 Overburden Excavation.</u> All overburden was removed during development of the tunnel portals.
- 8.3 Rock Excavation-Top Headings. To minimize disturbance of rock in the tunnel crown, specifications required that the top heading be excavated by a roadheader. The top heading consisted of the semi-circular area above the tunnel spring line and two feet below spring line for the Dosco roadheader, and four feet below spring line for the Paurat roadheader. The Dosco roadheader excavated a total of 32,841 cubic yards and the Paurat a total of 132,329 cubic yards of rock from the top headings of the tunnels.
- 8.3.1 Dates. Roadheader excavation of the top headings began on 4 September 1990 and was completed on 23 March 1992. The chart "Tunnel Excavation Methods and Dates" on page 65 summarizes the dates of all excavation activities in the tunnels.
- 8.3.2 Methods and Equipment. The tunnels were driven from the downstream end up slope to ensure that water would drain away from the face. An electrical substation to supply power to the roadheaders was established at about elevation 1230, on the backslope above tunnel 4.

The contractor selected the size and type of roadheader based upon rock test data provided in the specifications, roadheader manufacturers recommendations, production rates, and scheduling requirements among other factors. A 120-ton Paurat E-242-B and an 83-ton Dosco Mk3 roadheader were used for excavating the top heading and trimming the sides of the bottom headings. During the time that both roadheaders were in use excavating the top headings, September 1990 through August 1991, the larger Paurat outperformed the Dosco by about 2.7 to 1 in total cubic yards of rock excavated. Because of excessive downtime the Dosco roadheader was removed from top heading excavation 1490 feet into tunnel 2. The Paurat completed the remainder of the top headings, and the Dosco was used only in trimming the bottom headings to finish grade.

Roadheader specifications are shown on the following chart.

Ros	Roadheader Data						
Manufacturer	Dosco	Paurat					
Model No.	МКЗ	E 242B					
Weight	83 metric tons (91.5 short tons)	120 metric tons (132 short tons)					
Length	12.2 meters (40 ft)	16.6 meters (54.4 ft)					
Height (Main Body)	2.95 meters (9.7 ft)	3.94 meters (12.9 ft)					
Max. Cutting Height	6.1 meters (20 ft)	7.5 meters (24.6 ft)					
Utilized Cutting Height (Approx)	5.8 meters (19 ft)	6.4 meters (21 ft)					
Max. Cutting Width	7.2 meters (23.6 ft)	8.9 meters (29.2 ft)					
Cutter Motor Size	276 kW (370 hp)	300 kW (402 hp)					
Max. Torque at Cutting Head	49.6 kNm (36,586 ft lb)	132.4 kNm (97,653 ft lb)					

8.3.3 Roadheader Excavation Rates. In calculating roadheader excavation rates, if a roadheader was in use for any part of a day, it was considered as a full operating day with no adjustment for rock bolting time, shotcrete time, or equipment downtime. Actual excavation rates would be slightly higher than indicated.

8.3.3.1 <u>Dosco Excavation Rates.</u> The Dosco roadheader worked 207 days (4010 hours) during the period from 4 September 1990 to 15 August 1991, excavating a total of 32,841 cubic yards of rock.

32,841 CY / 4010 hours = 8.19 CY / hour

Assuming full utilization of the 370 horsepower cutter motor:

$$\frac{8.19 \text{ CY / hour}}{370 \text{ Hp}} = 0.022 \text{ CY / hour / hp}$$

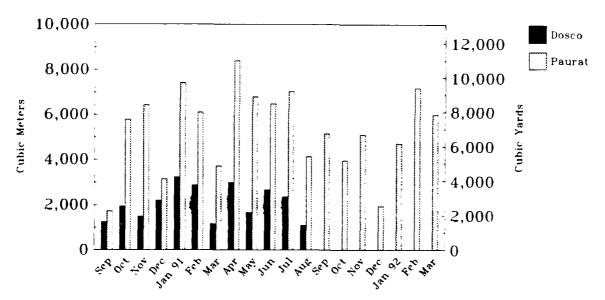
8.3.3.2 Paurat Excavation Rates. The Paurat roadheader worked 348 days (6820 hours) during the period from 4 September 1990 to 23 March 1992, excavating a total of 132,329 cubic yards of rock.

132,329 CY / 6820 hours = 19.40 CY / hour Assuming full utilization of the 402 horsepower cutter motor:

 $\frac{19.40 \text{ CY / hour}}{402 \text{ Hp}} = 0.048 \text{ CY / hour / hp}$

8.3.4 Roadheader Performance Graphs and Chart. Roadheader performance graphs, which illustrate daily and monthly excavation data, are shown below.

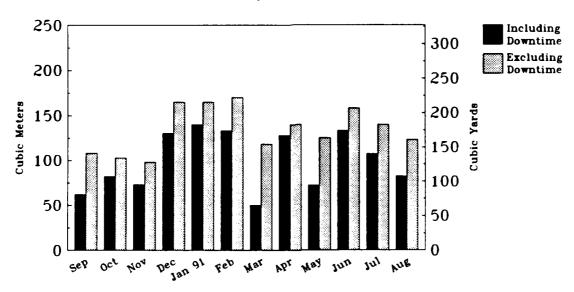
Roadheader Performance Monthly Excavation Top Headings



Started 2nd Shift 1 October 1990

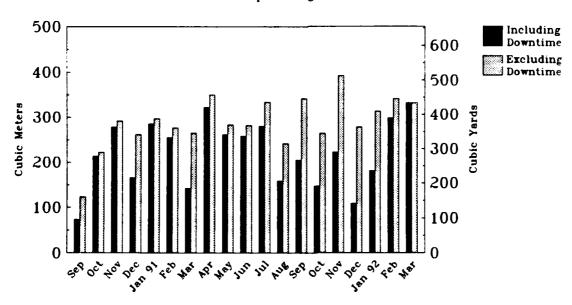
Completed Top Headings 23 March 1992

Dosco Roadheader
Average Daily Excavation
Top Headings



Started 2nd Shift 1 October 1990.

Paurat Roadheader
Average Daily Excavation
Top Headings



Started 2nd Shift I October 1990.

Completed Top Headings 23 March 1992

The total linear feet of tunnel and cubic yards excavated by each machine are shown on the following chart.

Roadheader Excavation Top Headings

	Dosco	Model N	1k3	Paurat Model E242B		
Month	Linear Feet	Cubic Yards	CY/hp	Linear Feet	Cubic Yards	CY/hp
Sept (90)	84	1,624	4.4	103	2,250	5.6
Oct	131	2,532	6.8	346	7,557	18.8
Nov	101	1,952	5.3	385	8,408	20.9
Dec	148	2,861	7.7	188	4,106	10.2
Jan (91)	218	4,214	11.4	444	9,697	24.1
Feb	194	3,750	10.1	366	7,993	19.9
Mar	78	1,508	4.1	222	4,848	12.1
Apr	201	3,885	10.5	503	10,986	27.3
May	112	2,165	5.9	407	8,889	22.1
Jun	199	3,847	10.4	388	8,474	21.1
Jul	159	3,073	8.3	421	9,195	22.9
Aug	74	1,430	3.9	247	5,394	13.4
Sep				308	6,727	16.7
Oct				237	5,176	12.9
Nov				306	6,683	16.6
Dec				117	2,555	6.4
Jan (92)				282	6,159	15.3
Feb				430	9,391	23.4
Mar				359	7,841	19.5
TOTALS	1,699	32,841		6,059	132,329	-

8.3.5 Pick Consumption. Most of the picks used were manufactured by Kennemetal, Inc. Experiments with a longer pick manufactured by American GTE, Inc. proved unsuccessful. While the longer pick cut more rock per operating hour, the added leverage with the longer pick caused damage to the bit block. In addition, the carbide cutting elements were frequently damaged. Any gains realized from use of the longer picks were offset by longer periods of downtime and fewer operating hours. The experiment was abandoned and the use of shorter picks resumed.

Picks located at the tip and back of the cutting head received the most wear and were the most frequently replaced. At times the contractor replaced completely worn out picks with used, but less worn, picks and this made pick usage rates difficult to determine. A pick usage rate, believed to be indicative of overall rates, was recorded in February 1992 when the Paurat roadheader used 301 picks in excavating 9,391 cubic yards (430 linear feet) of rock. This equals 31.2 cubic yards of rock excavated per pick.

It was anticipated that, when excavating through the beds of hard very-fine-grained sandstone, the roadheader operator would "sump-in" above and below the bed and then break off the hard layers. In practice this was not often done. To avoid having to back-up the roadheader, the operator cut "head-on" into the hard beds. This resulted in increased wear on the picks and increased the pick usage rates.

8.3.6 Construction Summary. The downstream portal face was prepared to begin roadheader excavation by painting the 17-feet radius of the B-line. Crown bolts, 16 feet long No. 8 bolts, were then installed as shown on Plate B-15. Following crown bolt installation, the typical sequence of operations for the top headings was:

- 1. Advance roadheader five feet to establish tunnel brow
- 2. Remove loose rock
- 3. Conduct initial profile and X-section mapping
- 4. Trim with roadheader if necessary
- 5. Remove loose rock
- 6. Install rock bolts
- 7. Install instrumentation, if required
- Conduct final profile and X-section mapping for "as-built" documentation
- 9. Wash rock surfaces
- 10. Perform geologic mapping
- 11. Apply shotcrete

The above procedure was repeated until 10 to 25 feet of heading and a safe working area had been established, after which time the heading was advanced in increments of about 25 feet.

Following the completion of the first 100 feet of top heading the ventilation, electric power, and lighting were installed.

The laser alignment system was also installed to provide line and grade control for roadheader operation.

The roadheader automatic guidance system was never operative on this project. Control of top heading excavation was maintained using five to seven lasers, mounted one foot inside the tunnel B-line, to assist with the manual operation of the roadheader.

Upon completion of the first 100 linear feet of tunnel, normal shift work was initiated for the various activities; two 10-hour production shifts per day. Normal hours of activity were from 0700 to 1730 hours for the day shift, and from 1900 to 0530 hours for the night shift, with scheduled maintenance between shifts.

The original excavation plan provided for roadheader excavation and rock bolt installation during both shifts, and the application of shotcrete during the day shift only. The general procedure which gradually evolved was to rock bolt once each day, at the end of the night shift or the beginning of the day shift. This met the contract criteria for installing bolts without post-tensioning, and also kept the roadheader operator under a bolted roof at all times.

8.3.7 <u>Disposition and Processing of Materials.</u>
Roadheader cuttings were disposed of at the L-11 disposal area and the new Harlan High School site, or were utilized as fill for the Hwy 72 bridge abutment, the Hwy 38 road subgrade, or the diversion embankment. The only processing necessary was moisture control, allowing the material to air dry after rainfall before continuing the placement of compacted fill.

8.4 Rock Excavation-Bottom Headings.

- <u>8.4.1 Dates.</u> Bottom heading excavation began on 13 April 1991 and was completed on 26 June 1992. The chart "Tunnel Excavation Methods and Dates" on page 65 summarizes the dates of all excavation activities in the tunnels.
- 8.4.2 Methods and Equipment. The contractor was allowed to choose the method of bottom heading excavation, subject to the approval of the Contracting Officer. To prevent blast damage to the downstream portal corners, the outermost few feet of each bottom heading was excavated with a roadheader. The remainder of the bottom heading was blasted. Ingersoll Rand ECM 350 air-track drills were used to drill the blast holes. A side-dump endloader loaded muck onto 16-cubic yard dump trucks for transport to stockpile or disposal areas, or directly to placement as fill in the diversion embankment.

About two feet of rock was left along the sidewalls which was later trimmed to final grade with a roadheader. Where necessary, the tunnel floors were also trimmed with a hoe ram or a roadheader.

Bottom heading construction normally followed this sequence:

- Excavate outermost few feet with roadheader
- 2. Drill and blast
- 3. Remove muck with end-loader and trucks
- 4. Trim sides with roadheader
- 5. Conduct initial cross-section survey
- 6. Complete additional trimming if necessary
- Conduct final cross-section surveys for "as-built" documentation
- 8. Wash rock surfaces
- 9. Complete geologic mapping
- 10. Apply shotcrete
- 11. Prepare invert for concrete slab placement (trim with roadheader or hoe ram if necessary, install reinforcement and anchor bars, place concrete)

8.4.3 Blasting. Bottom bench blasting began at the downstream end of a tunnel upon completion of the top heading. Test blasting was performed under the direction of Michael Muth of Blasting Consultants Inc., Lyndon, Kentucky. Results were evaluated to establish a general plan for all subsequent tunnel blasting operations. A total of about 100,000 cubic yards of rock were excavated by blasting in the bottom headings.

Blast holes were 2½-inches in diameter. Holes were drilled on a five-by-five feet square pattern with no hole closer than three feet to the finished excavation limit. Blast holes were 10 to 12 feet deep with 4½ to 5 feet of No. 8 crushed gravel stemming.

Wet holes were loaded with 2 by 16-inch Iremite 42 emulsion slurry cartridges. Dry holes were loaded with ANFO. Due to water remaining in the tunnel from construction of the top heading and to surface runoff entering the tunnels from the upstream portal areas, few blasts were dry enough to allow the use of ANFC.

Blast holes were primed with 2 x 8-inch cartridges of Iremite 42 cap-sensitive emulsion with a detonation velocity of 17,000 feet per second. Blast holes were charged with Primadet Nonel non-electric detonators. The shock tubes on the detonators were hooked up to a trunkline of 25 grain E-Cord and initiated with a non-electric device.

The contractor's original blasting plan submittal called for six row blasts. To allow for evaluation of blasting results, a test program was initiated. The first blasts contained only two rows, with blasts gradually increasing in size up to six rows. A seismograph was set up to monitor peak particle velocities at the closest house on Ivy Hill. The heaviest load used was a six row blast with 37 pounds of explosives per delay, which produced good breakage and registered a maximum peak particle velocity of only 0.18 inches per second measured 195 feet away.

With the good results obtained by the first nine blasts, the contractor requested approval to make larger blasts, up to ten rows into a muck pile. Blast No. 10 in tunnel 1 began another test program to show that this could be done without producing excessive vibration and damage to the tunnel walls. Recorded peak particle velocities are dependent upon the distance from the blast to the seismograph and the pounds of explosives per delay period. In designing the blasts, the goal was to keep velocities low by minimizing the pounds of explosives per delay period. To obtain comparable results a seismograph was set up at relatively constant distances, 45 to 65 feet, in tunnel 2.

Through the test program it was demonstrated that ten row blasts containing up to 42 pounds of explosives per delay period could be used without damage to the tunnels. The maximum peak particle velocity recorded was 2.95 inches per second at a distance of 55 feet from the blast. Seismograph readings indicated that the vertical vibration component was usually the largest for any given blast, while the transverse vibration was usually the smallest. There was no damage to shotcrete observed on any tunnel or surface exposures.

The remaining tunnel blasting plan used eight and nine rows of holes with a maximum of 36 pounds of explosives per delay period. Cubic yards per blast ranged from 450 to 533. Powder factors ranged from 1.01 to 1.08 pounds per cubic yard. After a good sequence of operation had been established, two or three blasts were fired each working day.

Depths of blast holes varied. In tunnel 1 blast holes were drilled to two feet above finish grade. The portion of tunnel 2 which was excavated by the Dosco roadheader, from station 10+48 to 25+38, was left four feet above finish grade because the contractor failed to take the Dosco's shallower cut into consideration and drilled blast holes the same depth as in tunnel 1. This left four feet of rock above invert level which was trimmed with a hoe ram. Tunnel 3 blast holes were drilled to two feet above finish grade. Tunnel 4 blast holes were drilled to finish grade to reduce final trimming requirements.

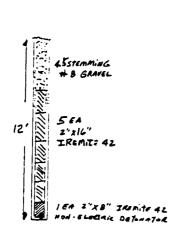
A typical tunnel blasting plan is shown on page 56.

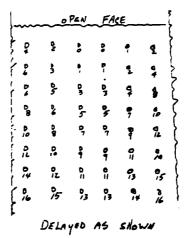
- 8.4.4 Disposition of Excavated Materials. All blasts larger than two rows produced good breakage and the shot rock was used as fill material for the Hwy 38 subgrade and the diversion embankment. Excess material was hauled to disposal area L-11 and the Harlan High School site.
- 8.5 Foundation Preparation. Foundation preparation in the tunnels consisted of removing loose rock, installing rock bolt reinforcement (top headings), and washing the rock surface immediately prior to shotcrete and floor slab application.

8.6 Rock Reinforcement.

8.6.1 Crown Bolts. Two rows of 16-feet-long crown bolts were installed from the downstream portal face, before roadheader excavation began. Upstream portal crown bolts were installed after roadheader trimming of the bell mouths. Crown bolts were No. 8, epoxy-coated, Dywidag bars grouted with Lokset resin. Crown bolt locations and details are shown on PLATE B-12

TUNNEL # 4	
	PROPOSED BLASTS
	BOTTOM HEADING
HOLE SIZE	2/2"
SPACING BURDEN	5' 5'
TOTAL DEPTH OF E DRILLED DEPTH	XCAUATION 12-14"
Sub . DRIII	NONE
STEMPIING HOLES PER BURST	4.5' #8 GRAVEL 98
HOLES PER DELAY	3 //.49
185 PER DELAY	34.3 550
CY PER BLAST	533
POWDER FACTUR	1.03





8.6.2 Rock Bolts. The tunnels top headings were reinforced with 12-feet long rock bolts installed on a six-by-six feet pattern (six feet between rows of bolts and six feet between bolts within each row) as shown on PLATE D-6. Holes were drilled and the bolts installed by a Tamrock auto rock bolt machine equipped with hydraulic hammer. The automatic resin cartridge insertion system on the rock bolt machine did not work as intended and resin cartridges had to be inserted manually by a laborer working from an elevated work platform. Resin cartridges were held in place in the nearly vertical holes by square plastic clips placed on each cartridge.

Bolt installation was normally accomplished within the time and distance limitations specified, within 20 feet of the advancing face and within 24 hours of exposure, so that stressing of the bolts was not necessary. Each row of seven roof bolts typically took about 30 minutes to install. Bolts which were installed outside these time and distance requirements were stressed to 22 kips, which approximately doubled the installation time.

8.6.2.1 Rock Bolt Testing. Specifications required two types of rock bolt testing. Pull-out tests were conducted early in the bolting program to check the strength of the anchorage system and insure the adequacy of bolting procedures. Load cells were installed to monitor long term performance on ten tunnel bolts. See Section 8.9.1, "Instrumentation", for discussion of load cells.

Five tunnel bolts were pull tested to a maximum load of 42 kips. The tensioning jack was calibrated against a load cell before each test. All tests were conducted in hard, unweathered, siltstone using twelve feet long, No. 7, grade 60 bolts. Bolt elongation was measured, where recorded, using a hand held scale. Drill hole diameters were 1%-inches. Only fast-set anchor zone cartridges were used. Two different sized resin cartridges were used: 1%-inches diameter by 12-inches long, and 1%-inches by 26-inches long. Bond and stress lengths shown below are calculated based on the observed yield of 12 inches of bolt encapsulation per 12-inch resin cartridge.

At the time of pull-out test T-3, on 30 November 1990, low temperatures had begun to cause excessively long gel times for the H-90 fast set resin. Some tunnel roof bolts actually slipped back out of the hole because the resin was not gelling quickly enough. Replacement bolts were installed at the contractor's expense. The contractor elected to change to H-35 fast set resin which has a much faster gel time. Test T-3 was intended to be the first bolt tested using the newly acquired H-35 resin. The bolt failed in the bond zone, pulling out 2.4 inches (the limit of the jack ram) with little resistance. After testing it was determined that some of the left over H-90 resin had been placed into an H-35 box. The resin had not gelled when pull tested five minutes after installation. Because of this error, test T3-A was conducted.

Tunnel Bolt Pull-Out Tests

Test No.	Bond Length	Stress Length	Jack PSI	Stress (Kips)	Strain (In.)	Remarks
. T-1	24 in.	88 in.		10 20 30 40 42		No failure.
T-2	12 in.	100 in.		10 20	0.40	Vert. roof bolt, failed at 20 kips due to lack of resin con- finement
T-3	26 in.	110 in.				Bond zone failed, resin not gelled
T-3A	26 in.	110 in.	1800 3050 4500 6000	10 20 30 40 42	0.10 0.20 0.30 0.50	Failed at 42 kips
T-4	26 in.	110 in.	1700 2900 4300 5900	10 20 30 42	0.05 0.15 0.30 0.55	No failure
T- 5	26 in.	110 in.	500 2050 2400 3300	10 20 22 30	0.13 0.25 0.30 0.50	Failed at 32 kips

The lowest legitimate pull-out force recorded occurred in test T-5, which failed at 32 kips with a 26-inch bond zone. Tunnel bolts were installed with a minimum 36-inch bond zone and none of the bolts which required stressing failed during stressing to the specified 22 kips. Most tunnel bolts were installed within specified time and distance requirements, so that stressing was not necessary.

8.7 Rock Protection. Shotcreting of finished tunnel surfaces was required within seven days of exposure. A remotely controlled Finn "Robocon" ES-1000, mobile shotcrete rig was used for shotcrete application on all tunnel rock surfaces. A four-inch minimum thickness of steel-fiber-reinforced shotcrete was applied, sometimes in one application, and sometimes in two separate applications. The shotcrete was cored at regular intervals for testing purposes, and these

cores usually indicated actual shotcrete thickness of five to six inches. Over-cut areas were backfilled with shotcrete to within the allowable six-inch tolerance. Shotcrete was usually scheduled to be applied once each week, early on Saturday morning, though roadheader downtime often allowed more frequent application.

The floor of the completed tunnels is protected by a minimum eight-inches-thick concrete slab. Due to over-trimming by the roadheaders and hoe rams, the slab ranges from eight-inches up to about two feet, and averages about one foot in thickness. The floor slab is reinforced with No. 5 reinforcing bars spaced on 18-inch centers. The slab is anchored by No. 6 bars grouted two-feet into rock and placed on seven feet spacing. No drain holes were installed. Details of floor slab reinforcement are shown on PLATE C-13.

8.7.1 Shotcrete Mix Designs. Different mix designs were developed for overhead and side-wall applications. The most significant difference was the use of microsilica in overhead locations. Tunnel shotcrete mix design work sheets are shown below.

Top Headings

roject: Harlan	Diversion Cont	eachoe: (icanne!	Ltn/Inclna	Unts: 9//8/3
	nix Benign 1000	tin . : TMG	20pp		in Constructi to Diversion
laterial	Source		s _t .	rellie Gravi	ty x 62.4
Cement	Disin		3.15	1 52.4 - 196	. 56
Fly Ash	Southeastorn		2.30	x 62.4 - 143	.52
Micro Silica	Elchem		7.40	<u> </u>	.76
Sand	Whitewater Agg	CAUNLAN'		<u>₹ 62.4 च 165</u>	
Sand (M(g.)	Rogers Group			<u> </u>	
Ston*	Hally & Hayenin			<i>€ 47.4 -</i> 169	
Steel Flber	Novemb		7,30	<u> </u>	.57
letecial	Weight per C.Y.	Solld v	o l mee	1 Mointure	Actual Weigh
Cement	800	4.	27		800
Fly Agii					
Micro Gilica	75	-	50		7.5
Sand Witerall	1700	10.1		570	1770
Stone	900	77.	7 1	2.70	8/0
Steel Ciber	109	- O.:	1.1		700
ALC(6 1)		16	2		_
Water (4.3gai)	340	3.	7-7-		2.50
Total	3 835	2.7.	, 3		3835
Idmisturen	Manufacturer			Break Data	1., 3801
AEA OR./CV				7-ilny	p#l
WILA DE./CV	1			DIETAY	pal
Oz./cvt			—⊢	ZH-HAY	Da L
UR./CV	E			Other	PAL
otrete Data	_ -				
Shoccrete Temp	aratura: Ala	Temperat		M/C Bal	10 0.45

Bottom Headings

		SHOTCRE	TE MIX DESIG	NS		
Project: Harle	n Divecsion	Co	intractor: Ge	AAAAti	to/Incisa	Data:/22/11
Design strengt	h:4000ps- Ml	x Design	No.:SFGE	Suppl		in construction te Division
Material	s	ource		S	pecific Gre	wity x 61.4
Cement	Des			126	5 :	15 x 62.4
Fly Ash					. 5-:	3 # 62.4
Micro Silica						x_62.4
Sand	(alii.)	· mates	17 ora properties		15 = 2	46 x 62.4
Stone	Nel	Var + Ma	14/6	1.	77 = 2	72 8 62.4
Steel fiber		~~~~		11.5	- 7	7 3 x 62.4
Meterial	Weight per	C. Y.	Solid Volu	-	Moisture	Actual Weight
Cement	750		3.82			
Fly Ash Micro Silica	100		.70	—∔		
Sand			<u> </u>			
Stone	1600		3 41			
Steel Fiber	100		0.22			
Air (G V)	120		1.62			·
Water (350a)			4.67			
Total	3942		27.15	\Rightarrow		
Admistures		Manufac	turer/Type		Test Pena	l No.
ABA Oz./	cur.	_				
WRA OR.		-		- i		
08./				—		
08.7				크		
Shotcrete Deta	- -				`	
Concrete Tes	perature:	Aic	Temperature		W/C Rat	ito:
Percent Coas			Slump:		ir Content:	

8.7.2 Shotcrete Problems. The fall-out of wet shotcrete from the tunnel crown presented a safety hazard and resulted in much wasted shotcrete. The contractor brought in several consultants in an effort to solve this problem. Changes suggested by representatives of product manufacturers and the American Concrete Institute did not produce significant improvement.

Shotcrete consultant Mr. Neil McAskill of HBT AGRA Limited in Burnaby, British Columbia visited the project to observe the entire shotcrete operation and try to determine the cause of shotcrete fallout. Tests indicated that the initial set was taking as long as one hour and 15 minutes. One of Mr. McAskill's suggestions was to change accelerators. The contractor switched from Accela-Set II Liquid to QSL-100. With QSL-100 the shotcrete began to take it 3 initial set in 10 to 15 minutes. Shotcrete was applied in two-inch layers, waiting about fifteen minutes between applications. These changes greatly reduced fall-out and produced a smoother finished shotcrete surface.

8.8 Water Occurrance and Drainage Provisions. Groundwater occurrence was limited to small seeps from joints and bedding planes, and to intermittent flows through fractures in response to rainfall. Most of the water encountered in the

tunnels was a by-product of construction activities - dust control sprays on the roadheaders and washing of rock surfaces before shotcrete application. Surface runoff entered the tunnels from the upstream portal area and was allowed to flow to the downstream portals where it was directed to a sediment pond. When necessary to comply with state regulations, water was treated to remove suspended solids before being pumped into Clover Fork.

Water pressure tests conducted during tunnel design showed little or no take in the tunnel zones. No significant groundwater flows were anticipated and none were encountered during construction.

- 8.9 Instrumentation. The Corps of Engineers installed eight multiple-position borehole extensometers (MPBX's) and an observation well (OW) on Ivy Hill above the tunnels before construction began. The contractor installed single-position borehole extensometers (SPBX's), tape extensometer (TX) anchor points, and load cells. Some of the instruments were grouped in the following arrays, at five locations in the first 1,000 feet of tunneling:
 - 1. Two rock bolt load cells (one in the crown and one in the haunch).
 - 2. Four TX anchor points (one at each side of the tunnel at spring line and two in the haunch). One of these arrays coincided with the location of MPBX-8. TX anchor points were also installed at the other MPBX locations, and at six other selected locations.
 - 3. Six SPBX's, two each in lengths of one foot, five feet, and ten feet (three in the crown and three in the haunch).

Instrument Array Locations

Array	Tunnel	Station	Array_	Tunnel	Station
A	1	10+77	J	3	22+11
В	1	13+67	K	3	11+36
C	1	15+98	${f L}$	2	26+52
D	2	11+26	M	4	13+82
E	1	18+68	N	4	15+97
F	2	15+33	0	4	19+23
G	1	25+55	P	4	23+96
H	1	9+95	Q	4	27+37
I	3	18+23	R	4	29+05

Arrays A through E were full instrumentation arrays with load cells, SPBX's, and five point TX's. Arrays F through R had 3-point TX's only. Tunnel instrumentation details are included in Appendix I.

8.9.1 Load Cells. Fifty-ton capacity electrical resistance strain gage type load cells, manufactured by Slope Indicator Company, were installed on ten tunnel bolts to

monitor long term performance of the bolt anchorage system and any increases in load which occurred because of volume changes in the rock surrounding the tunnels. Only the fast-set resin bond zone cartridges were used in load cell installations.

Load cells were read immediately after installation, daily for the first 60 days after installation and while tunnel excavation was within 80 feet of the load cell, and weekly thereafter for the duration of all tunnel excavation. Load cell bolts were locked off at loads ranging from about 1½ to 6 kips, the load created by tightening the nut with a pneumatic impact wrench. Tunnel load cells indicated cumulative increases up to about 1½ kips during their monitoring period. Upon completion of tunnel excavation, load cells were removed, the annular space filled with cement grout, and the area covered with shotcrete.

Most of the load cells performed very well for the life project. The major problem was with occasional wet connections which produced erroneously high readings.

8.9.2 Multiple-Position Borehole Extensometers. The Corps of Engineers installed eight multiple-position borehole extensometers, each having five anchor points, to monitor displacement during construction. Installations were as shown on PLATES I-1 and I-2.

The initial readings were made before tunnel excavation began. Reading frequency was daily when tunnel excavation was within 300 feet of the instrument, three times per shift (four times in a 24 hour period) when tunnel excavation was within 50 feet of the instrument, and weekly thereafter. Displacements, measured to the thousandth of an inch, were graphed to illustrate changes from the initial reading. The contractor was required to provide immediate notification if displacements exceeded the following:

Anchor		Displacement		
No.	@100%	@130%	@ 160%	@190%
6	0.247 in.	0.321 in.	0.395 in.	0.469 in.
5	0.217 in.	0.282 in.	0.347 in.	0.412 in.
4	0.177 in.	0.230 in.	0.283 in.	0.336 in.
3	0.125 in.	0.162 in.	0.200 in.	0.237 in.
2	0.090 in.	0.117 in.	0.144 in.	0.171 in.

The MPBX's however, showed very little movement throughout construction, and never exceeded the notification level. Readings generally remained stable as the tunnel headings advanced past them. Displacements ranging from +0.1 to -0.09 inches were indicated. The lowest anchors should have shown the greatest displacements, though this was not always the case. Questionable data is indicated when higher anchors show more displacement than lower ones, and in cases of negative displacement. MPBX graphs are included in Appendix I, PLATES I-12 through I-23. Monitoring of MPBX's was discontinued upon

completion of tunnel excavation. The above ground portions were dismantled in February 1992.

8.9.3 Single-Position Borehole Extensometers. In each array, two anchors were set at one foot, two at five feet, and two at ten feet depths. SPBX's were read immediately after installation, daily for the first 60 days and while excavation was within 80 feet of the instrument, and weekly thereafter for the duration of all tunnel excavation.

The contractor was required to provide immediate notification if displacements exceeded the following:

Anchor Displacement		Displacement	Displacement	Displacement	
Embedded @ 100%		@130%	@160%	@190%	
1 ft. 5 ft. 10 ft.	0.267 in. 0.217 in. 0.177 in.	0.347 in. 0.282 in. 0.230 in.	0.427 in. 0.347 in. 0.283 in.	0.507 in. 0.412 in.	

Generally the deeper anchors should have shown the greatest displacements, though this was not always true. The SPBX readings ranged from +0.125 to -0.05 inches and never exceeded the notification level. Repeatability of the readings varied by about 0.005 inches. Abrupt changes and negative deflections indicate readings of questionable accuracy. SPBX graphs are included in Appendix I, PLATES I-41 through I-48.

Even simple mechanical instruments are subject to providing questionable and erroneous readings. Review of the instrumentation graphs indicates that the SPBX readings were the most erratic. The fluctuations shown on these graphs probably reflect the degree of accuracy of the depth gauge used to measure these instruments.

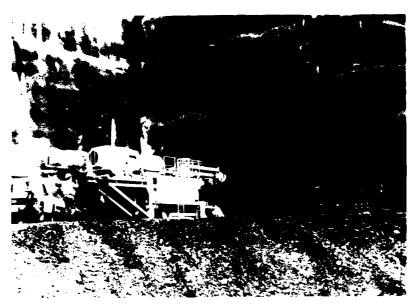
- 8.9.4 Tape Extensometers. Tape extensometers were read immediately after installation, weekly for the first 60 days and while excavation was within 80 feet, and as directed thereafter. Measured TX deflections generally ranged from +.025 to -.02 feet, with no discernable pattern to the changes. Tape extensometer graphs are included in Appendix I, PLATES I-32 through I-40. Tape extensometer anchors planned for installation on centerline in the crown of the tunnels were relocated, to provide clearance for the 60-inch diameter tunnel ventilation line.
- 8.10 Foundation Mapping and Approval Process. All tunnel surfaces were inspected and mapped by a Corps of Engineers geologist. Preliminary inspections were made immediately after exposure to determine if additional rock bolts and drain holes were needed. A geologist re-inspected and mapped the rock surfaces after they were washed and just prior to shotcrete application. Final foundation approval was given before shotcrete was applied. Mapping was as described by Engineer Technical Letter No. 1110-1-37, "Geologic Mapping of Tunnels

and Shafts by the Full Periphery Method." Mylar base maps were prepared in advance. Using a scale of one inch equals ten feet, each sheet represents 50 linear feet of tunnel. Tunnel maps are included in Appendix D.

8.11 Possible Future Problems and Recommended Observations. The only anticipated problem is the possibility of shotcrete cracking near the portals. Very little seepage was observed during construction. That which did occur was located along bedding planes and joints near the portal areas. Since these areas are more exposed to outside temperatures, some freezing and cracking of shotcrete could occur.

TUNNEL EXCAVATION METHODS AND DATES

Tunnel		Excavation	St	atio	on	Date	
No.	Heading	Method	From		To	From	To
1	Top	Paurat	9+80	-	30+39	9-04-90	3-19-91
	Bottom	Paurat Blasting Trimming w/Dosco	9+80 10+51 9+80	:	10+51 30+39 30+39	4-13-91 4-29-91 8-16-91	4-14-91 6-20-91 11-21-91
2	Top	Dosco Dosco Dosco Paurat	10+48 10+71 10+96 25+38	-	10+71 10+96 25+38 30+24	9-04-90 9-19-90 11-12-90 8-15-91	9-10-90 9-22-90 8-14-91 9-20-91
	Bottom	Paurat Blasting Trimming w/Dosco	10+48 11+75 11+75	-	11+75 30+24 30+24	9-26-91 10-02-91 11-21-91	10-01-91 12-02-91 2-27-92
3	Top	Dosco Dosco Paurat Paurat	11+18 11+59 12+20 14+16	- - -	11+59 12+20 14+16 30+13	9-25-90 10-17-90 4-02-91 4-15-91	10-04-90 10-26-90 4-13-91 8-08-91
	Bottom	Paurat Blasting Trimming w/Dosco	11+18 11+81 11+81	- - -	11+81 30+13 30+13	8-12-91 8-19-91 2-28-92	8-13-93 9-25-93 4-17-93
4	Top	Dosco Dosco Dosco Paurat	11+69 11+91 12+20 12+72	- - -	11+91 12+20 12+72 30+05	9-11-90 10-05-90 10-26-90 10-02-91	9-17-90 10-16-90 11-07-90 3-23-90
	Bottom	Paurat Blasting Trimming w/Paurat	11+69 11+83 11+69	-	11+83 30+05 30+05	3-31-92 4-01-92 5-04-92	3-31-93 5-01-93 6-26-93



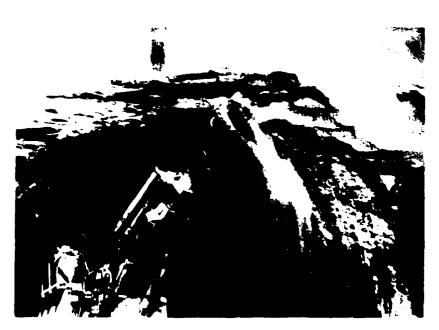
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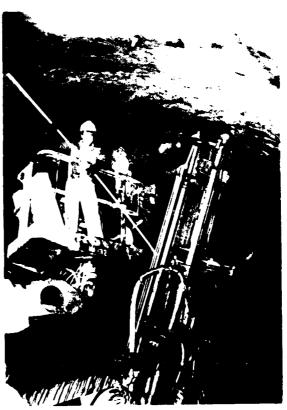


factor catheader cutting tunnel 1 bell mouth 20 March 1991



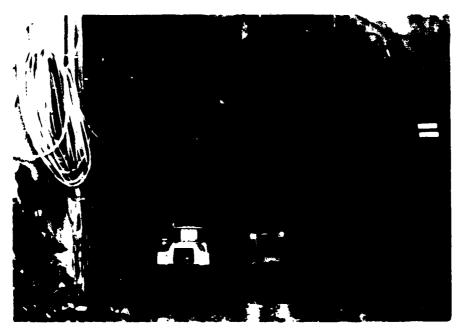
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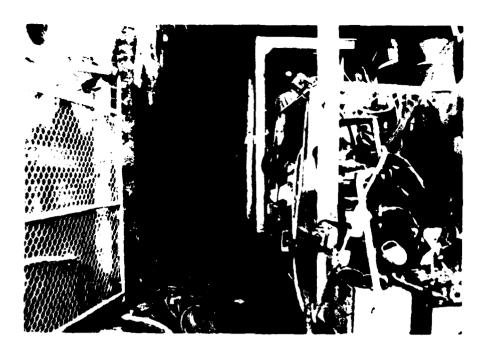




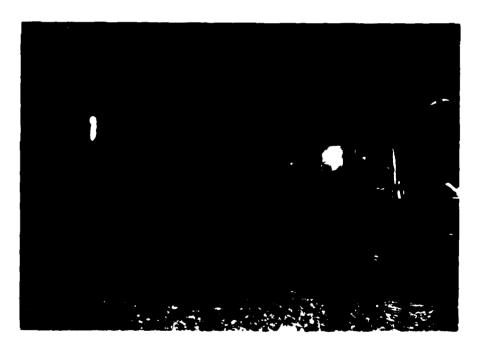
Muck pile after blasting in tunnel , status: May 1991

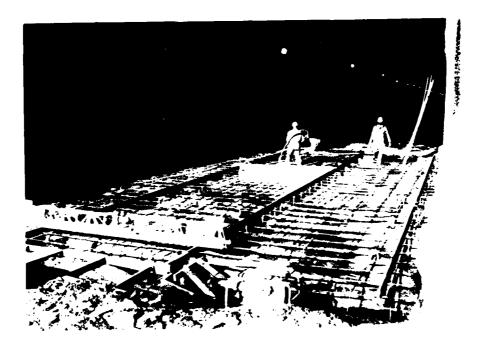


overs a simple Lader leading mark from blast line todaye. April 1992



View from behind Dosco roadheader, preparing to trim bottom heading in tunnel 1. August 199.





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9. Diversion Structure.

Located immediately adjacent to and downstream of the upstream portals, the diversion structure serves to divert flows of the Clover Fork from the natural river channel into the four tunnels. With a total length of about 710 feet, the structure is comprised of 580 feet of embankment with a slurry trench cutoff along it's upstream toe, 66 feet of concrete floodwall, and a 50-feet-long closure structure at the railroad crossing. Under a contract modification, a sheet pile seepage cut-off installed at the right abutment. This is described in section 9.2.8. Construction of the diversion embankment, slurry trench, floodwall and closure structure are discussed individually in this report.

The diversion structure was completed in two phases. The floodwall, railroad closure structure, and the embankment and slurry trench from station 7+25 to station 4+55 were constructed first, while the tunnels were being excavated. Phase 1 stopped at station 4+55 in order to maintain an eighty feet wide floodway along Clover Fork. Phase 2, from station 4+55 across the river channel, was constructed after Clover Fork had been diverted through the tunnels.

9.1 Embankment. Longitudinally, the crest elevation of the embankment is sloped, from elevation 1211.8 on the right abutment to elevation 1212.1 at the tie-in with the floodwall. With an average foundation elevation of 1182.0, the embankment has an average height of 30 feet. Embankment slopes are 1V:3H for both the upstream and downstream sides, and the crest width is ten feet. The embankment was constructed primarily of material from the tunnel excavation.

The embankment was made more seepage resistant by a covering of 40-mils-thick PolyFlex high density polyethylene geomembrane. Covering this geomembrane is a minimum two-feet-thick layer of fine (minus three-inch) tunnel cuttings and a one-foot-thick layer of topsoil. The geomembrane was anchored at the bottom of the slurry trench on the upstream side, extended up and over the embankment, and was buried in an anchor trench ten feet beyond the downstream toe of the embankment.

- 9.1.1 Excavation Grades. Specifications required at least one foot of stripping below original ground level in the flood plain, and at least three feet of excavation across the river channel. To remove unsuitable material, an additional 1½ to 4 feet of excavation was required in the flood plain, on the south side of the river.
- 9.1.2 Engineering Characteristics of Foundation Materials. The major portion of the embankment is founded upon floodplain deposits up to approximately 20 feet thick. The soils are mainly alluvial silts, sands, and gravels. The alluvium typically has a very loose to loose, silty sand

layer immediately below the surface that ranges from 3.0 to 10.4 feet in thickness, with an average thickness of 5.5 feet. Below this silty sand layer the soil grades to a sandy gravel which ranges from 7.0 to 9.7 feet in thickness. Below this gravel layer lies the siltstone bedrock. Preconstruction drive sample investigations recovered an average of 2.1 feet of siltstone before refusal was met. This is indicative of the depth and degree of weathering of the bedrock surface. PLATES E-5 and E-6 present crosssections through the embankment foundation area, and include boring logs.

During design, permeabilities of the embankment and foundation materials were assumed as shown below:

<u>Material</u>	Horizontal Permeability <u>cm/sec</u>	Vertical Permeability <u>cm/sec</u>
Embankment	1 x 10 ⁻¹	1×10^{-2}
Silts and Silty Sands	1 x 10 ⁻³	1 x 10 ⁻⁴
Gravel	1 x 10 ⁻²	1×10^{-3}

A soil-bentonite slurry trench cutoff, with a specified maximum permeability of 1 x 10^{-6} cm/second, was installed along the upstream toe of the embankment. Slurry trench construction is discussed in Section 9.2 of this report.

- 9.1.3 Drainage Provisions. An eight-inch diameter perforated polyethylene pipe was installed along the downstream toe of the embankment, sloping towards the existing channel. Mirafi polypropylene geotextile and Mirafi Miradrain 6000 geocomposite sheets were installed just beneath the impervious geomembrane on the downstream side of the embankment. The geotextile and geocomposite are tied into the downstream toe drain.
- 9.1.4 <u>Dewatering Requirements</u>. The ground water level was about the same as nearby Clover Fork, elevation 1172.5. This was about six feet below the deepest excavation for foundation preparation and dewatering was not necessary.
- 9.1.5 Embankment Phase 1 (Station 7+25 to 4+55) Construction Summary. The Harlan Gas Road was closed on 10 April 1991 to start construction of the first phase of the diversion embankment and associated structures. A water line relocation for the Black Mountain Utility District was completed on 3 May. Topsoil stripping and excavation of unsuitable foundation material began on 18 April. Foundation soils were compacted and about seven feet of embankment fill was placed in building up to the slurry trench working surface, about elevation 1184. Construction of the first

phase of the slurry trench started on 18 October and ended on 18 November 1991. Uninterrupted placement of embankment fill resumed on 15 January and the first phase of the embankment was complete by the end of March 1992.

Two relocated water lines owned by the Black Mountain. Utilities District extend beneath the diversion dam in an upstream to downstream direction, adjacent and parallel to the old Harlan Gas Road. Water line locations are shown on Plate E-12. The pipe trench was backfilled with two feet of #8 gravel and tunnel roadheader cuttings. Seepage cutoff was provided by a geomembrane boot installed around the pipes and welded to a surrounding geomembrane liner, and by the soilbentonite slurry trench located along the upstream toe of the embankment.

Topsoil was stripped using a D8K dozer. The cleaner topsoil was stockpiled nearby for later use on the embankment. The more contaminated material was hauled to the H-2 disposal area. Overexcavation was required to remove a 1½ to 4-feet thick layer of unsuitable organic material and trash across the entire embankment foundation area between Clover Fork and the Harlan Gas Road. This area was reportedly used as a city landfill in the past. After stripping the unsuitable material, the entire surface of the embankment foundation area was compacted by six passes of a 15-ton vibratory roller.

Rock excavated from the tunnels, having a maximum size of 12-inches, was used as fill for construction of the diversion embankment. Shot rock from the bottom heading of tunnel 1 was used for the first lift. Subsequent lifts utilized shot rock from tunnel 1 and roadheader cuttings from tunnels 2 and 3. Rockfill was spread into one-foot layers using a D8K dozer, then compacted by six passes of a 15-ton vibratory roller. Any oversize rock fragments were reduced to minus 12-inches as the material broke down under the leveling and compaction processes. Tunnel cuttings which could not be placed directly on the embankment were stockpiled for later

Up to eight feet of rockfill was placed in building up to elevation 1187-1189, the working level for slurry trench construction. The slurry trench working surface was completed in June, 1991. Fill placement was interrupted to allow construction of the first phase of the slurry trench and work on the railroad closure structure.

Uninterrupted placement and compaction of embankment fill resumed on 15 January, 1992 from the north side of the railroad closure structure to station 4+55. It was noted that roadheader cuttings pumped under equipment load if placed too wet, behaving more like soil than rock. The Corps of Engineers tested in-place embankment fill. Assuming a standard density of 167.0 lbs/ft³ (the density of siltstone),

compaction of about 82% was obtained with six passes of the vibratory roller. Optimum moisture content was about 5%. A test data sheet which indicates densities obtained with varying moisture contents and compactive efforts is included in Appendix E, PLATE E-11.

Moisture was controlled by placing only the drier Paurat roadheader cuttings directly on the embankment. The wetter Dosco cuttings were stockpiled. Rain caused delays in embankment fill placement and compaction.

Placement of fill between the railroad closure structure and embankment station 4+55 continued throughout February. By the end of March 1992, the first section of the diversion embankment had been topped out, except for final filling around the closure structure, and plating with topsoil was in progress. Construction of the remaining section of embankment across Clover Fork awaited completion of the slurry trench which, in turn, was dependent upon diversion of Clover Fork through the tunnels.

- 9.1.6 Embankment Phase 2 (Station 4+55 to 1+00) Construction Summary. Clover Fork was diverted through the tunnels on 21 September, 1992. The remainder of the embankment foundation was stripped, and up to six feet of weathered siltstone was removed in the Clover Fork channel, down to elevation 1162-1163. Embankment fill was then placed up to elevation 1186 to provide a working surface for completion of the slurry trench. Phase 2 of the slurry trench was constructed in October 1992. Embankment fill placement resumed immediately thereafter and was completed in December 1992.
- 9.1.7 Instrumentation. No instrumentation was installed in the diversion structure.
- 9.1.8 Possible Future Problems and Recommended Observations. Erosion of topsoil and fill could expose the geomembrane, leading to its deterioration. Vehicular traffic on the embankment would aggravate embankment erosion problems.
- 9.2 Slurry Trench. To provide a barrier to foundation seepage, a slurry trench cutoff was constructed along the upstream toe of the embankment. The trench extends downward from the base of the embankment and is embedded two feet into the siltstone bedrock. The trench has vertical sidewalls and is a minimum of 36-inches wide. An impervious germembrane liner was installed on the upstream side and the trench filled with soil-bentonite backfill. The total depth of the slurry trench is about twenty feet.

The slurry trench was constructed in two phases. Phase I began at station 7+25 near the railroad tracks and extended toward the river to station 4+55. Phase 1 stopped at station

4+55 in order to maintain an eighty-feet-wide floodway along Clover Fork. Phase 2, from station 4+55 across the river channel to station 1+65 was constructed after Clover Fork had been diverted through the tunnels.

- 9.2.1 Construction Equipment Phase 1. The first section of the trench was excavated by a track mounted Link Belt LS-5800 backhoe equipped with a 46-inch or a 36-inch wide bucket which was capable of excavating both the overburden and bedrock. This backhoe was also used to lower the geomembrane sheets into the trench. A Caterpillar D-8 dozer excavated backfill material from it's borrow site and a Caterpillar 950B rubber tired endloader hauled it from the borrow site to the backfill mixing area. A Dresser TD-15C dozer mixed the soil-bentonite backfill and pushed it into the trench.
- 9.2.2 Construction Equipment Phase 2. A Komatsu PC300LC track mounted backhoe was used to excavate the second phase of the trench. This backhoe was smaller and less effective than the Link Belt LS-5800 used during Phase 1. A Komatsu D63E-1 dozer mixed the soil-bentonite backfill and pushed it into the trench.
- 9.2.3 Excavation Grades Design and As-Built. The design minimum elevation of the slurry trench working surface was 1184 across the Clover Fork channel, and 1186 elsewhere, as shown on PLATE E-1. The slurry trench was constructed below a work surface ranging from elevation 1187.1 to 1184.8. PLATE E-2 shows as-built elevations for the entire slurry trench.

The slurry trench was constructed as designed, with the following exceptions:

- 1) The geomembrane liner was installed on the upstream side of the trench.
- 2) A sheet pile seepage cutoff was added in the right abutment area, from station 1+80 to station 0+15. See Section 9.2.8 for details of this modification.
- 9.2.4 Slurry. To support the walls of the trench during excavation, the trench was kept filled with a waterbentonite slurry. Slurry was mixed in a slurry batch plant to produce a colloidal suspension of bentonite in water. The specifications required that water losses not be greater than 20 cubic centimeters as determined by API Test 13A, Section 5. This criteria was used to determine whether water softening agents were needed in the slurry mixture. Based upon passing test results, water softeners were not used.
- 9.2.5 Geomembrane Liner. A 30-mils-thick Polyflex high density polyethylene geomembrane liner was installed the full depth of the slurry trench. To seal the geomembrane around the relocated water pipes a geomembrane "boot" was

placed around the pipes, secured with a band clamp. The base of the boot was welded to overlapping sheets of geomembrane placed flat against the trench wall. Geomembrane installation is described in Sections 9.2.7 and 9.2.8.

<u>9.2.6 Backfill.</u> Specifications for the soilbentonite backfill required an average permeability of no greater than 1 x 10^{-6} centimeters per second, and a bentonite content of at least four per cent. As-built permeabilities were much lower than required, ranging from 3.1 x 10^{-9} to 96.1 x 10^{-9} centimeters per second.

The contractor's permeability testing was done by Soil Testing Engineers, Inc. of Baton Rouge, Louisiana. The Corps of Engineers also tested samples at it's Ohio River Division laboratory. Backfill permeability test results are summarized below.

Trench Station	<u>Permeability</u>	Tested By
6+70	9.4×10^{-9}	Contractor
5+90	7.8×10^{-9}	Contractor
4+85	4.3×10^{-9}	Contractor
6+80 - 5+80	15.1 x 10 ⁻⁹	CE
6+70	3.1×10^{-9}	CE
5+90	96.1 x 10 ⁻⁹	CE
4+85	16.8 x 10 ⁻⁹	CE

Backfill was prepared by mixing suitable material excavated from the trench (estimated to be 20% of the total excavated quantity), borrow material (silty sand), bentonite powder, and a slurry composed of bentonite powder and Harlan city water. A three to six-inch slump was specified. For the first phase the contractor elected to use a six-inch slump. For the second phase a three-inch slump was used with improved results. The lower slump allowed greater control over the movement of the advancing backfill toe, and it's position relative to the geomembrane sheets.

9.2.6.1 Backfill Borrow Site - Phase 1. Several backhoe pits were dug in the alluvium upstream of the embankment and adjacent to Clover Fork to locate the best borrow site for slurry trench backfill material. Gradation test results indicated that, although on the fine side of the specification requirement, suitable backfill material was available. This silty sand occurred in a four feet thickness near the ground surface. Over-size rock and debris were removed by hand. Gradation results are included in Appendix E, PLATES E-9 and E-10.

9.2.6.2 Backfill Borrow Site - Phase 2. Eight- hundred cubic yards of material, which had been excavated from beneath the old Highway 38, was stockpiled for use in completing the slurry trench backfill.

9.2.7 Slurry Trench, Phase 1 (Station 7+25 to 4+55) - Construction Summary. Phase 1 trench excavation began on 18 October and backfilling was completed on 18 November 1991. The subcontractor had originally estimated about two weeks, but repair of damaged water lines extended construction time. Excavation began alongside the railroad embankment and progressed toward the river. This provided the excavator more solid ground on which to maneuver, and prevented interference with railroad traffic.

The LinkBelt LS-5800 backhoe used a standard 46-inch wide bucket to excavate the trench. A surveyor's level was set up to provide vertical control. Stakes, offset well away from the work area, were used for horizontal control. After breaking a bucket tooth on 25 October, the 46-inch wide bucket was replaced with a 36-inch wide bucket. The replacement bucket was also equipped with ripping teeth mounted on the outside, and proved more efficient at excavating in rock.

Prior to the placement of geomembrane and eventual backfilling, the subcontractor sounded the trench to verify that it was clear and free of sediment. When sounding revealed that sediment had accumulated, the backhoe was used to clean the trench before backfilling began.

Geomembrane placement began on 29 October. Placing the geomembrane sheets on the upstream side of the trench allowed the exposed flap of geomembrane to lie clear of the soilbentonite backfill mixing area which was located on the downstream side of the trench. Specially notched sheets of geomembrane were placed around water lines which crossed the trench. Placement of the material was difficult, as the geomembrane tended to be buoyant in the slurry. The bottom edge had to be forced into place despite re-bar woven into the geomembrane bottom to act as a sinker. Once backfilling began, the six-inch slump backfill was expected to assume a 1V:8H slope in the trench. This was later measured and was found to range from about 1V:8H to 1V:10H. For this reason over-lapping geomembrane sheets had to be installed well ahead of the backfill operations. Backfilling began at a 1V:1H lead-off trench near the railroad tracks. As the advancing backfill flowed past the geomembrane sheets, the bottom was dragged laterally along with it. Upper edges pulled loose from the top of the trench, and the geomembrane sagged under the weight of the backfill material. Sheets of geomembrane were staked on their upper edge, and additional sheets placed to cover the gaps which opened as the original sheets were dragged apart. It was apparent that a better method of geomembrane installation would have to be devised.

Backfilling of the freshly-excavated trench was completed to station 4+55 on 2 November. The backfill was excavated to expose the water lines where they crossed through the trench. The water lines were exposed and found to have been damaged

by the backhoe during excavation of the slurry trench. The water lines were repaired and the trench subcontractor began installation of the pipe boot. A backing sheet of geomembrane was placed under the pipes, slit at the pipe locations, and pulled up and around the water lines. The bottom of this backing sheet of geomembrane was driven to rock using angle iron attached to the bottom edge of the material with welded sockets, and steel pipes inserted into the sockets as push rods. A backhoe then pushed the geomembrane sheet to the bottom of the trench. The geomembrane boot was welded to this backing sheet. Around the pipes, the geomembrane was gathered and secured with two hose-type clamps on each pipe. Fresh backfill material was mixed, and the trench completed on 16 November. A layer of filter fabric was placed to cover the exposed top of slurry trench backfill. Fill placement resumed, covering the slurry trench on 25 November.

9.2.8 Slurry Trench, Phase 2 (Station 1+70 to 4+55) - Construction Summary. In June 1992, the contractor drilled four air-track holes to determine depth to bedrock at the northwest end of the trench, in the right abutment. Indications were that the top of rock surface was much lower than estimated. A Corps of Engineers crew drilled three additional SPT/core holes which confirmed the preliminary findings. Drill logs for these three holes are included on PLATE E-7.

The contract was modified to extend the foundation seepage cutoff further into the right abutment. A sheet pile cutoff was added, extending from station 1+70 to station 0+15, the centerline of the relocated Highway 38. After the slurry trench was completed, the piling cutoff was extended an additional 10 feet into the slurry trench, to station 1+80. See PLATES E-2 and E-3.

Phase 2 slurry trench excavation began on 22 October and backfilling was completed by 31 October 1992. A much improved method was used to install the geomembrane liner. Geomembrane sheets were attached to rigid frames constructed of four-inch pipes and six-inch steel I-beams. Frames and attached geomembrane sheets were installed in 30 feet high and 35 feet wide sections. Four frames were used, each frame installed to lap about four feet behind the previously placed frame. When backfill reached a depth of ten feet along a section, a frame was pulled, leaving the geomembrane sheet in the trench.

Excavation for the two feet embedment into rock was slow, about 40 linear feet per day. The upper six feet of weathered rock had been removed before fill placement began. The rock which remained was difficult to excavate with a backhoe.

- 9.3 Floodwall and Closure Structure. Floodwalls and a railroad closure structure were constructed at the left abutment of the diversion embankment. These structures are founded on HP 12 x 74 piles driven through artificial fill and alluvium, to refusal in the siltstone bedrock. The closure structure has a single-leaf swing gate with a span of 30 feet and a height of 14.25 feet. The floodwall consists of a 12-feet long section of T-wall on each side of the closure structure and 42 feet of I-wall. A sheet pile seepage cutoff was driven to refusal in bedrock beneath these structures.
- 9.3.1 Construction Summary. An alternate access road to nearby homes was constructed on the landward side of the railroad right-of-way in December 1991. This replaced the existing road which was blocked by the railroad closure structure. H-piles and sheet piles were driven on both sides of and under the railroad tracks in January 1992. railroad was out of service for about ten hours while sections of the track were removed on 22 January. Sheet piles 42 and 43 failed to go to refusal on the first attempt. Both these piles were pulled and were successfully driven to refusal on the second attempt. During pile driving operations a seismograph was set up ten feet from the corner of a nearby church, 75 feet from the pile driver. The highest recorded reading was 0.04 inches per second.

Pile driving hammer for sheet piling:

Model MKT-9B3 Ram Wt. 1600 lbs. Stroke Rated-17 inch Energy Rated 8750 Ft/lbs. Speed Rated 145 Air Pressure 100

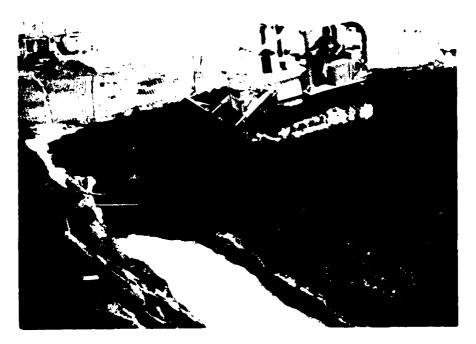
Pile driving hammer for H-piling:

Model Vulcan 506 Ram Wt. 6500 lbs. Stroke Rated-48 inch Energy Rated 26,000 Ft/lbs. Speed Rated 52 Air Pressure 120

Closure structure footings were placed in February 1992. General details of the closure structure and floodwalls are shown on Plate F-2. Pile driving records are included on PLATE F-3.







Dozer pushing soil-bentonite backfill into 1V:1H lead-off trench. 31 October 1991



Backfilling phase 1 of the slurry trench.

View looking toward right abutment.

31 October 1991



Slurry trench, phase 2. Trench except. hours rackful mixing in progress.



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10. Highway 72 Bridge.

At the downstream portal area, Highway 72 was re-routed over a 450-feet-long bridge which spans Clover Fork as it exits the tunnels.

10.1 Abutments. Construction of the bridge abutments started in October 1990 and was completed in May 1991. The bridge abutments are supported by 14-inch structural steel H-piles driven through the overburden to refusal in bedrock. All piles were fitted with cast steel driving points furnished by Versabite Foundation Accessories of Matthews, North Carolina. Piles were driven to refusal, which was defined as "when measurable penetration under five blows of the approved hammer and system is one-quarter inch or less and where the pile tip is in bedrock and the installation is approved by the Contracting Officer."

London Bridge Company used a 60-ton Link-Belt 118 crane with a fixed lead system. The pile driver was a Vulcan model #506 single acting hammer with 6500 pound ram weight, 690 pound anvil weight, and a 48-inch stroke for 26,000 foot-pounds of energy. The hammer speed was 52 blows per minute with air pressure of 120 PSI. A 750-pound Vulcan pile driving cap and a 12-inch diameter by 2½-inch aluminum and Micarta cushion block were used.

Abutment 1 piles were driven in October 1990. Pile lengths ranged from about 16 to 30 feet. Tip elevations ranged from about 1147 to 1160, which is consistent with preconstruction exploratory boring top of rock data.

Abutment 2 pile driving began on 23 October 1990. Piles 11 and 43 hit an obstacle approximately 18 feet below the surface. The piles were skewed in opposite directions, suggesting that the obstruction lay between the piles. The angle of skew was within permissible limits, and no corrective action was necessary. Piles ranged from about 19 to 24 feet in length. Tip elevations ranged from about 1142 to 1146. Pile records are provided on PLATE G-2.

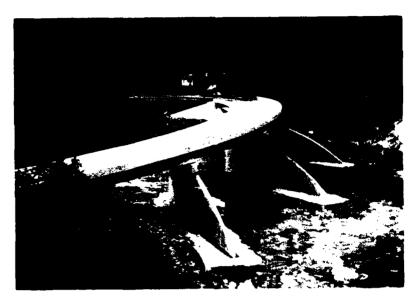
10.2 Piers.

10.2.1 Pier 1. Excavation for pier 1 began on 21 November 1990. A D-8 dozer equipped with a single-tooth ripper was used to make the initial cut into rock. The trench was then trimmed to final dimensions using a backhoe and jackhammers. Rock samples were collected from the pier foundations and tested for slake durability, with test results ranging from 96.3% to 97.9%. Three five-feet-deep test holes confirmed rock competency. Contract modification 37 provided for a design change which was recommended by the bridge designer. The footing was expanded in size so that concrete would be in contact with the sides of the excavated trench.

- 10.2.2 Pier 2. A dike was built around the pier 2 excavation to control surface water. Excavation began on 29 January 1991 using a backhoe and jackhammers. The dozer and ripper were not used in order to reduce overbreakage similar to that which occurred on the pier 1 footing excavation. Slake-durability testing on rock sampled below pier 2 footing indicated an SDI of 97.95%. Three test holes drilled five feet into rock below the footing confirmed rock competency. The pier 2 footing was placed on 13 February.
- 10.2.3 Pier 3. Excavation of pier 3 foundation began on 9 February 1991. As on pier 2, only a backhoe and jackhammers were used. The pier footing was separated from the main channel of Clover Fork by an earthen berm. Seepage through the berm entered the excavation and required continuous pumping. Concrete was completed on 22 March.

Pre-stressed concrete I-beams for the bridge were placed during May 1991. Ivy Hill Road was re-opened to traffic on 23 August, permitting traffic to enter Harlan from the north side of Ivy Hill. The Highway 72 bridge was opened to traffic on 2 October 1991.





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11. Highway 38 and Bridge.

11.1 Excavation Grades - Design and As-Built. In developing the 1V:1H slope at the top of the road cut, hard sandstone was encountered higher than expected in some areas. Where this occurred the slopes were changed from a 1V:1H dozer cut to a 2V:1H presplit cut. This necessitated the addition of an extra bench at the upper part of the slope so that the lower slopes could be constructed according to design. These changes increased excavation quantities.

Jointing runs approximately parallel to the road cut and in some areas the presplit blasts broke back to expose joint faces. Since there was no provision for rock bolting the road cut, and in many cases the rock was too fractured to be bolted effectively, loose rock was removed behind the design line. This also increased excavation quantities.

- 11.2 Overburden Excavation. Utility lines were relocated around the work area from October 1989 through January 1990. Overburden excavation began in March 1990. Excavation of the 1V:1H slope in overburden began in March and was essentially complete by the end of July 1990. Additional excavation is described in Section 11.2.2.
- excavated using a Caterpillar D-8 dozer. A Caterpillar 977L endloader loaded material onto Euclid R50 dump trucks which hauled it to the H-2 disposal area.
- 11.2.2 Construction Summary, Problems, and Treatment. Between stations 67+50 and 69+00 organic material unsuitable for the road subgrade was overexcavated. The area was backfilled with rock from the upstream portal excavation.

In January 1991, overburden in a hollow at station 56+50 to 57+00 slid to the bottom of the excavated cut. Headward erosion reached to within eight feet of the project property boundary, and left remaining overburden on the slope excessively steep and prone to further sliding. Laying the slope back away from the cut would result in encroachment outside the project property boundary. The Pineville real estate office made arrangements to purchase this additional property. Excavation of slide material and laying back of the slope was completed in March 1991.

By April 1991 road cuts were excavated to grade on either side of the tunnel portals. Between stations 52+50 and 55+00, four to nine feet of unsuitable organic overburden material was overexcavated. The area was backfilled with shot rock, from the upstream portal excavation. A surface leveling course of tunnel roadheader cuttings was placed to complete the backfilling. Organic material, unsuitable for road subgrade, was also removed between stations 70+50 to 71+50. The overexcavated area, 15 feet wide and four feet

deep, was backfilled with tunnel cuttings. Other unsuitable material was removed by a cut 20 feet wide and three feet deep from station 68+75 to 70+00. Several large boulders were removed from the subgrade near abutment 1 in August 1991.

- 11.2.3 <u>Disposition of Excavated Materials</u>. Overburden from the highway 38 excavation was placed in the H-2 disposal area.
- 11.3 Rock Excavation. Blasting for the highway 38 relocation began near station 66+00 in March 1990.
- methods were similar to those used at the downstream portal and at the upstream portal above elevation 1230. Holes were 3-inches in diameter, on 36-inch centers, and up to 30 feet deep. %-inch diameter Detagel was initiated with electric blasting caps. In developing the cut-slopes, presplit lines ran approximately parallel to the existing ground surface only a short distance away. Firing the presplit line in a separate operation before the production blast disturbed the remaining rock to the extent that it was difficult to drill the production blast holes. If production holes were drilled before the presplit shot, holes were cut off and could not be loaded. For these reasons, the presplit and production blasts were usually fired in one operation, separated by millisecond delays.
- 11.3.2 Construction Problems and Treatment.
 Blasting broke back to expose joint planes where they intersected design slopes. Fractured rock and detached blocks were removed, leaving natural joint faces to form the finished slope in these areas. This backbreak added to overruns in surface excavation quantities.
- 11.3.3 Disposition of Excavated Material. Rock from the highway 38 excavation was placed in the H-2 disposal area.
- 11.4 Rock Reinforcement. Reinforcement of the slopes was not required by the contract. Some rock bolts were installed as needed and paid at unit prices. Five 25-feet-long rock dowels were installed, from station 69+60 to 70+00 elevation 1330 to secure an overhanging sandstone block. A nearby utility pole and construction limits prevented removal of the entire block. Six rock bolts, three 10-feet long and three 15-feet long, were installed between stations 50+00 and 50+50 for support of a small overhang.
- 11.5 Bridge Foundation Caisson Construction.
 Proposed caisson locations for the Highway 38 bridge were core drilled during March 1991. NX core holes were drilled through the approximate center of each caisson to a target depth of five feet below the proposed bottom. Augers

Hwy. 38 and Bridge.

advanced the holes through overburden to top of rock where coring began. Cores were logged, photographed, and discarded. In some holes, a sample of core was taken for compressive strength testing. Test results are included in Section 4.2.4, "Investigations During Construction." Drilling logs are included in Appendix H, PLATES H-3 and H-4.

Drilling results on abutment 1 essentially confirmed the depth of the overburden/rock contact indicated in the plans. Abutment 2 results, however, revealed the overburden/rock contact in two holes to be substantially (14 to 18 feet) deeper than expected. Rock Quality Designations were also lower on several abutment 2 cores. Since some change in caisson design would probably be necessary, core holes were deepened so that at least 20 feet of rock was recovered, to a depth five feet below the anticipated base. Core drilling was completed on 26 March, 1991, and the results forwarded to the bridge designer.

The bridge abutment caissons were re-designed, with the most significant changes being:

- --Enlarge caissons A2-2 and A2-3 to 60-inches in diameter.
- --Add one 60-inch diameter caisson along the A2-1 to A2-4 row and change spacing of caissons in that row.
- --Enlarge caisson A1-1 to 60-inches in diameter.
- --Increase rock embedment length to a minimum of 15 feet for all 48-inch diameter caissons and 20 feet for 60-inch diameter caissons.

The revised drilled shaft notes, schedule, and layout are enclosed in Appendix H, PLATE H-2.

A Hughes LDH-70 drill rig arrived on site on 18 June and caisson hole drilling started on 24 June. Rock augering was slowed by occasional bands and beds up to 1½ feet thick, of very-fine-grained sandstone, sometimes descibed as coarse-grained siltstone. Drilling rates slowed to fractions of an inch per hour with very high auger tooth consumption. When this very hard rock was encountered, a 1350 pound drop hammer was used. The general procedure was to drop the hammer eight to ten times. Although not advancing the hole measurably, this would slightly fracture and roughen the rock surface, allowing the rock auger or core barrel to cut through it. A coring bit was sometimes used instead of the rock auger to provide additional cutting action around the margins of the hole. Once the very hard beds were penetrated, rock augering continued at normal rates of approximately one foot per hour.

All completed caisson holes were proof tested by drilling a five-feet-deep jackhammer hole in the bottom. Proof testing of hole A2-5 revealed a void approximately one foot below the bottom. The caisson hole was deepened an additional 3.9 feet and re-inspected. A fracture, open 1.6 feet and oriented

Hwy. 38 and Bridge.

N20°E, 80°NW, was observed in the side of the hole. The wall rock and hole bottom beneath the fracture appeared to be competent with no evidence of further voids. Additional proof testing indicated good rock for a depth of five more feet. The open fracture was plugged to limit concrete flow to six inches inside the feature, and non-reinforced concrete was placed up to the original design bottom. Reinforcing steel was then placed in the hole, and concrete placed following normal procedures.

Caisson hole A2-4 was started on 15 August. Fill material around the collar of the hole caved-in and forced temporary abandonment of the drilling. Additional fill was placed and compacted as well as possible in the confined area. A 20-feet-long section of temporary casing was installed and drilling resumed. Caisson construction was completed on 11 September, when concrete for caissons A2-4 and A2-6 was placed.

Completed caisson holes were checked for verticality, and all were within the specified tolerances which were (1) the top no more than three-inches from centerline location, and (2) a maximum deviation, from top to bottom, of 1.5% of caisson height.

All caisson holes except A1-6 were mapped peripherally prior to placement of reinforcing steel and concrete. That hole was proof tested, and the jackhammer operator reported good rock at the base of the caisson, and no visible fractures in the wall rock. Caisson hole maps are included in Appendix H, PLATES H-5 through H-19.

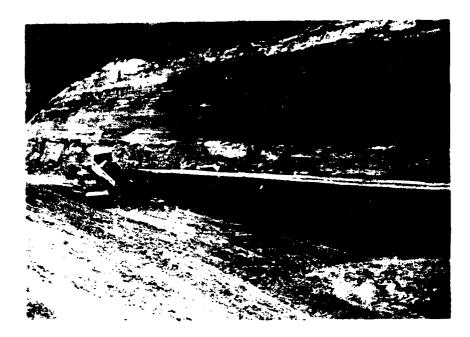
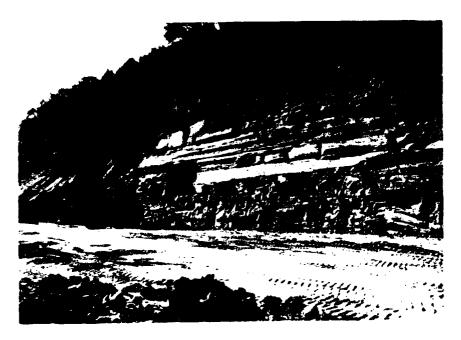
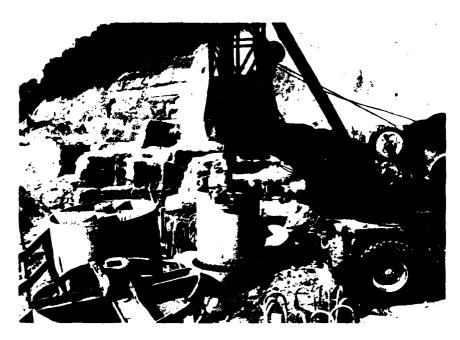


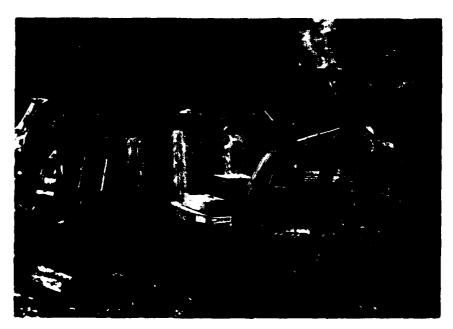
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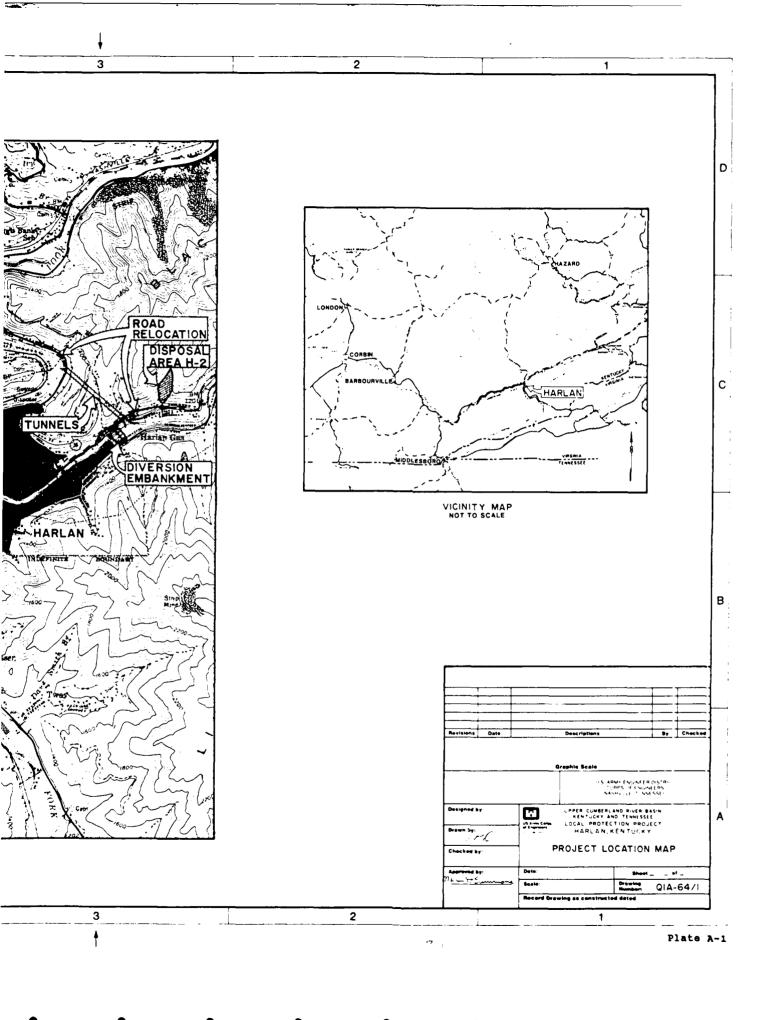
Highes LDH A drill rig with curing bit, cause to color Alone. Addust 1941



Her rim used to excavate rock alongside the completed daissons for wing wall embedment. View caputment I looking downstream. January 1992

Appendix A - General

<u>Plate No.</u>	Drawing No.	<u>Description</u>
A-1 A-2	Q1A-64/1 Q1A-4/382	Project Location Map Boring Legend
	u 5	Geologic Descriptions



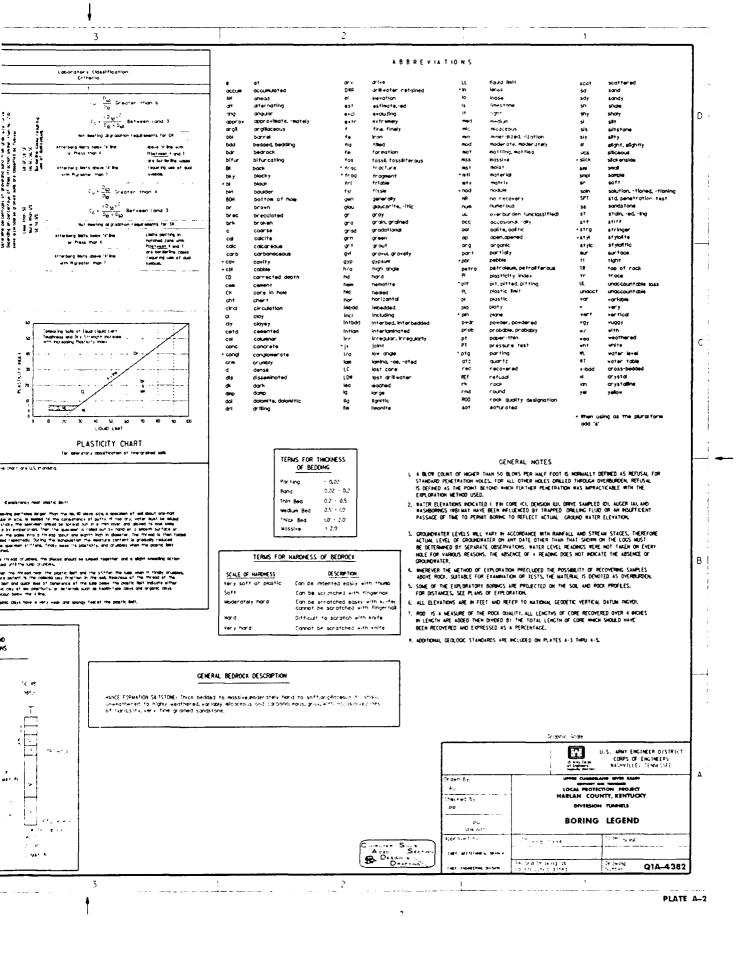
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Standard Descriptions and Descriptive Criteria for Rock

Hardness

H1 H2 H3 H4	Soft	Can be deformed by hand Can be scratched by fingernail Can be scratched easily with a knife Can be scratched with difficulty with a knife Cannot be scratched with a knife
	We	athering
Wl	Unweathered	No evidence of any mechanical or chemical alteration
W2	Slightly Weathered	Slight discoloration on surface, slight alteration along discontinuities, less than 10% of the rock volume is altered, strength is substantially unaffected
W3	Moderately Weathered	Discoloring is evident, surface is pitted and altered with alteration penetrating well below rock surfaces, 10% to 50% of the rock is altered, strength is noticeably less than fresh rock
W4	Highly Weathered I	Entire mass is discolored, alteration nearly complete, some pockets of slightly weathered rock noticeable, some minerals leached away, retains only a fraction of original strength
	1	Bedding
B1 B2 B3 B4	Massive Thick Bedded Medium Bedded Thin Bedded Band Parting	1 to 2 ft. 0.5 to 1 ft. 0.2 to 0.5 ft. 0.02 to 0.2 ft.

Void Conditions

Pore (porous).... Less than .003 ft. (1/32 in.)
Pit (pitted)..... .003 to .02 ft. (1/32 to 1/4 in.)
Vug (vuggy)..... .02 to .33 ft. (1/4 to 4 in.)
Cavity..... Greater than 4 in.

Standard Descriptions and Descriptive Criteria for Discontinuities

Fracture Spacing

	FIECU	are spacing
SP1 SP2 SP3 SP4 SP5 SP6	Extremely widely spaced Very widely spaced Widely spaced Moderately spaced Closely spaced Very closely spaced	1 to 3 ft 0.3 to 1 ft 0.1 to 0.3 ft.
	Fractur	re Continuity
C1 C2 C3 C4 C5	Very low continuity Low continuity Moderate continuity High continuity Very high continuity	3 to 10 ft.
	Fractu	ire Openness
00 01 02 03 04 05	Moderately open Open Moderately wide	No visible separation Less than .003 ft. (1/32 in.) .003 to .01 ft. (1/32 to 1/8 in.) .01 to .03 ft. (1/8 to 3/8 in.) .03 ft. (3/8 in.) to 0.1 ft. Greater than 0.1 ft., actual opening recorded
	Fracture F	illing Thickness
,	T2 Moderately thin T3 Thin T4 Moderately thick	No film or coating Less than .003 ft. (1.32 in.) .003 to .01 ft. (1/32 to 1/8 in.) .01 to .03 ft. (1/8 to 3/8 in.) .03 ft. (3/8 in.) to 0.1 ft. Greater than 0.1 ft., actual thickness recorded
	Fracture Su	rface (Roughness)
R1 R2 R3	Rough	Near normal steps and ridges occur on fracture surfaces Large, angular asperities can be seen Asperities are clearly visible and
R4	Slightly rough	fracture surface feels abrasive Small asperities on the fracture surface are visible and can be felt
n -	Cmaahh	No compared the compared to the Abrahamah

Smooth............ No asperities, smooth to the touch Polished...... Extremely smooth and shiny

R5

Standard Descriptions and Descriptive Criteria For Discontinuities

Fracture Healing

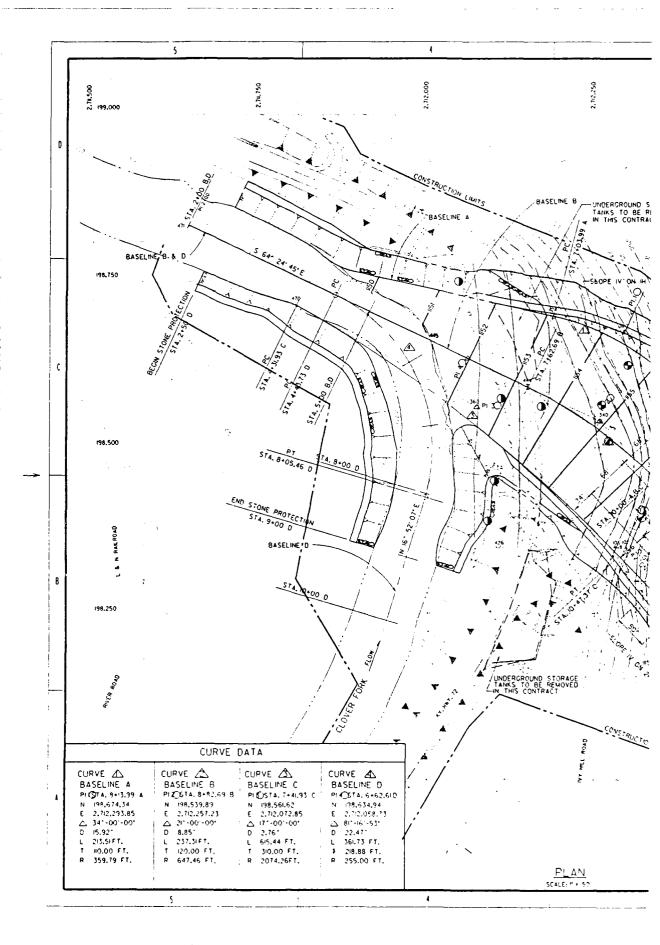
HL1	Totally healed	Fracture is completely healed or recemented
HL2	Moderately healed	Greater than 50 percent of fractured material, fracture surfaces, or
HL3	Partly healed	filling is healed or recemented Less than 50 percent of fractured material, filling, or fracture surface is healed or recemented
HL4	Not healed	Fracture surface, fracture zone, or filling is not healed or recemented

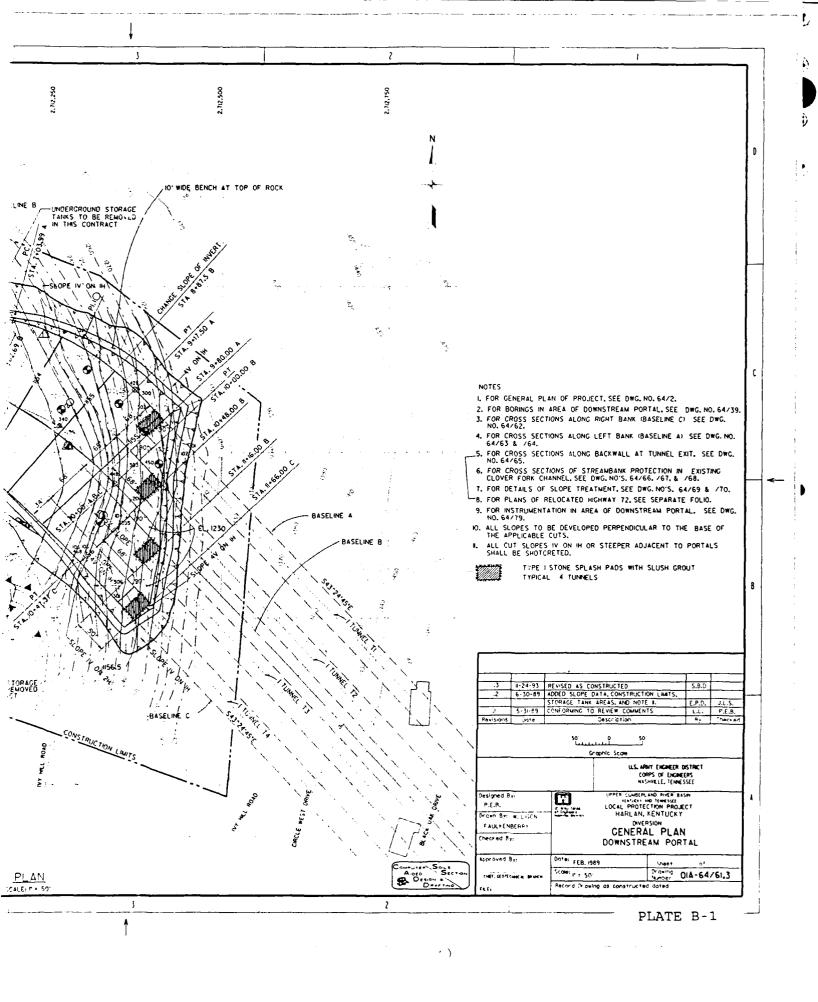
Fracture Moisture Conditions

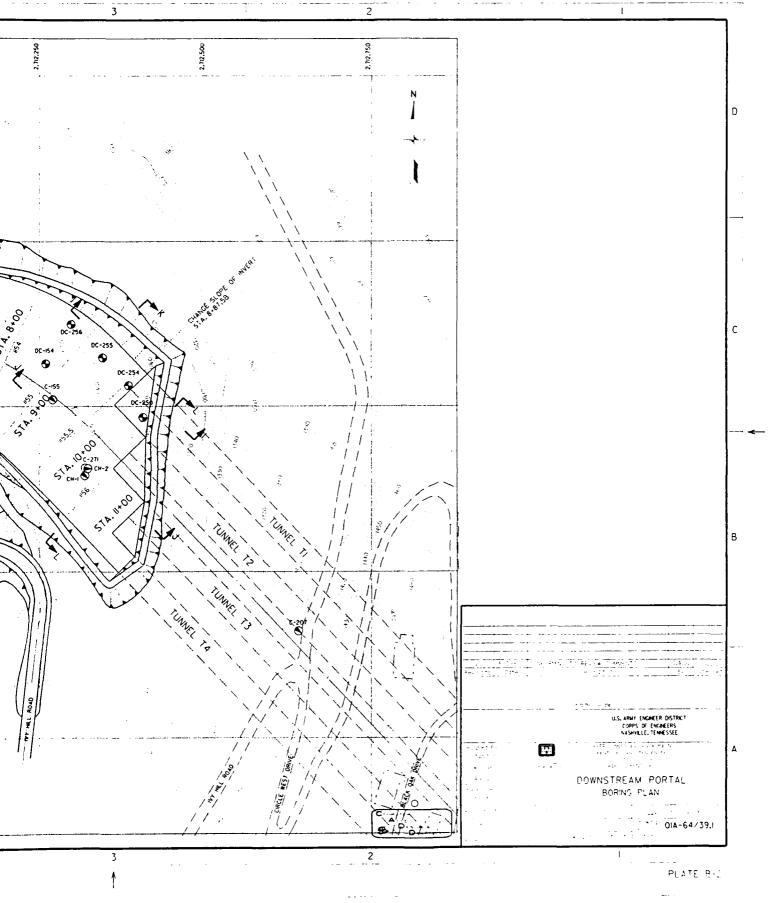
M1	The fracture is dry. It is tight or filling (where present) is of sufficient density or composition to impede water flow. Water fl w along the fracture does
	not appear possible.
M2	The fracture is dry with no evidence of
	previous water flow. Water flow appears
	possible.
M3	The fracture is dry but shows evidence of
	water flow such as staining, leaching, and
	vegetation.
M4	The fracture filling (where present) is
	damp, but no free water is present.
M5	The fracture shows seepage. It is wet
	with occasional drops of water.
M6	The fracture emits a continuous flow
	(estimate flow rate).

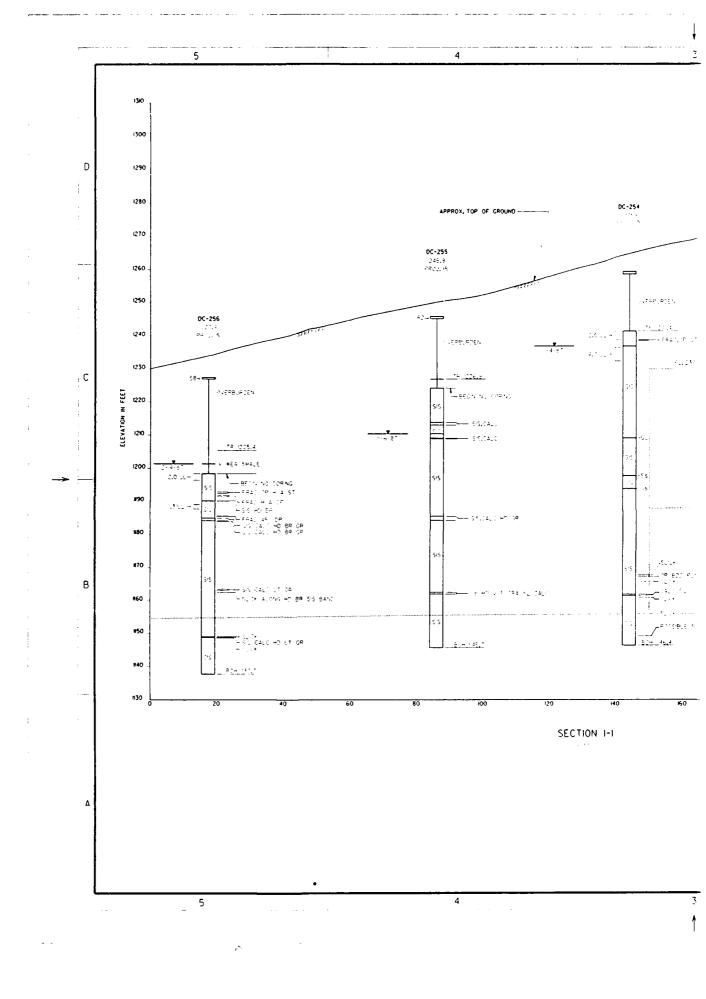
Appendix B - Downstream Portal

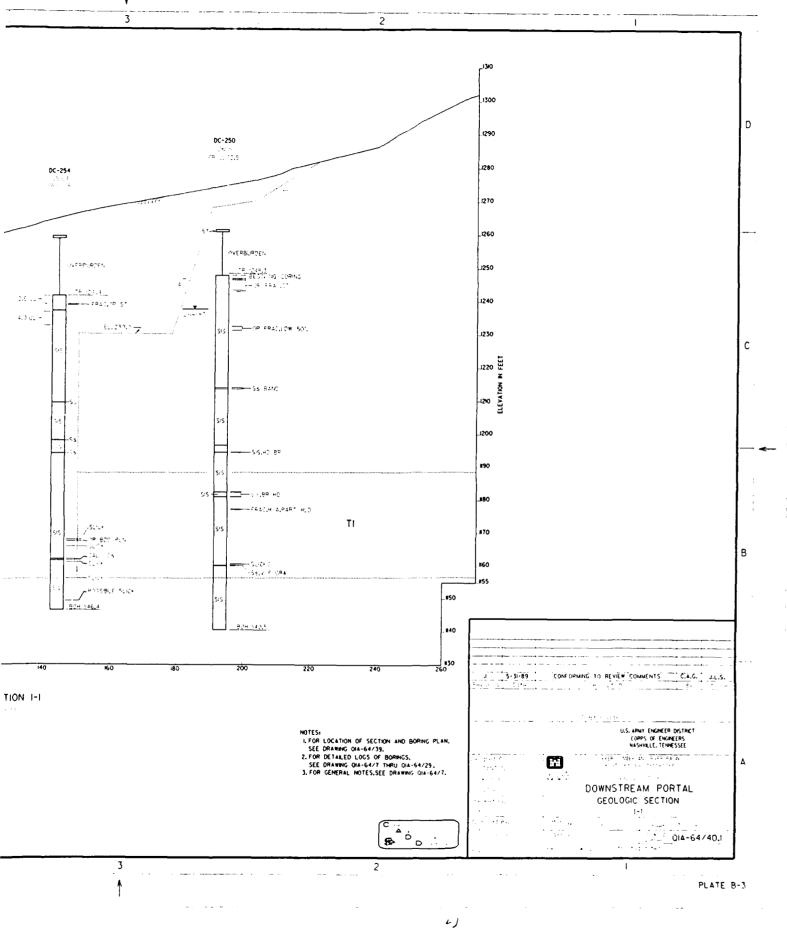
Plate No.	Drawing No.	Description
B-1 B-2 B-3 B-4 B-5 B-6 B-7 B-8 B-9 B-10 B-11 B-12 B-13 B-14 B-15 B-16 B-17 B-18 C-19	Q1A-64/61.3 Q1A-64/39.1 Q1A-64/40.1 Q1A-64/41.1 Q1A-64/42.1 Q1A-64/43.1 Q1A-64/44.1 Q1A-4/383 Q1A-4/384 Q1A-64/60.3 Q1A-64/60.3 Q1A-64/70.2 Q1A-64/70.2 Q1A-4/386 Q1A-4/387 Q1A-4/388 Q1A-4/388 Q1A-4/389	General Plan Boring Plan Geologic Section I-I Geologic Section J-J Geologic Section K-K Geologic Section L-L Geologic Section M-M Excavation Sections Section Location Plan Excavation Sections Rock Slope Treatment Rock Slope Treatment Rock Slope Treatment Added Rock Reinforcement Added Rock Reinforcement Geologic Map Geologic Map, T-4 Portal Geologic Map, T-3 Portal
B-20	Q1A-4/390	Geologic Map, T-2 Portal Geologic Map, T-1 Portal

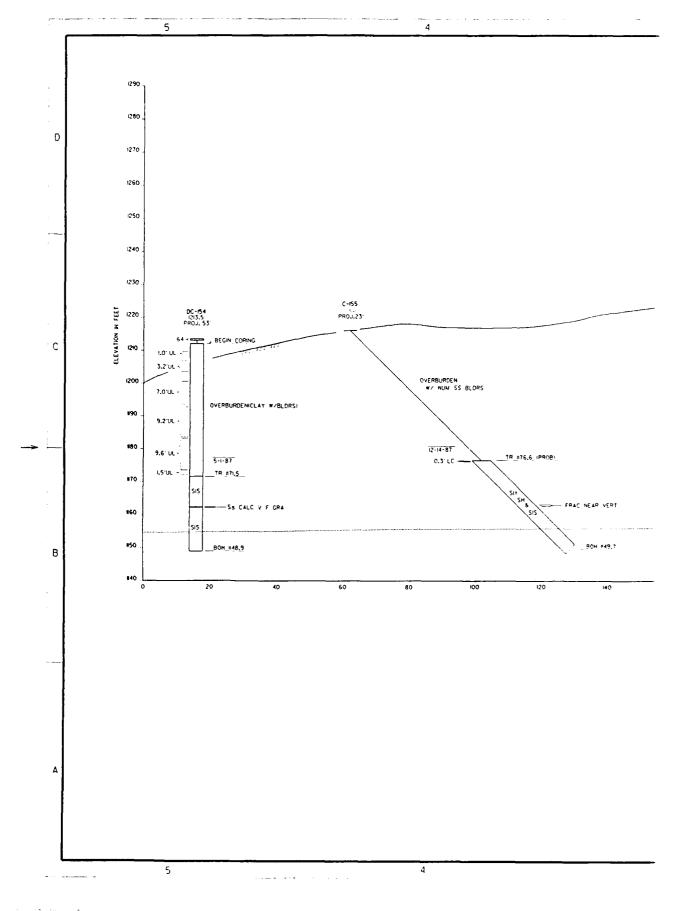


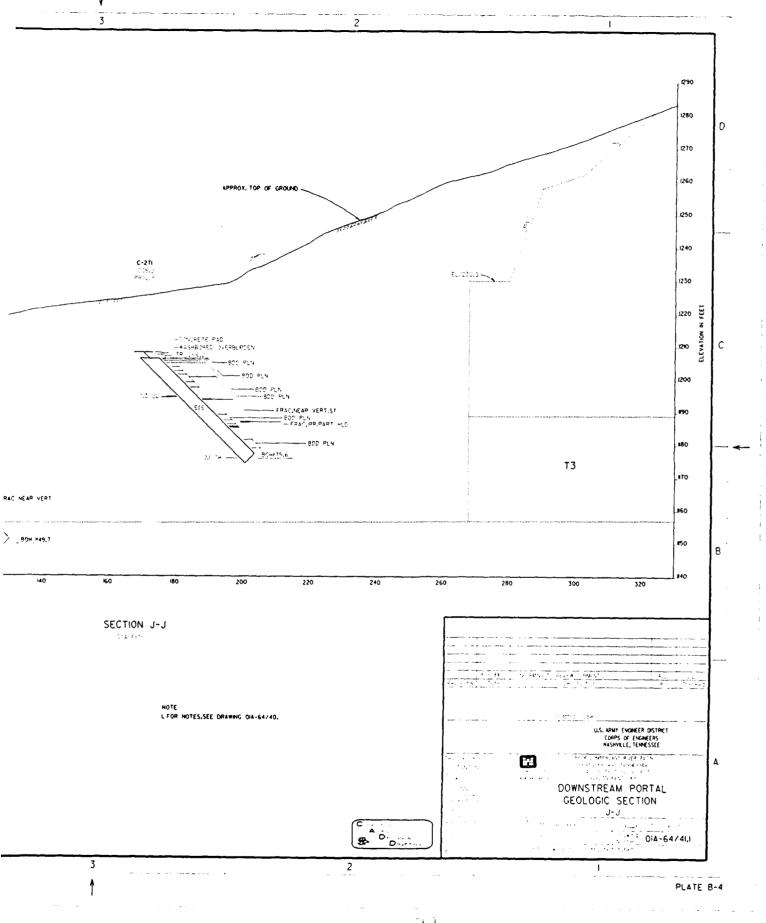


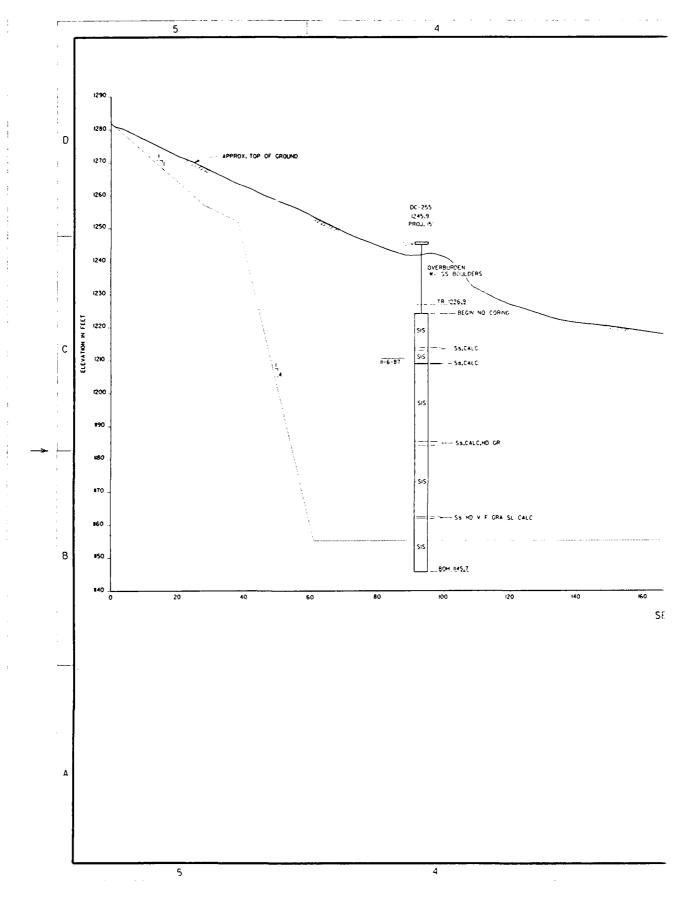


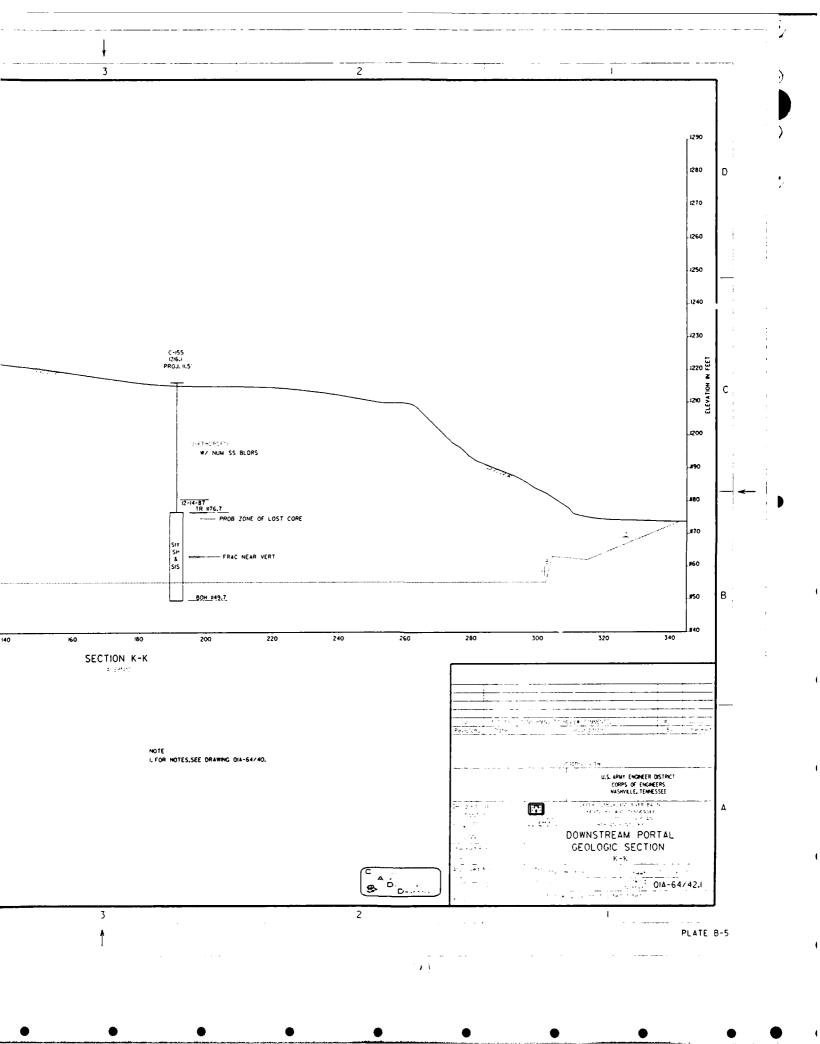


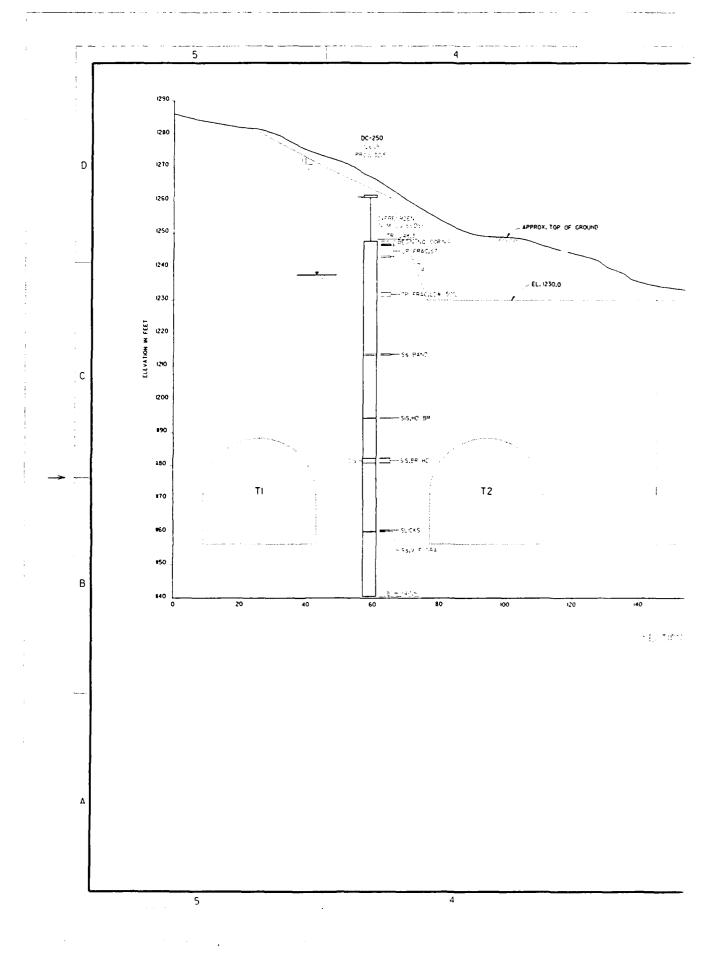


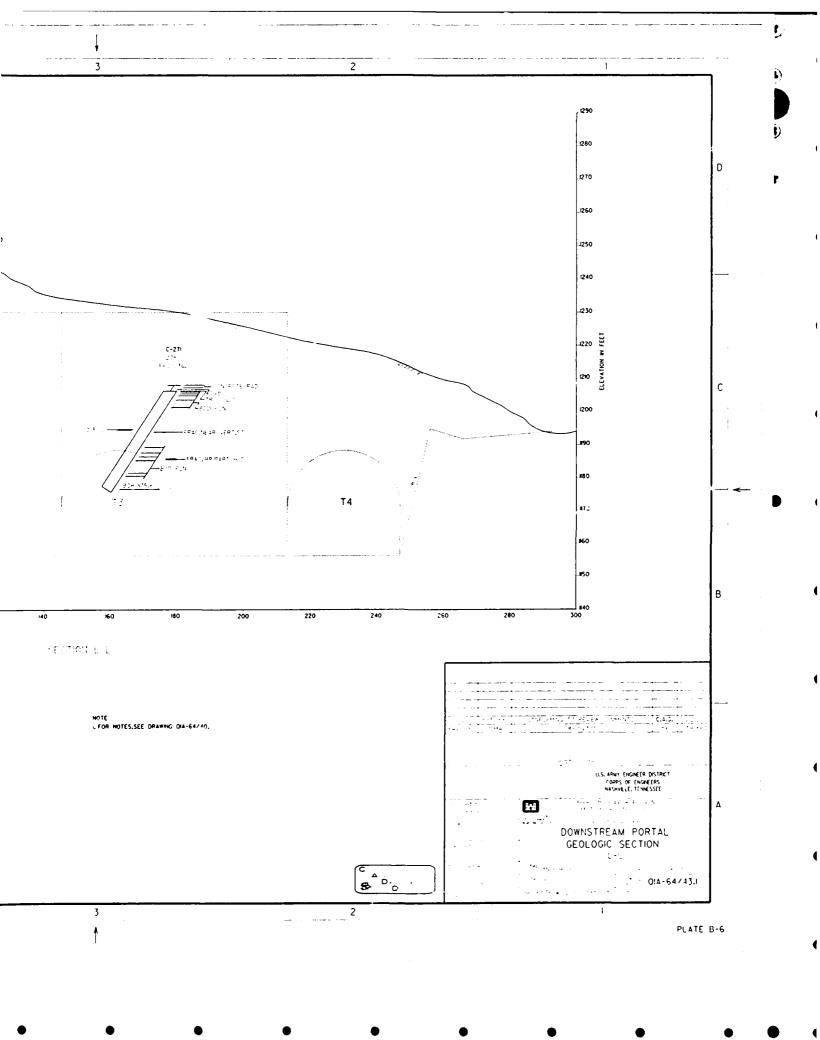


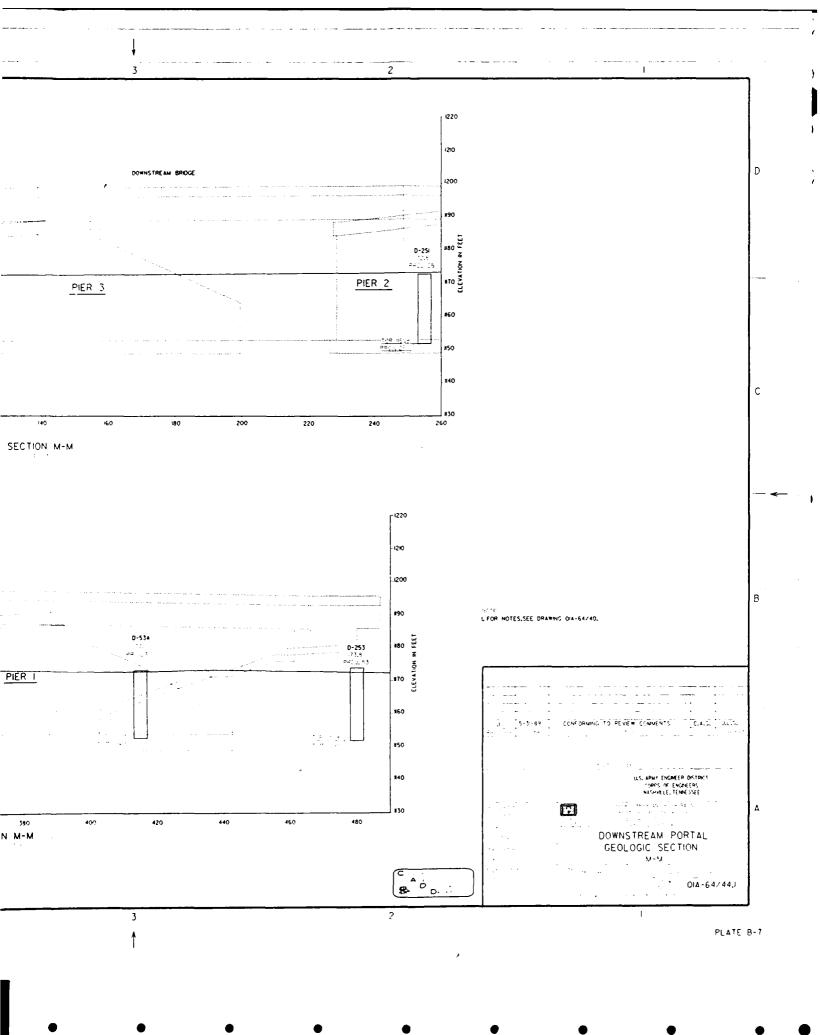


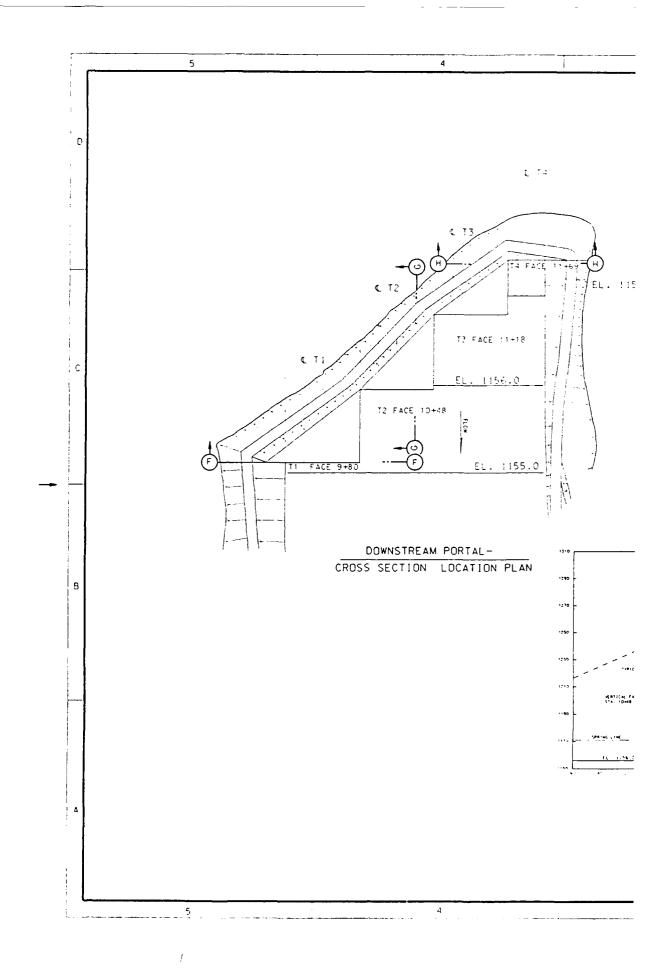


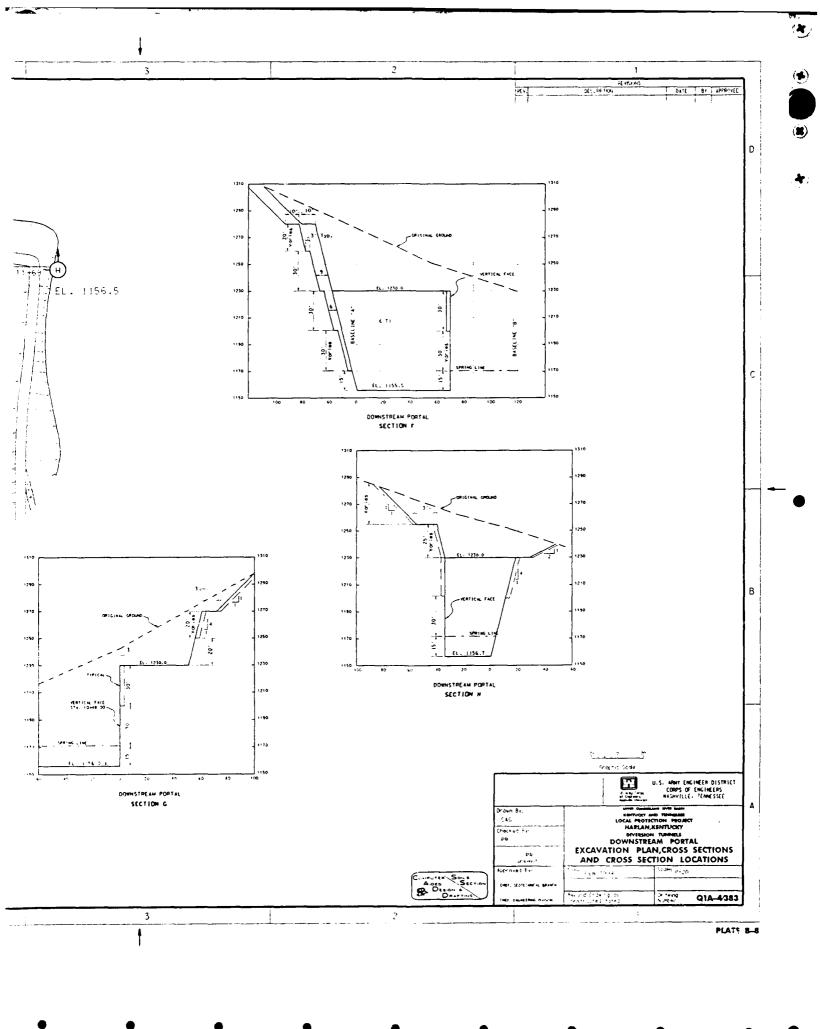


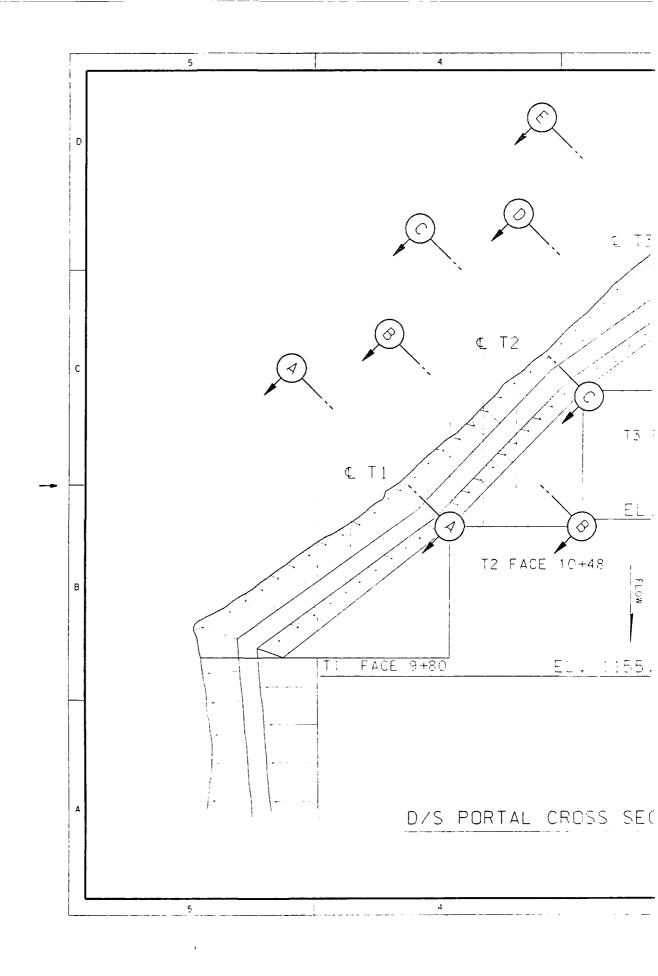


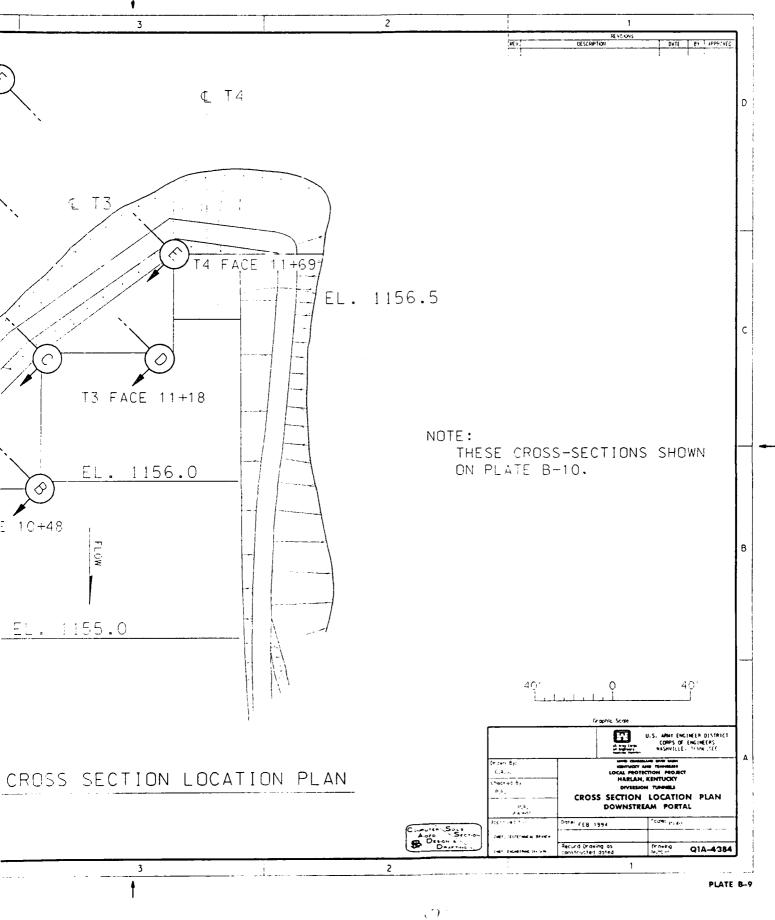


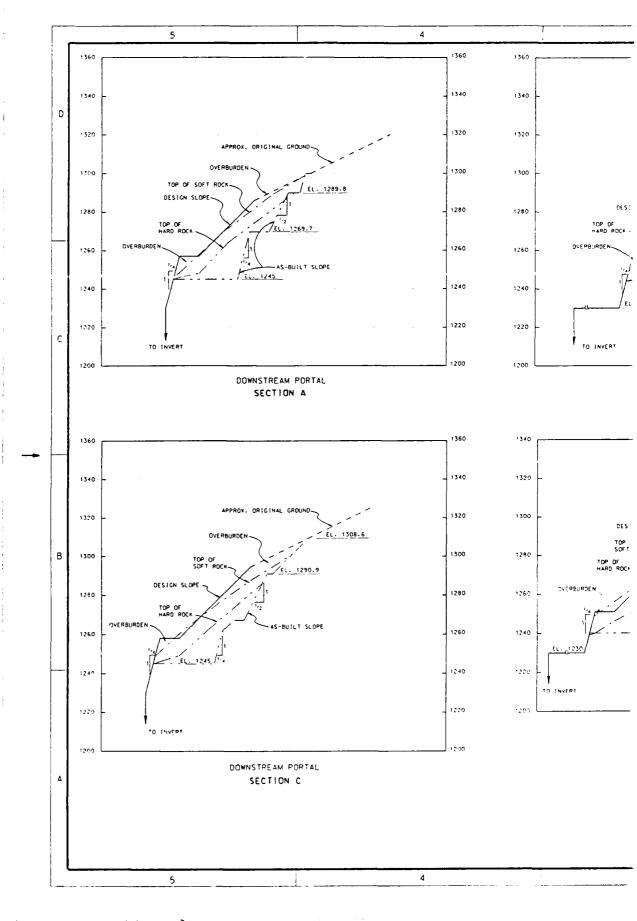


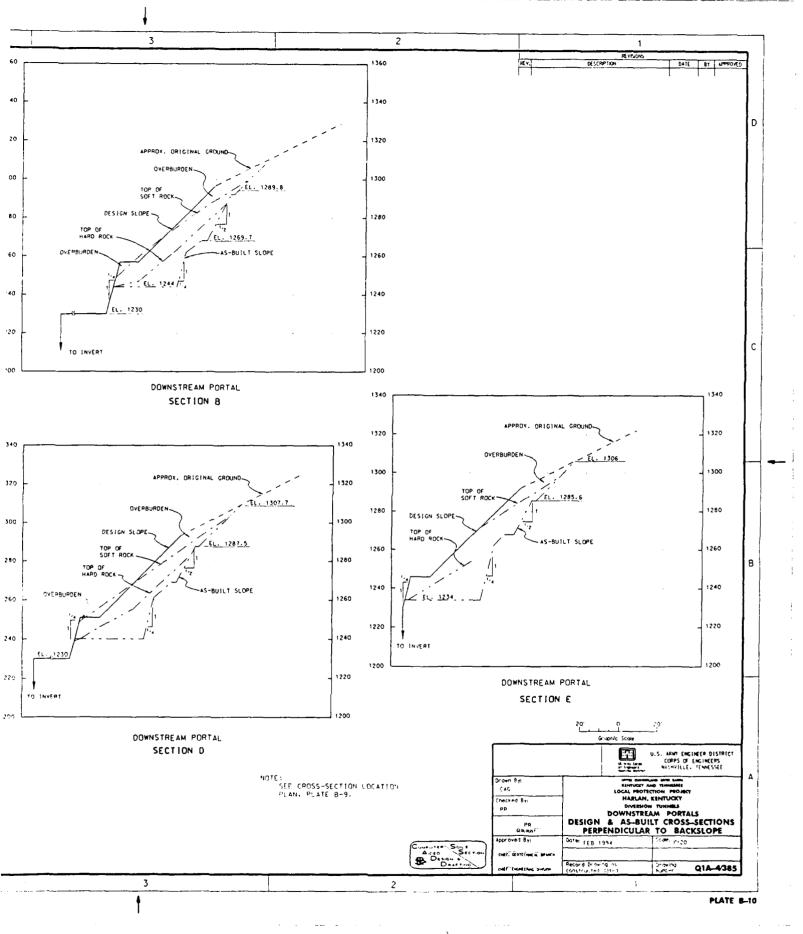


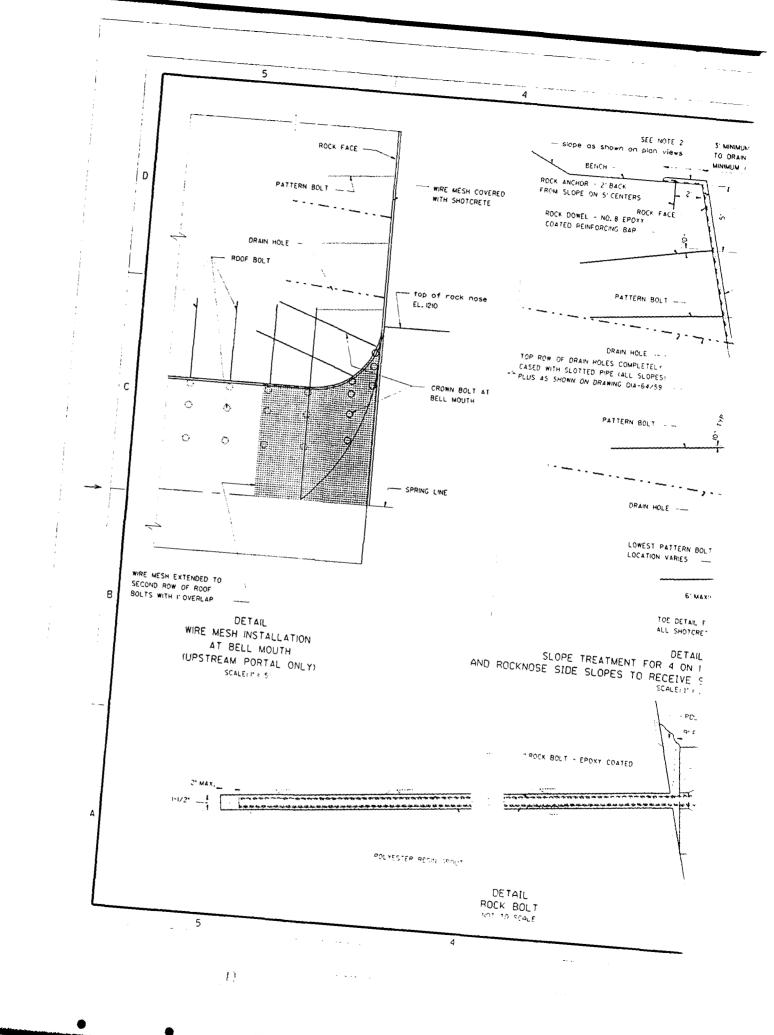








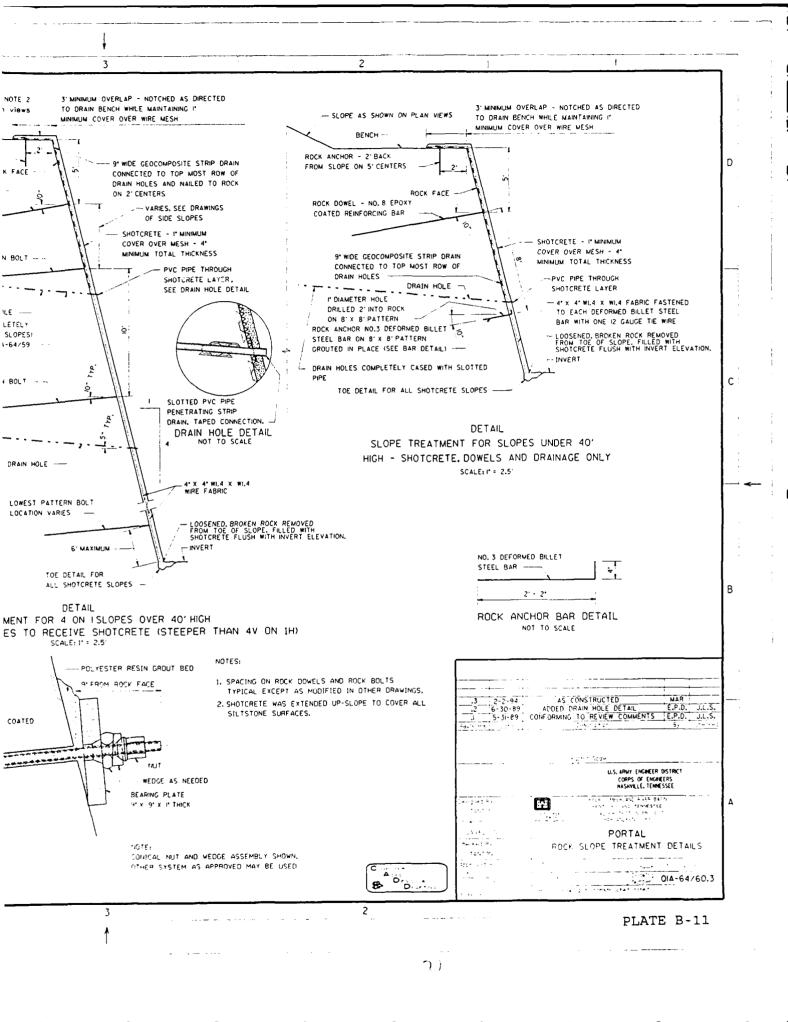


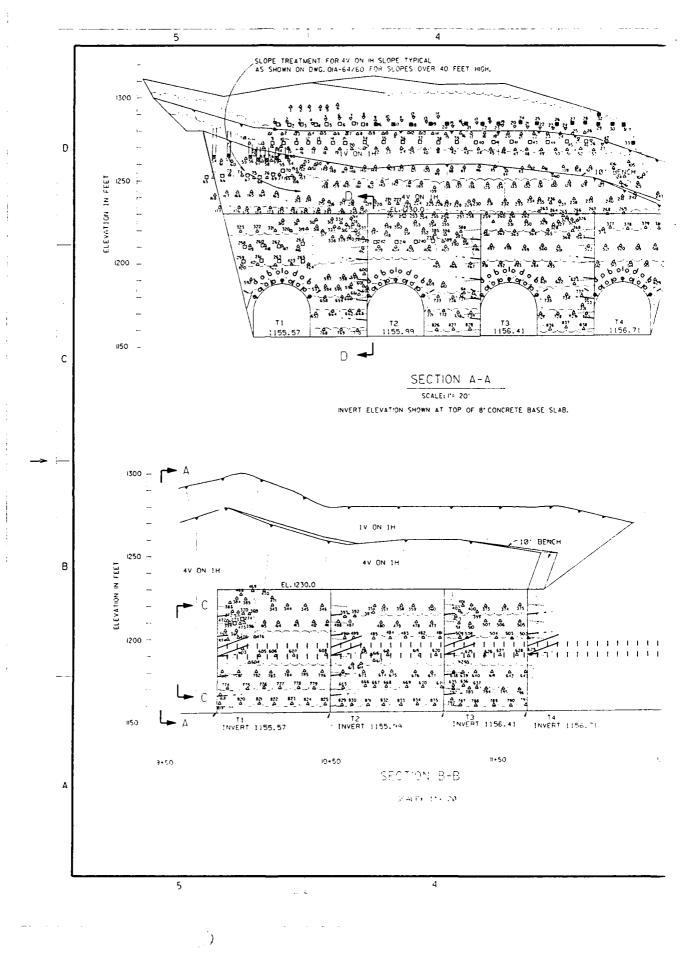


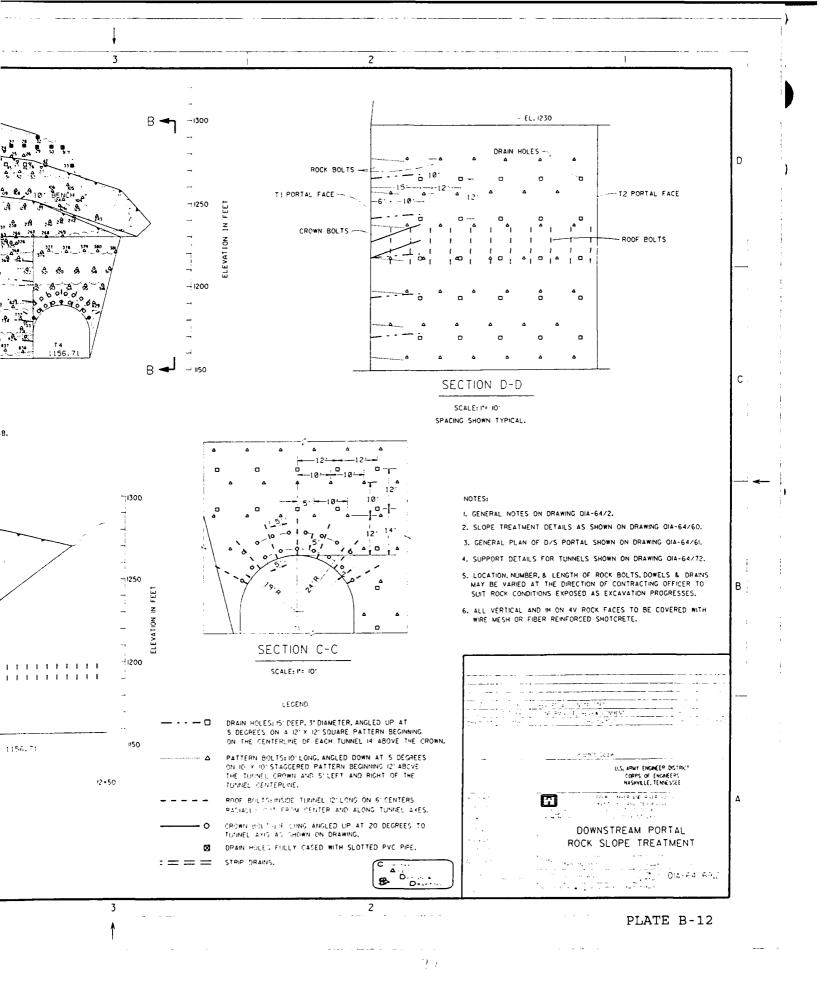
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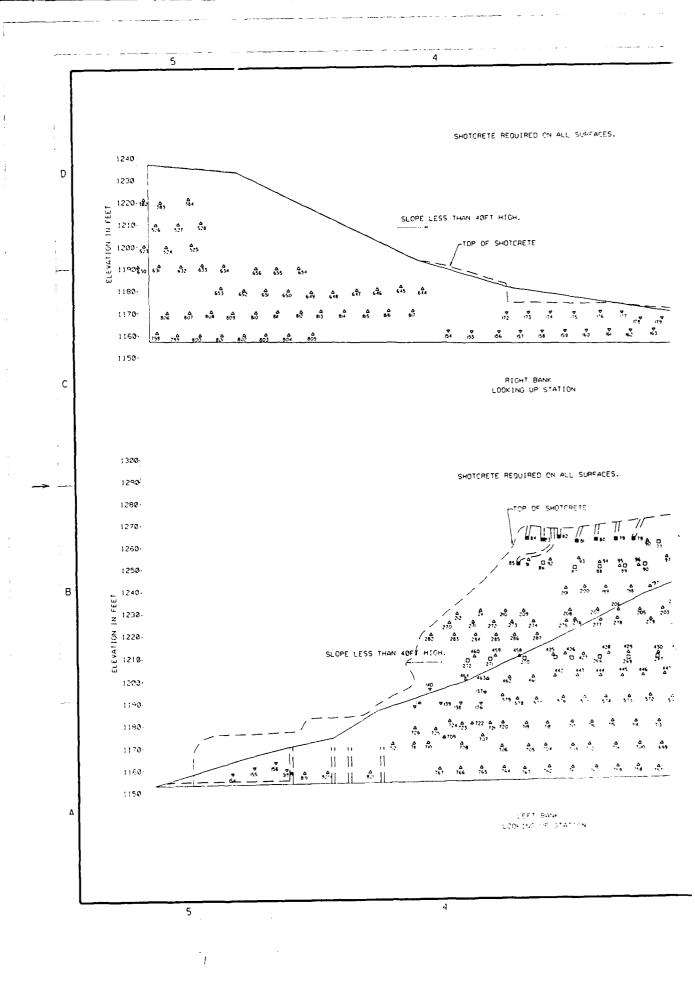
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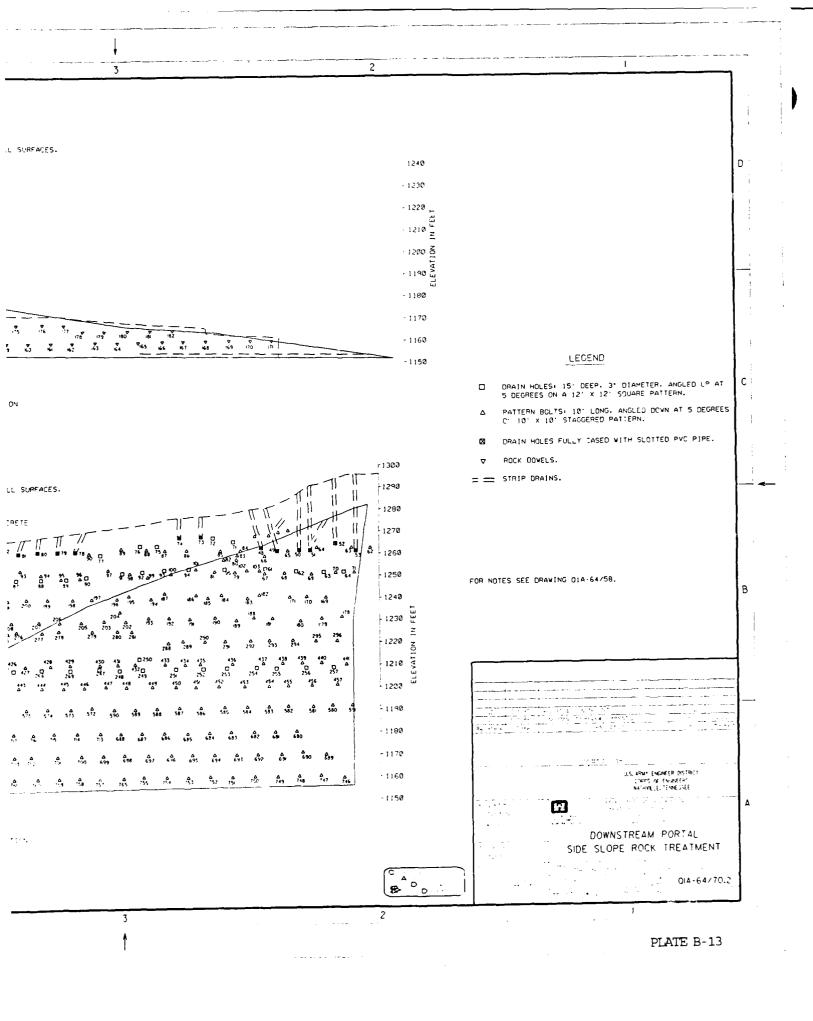
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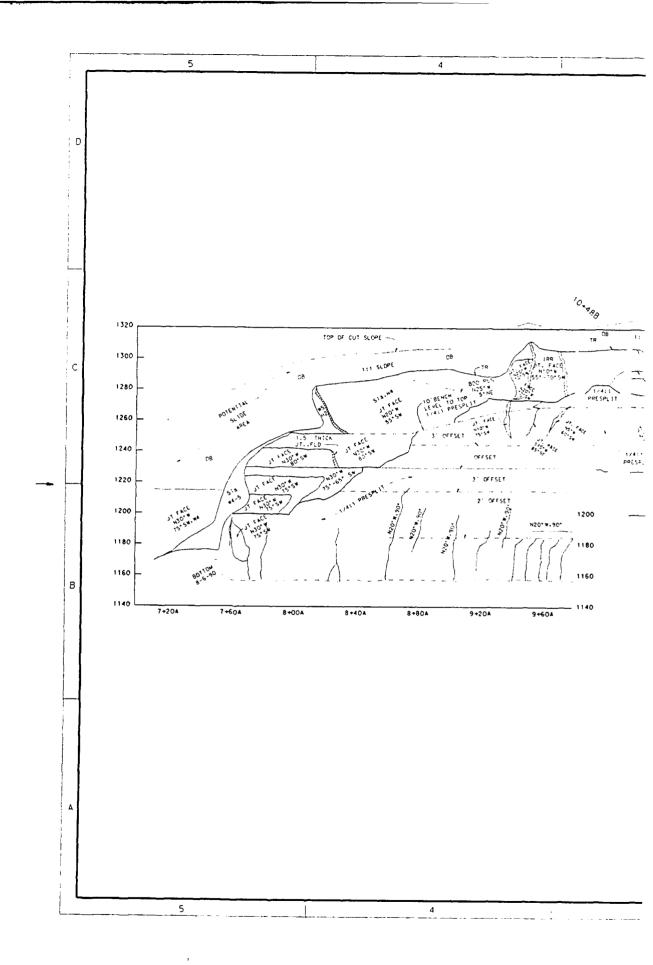
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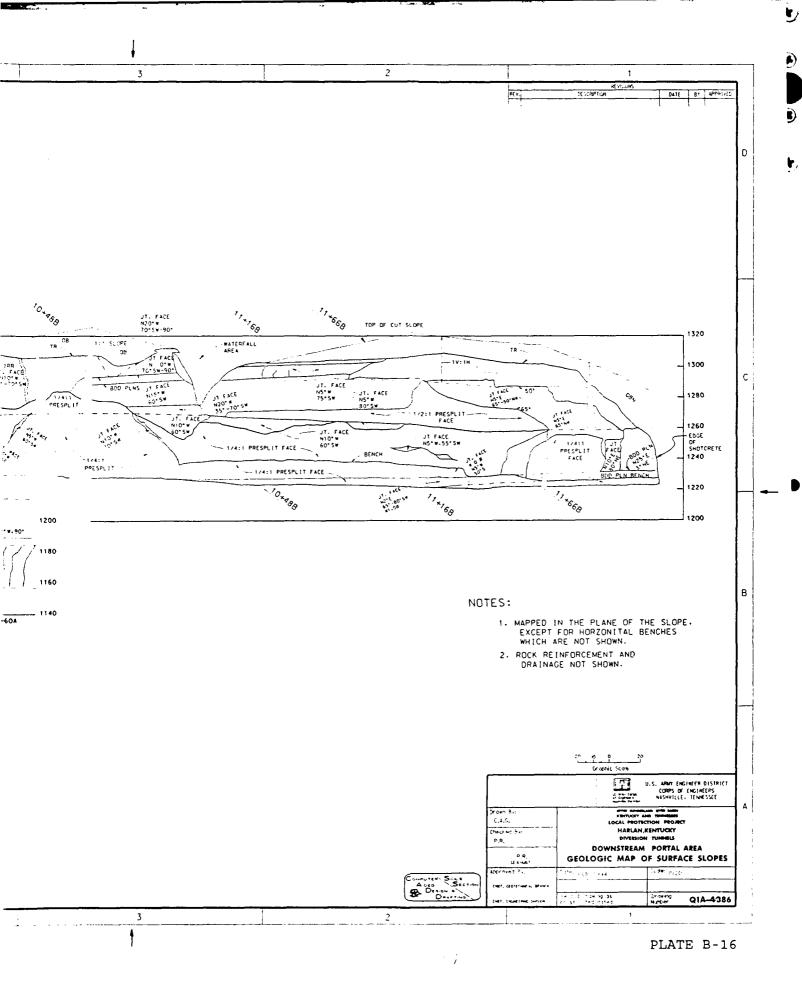
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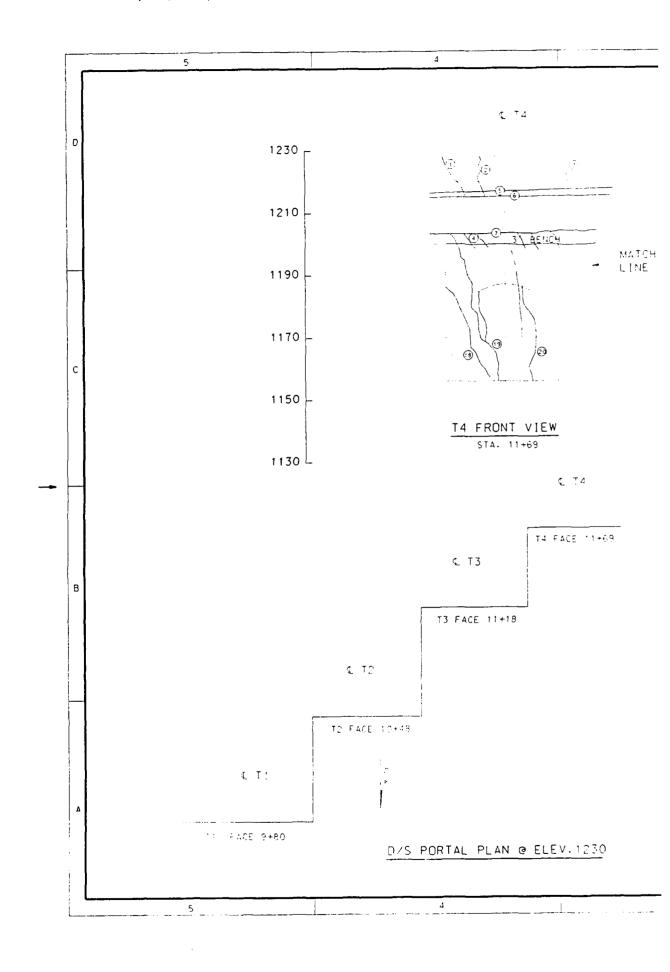
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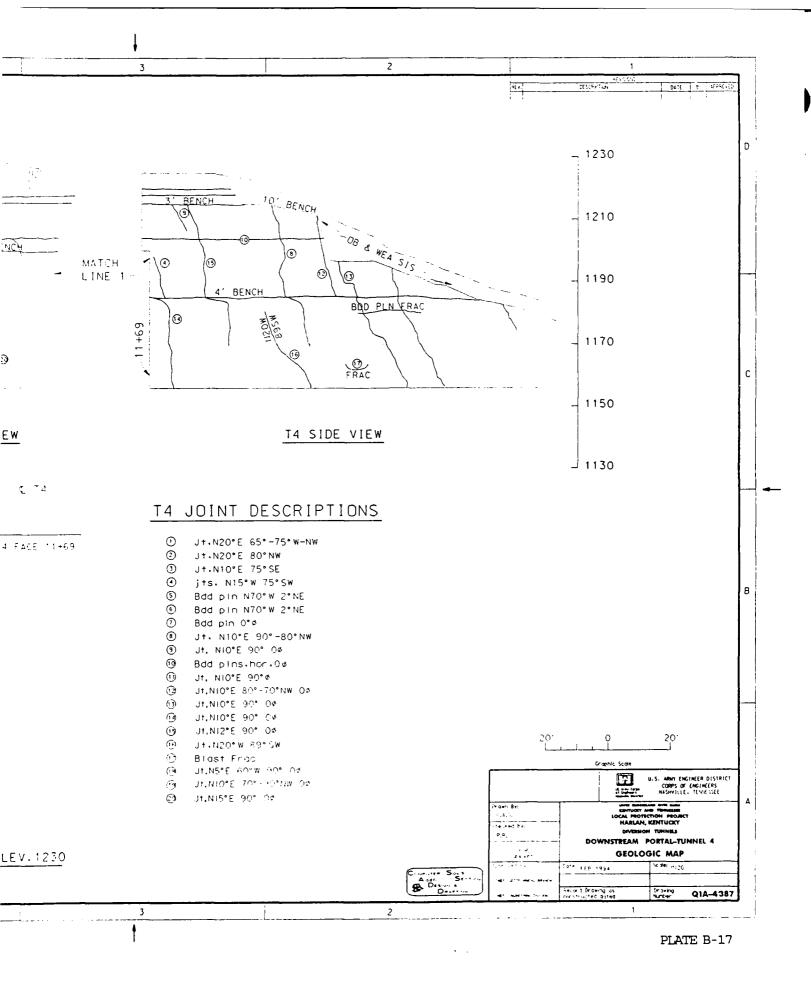
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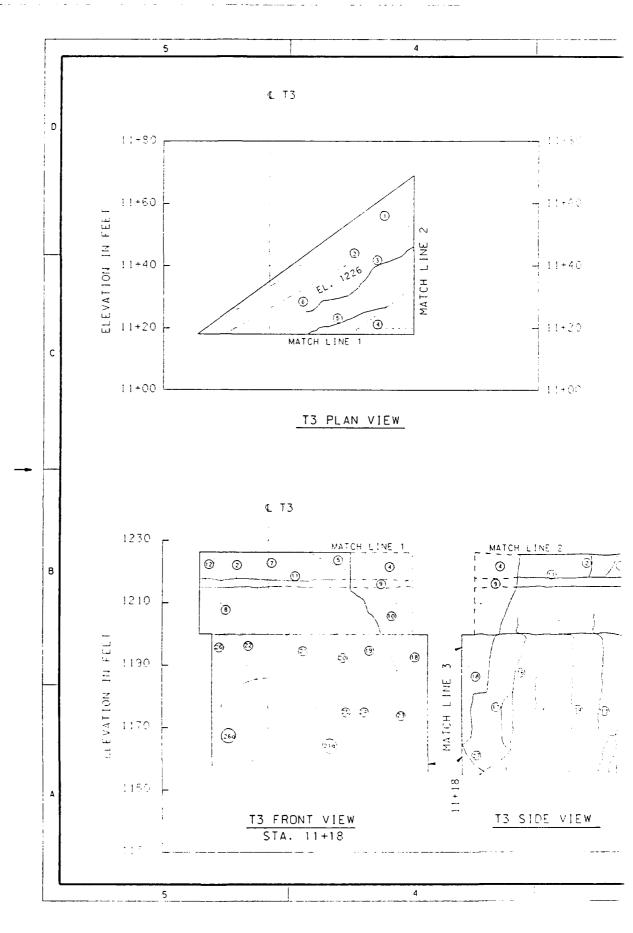
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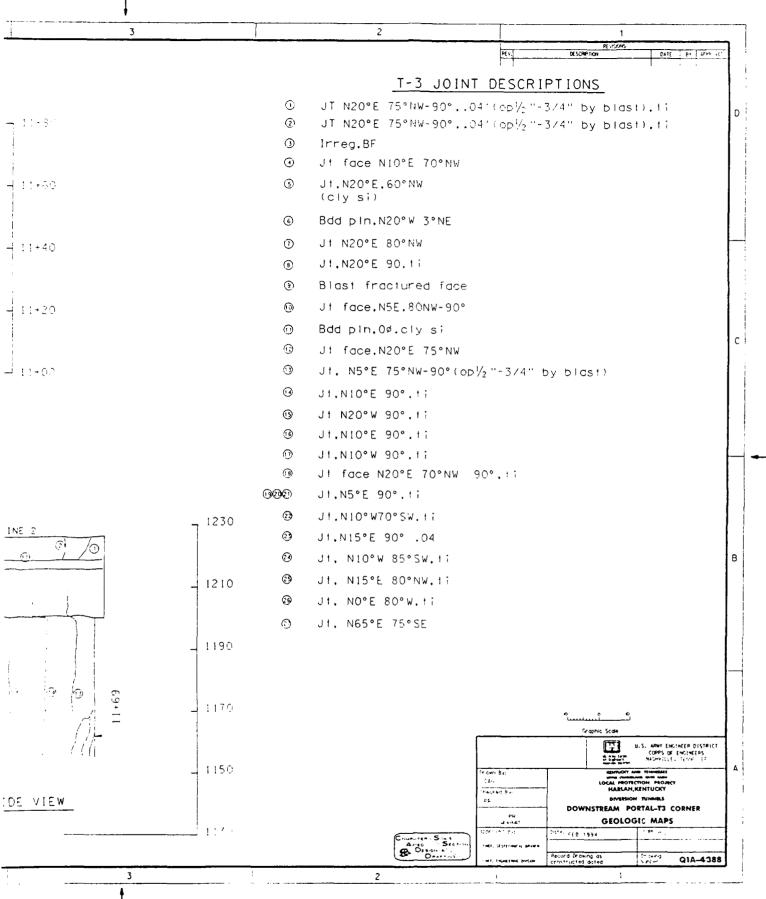


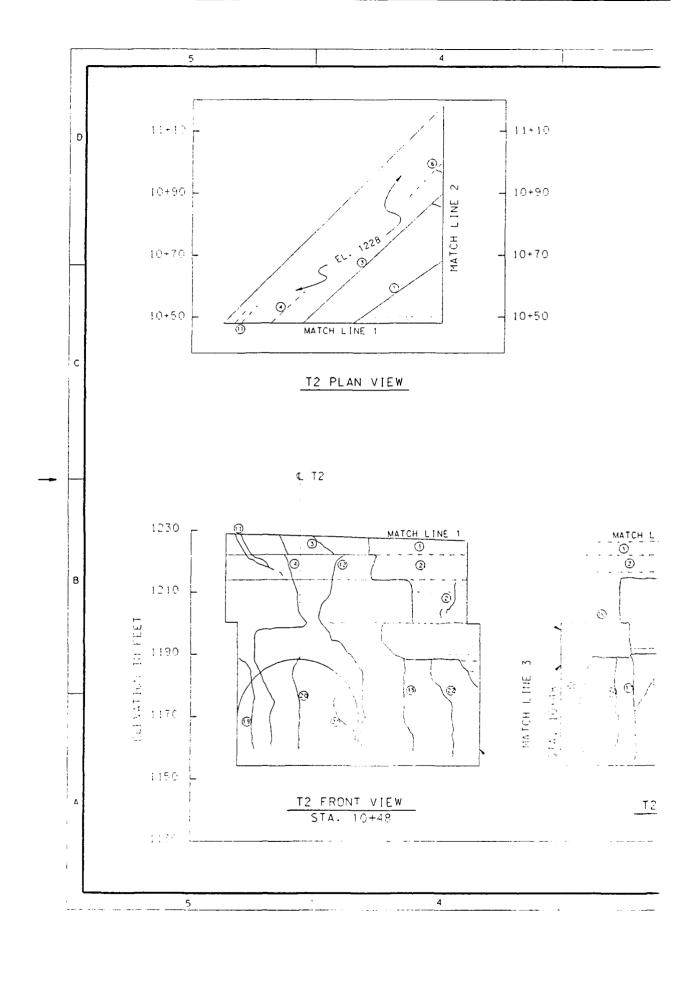












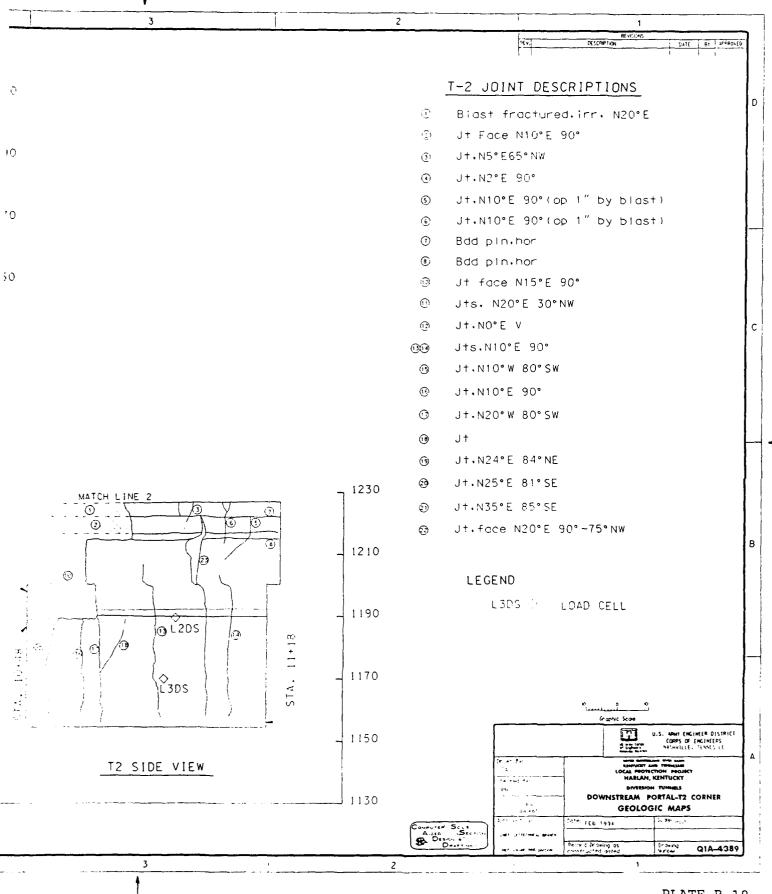
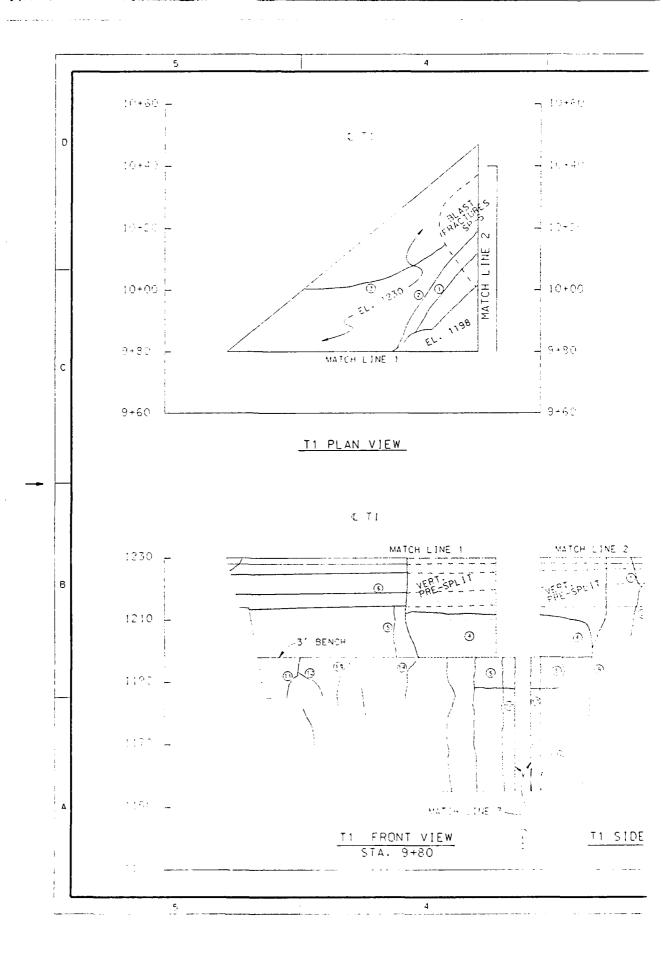
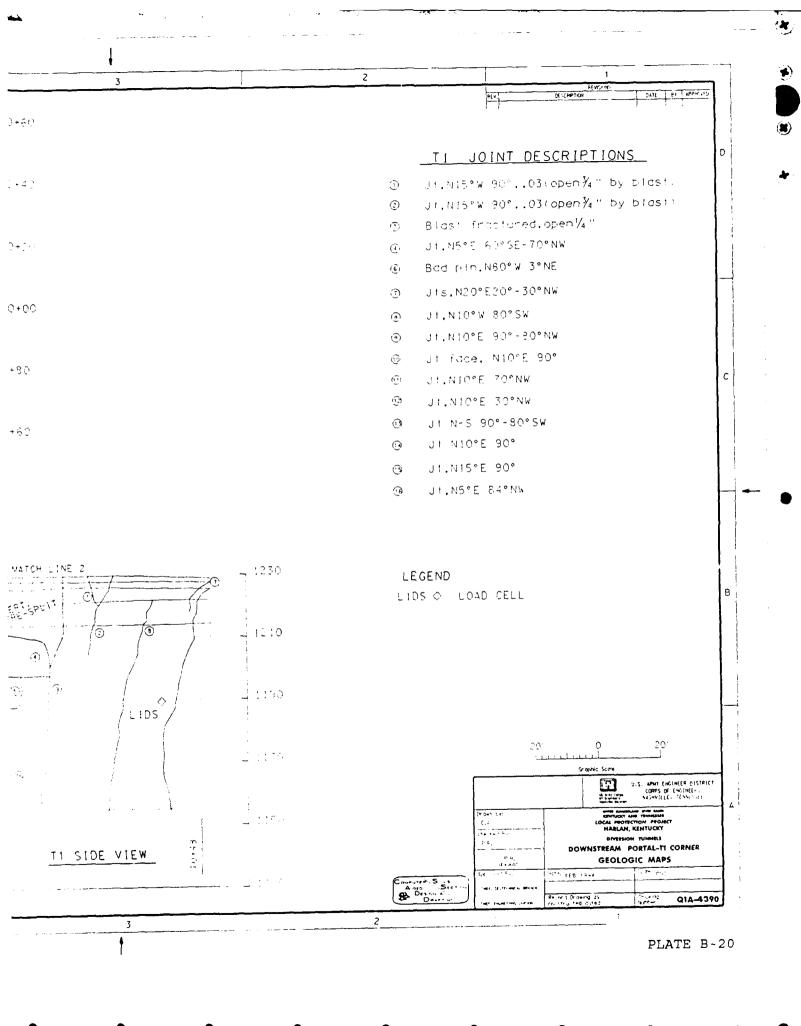


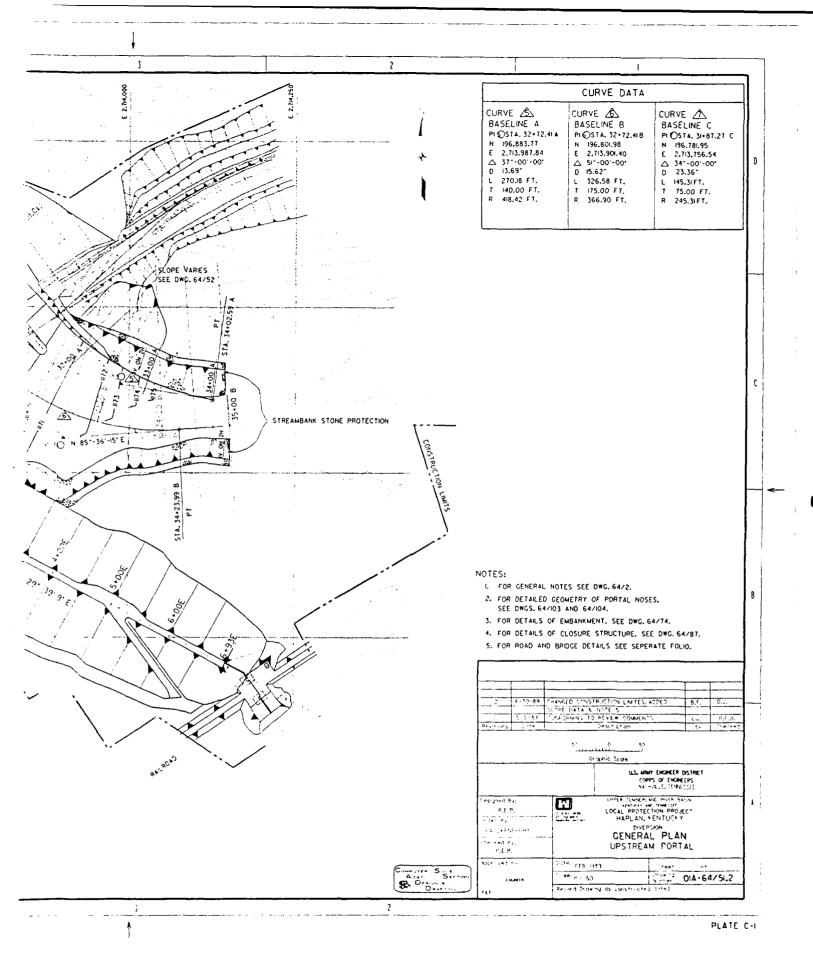
PLATE B-19

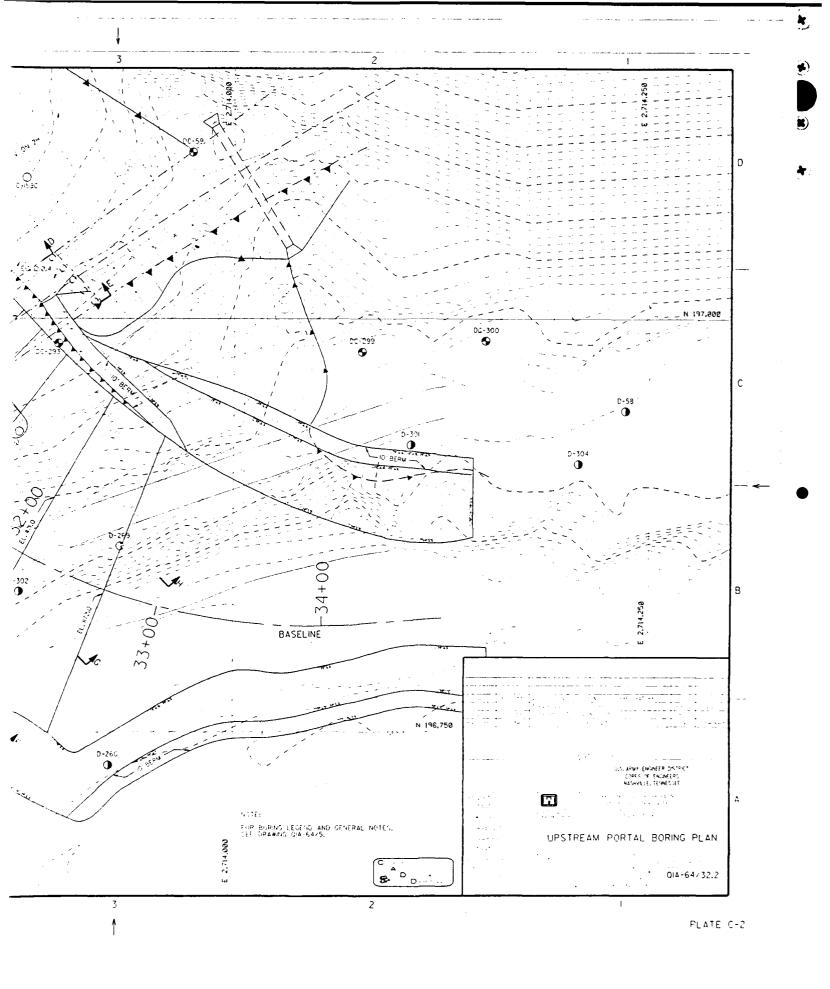


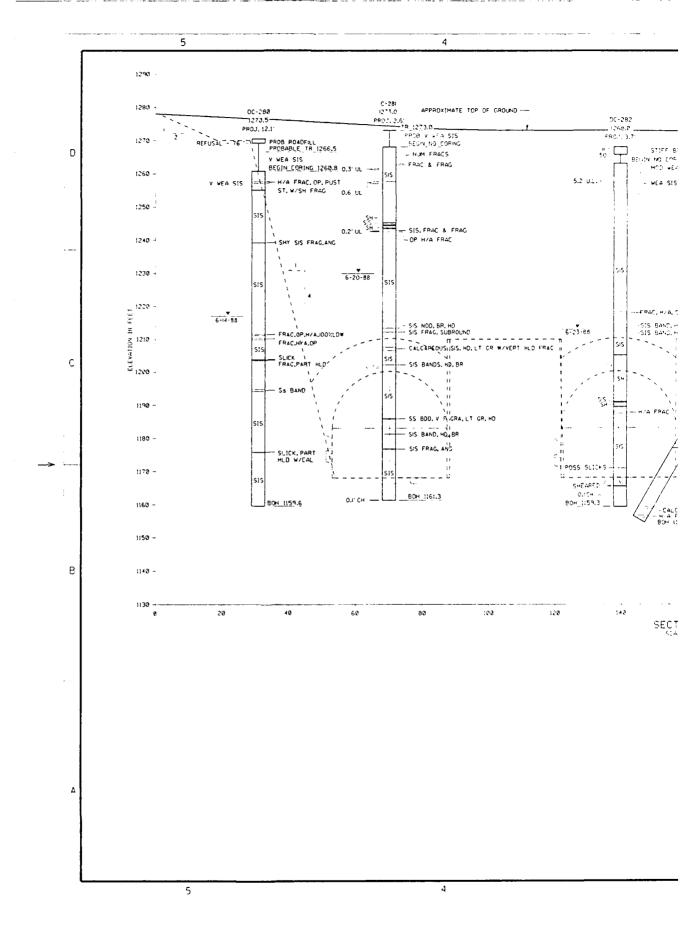


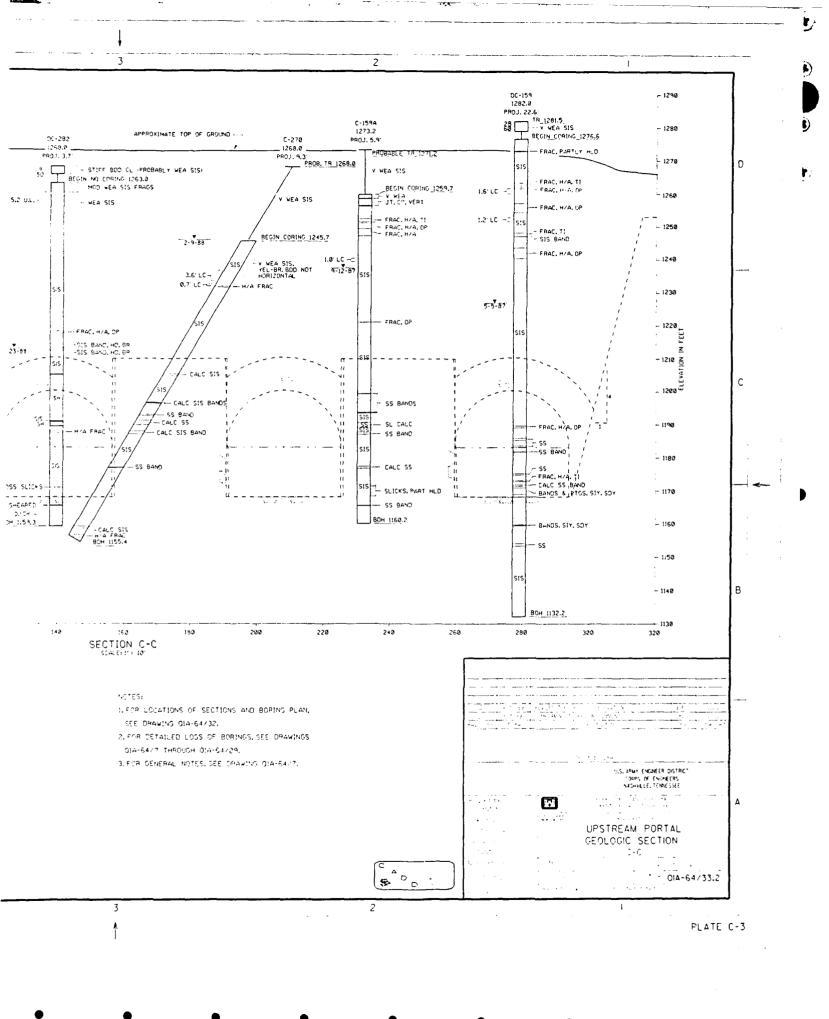
Appendix C - Upstream Portal

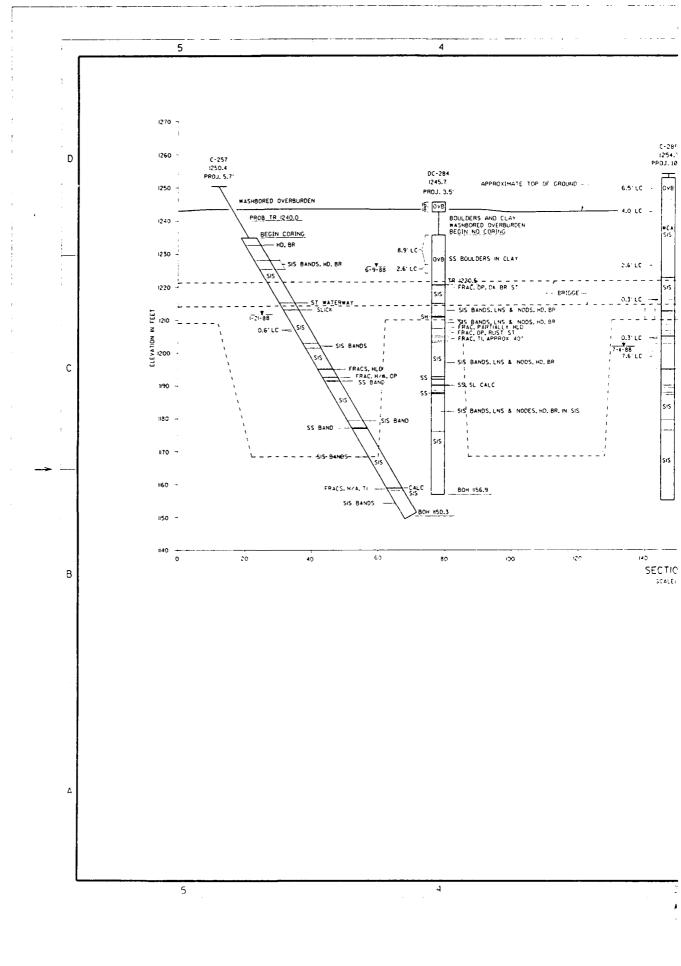
Plate No.	Drawing No.	<u>Description</u>
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C-22 C-23	Q1A-4/395 Q1A-4/396	Nosing 2 Map Nosing 3 Map

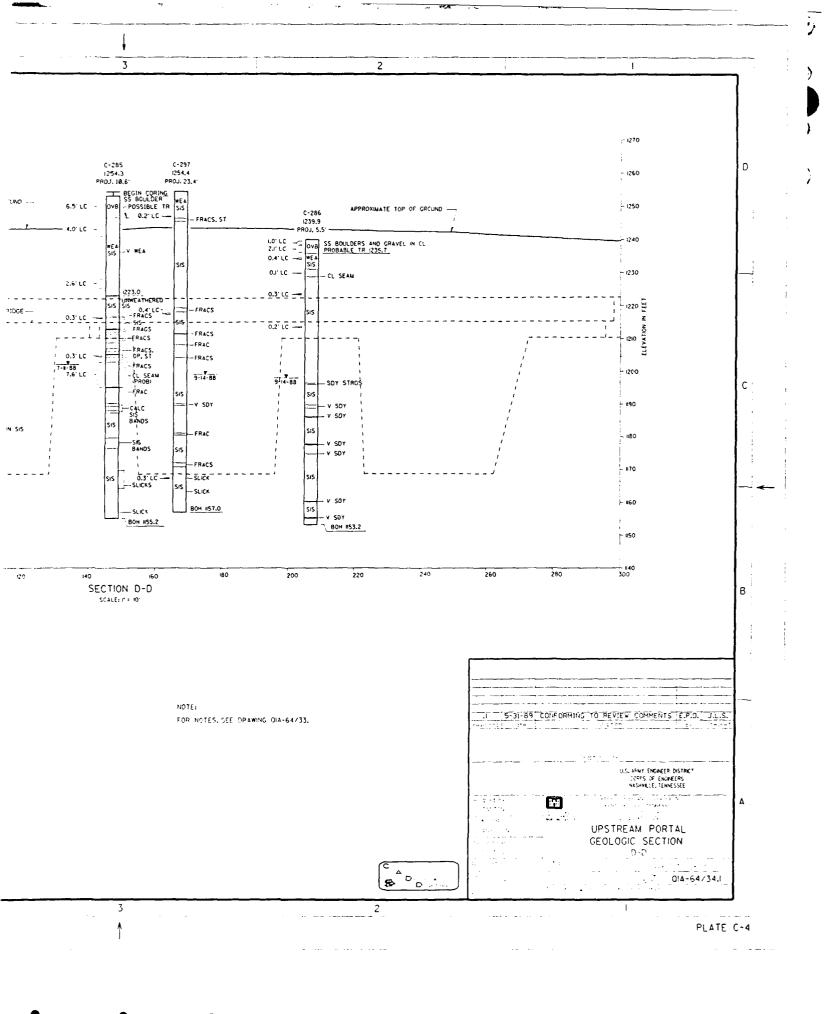


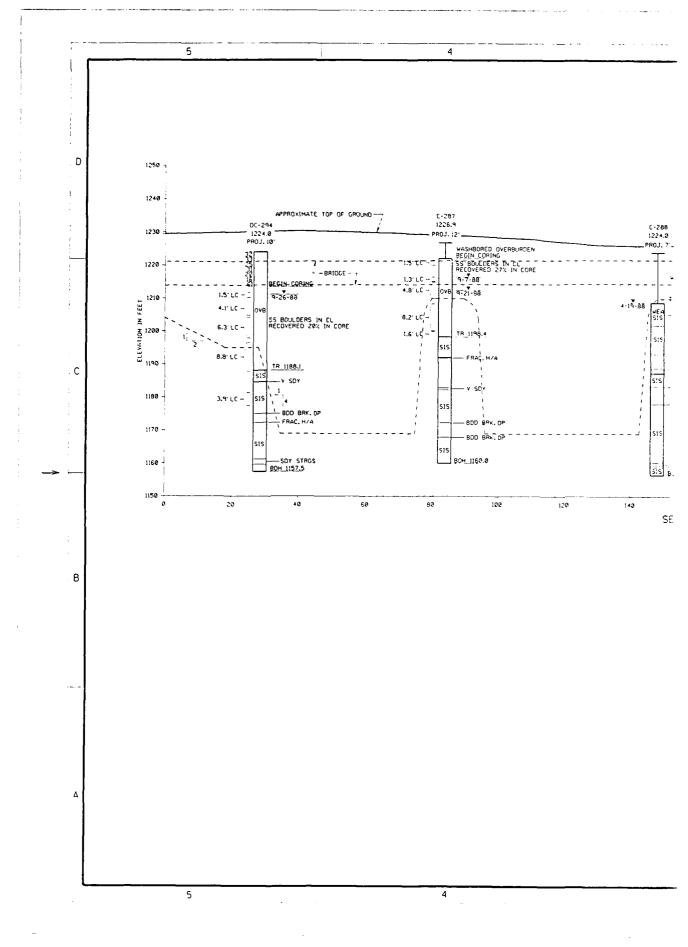


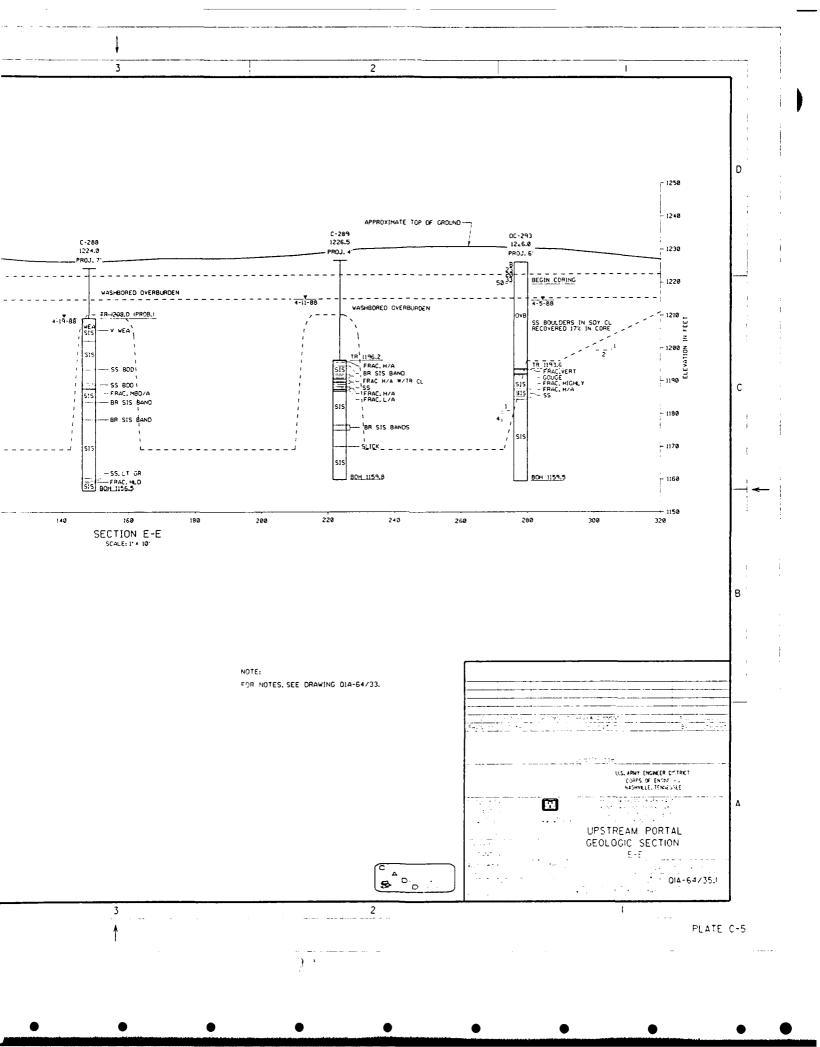


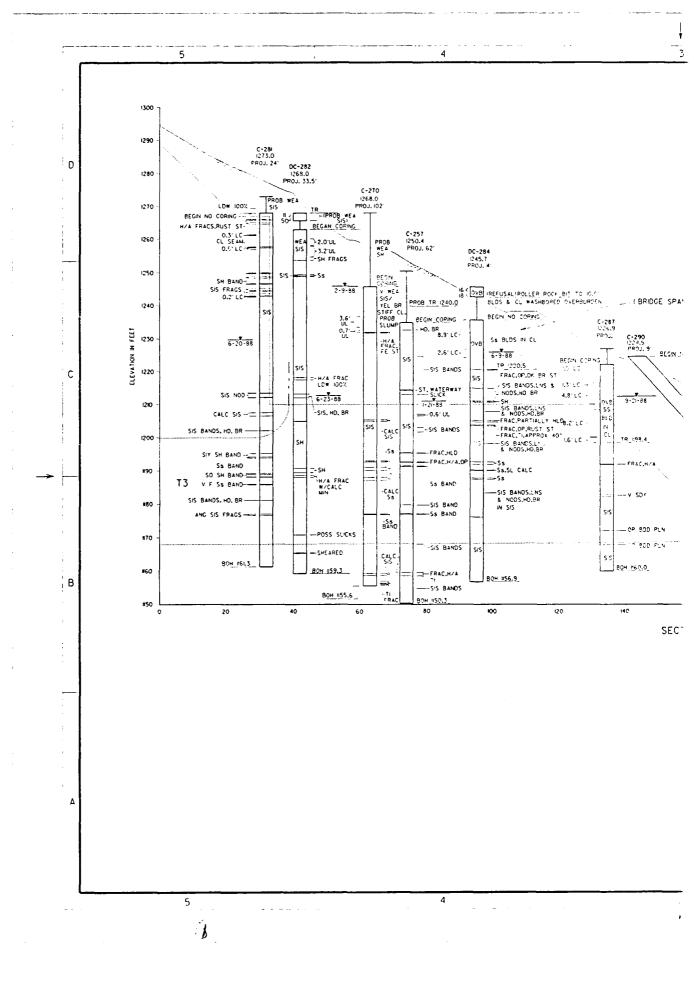


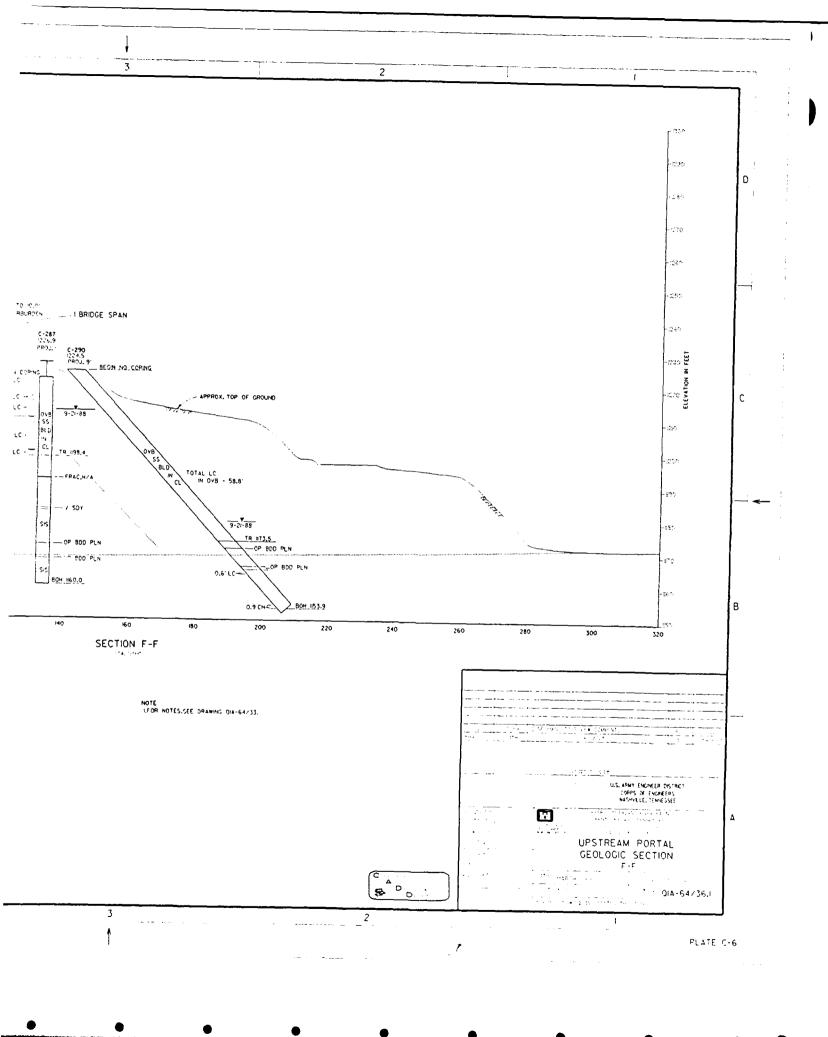


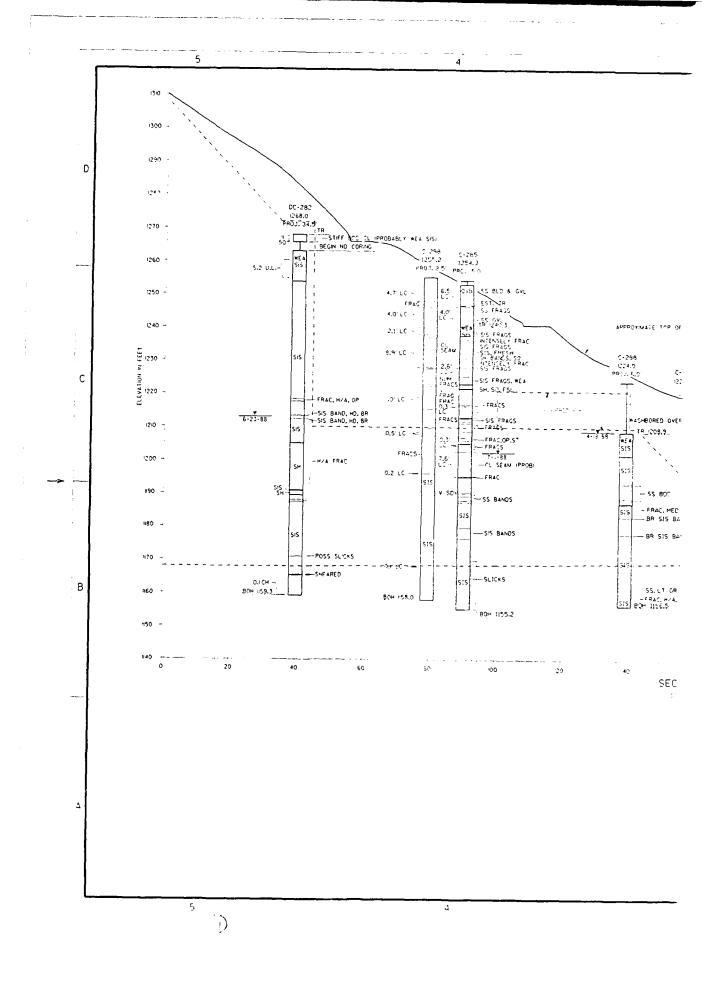


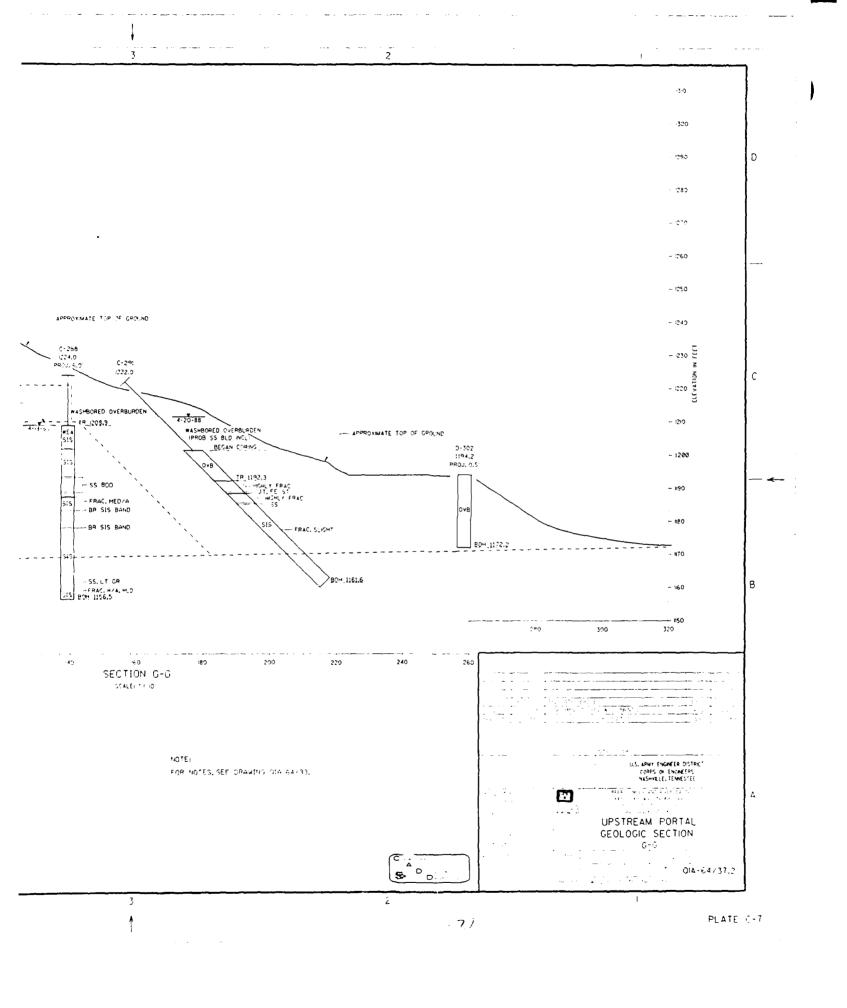




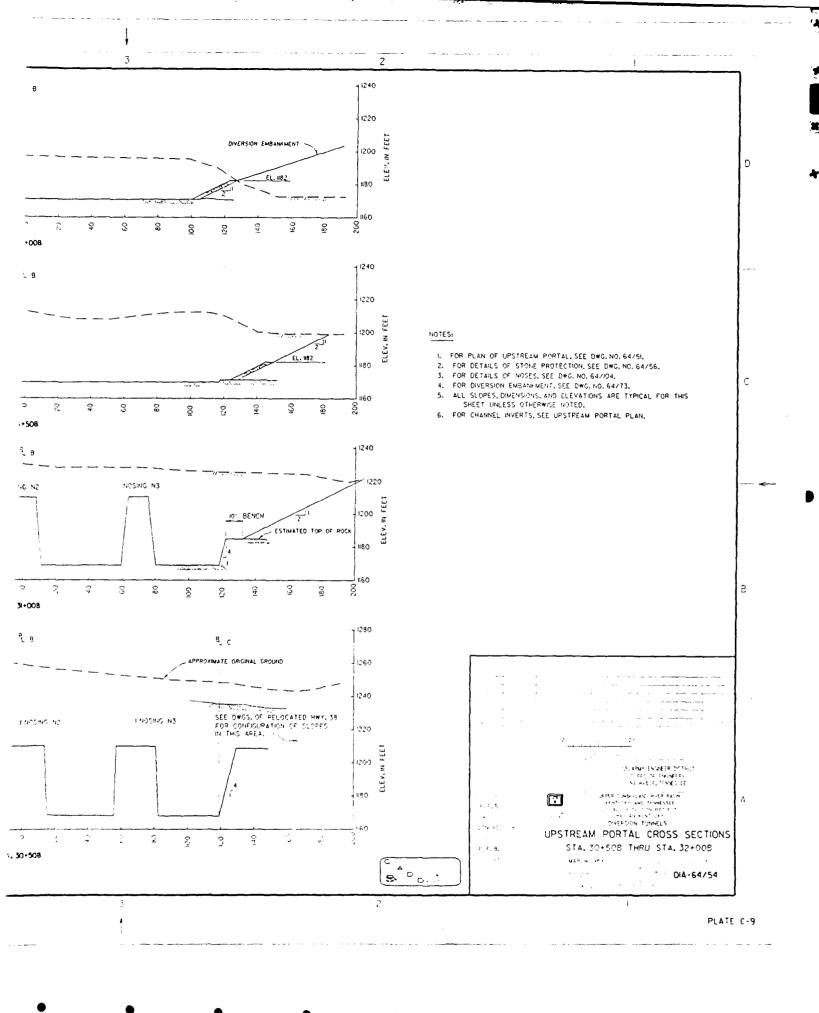


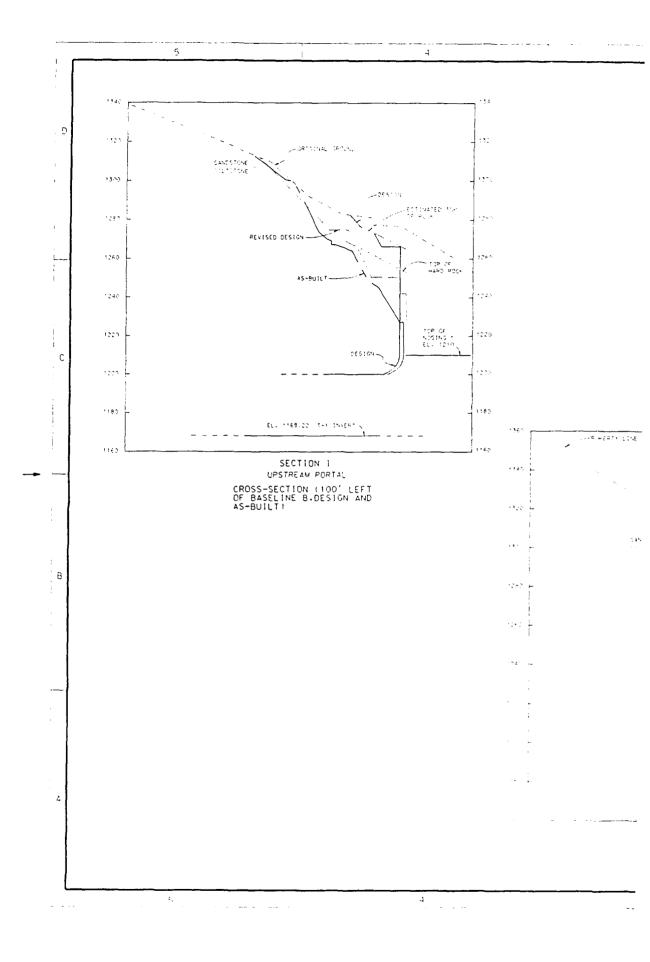




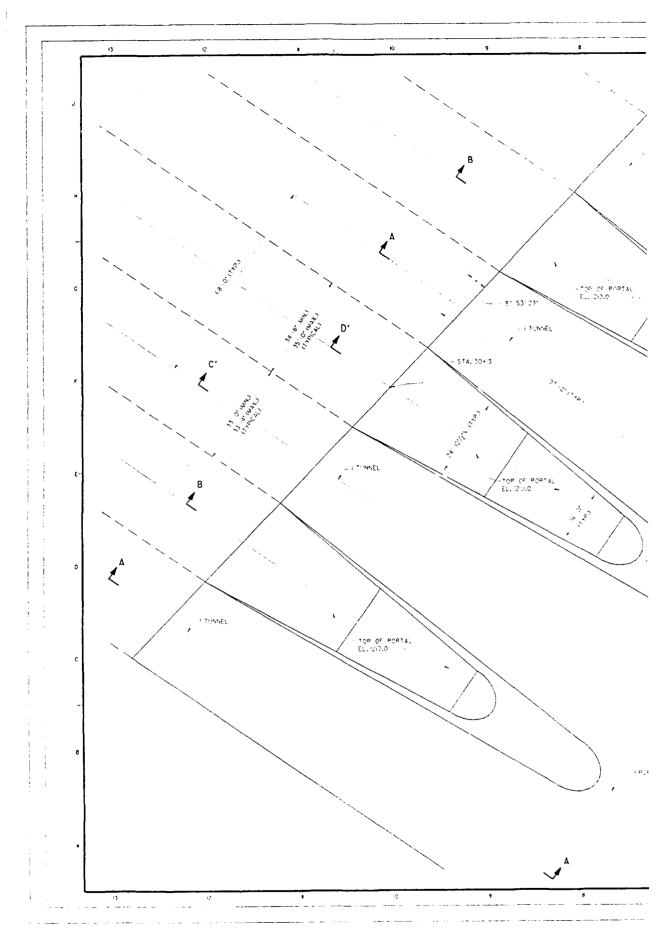


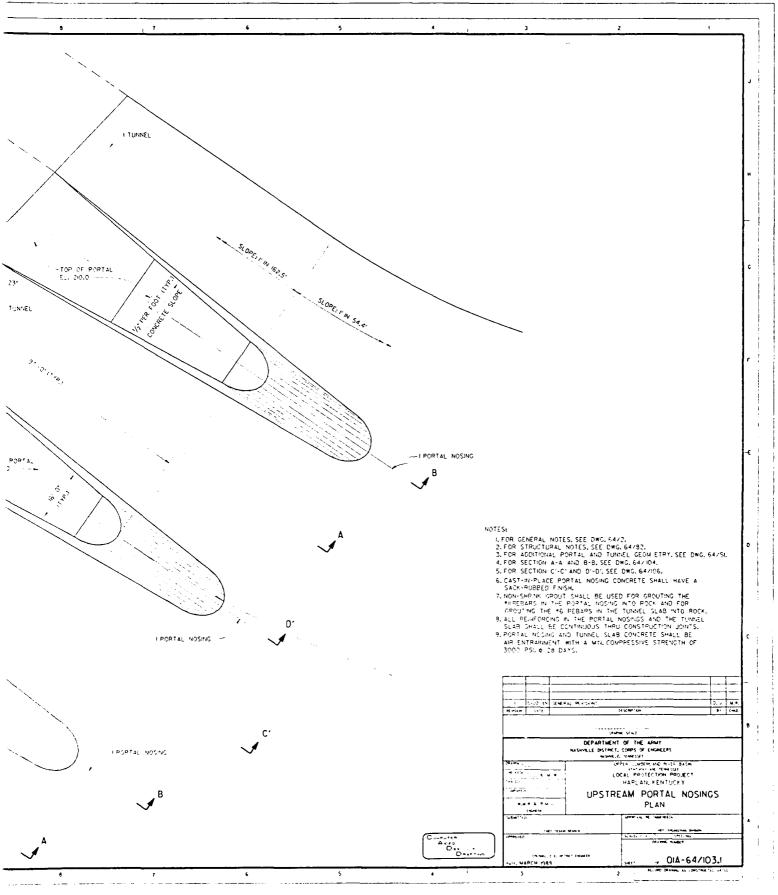
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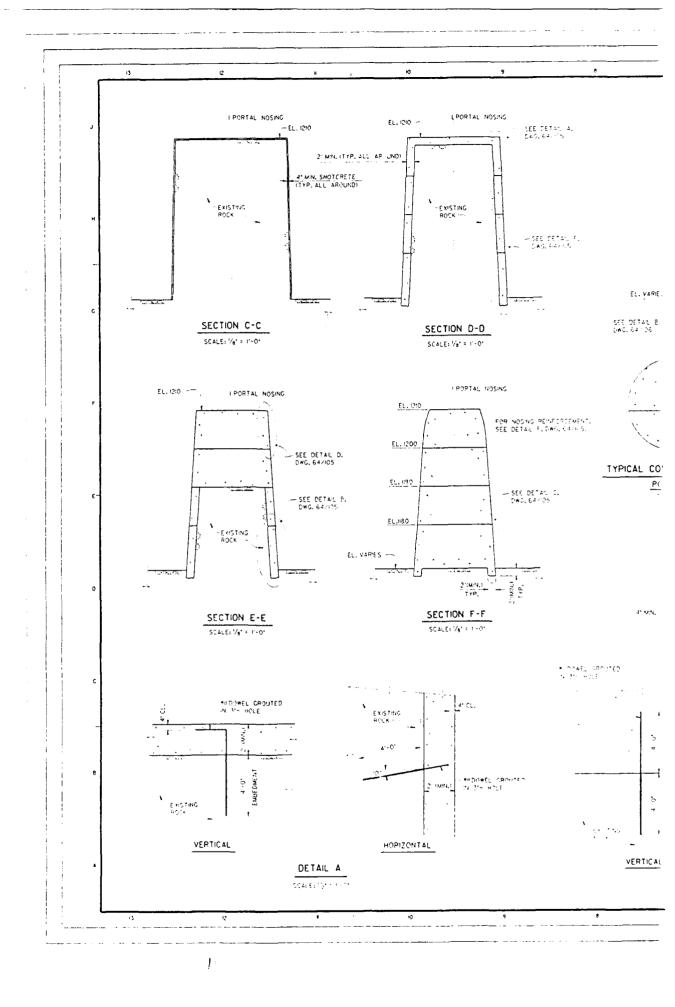


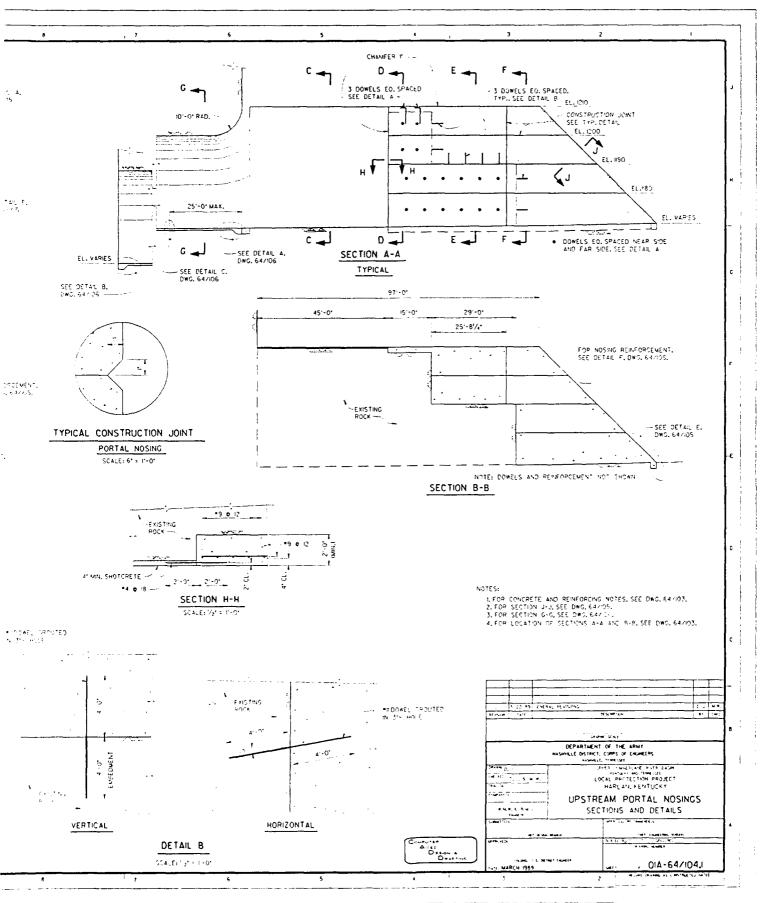
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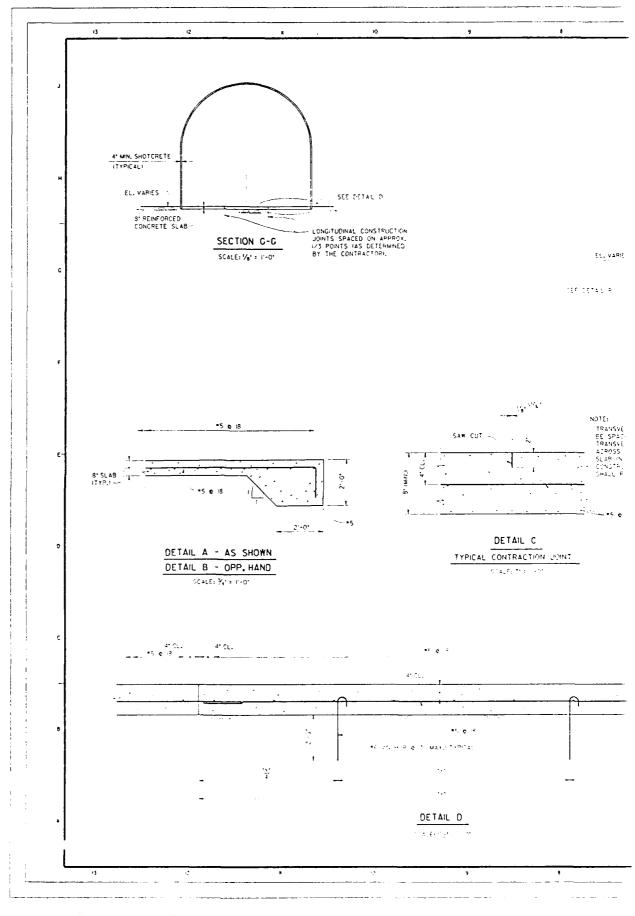




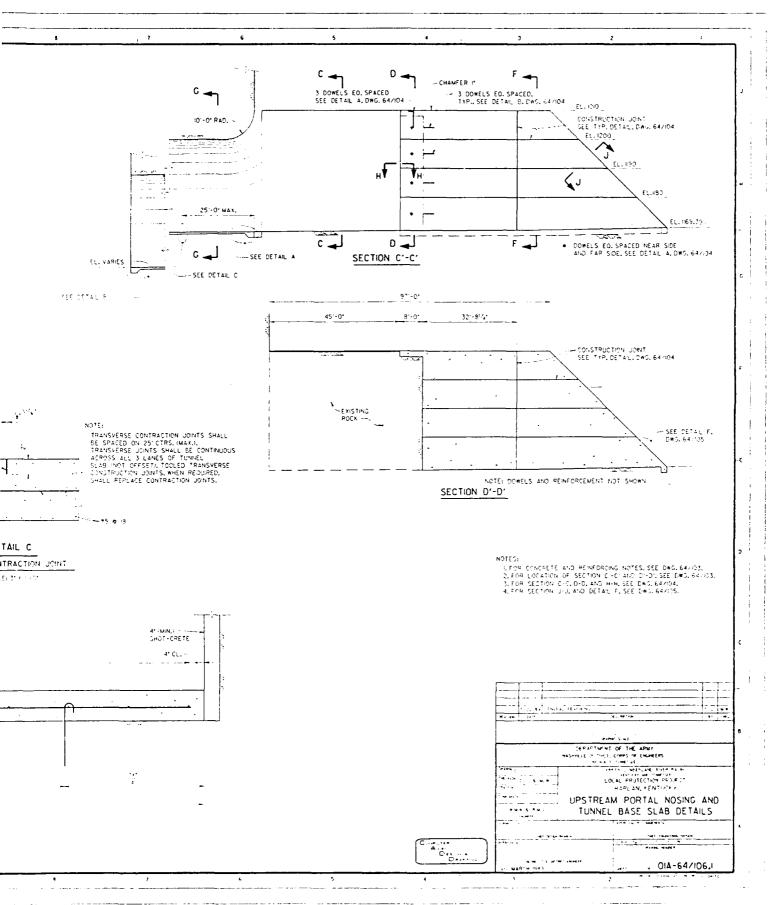
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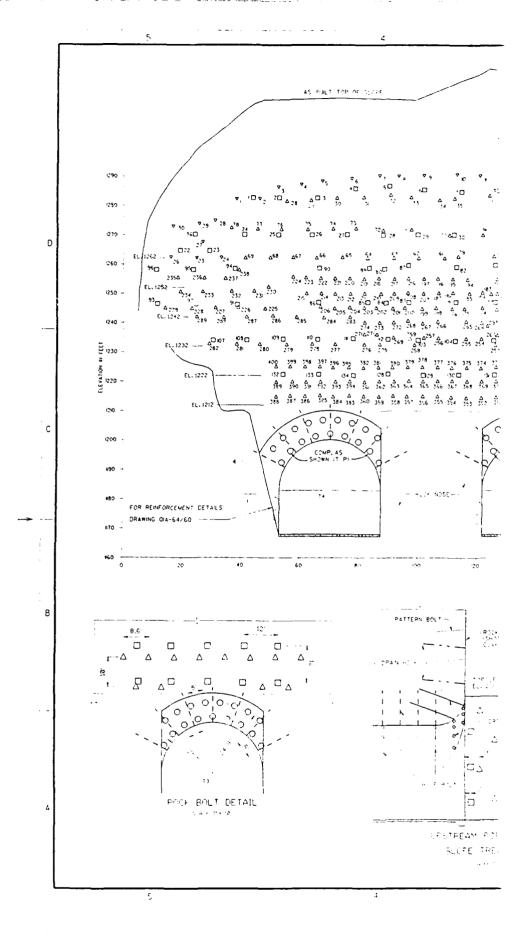






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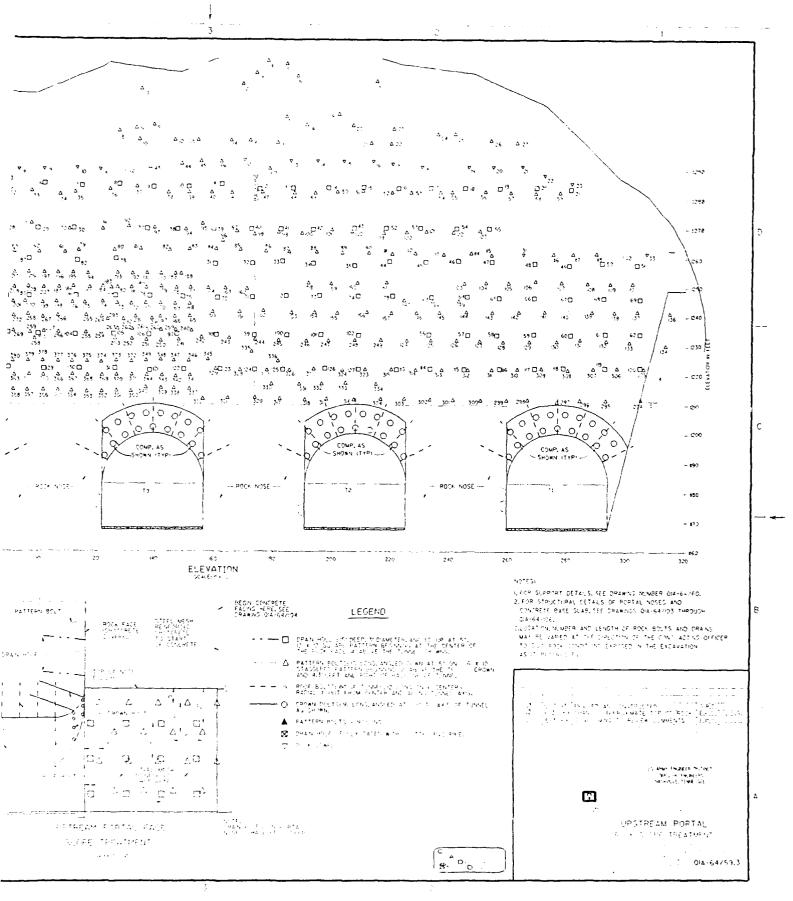
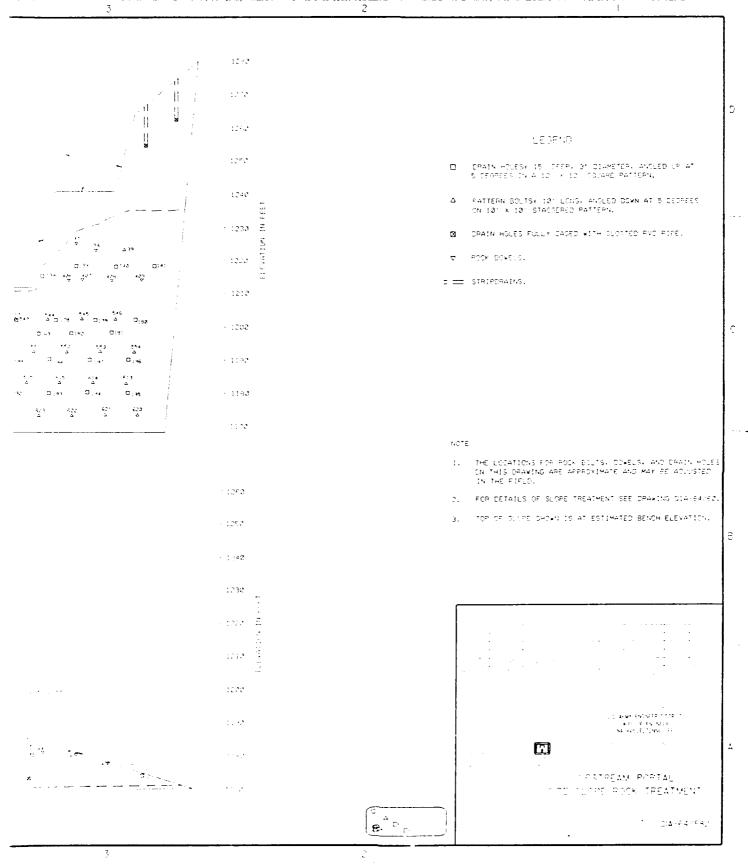
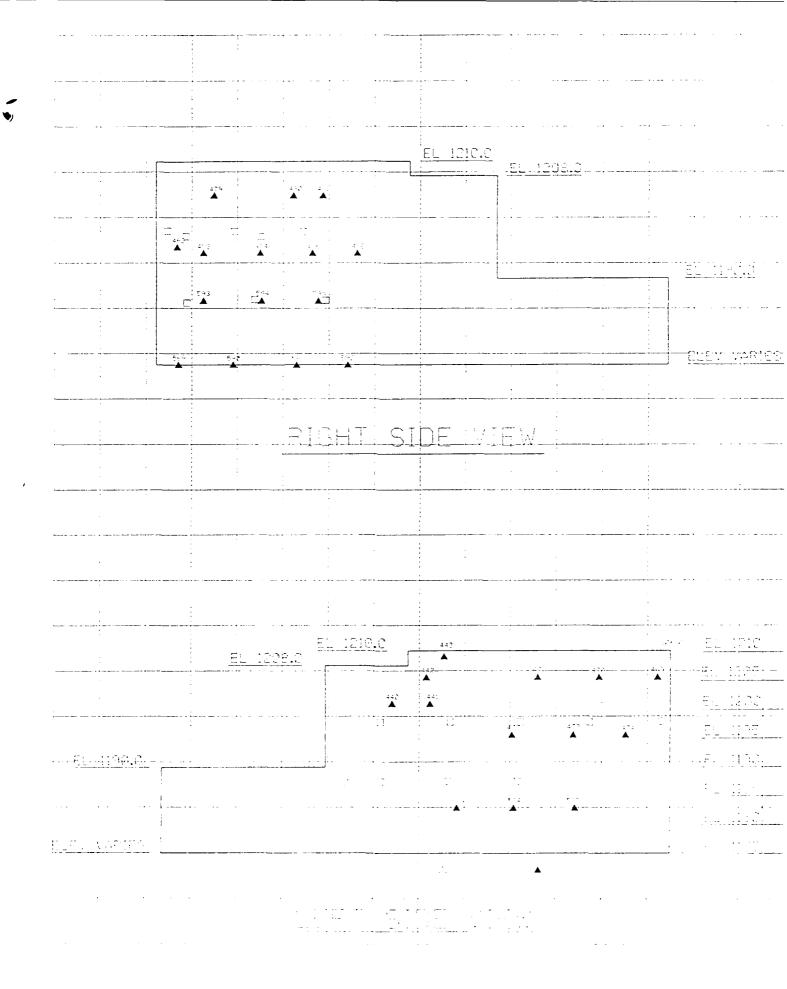
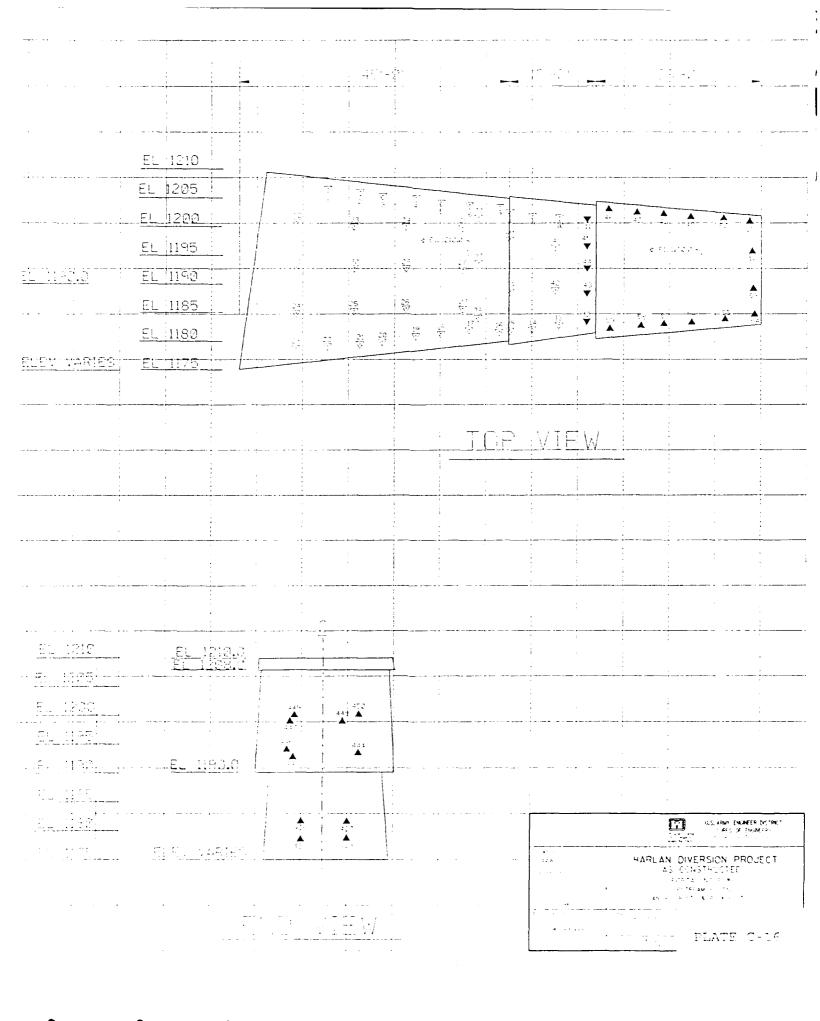
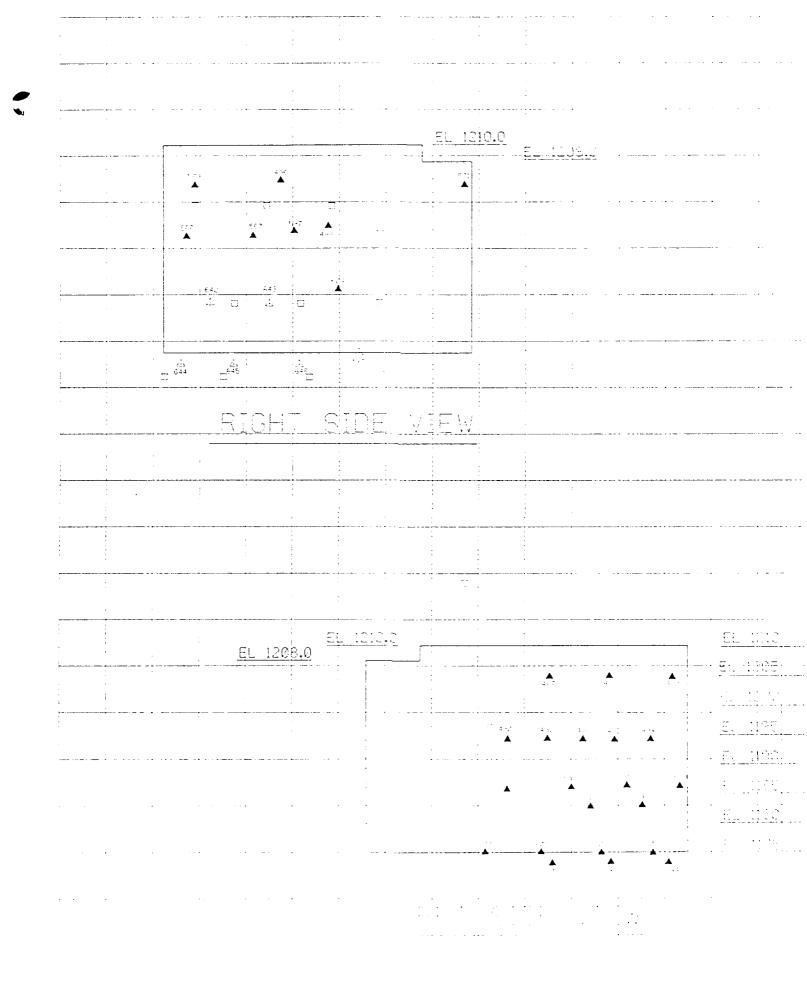


PLATE C-14







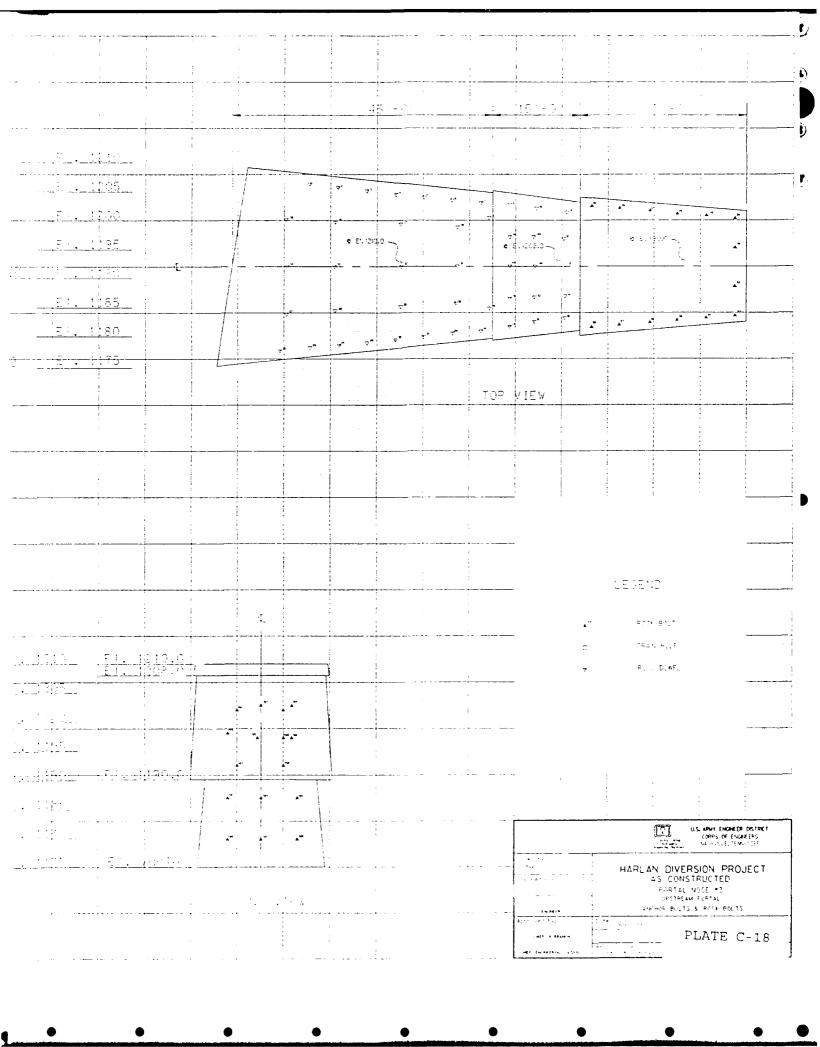


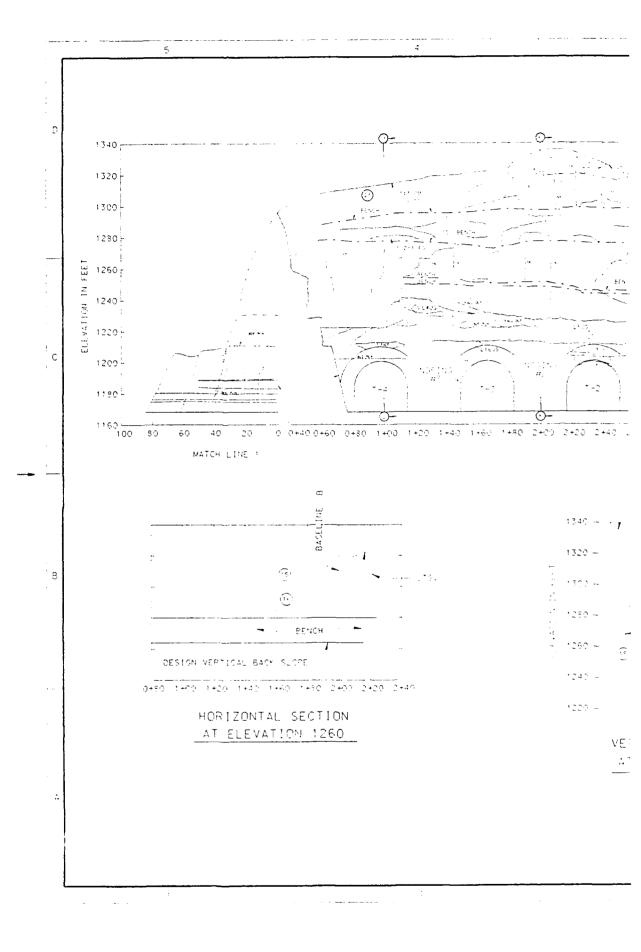
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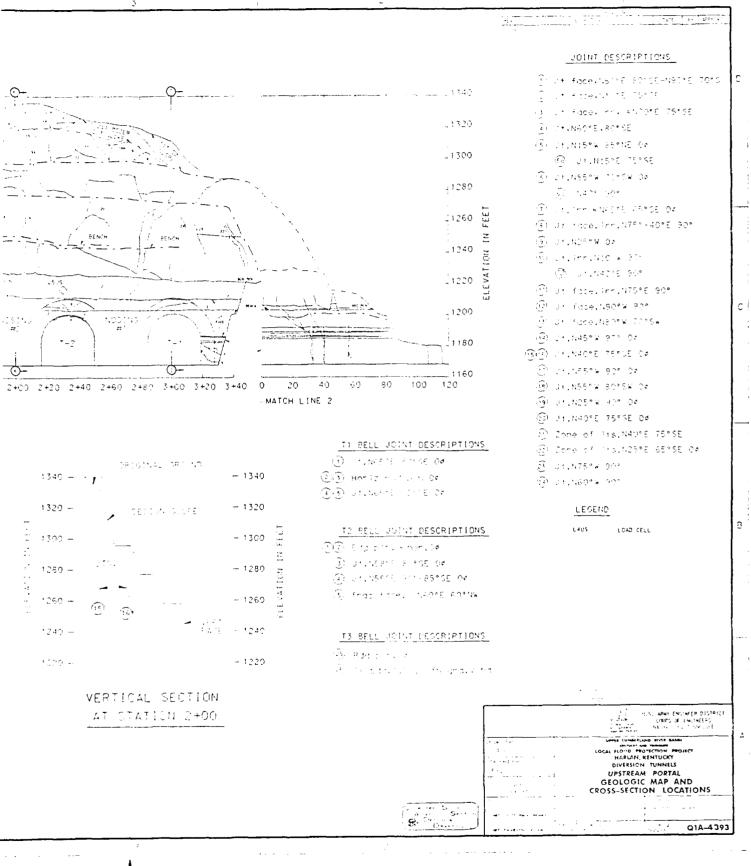
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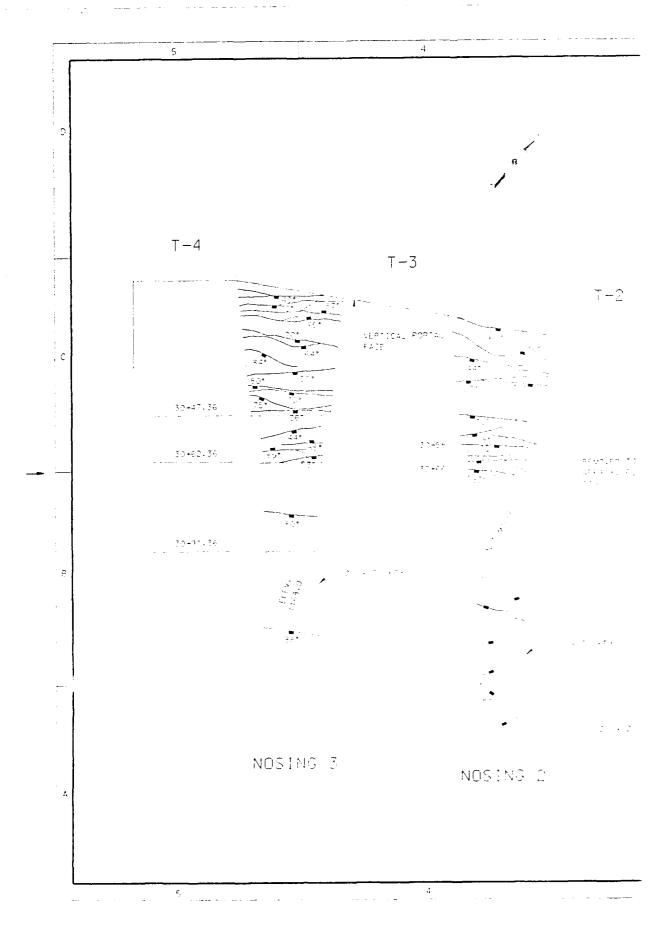
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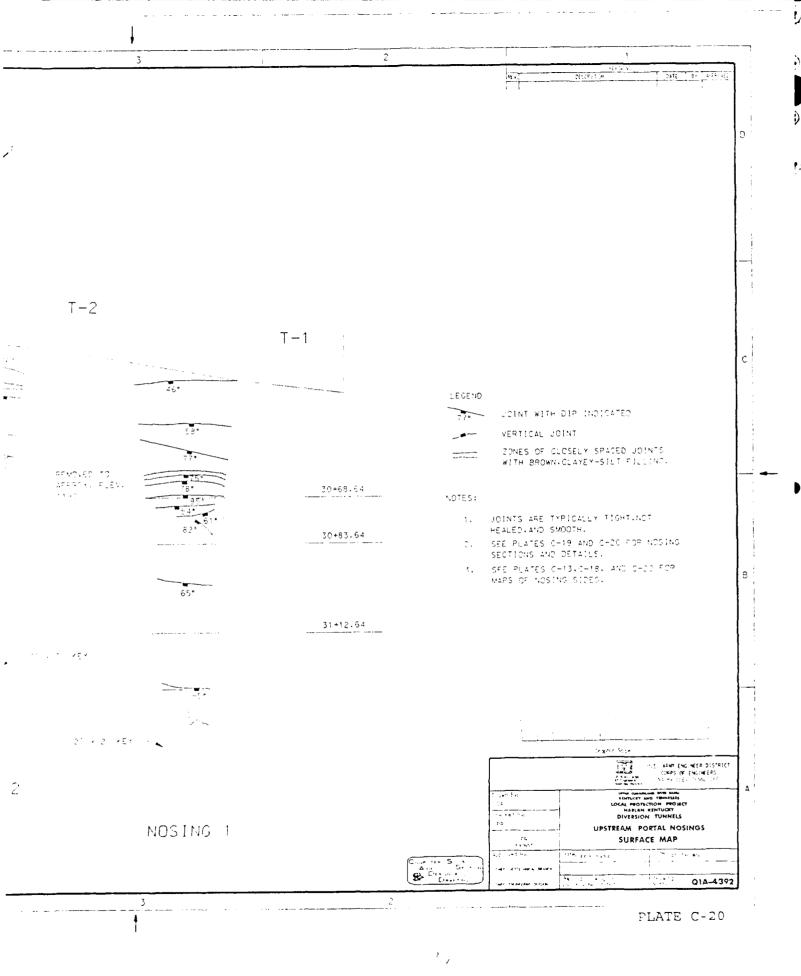
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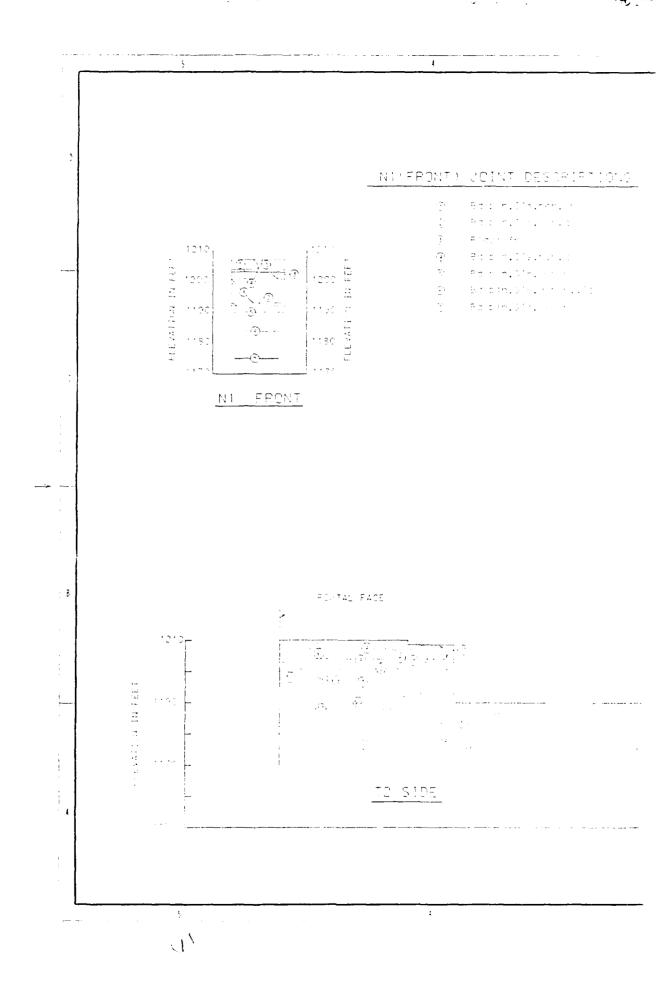




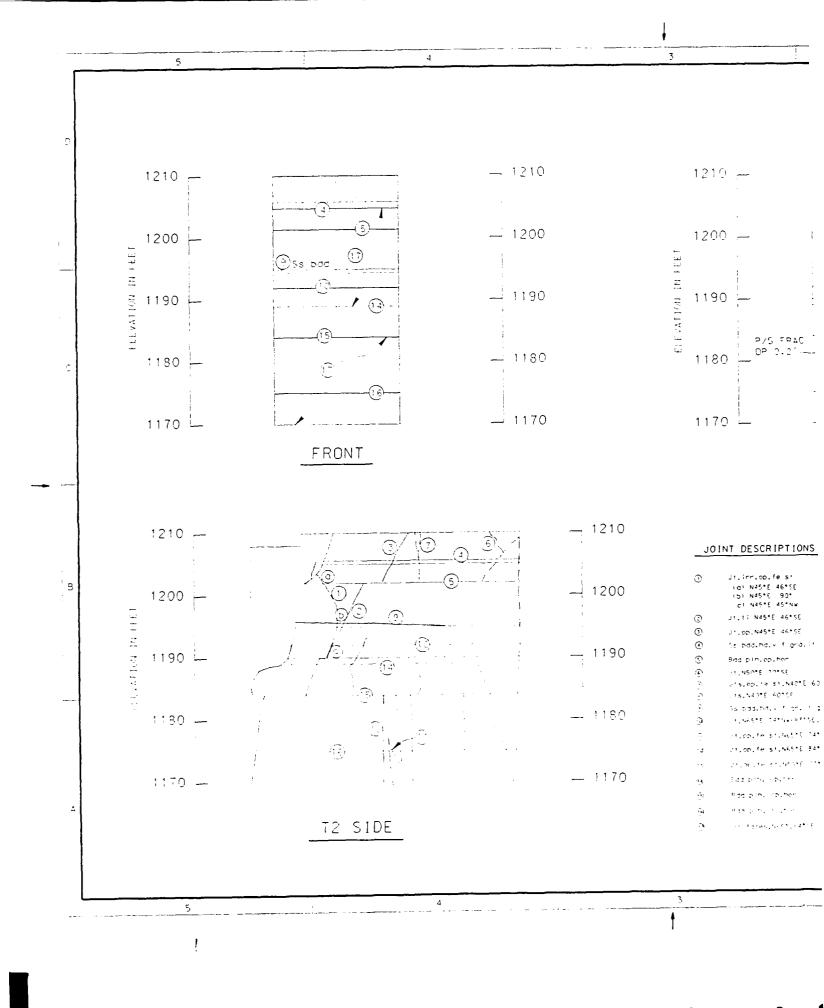


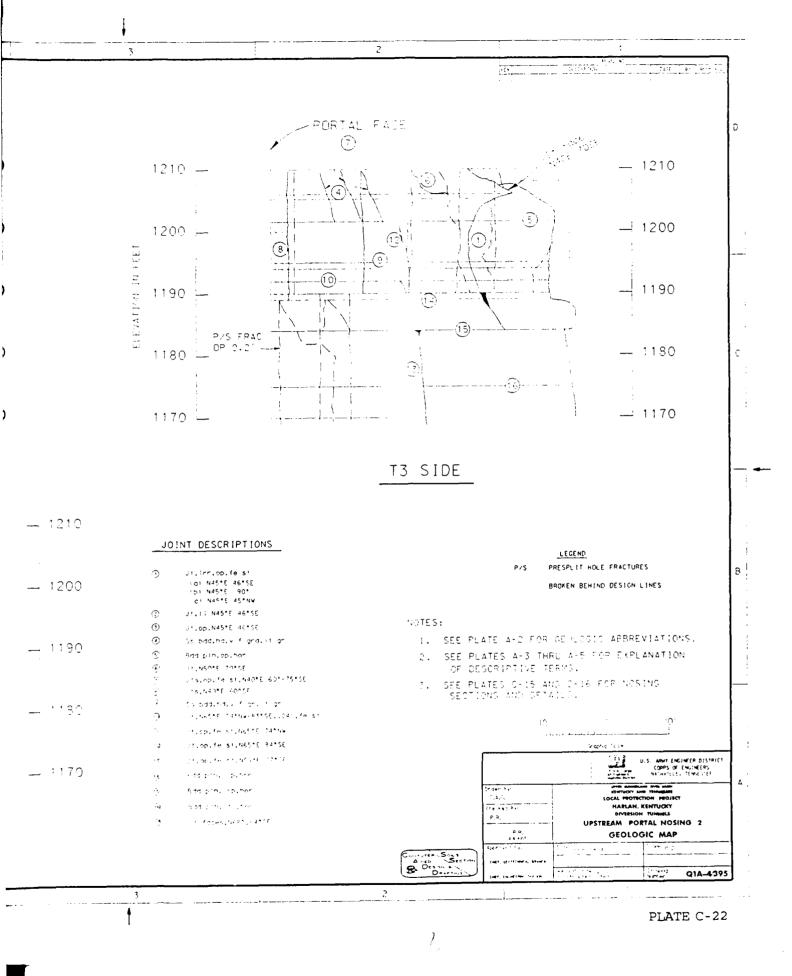


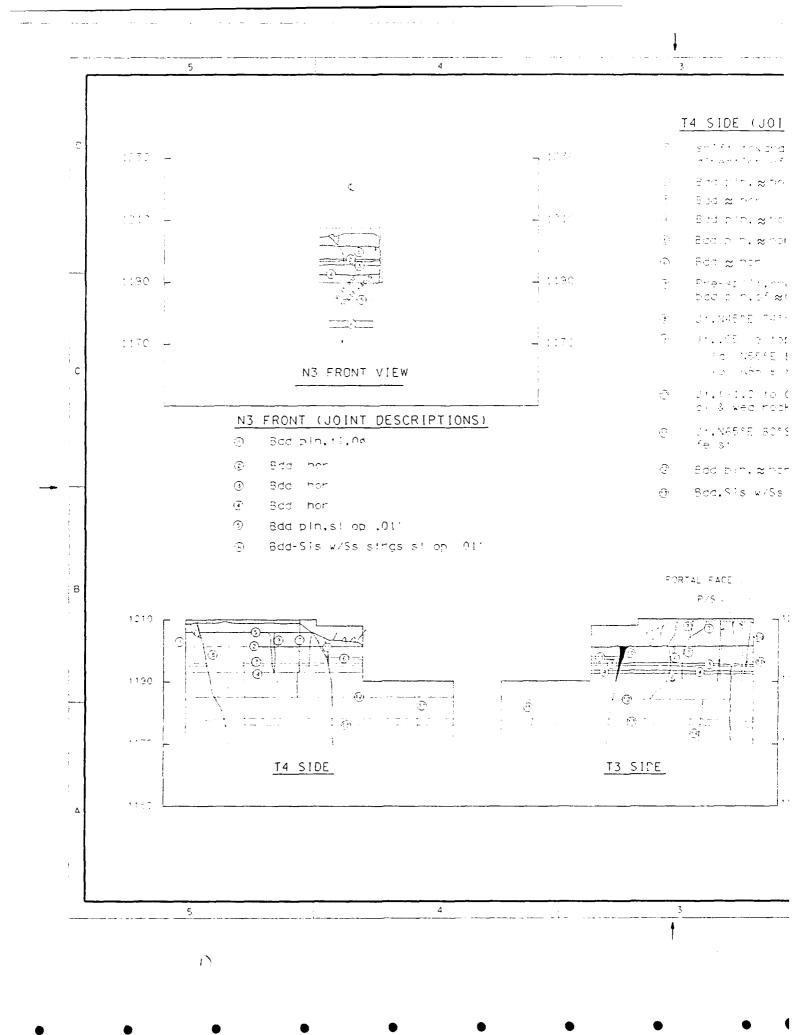


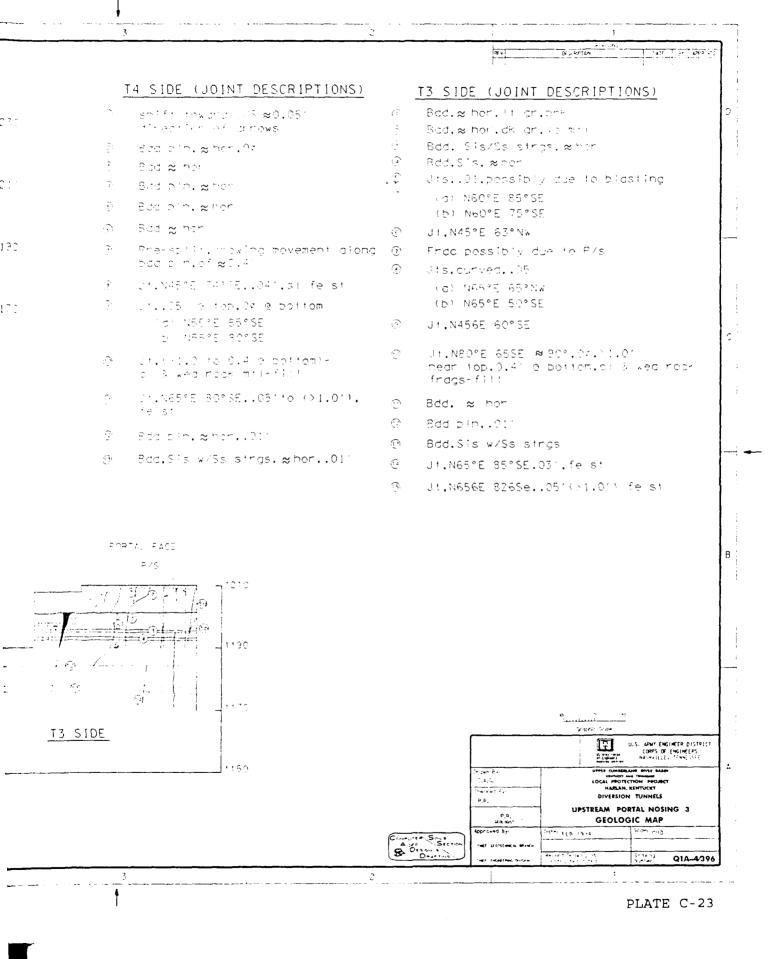


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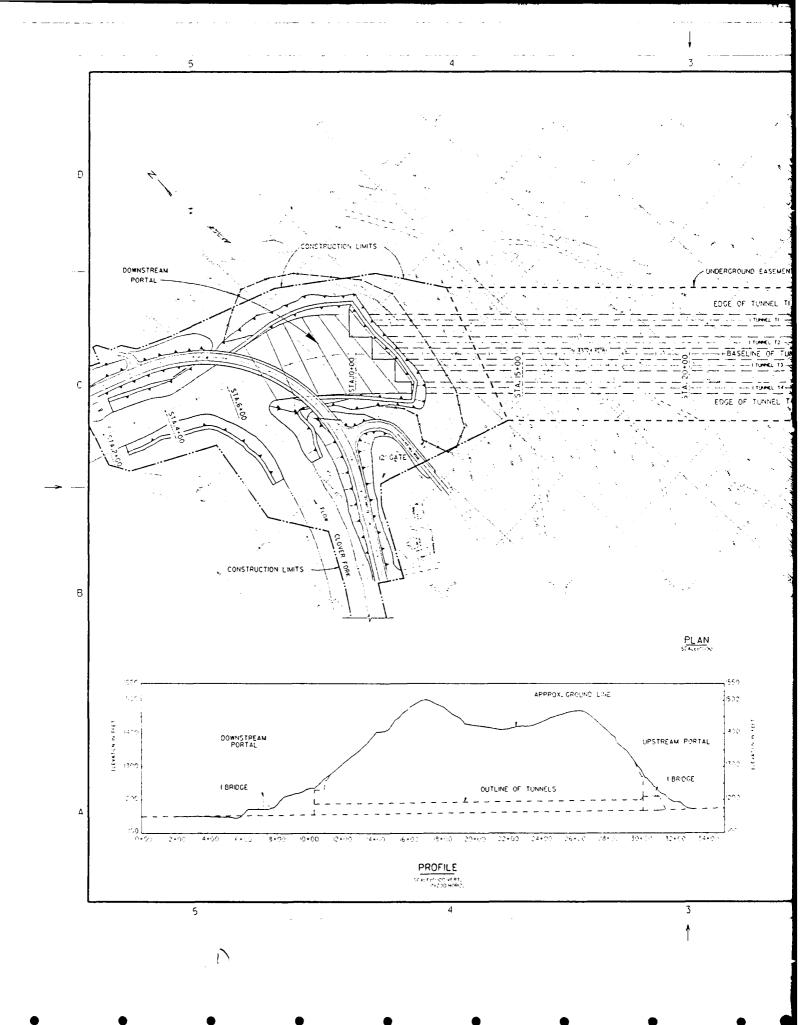


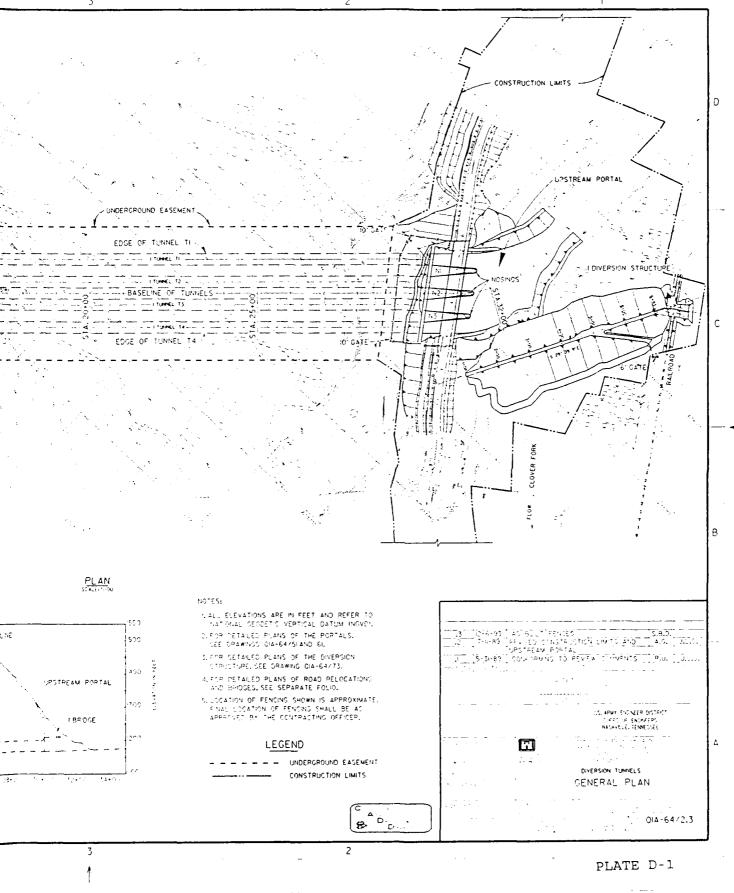


Appendix D - Tunnels

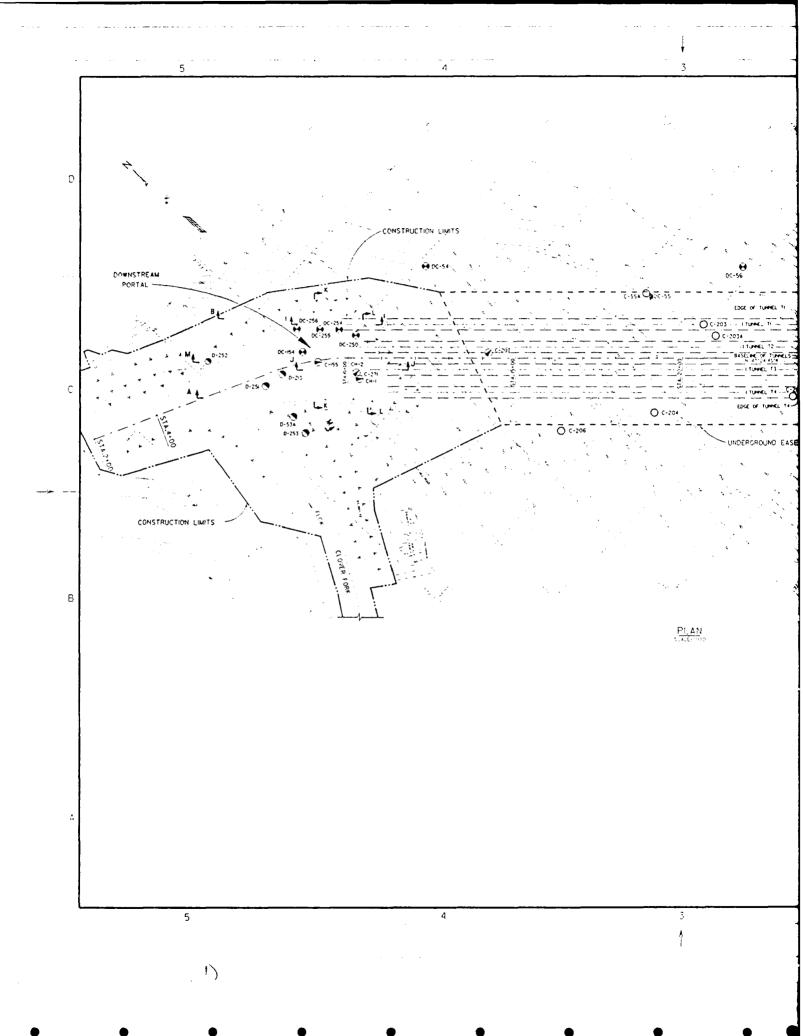
Plate No.	Drawing No.	<u>Description</u>
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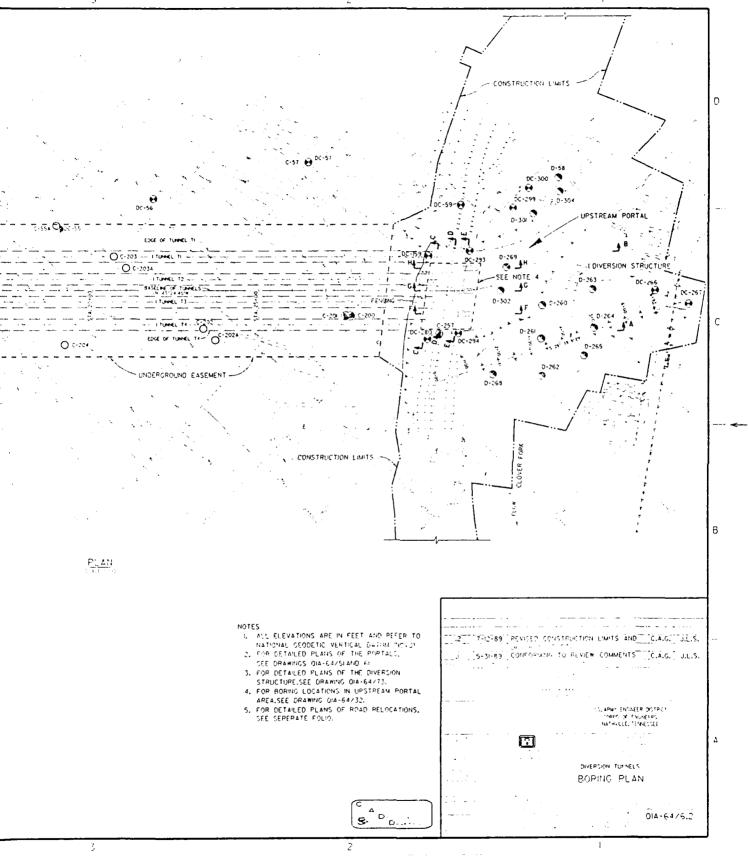
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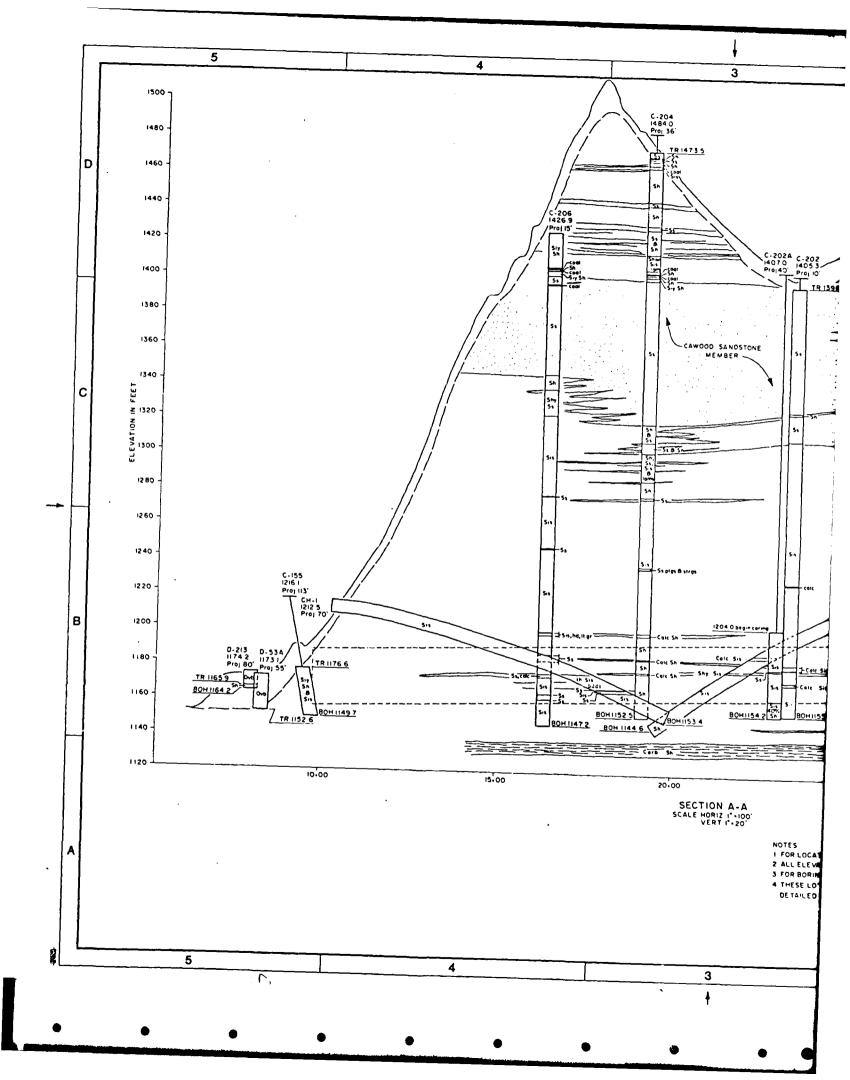


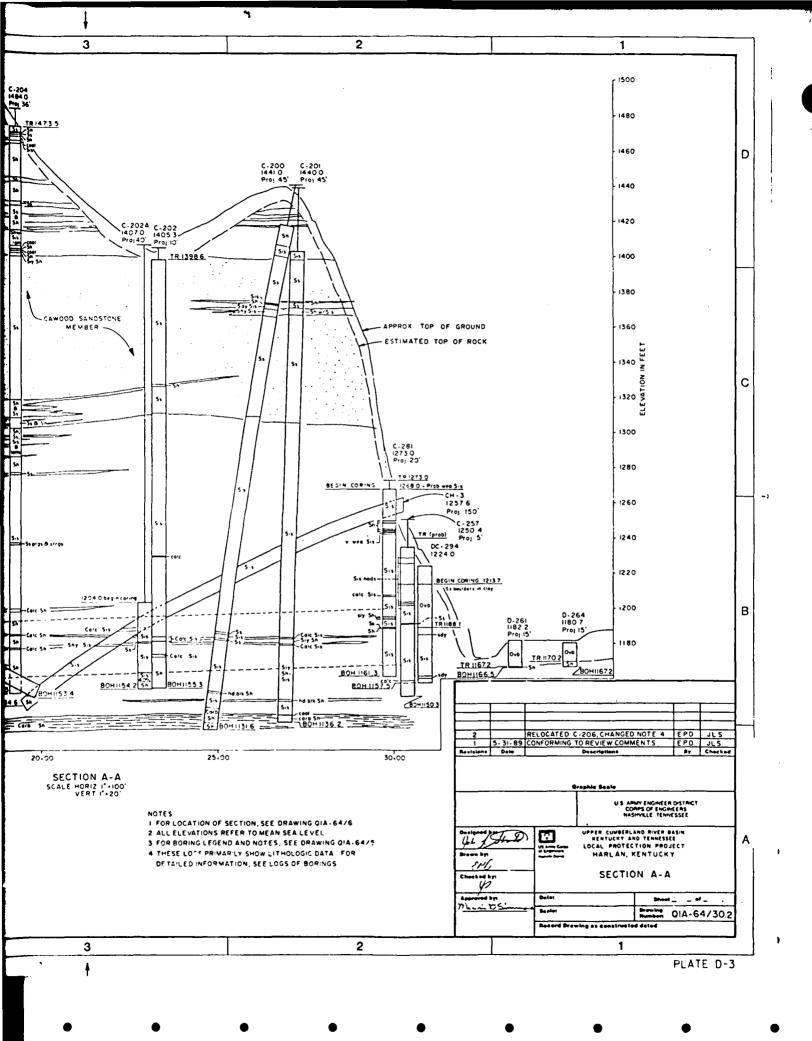


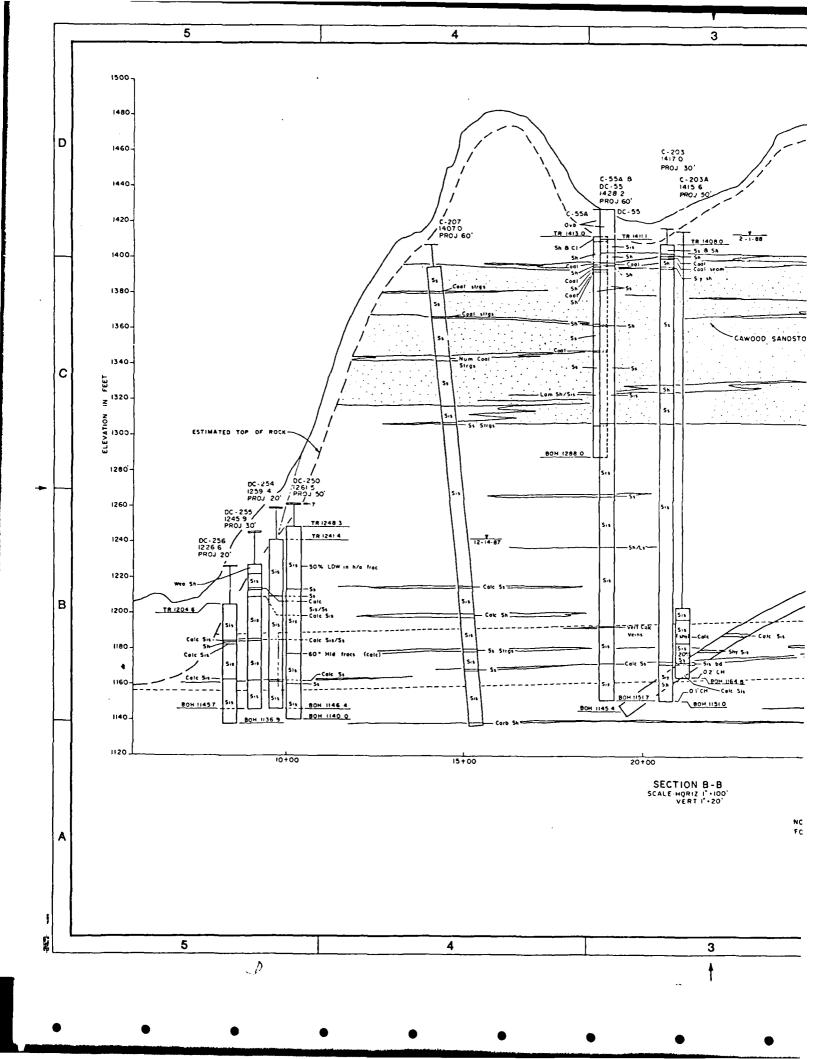
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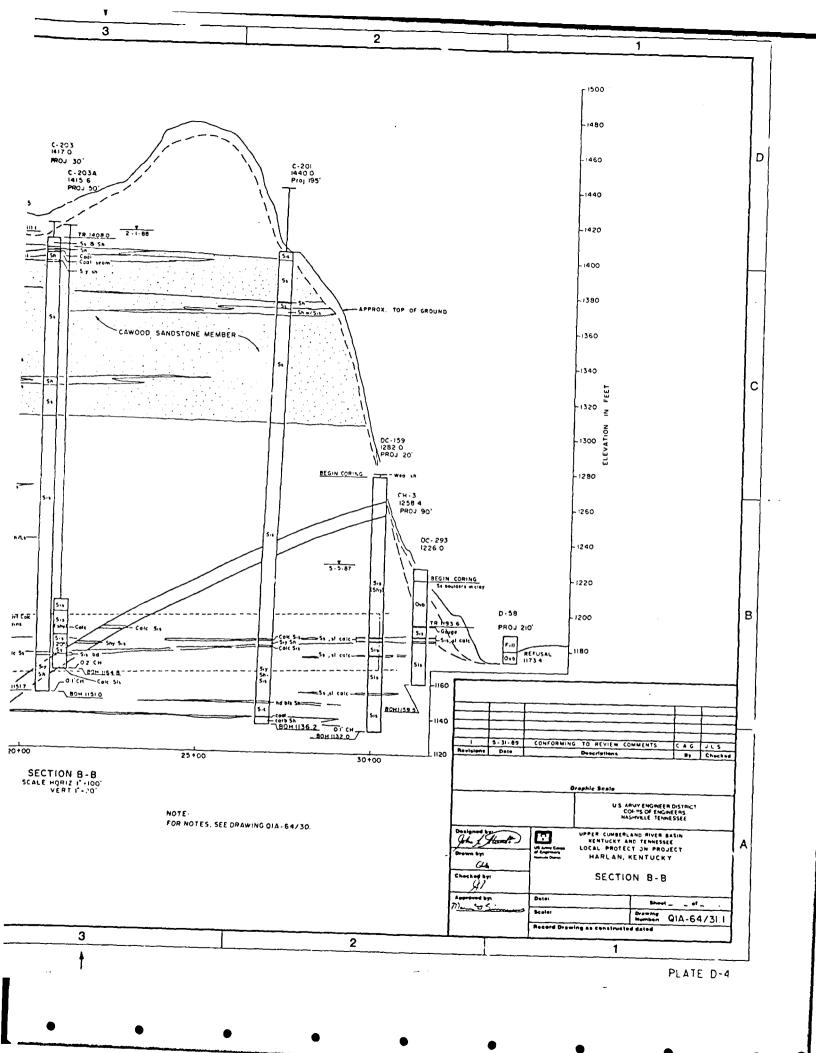


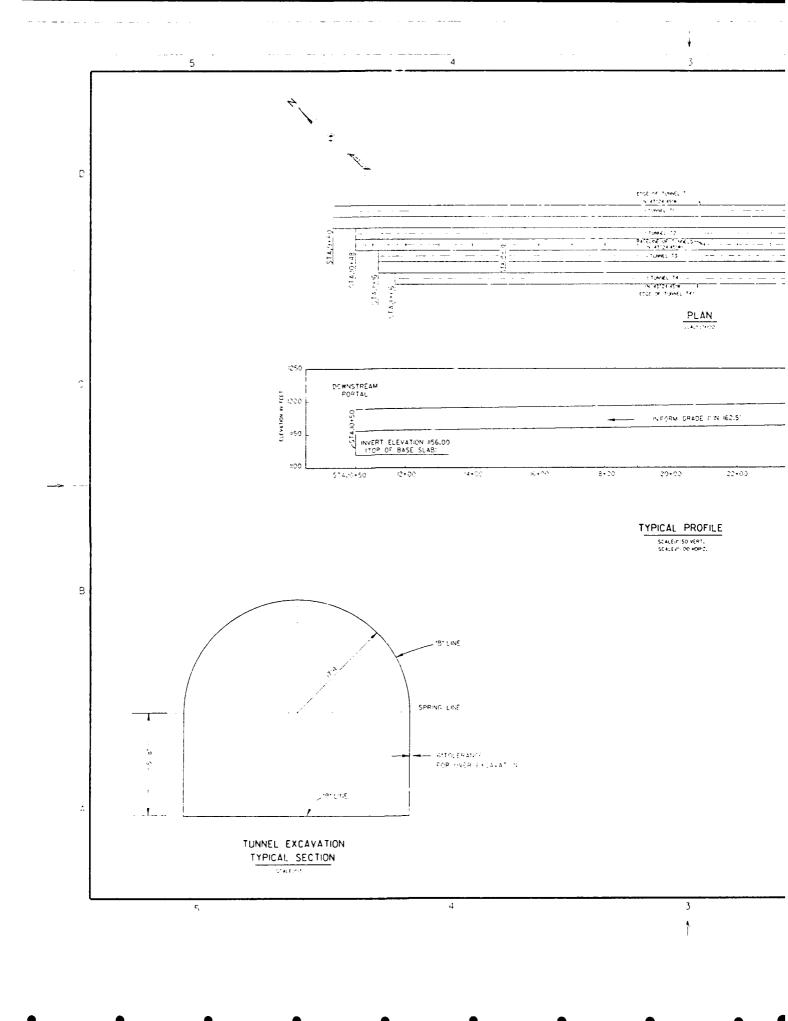




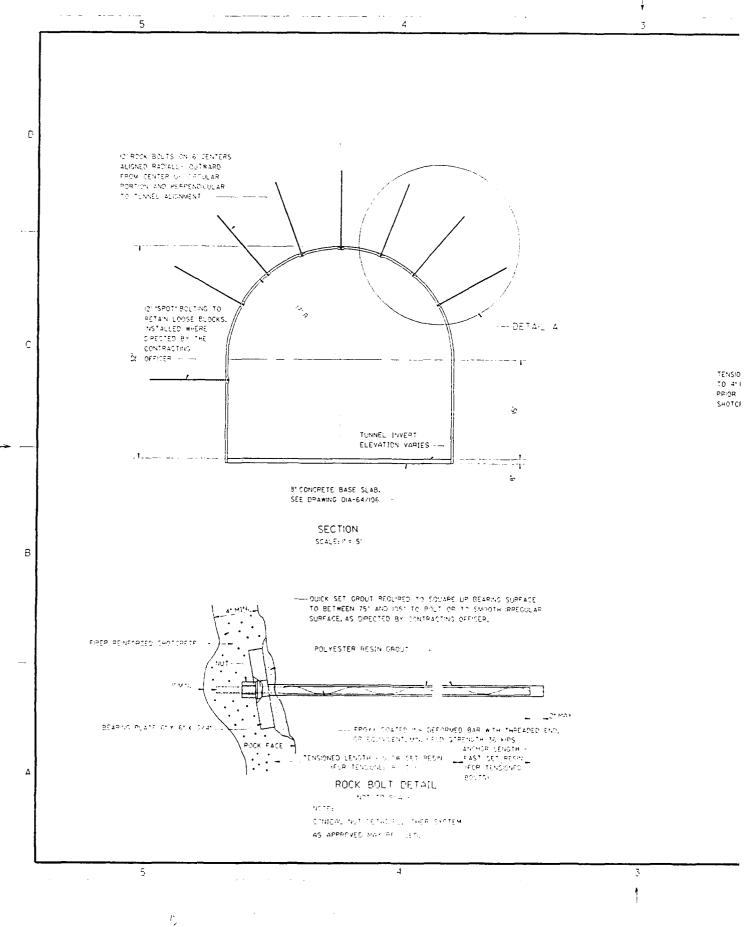








3 2 D EDGE OF TUNNEL TO N. 43724 45781 VITUNNEL TO 170MEL 12 BATELINE OF THEE S N 43124 45181 TOWNER TAVE IN 43124 45% EDGE OF TURNE, TAV PLAN С UFSTREAM PORTAL 1200 🗄 9 CNECRM GRADE I'M 162.5" 1150 JA INVERT ELEVATION 168.00 (TOP OF BASE SLAS) 1100 \$14,30+00 20.00 24+00 28+00 22+00 26+00 8+00 TYPICAL PROFILE SCALEITHOO WERT. NOTE 1. FOR GENERAL PLAN OF PROJECT. SEE DRAWING QIA-64/2. В J 5-31-89 CONFORMING TO REVIEW COMMENTS C.4.C. JU.S. U.S. APMY ENGINEER DISTRICT CORPS OF ENGINEERS NECHYBLE, TENNESSEE DIVERSION TUNNELS PLAN. SECTION. AND PROFILE CTION. AND COCCUETS OF OIA-64/71.1 2 0 0 1 3 PLATE D-5



TENSIONED BOLTS OUT OFF
TO 4' FROM ROCK FACE
FRIOR TO COVERING WITH
SHOTCRETE

ML A

_2" MAY

DETAIL A

2 2-0-34 AS CONSTRUCTED MAR

J. 5-36-39 CONFORMING TO REVEW COMMENTS E.P.O. ULLS.

9.5. PRIF EXPRES DISTRUCT
(1905 OF EXACES
WANTELL TEMESSEE

SUPPORT DETAILS

OIA-64/72.2

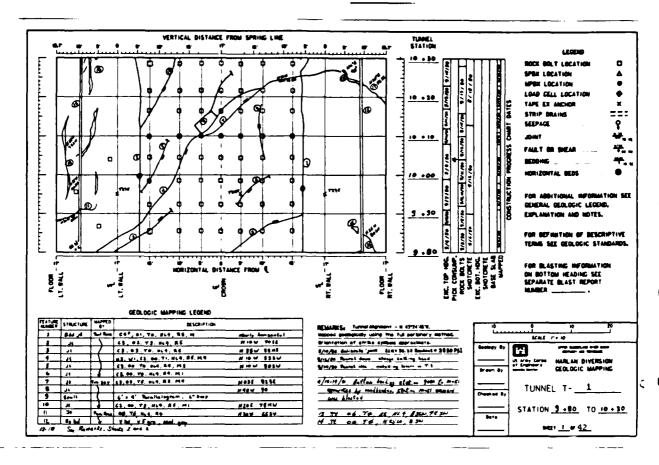
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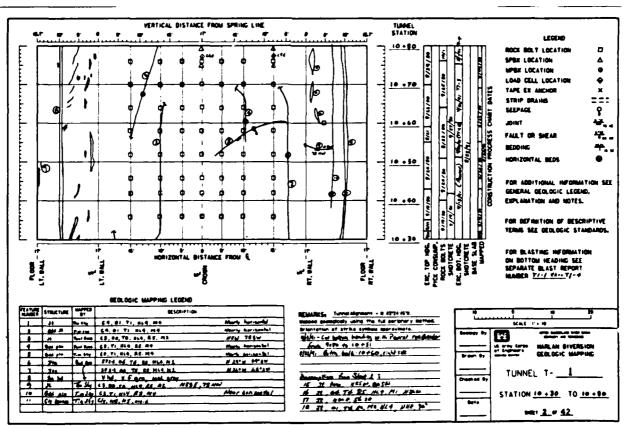
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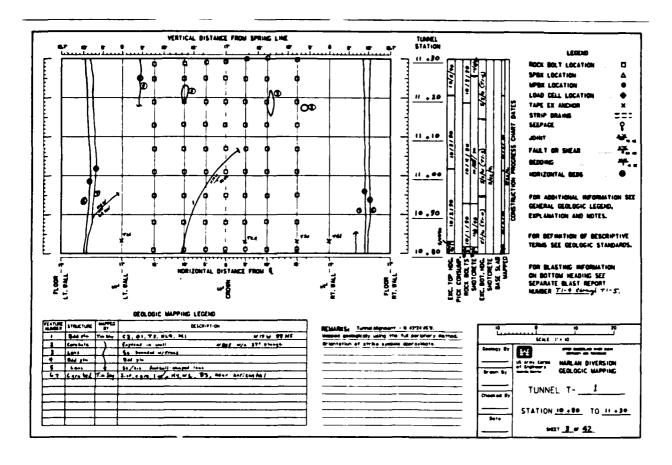
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PLATE D-6

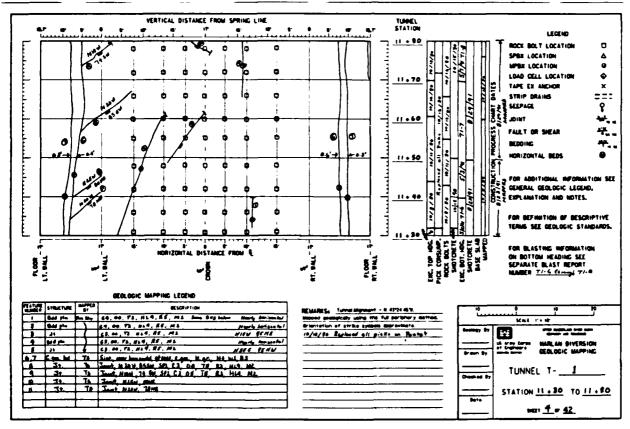
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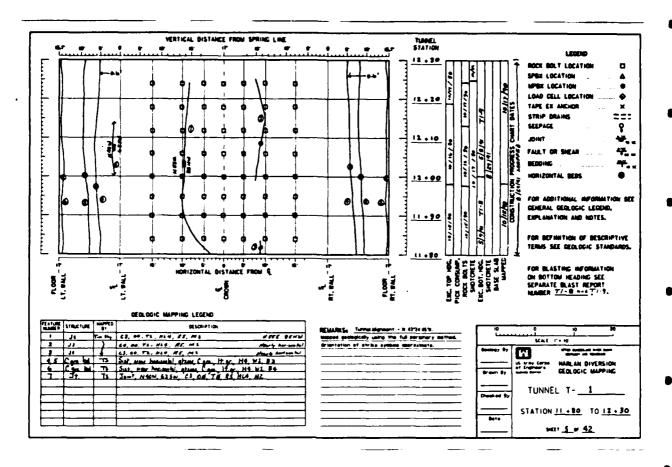


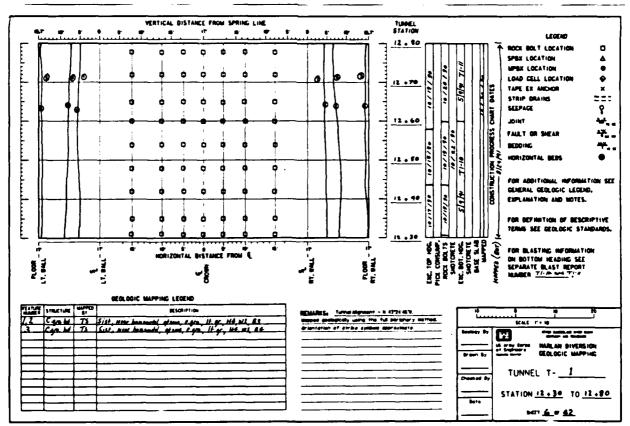


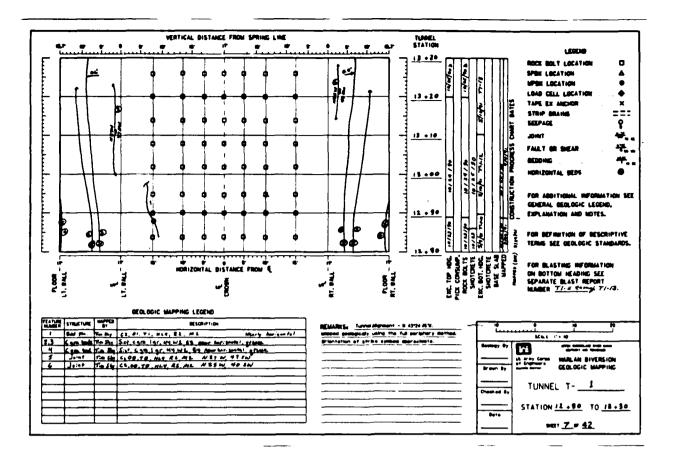


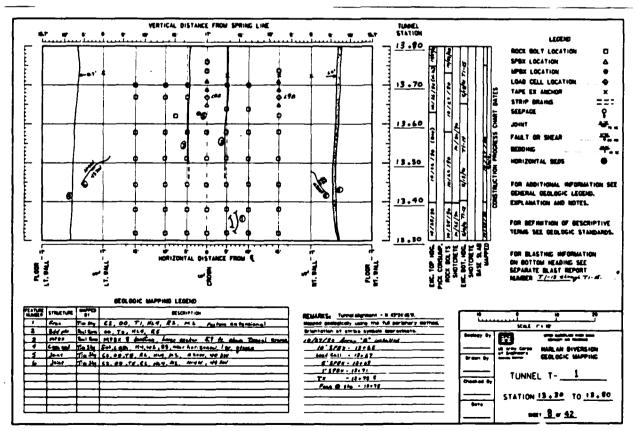
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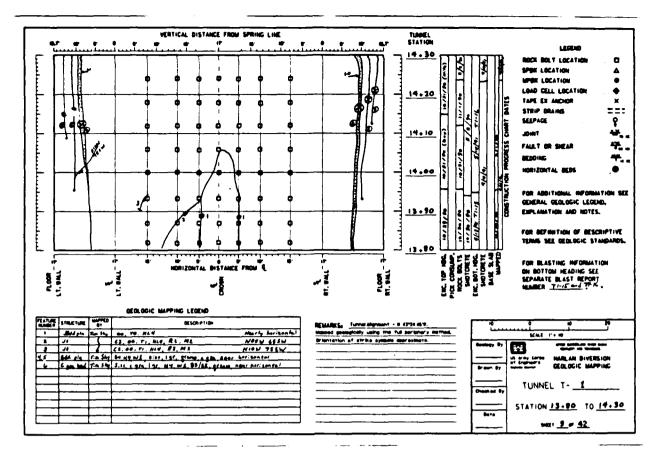


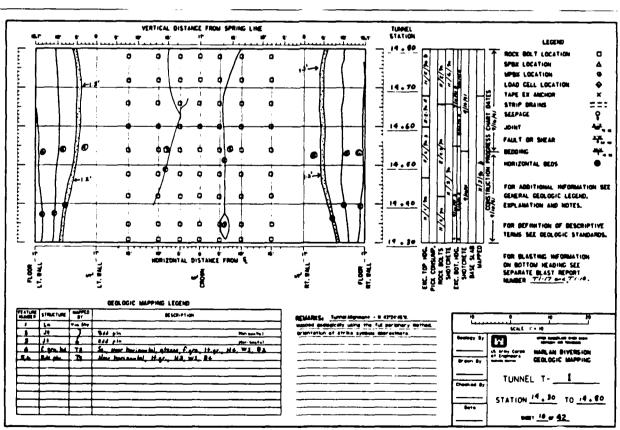


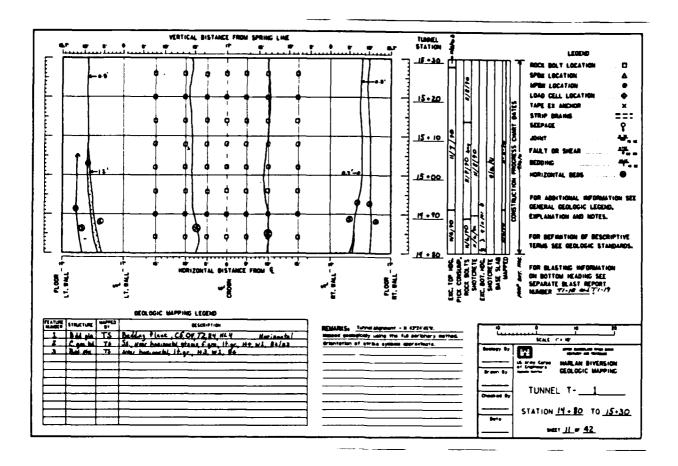












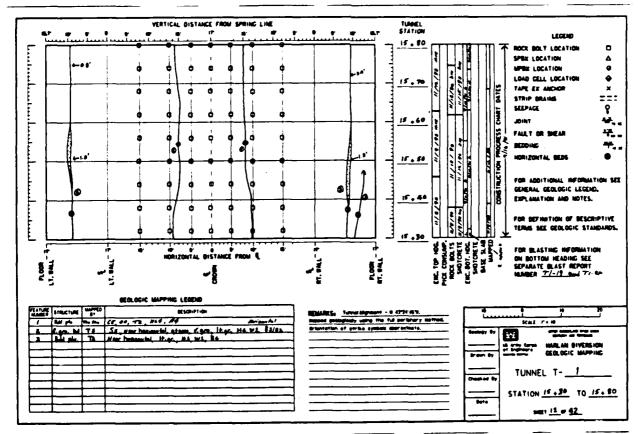
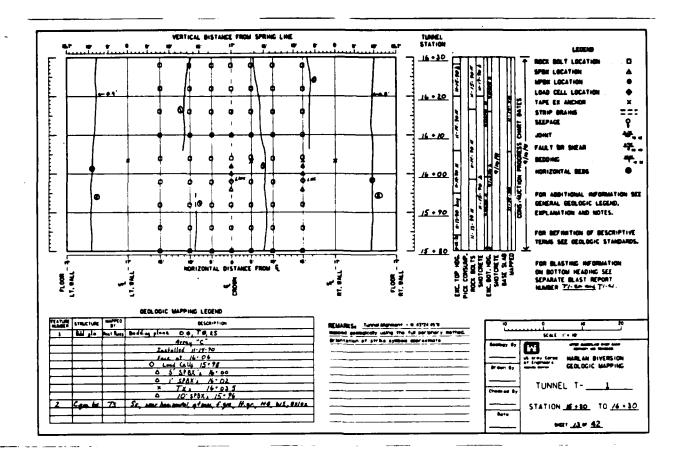
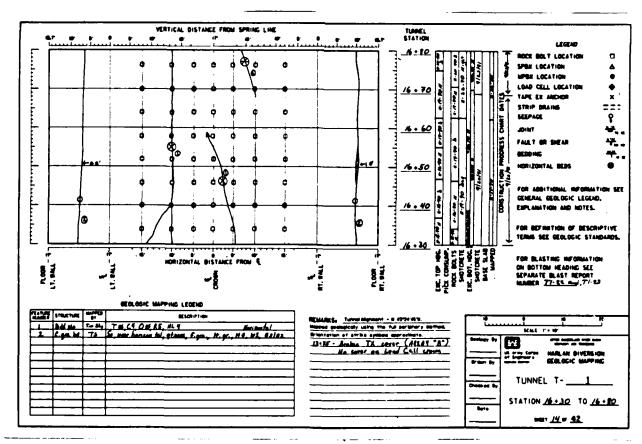
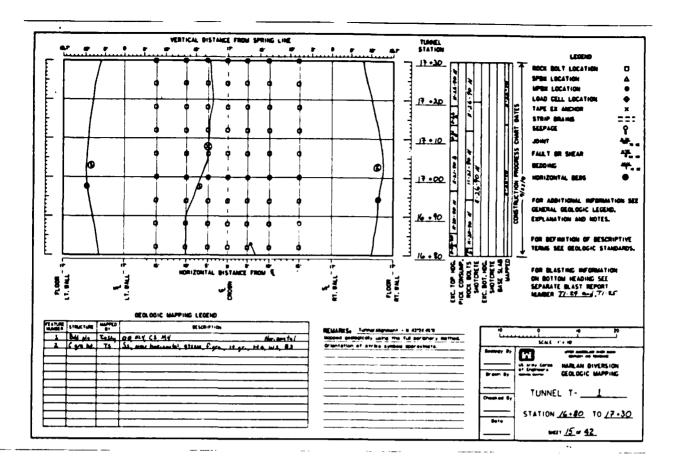
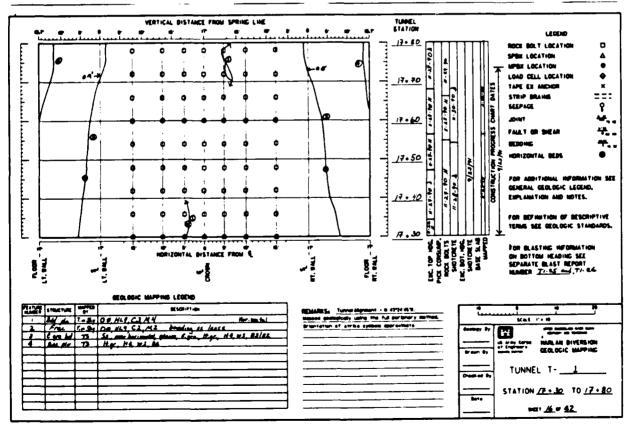


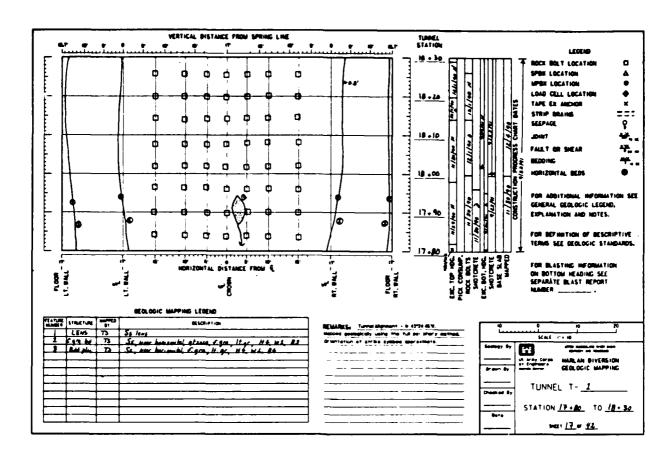
PLATE D-12











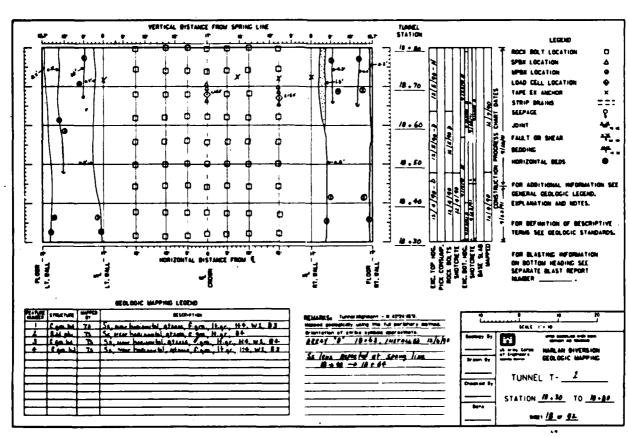
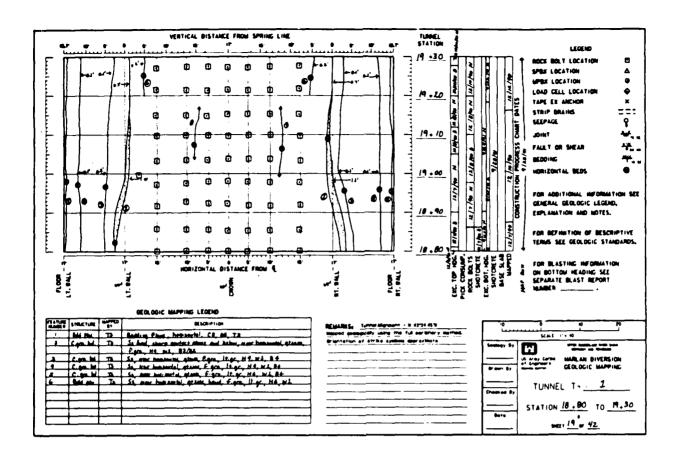


PLATE D-15



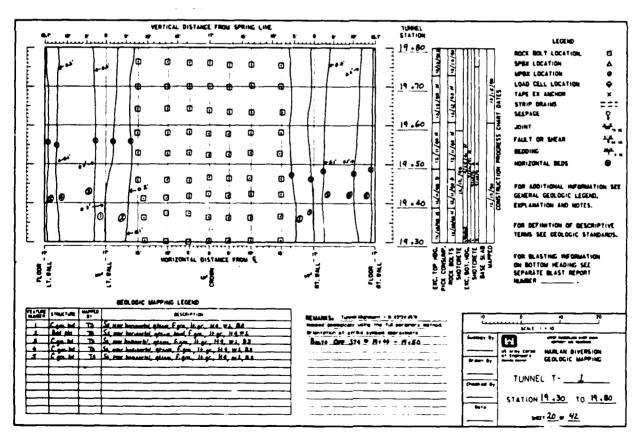
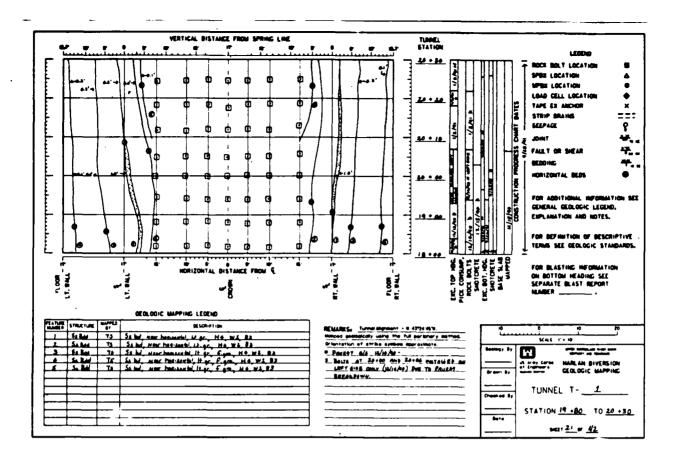
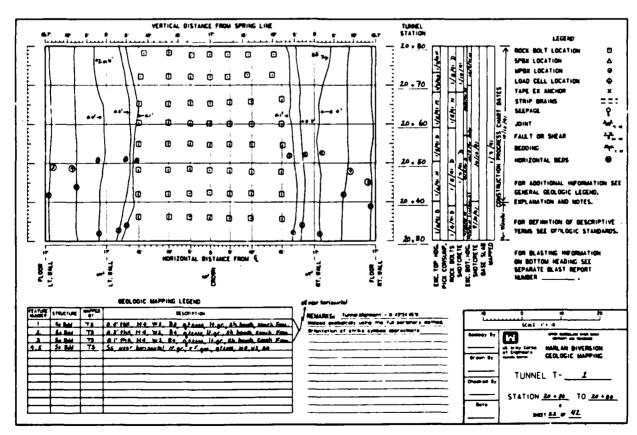
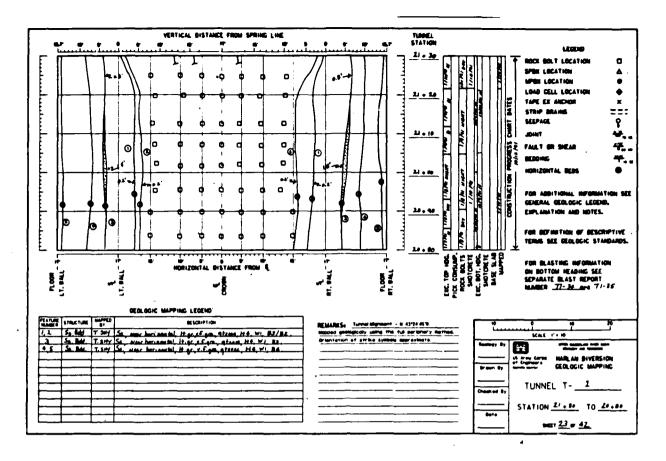
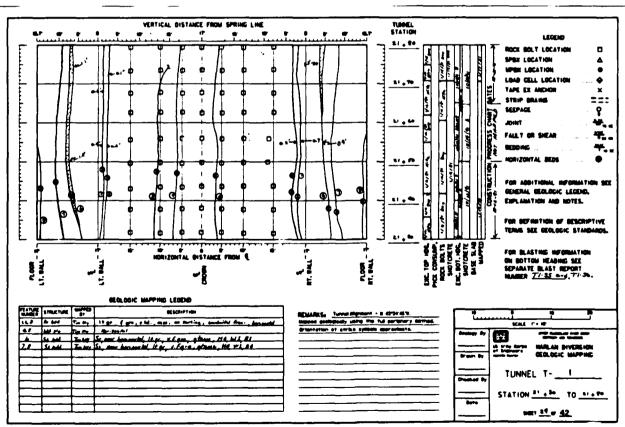


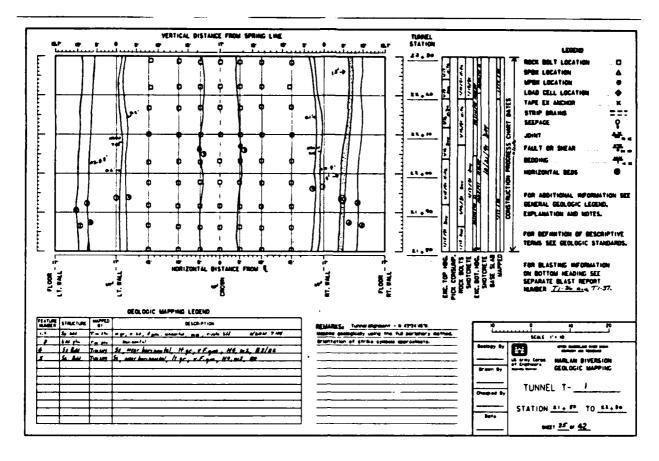
PLATE D-16

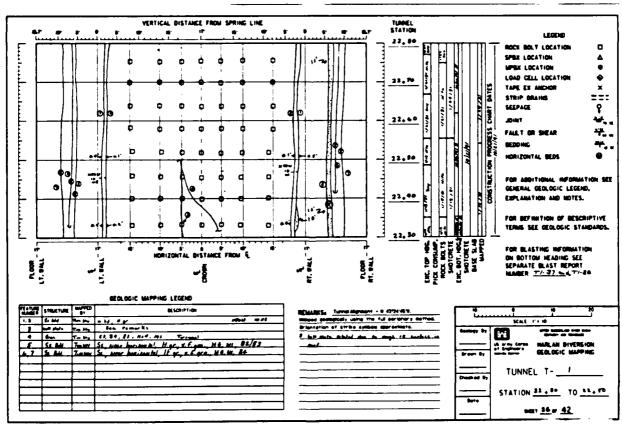


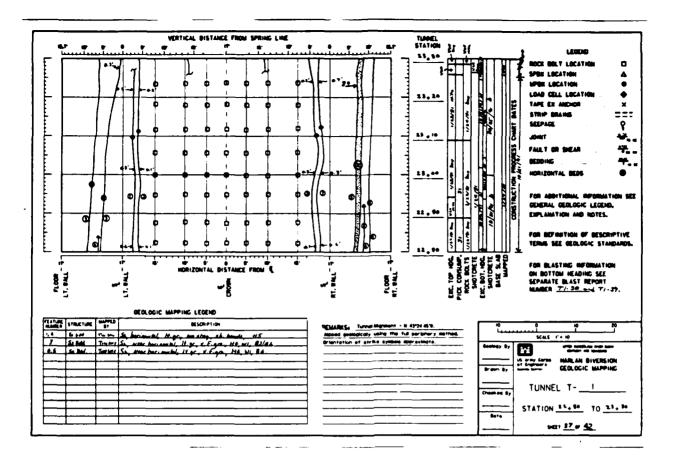


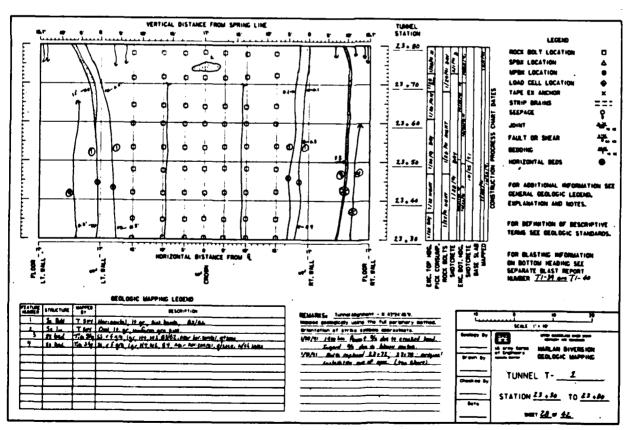


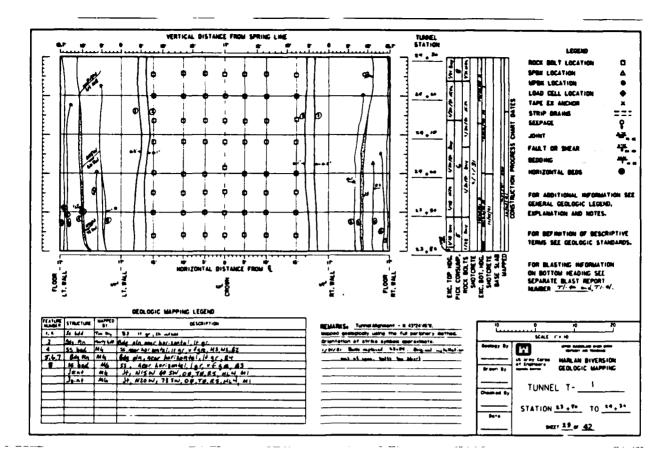


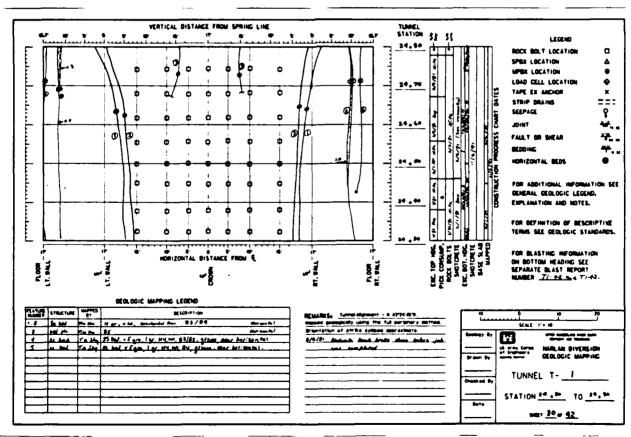


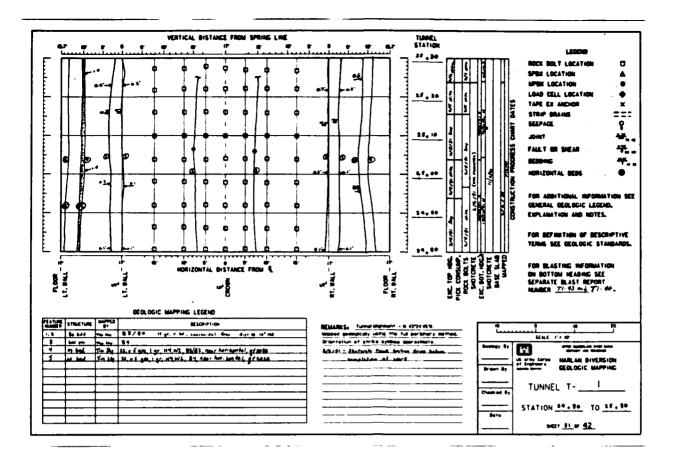












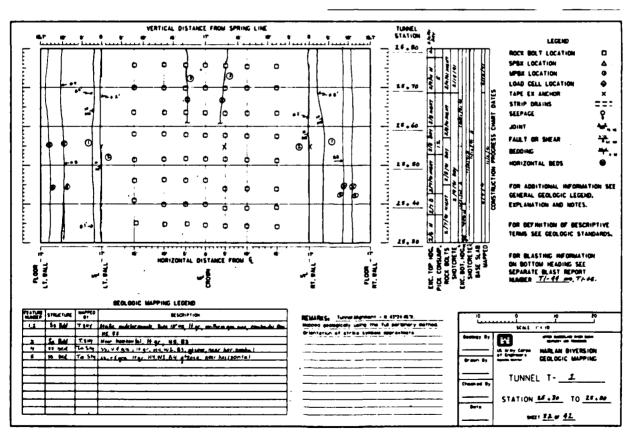
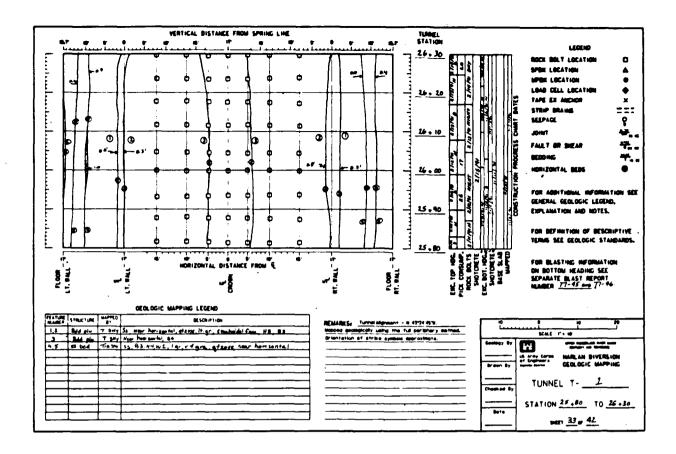
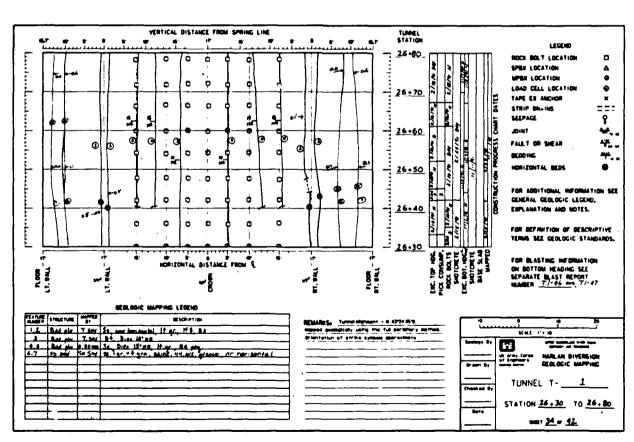
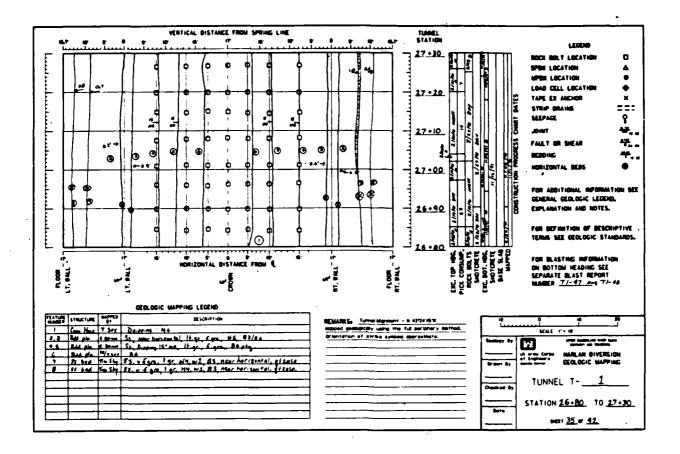


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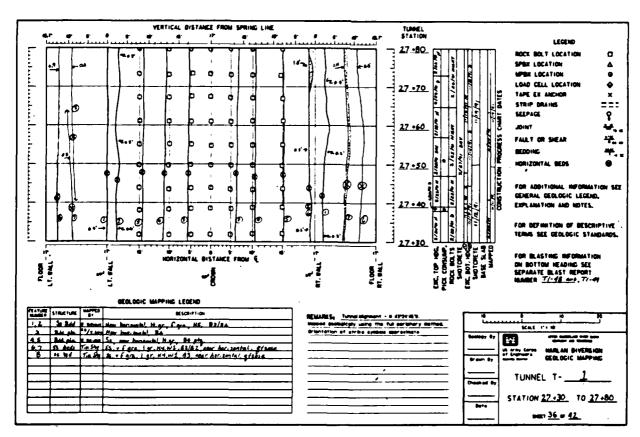
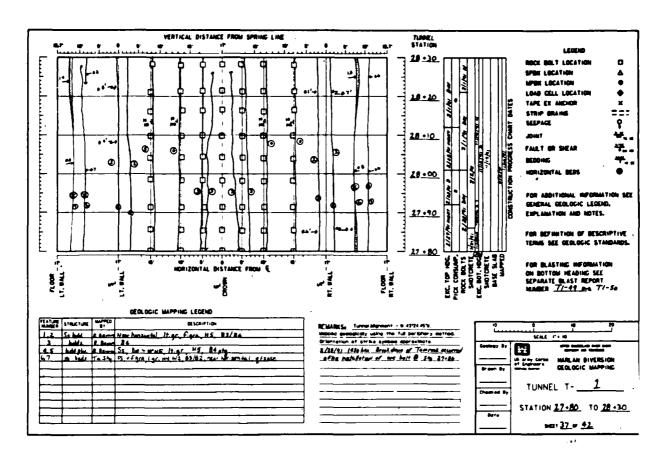
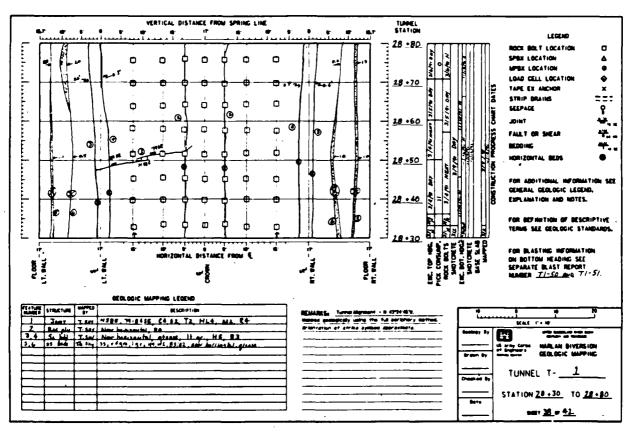
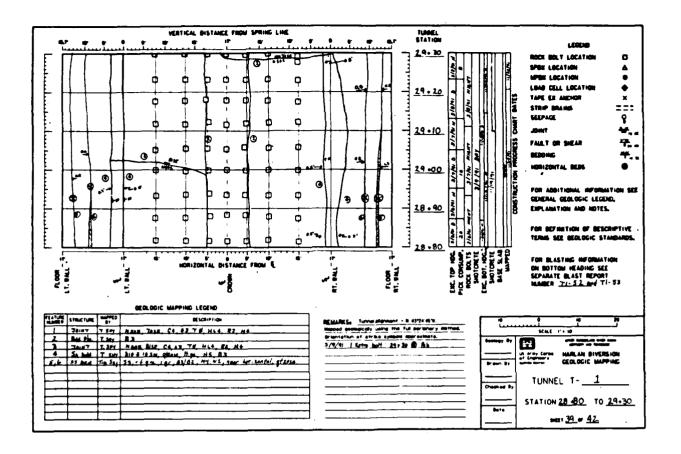


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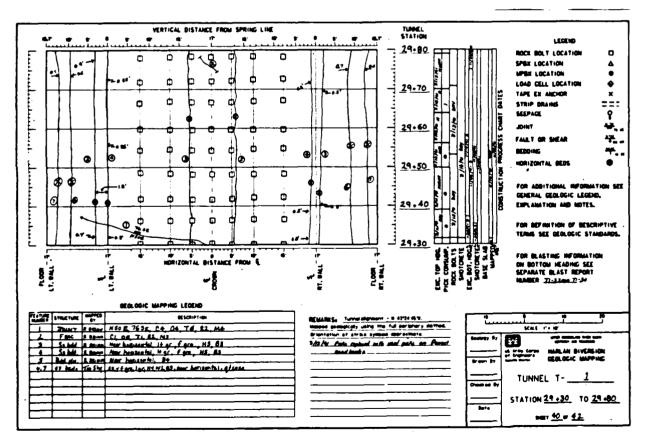
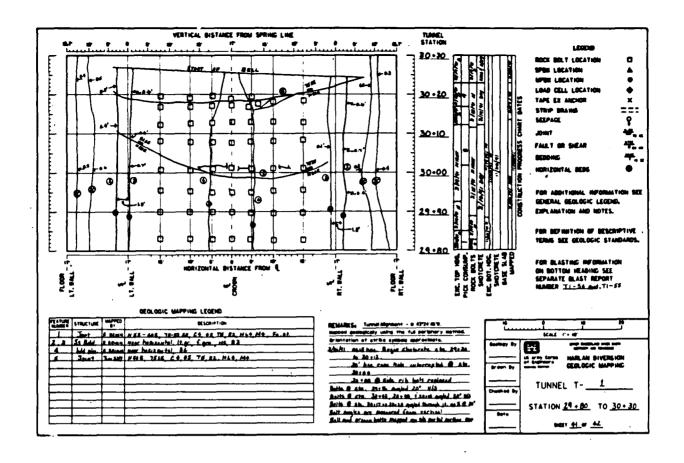


PLATE D-26



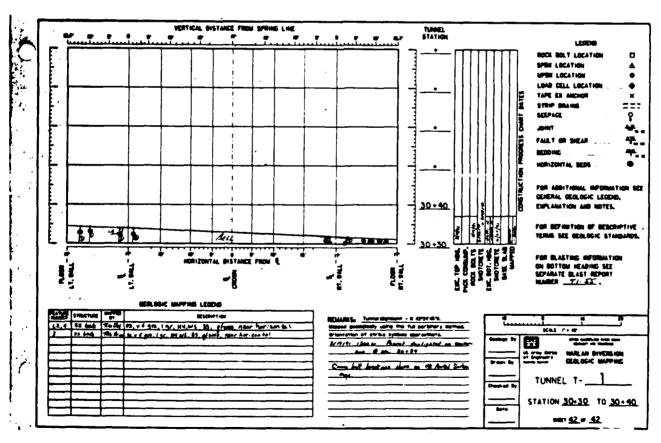
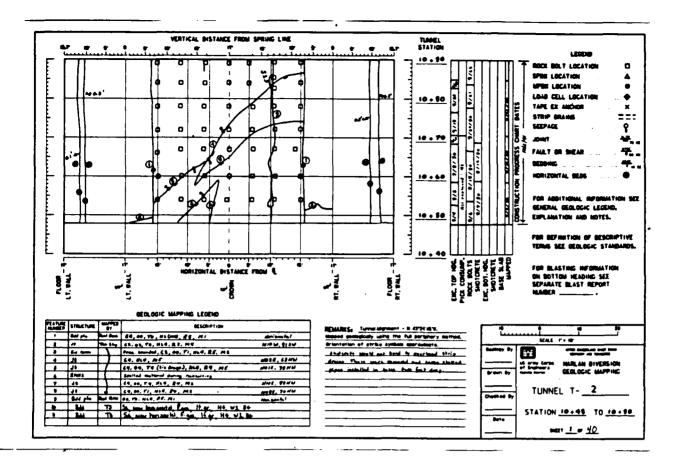
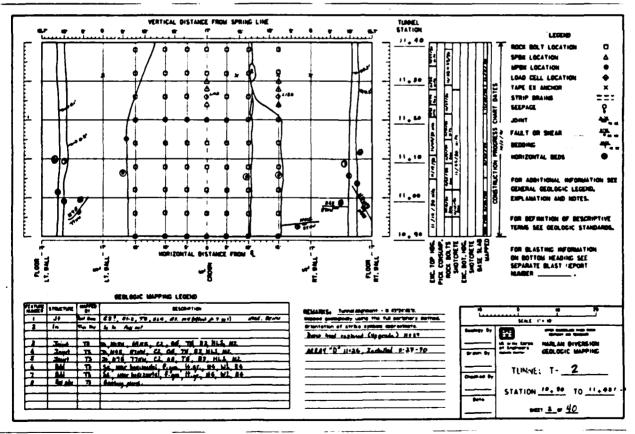
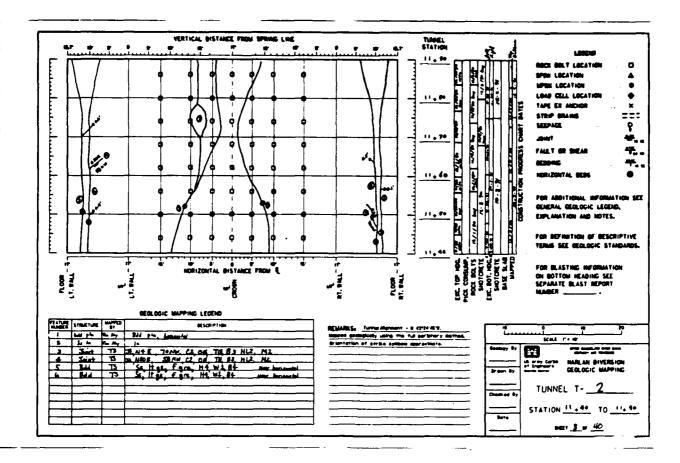
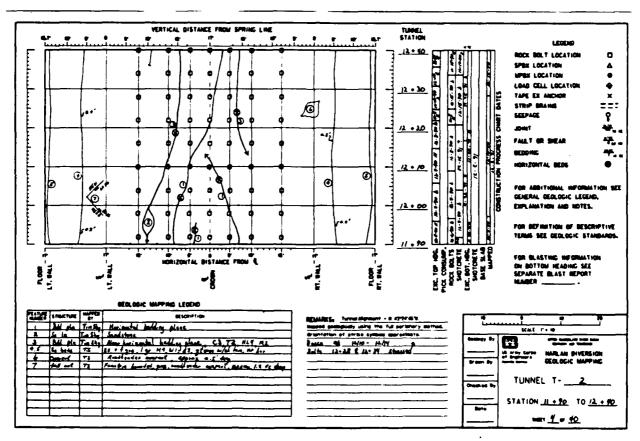


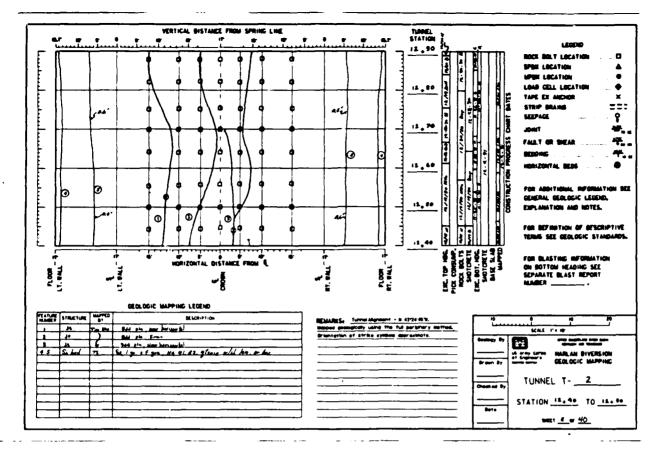
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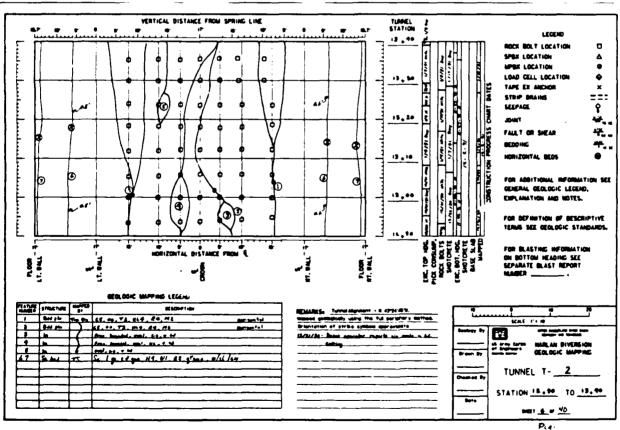


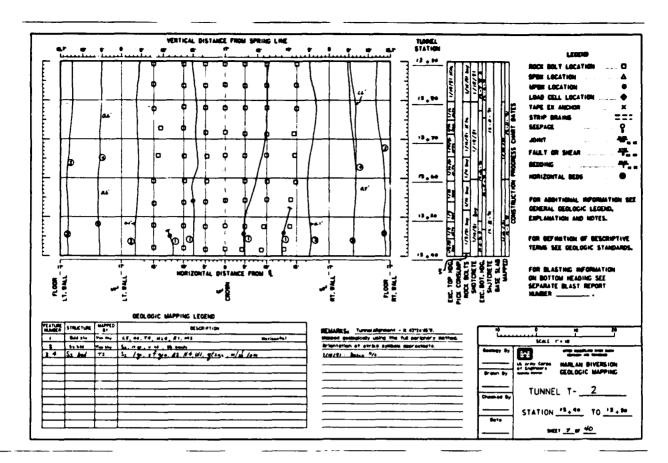


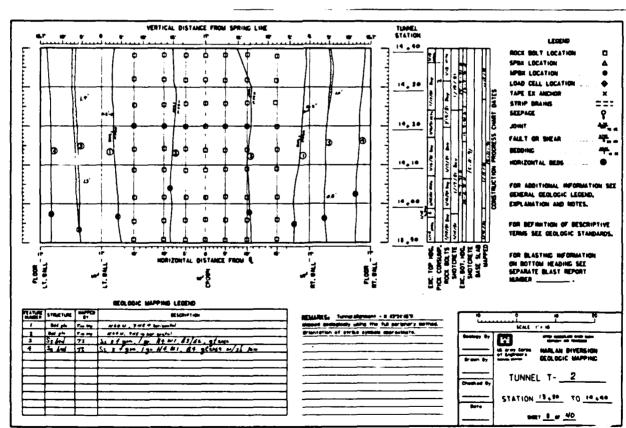


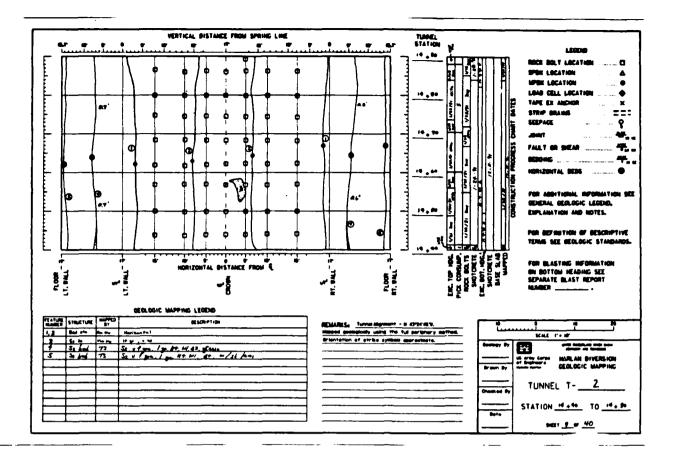


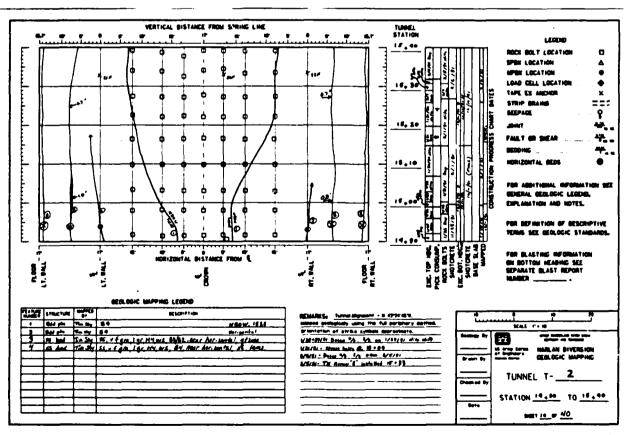


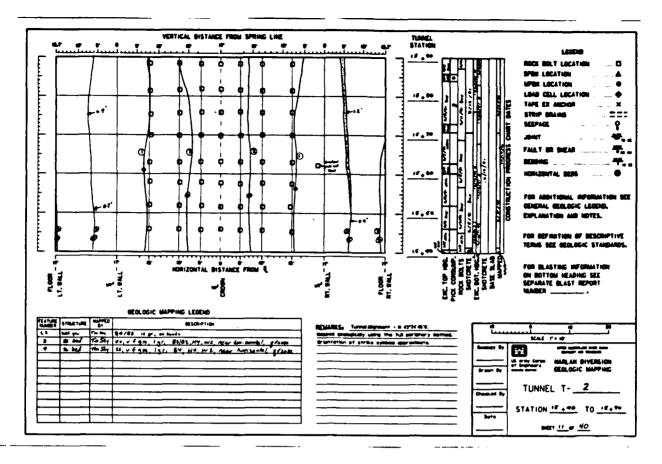


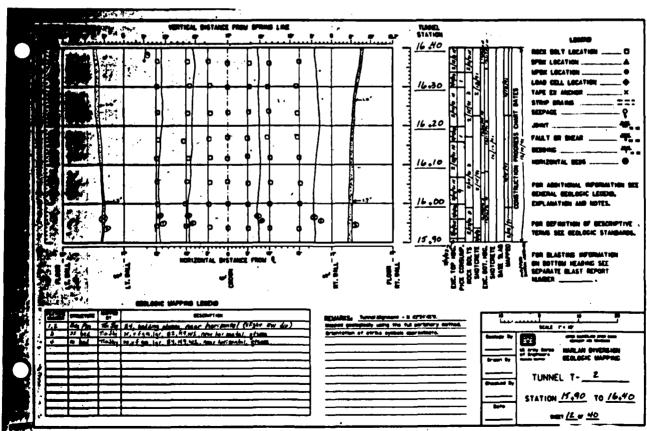


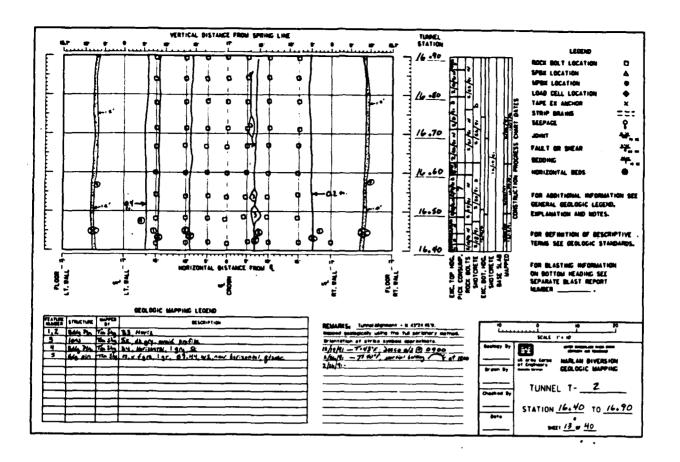












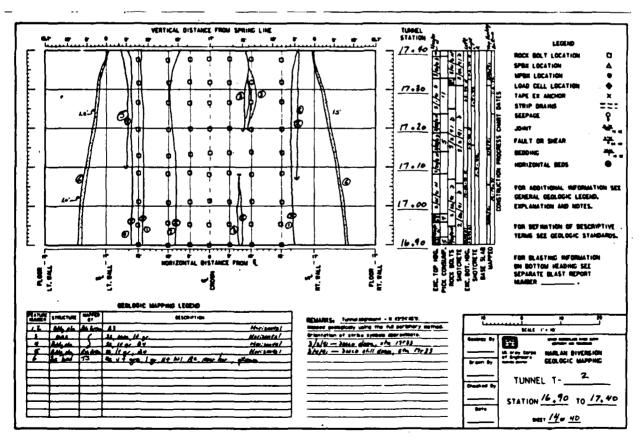
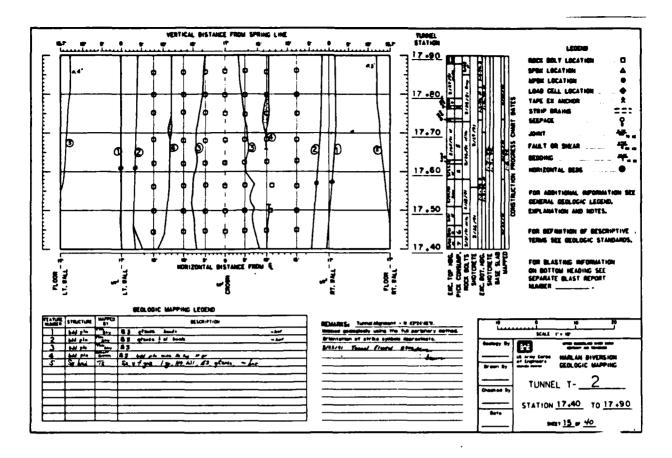
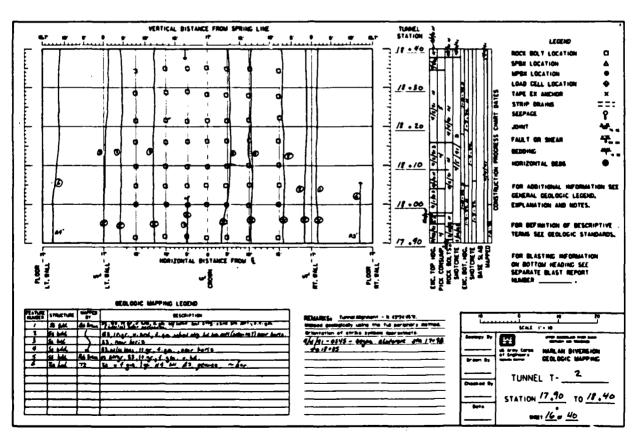
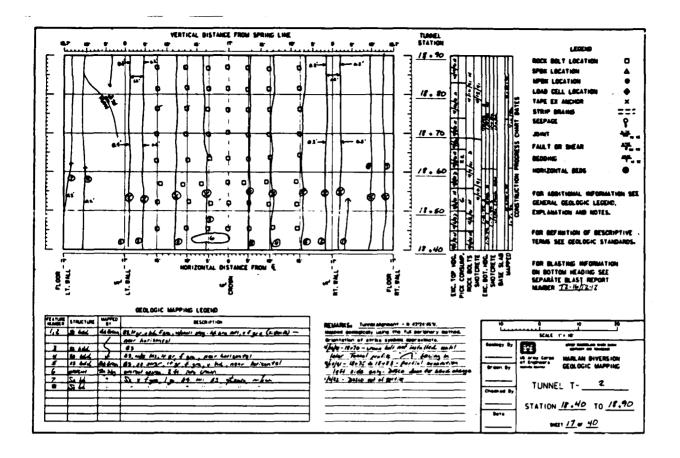


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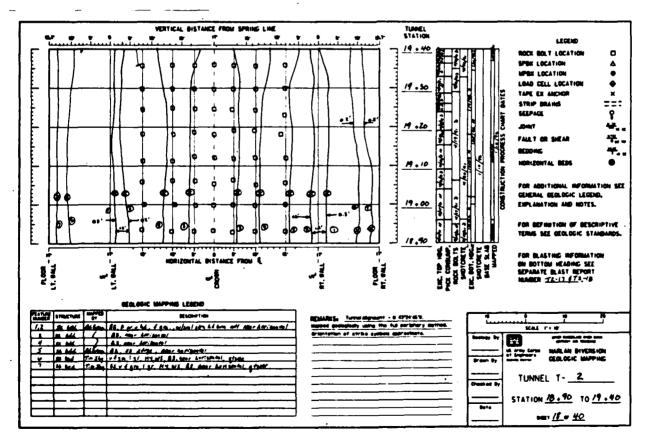
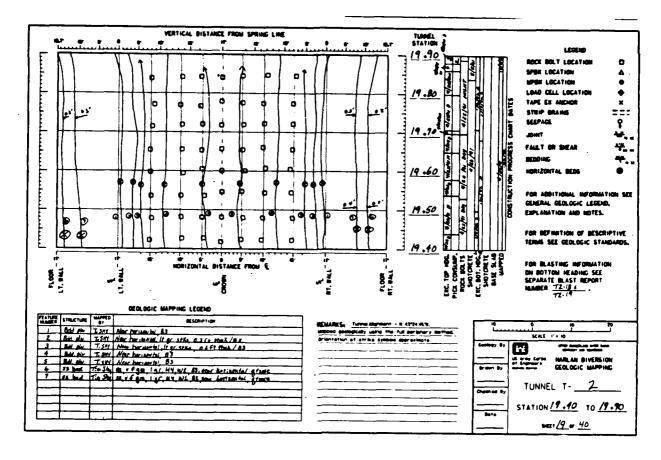
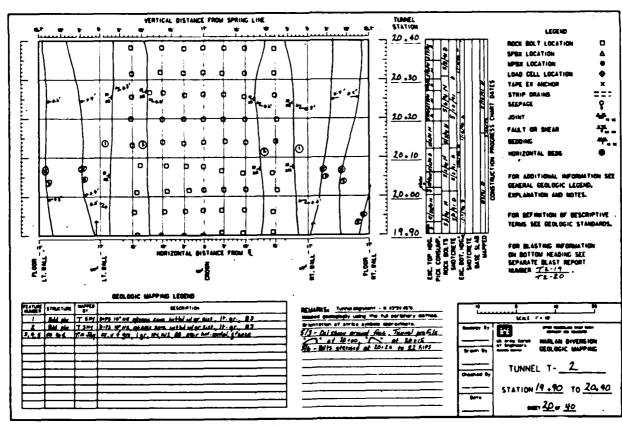
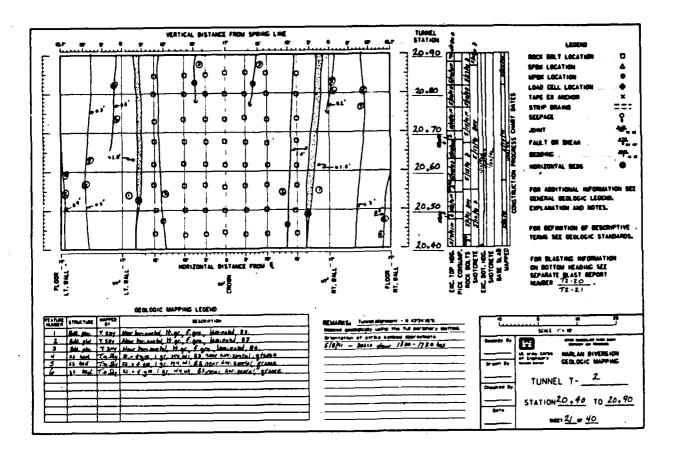


PLATE D-36







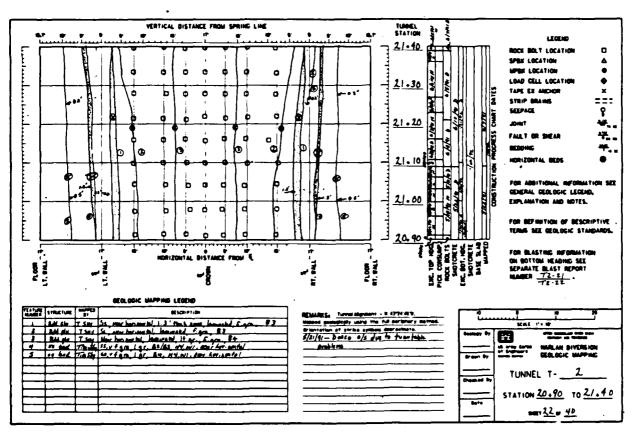
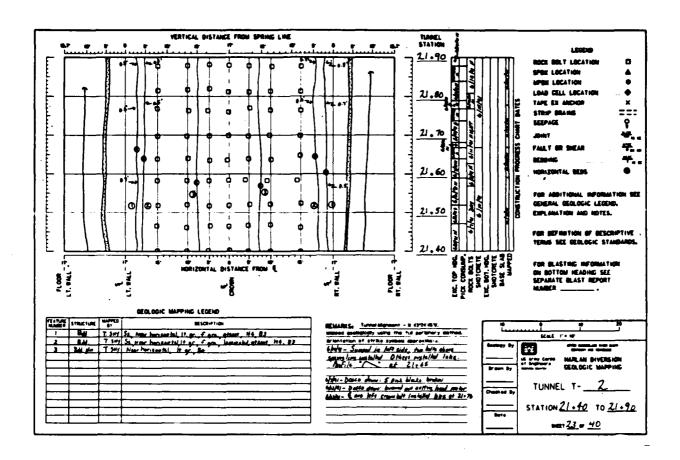


PLATE D-38



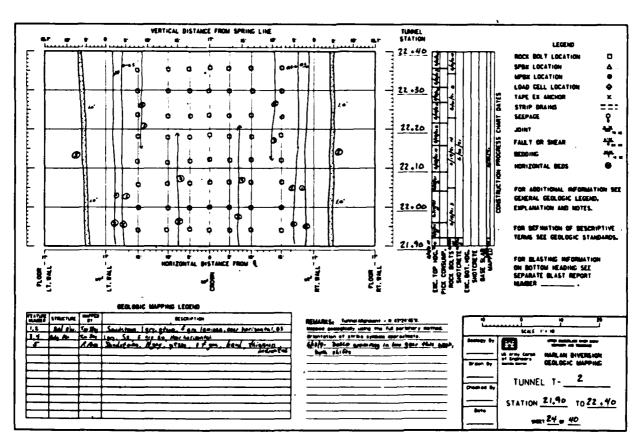
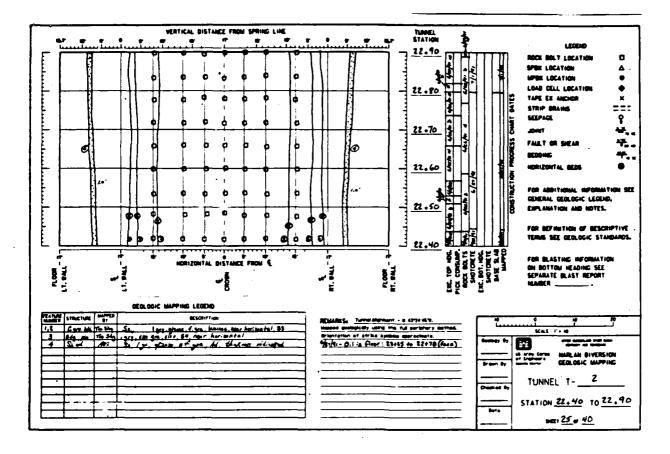


PLATE D-39



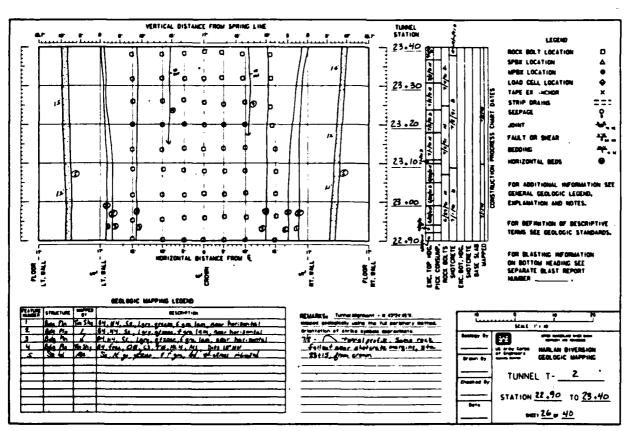
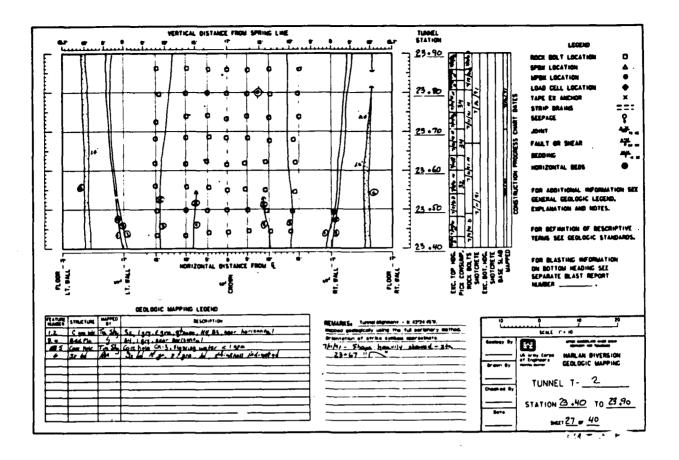
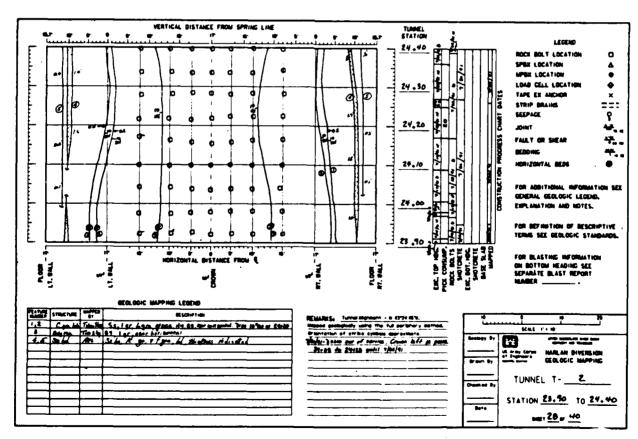
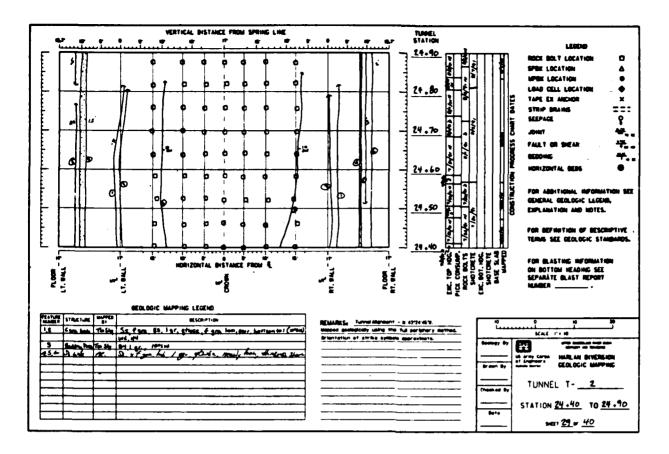


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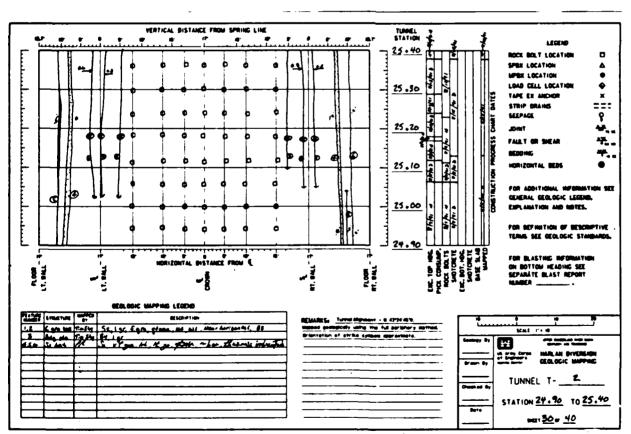
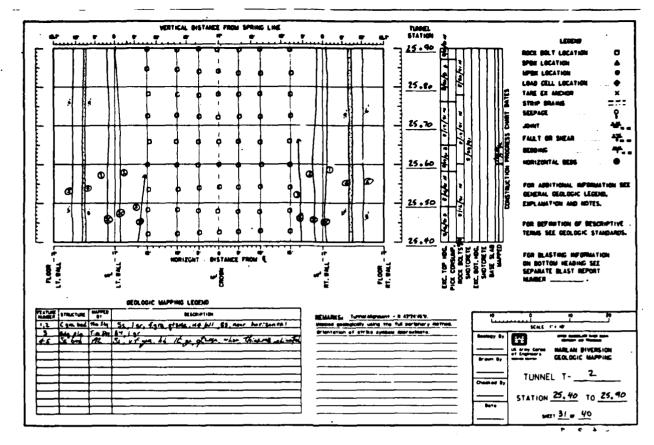


PLATE D-42



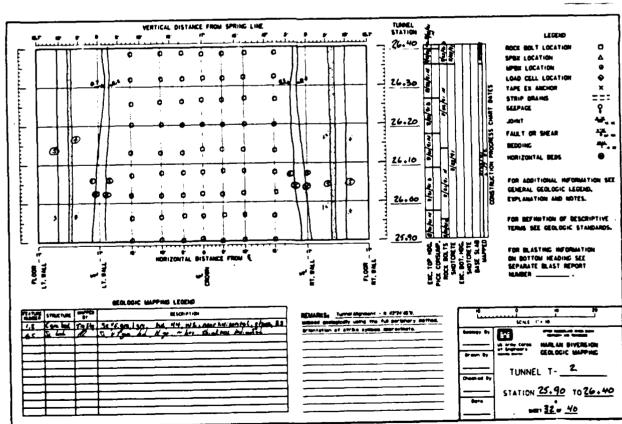
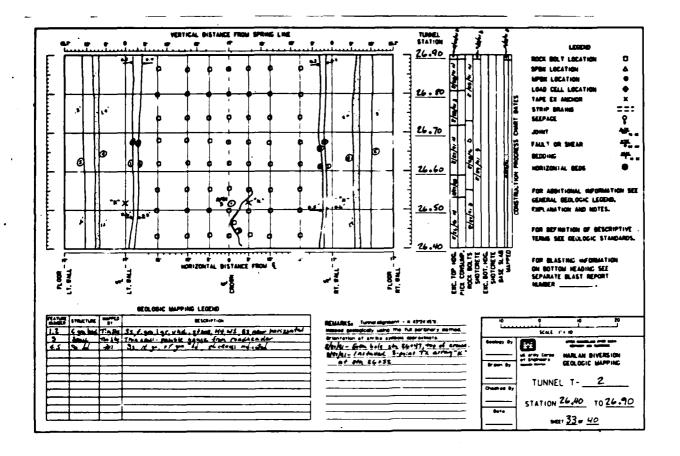


PLATE D-43



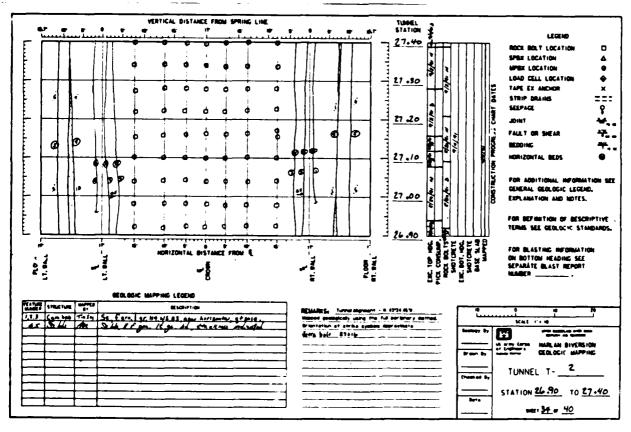
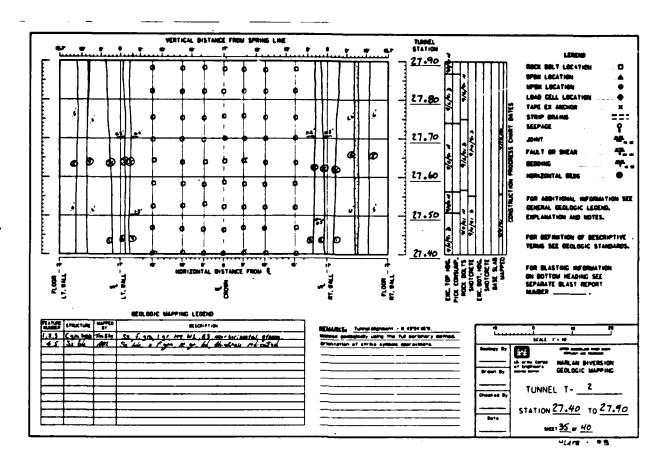
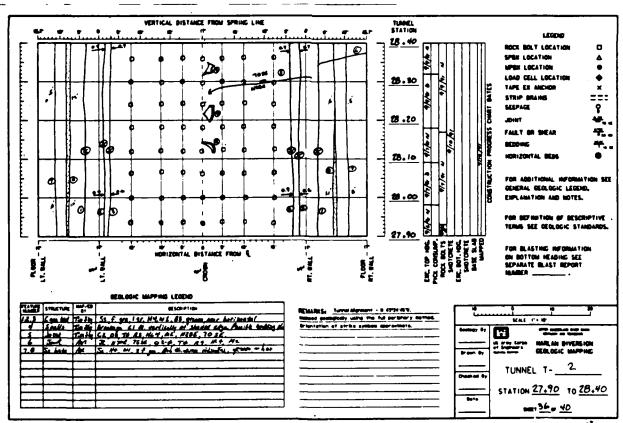
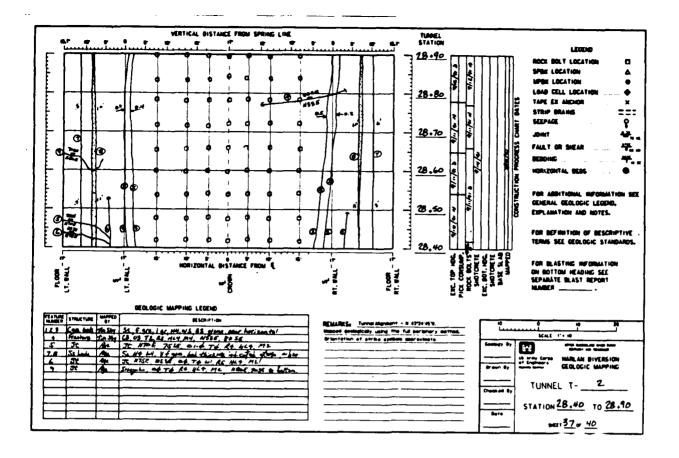


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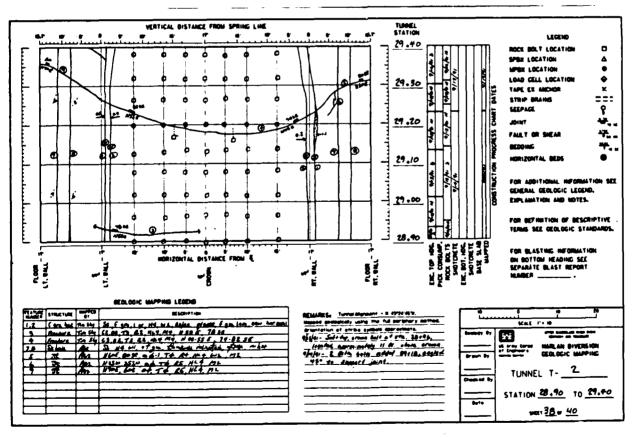
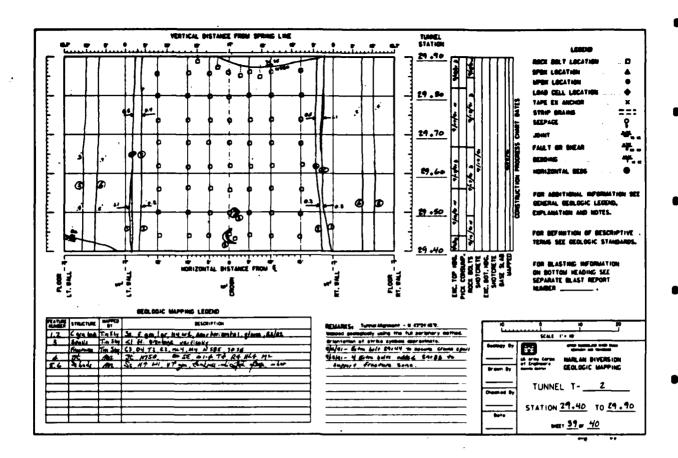
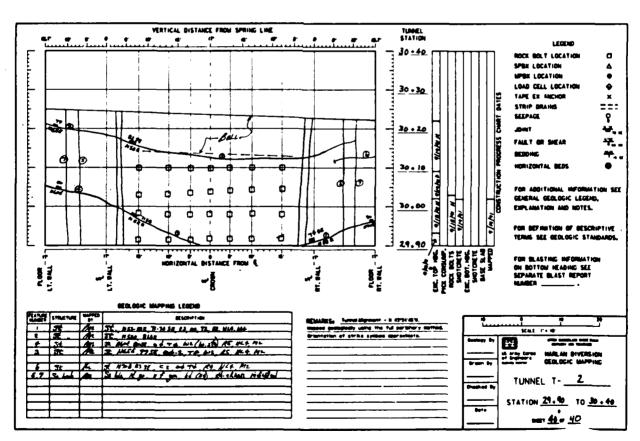
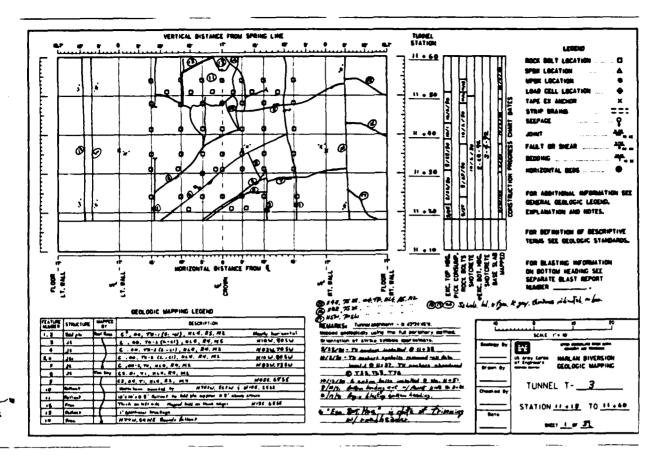
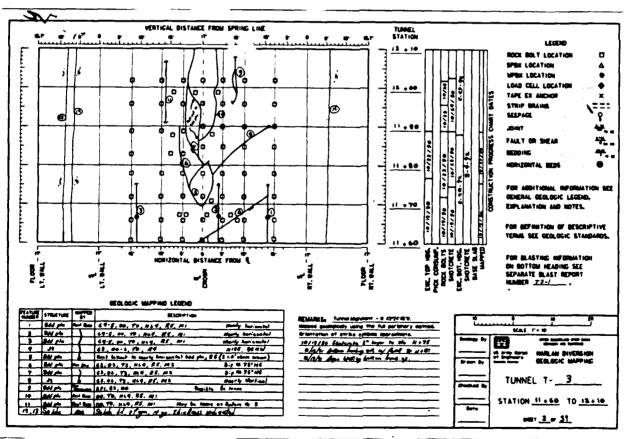


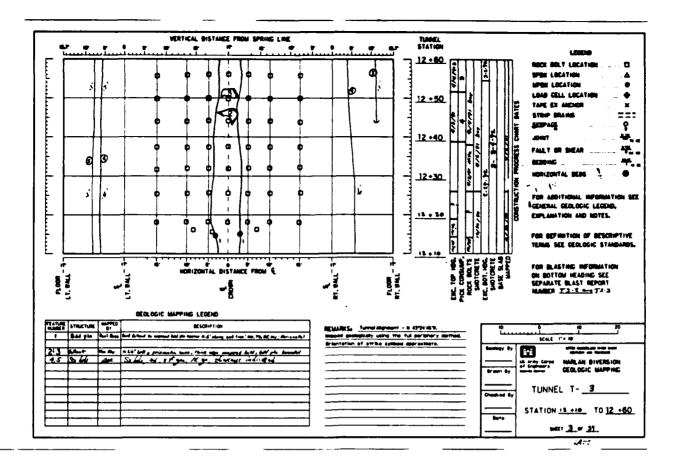
PLATE D-46

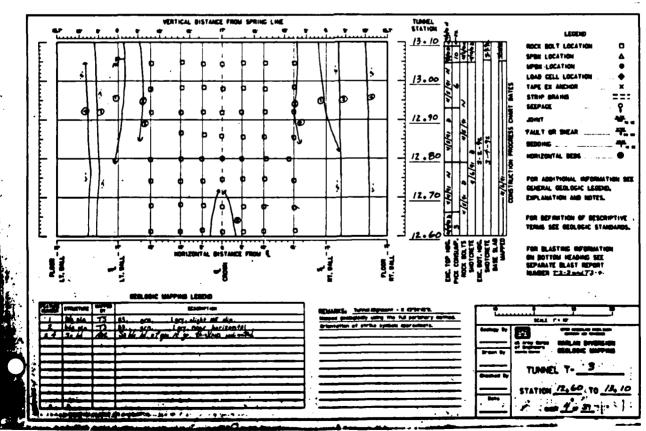


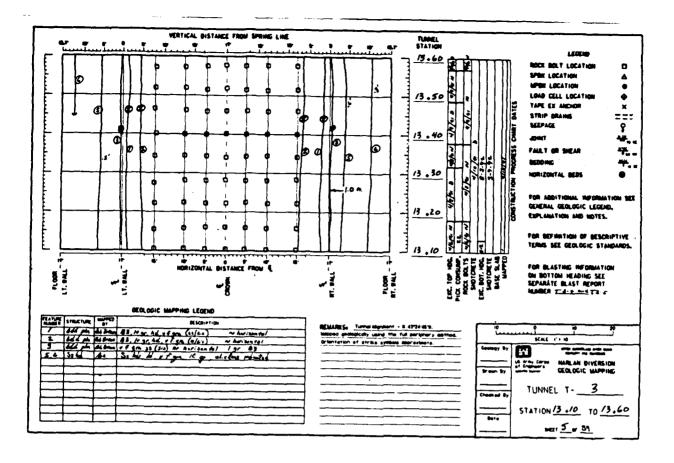












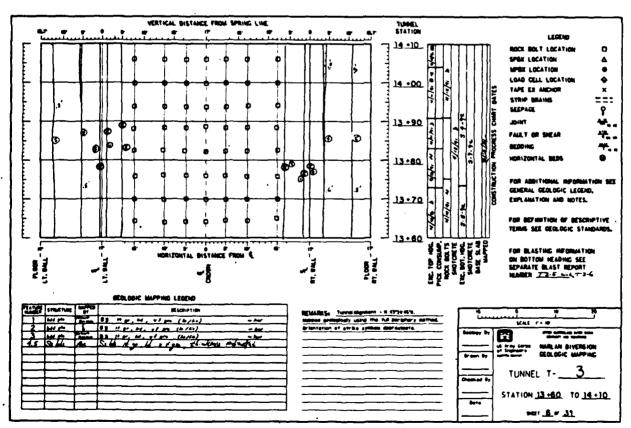
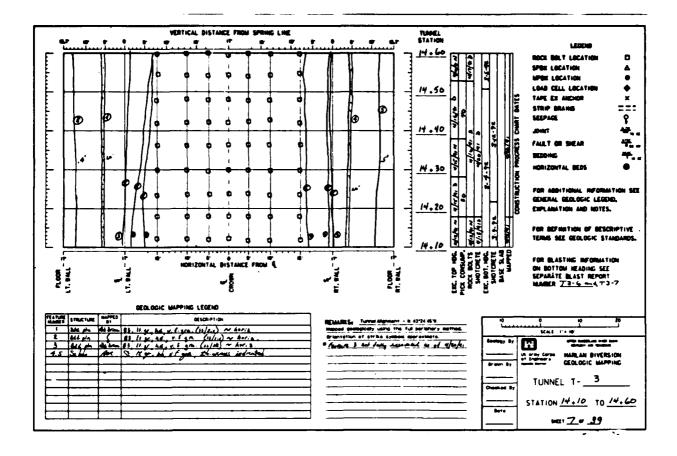
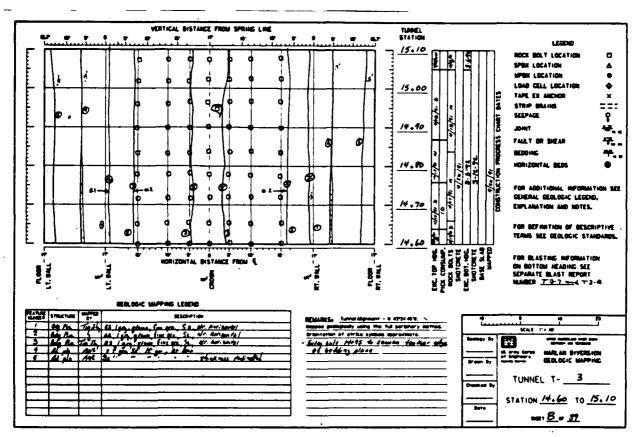
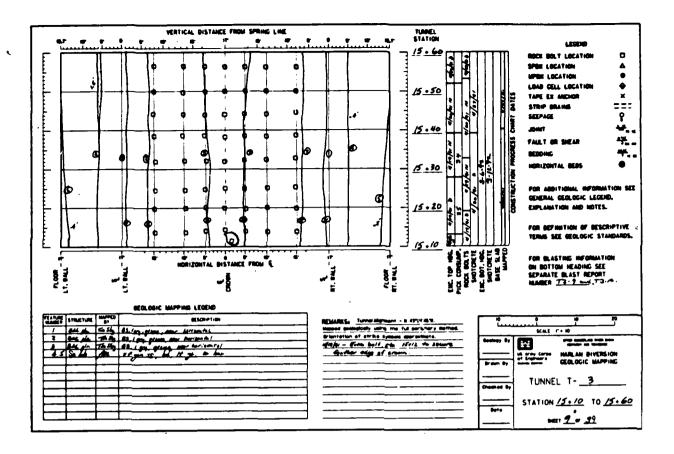


PLATE D-50







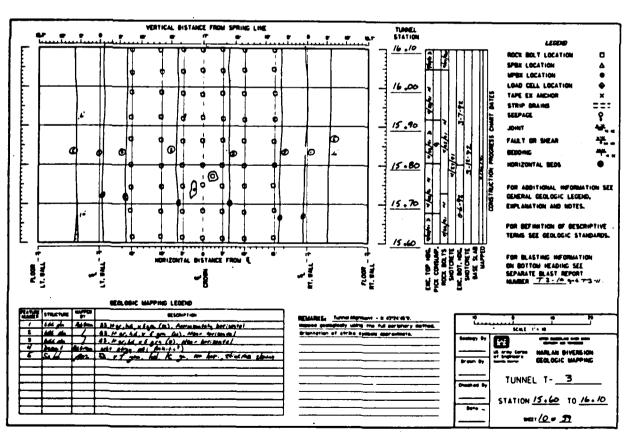
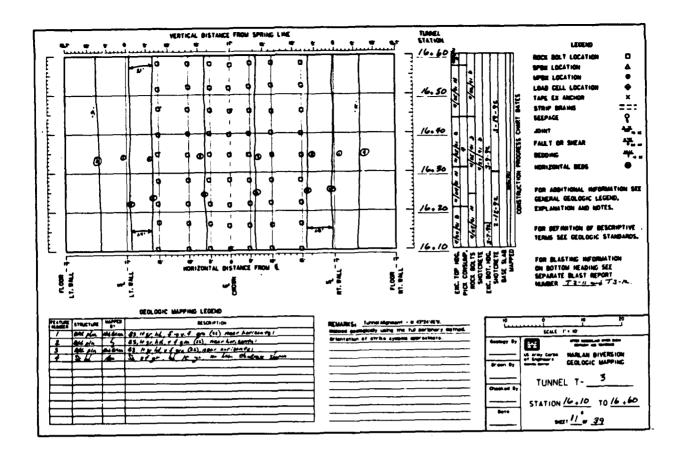


PLATE D-52



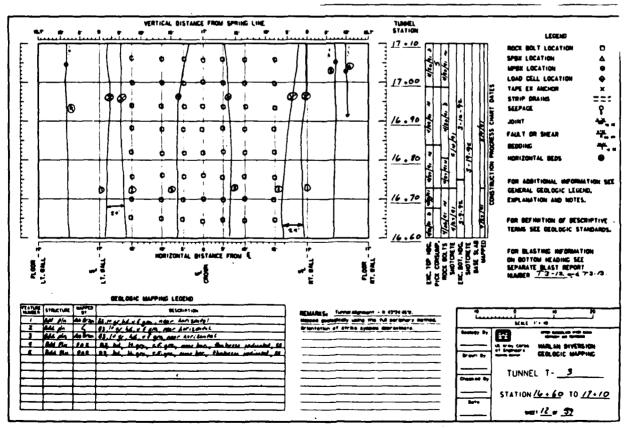
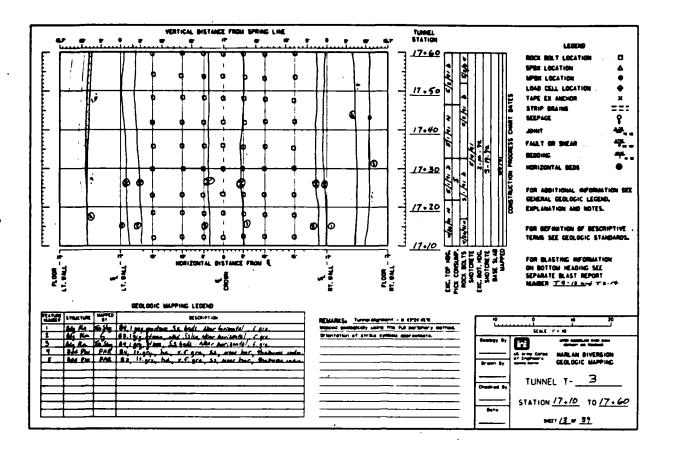


PLATE D-53



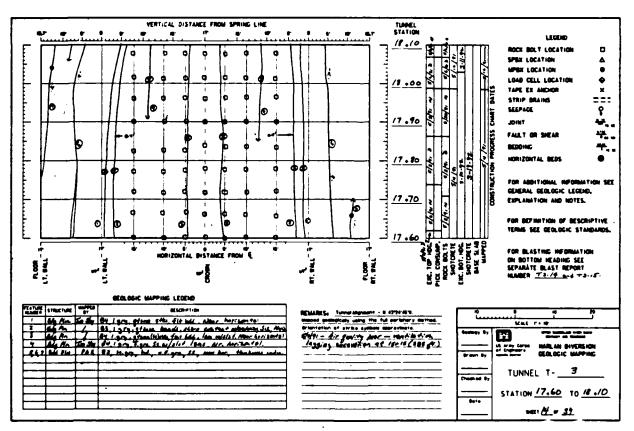
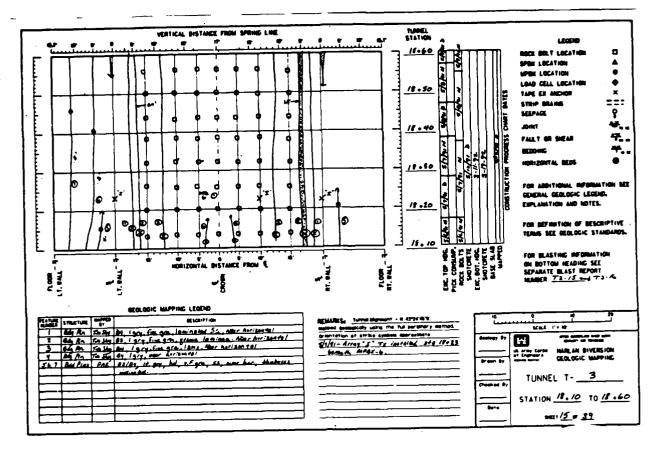
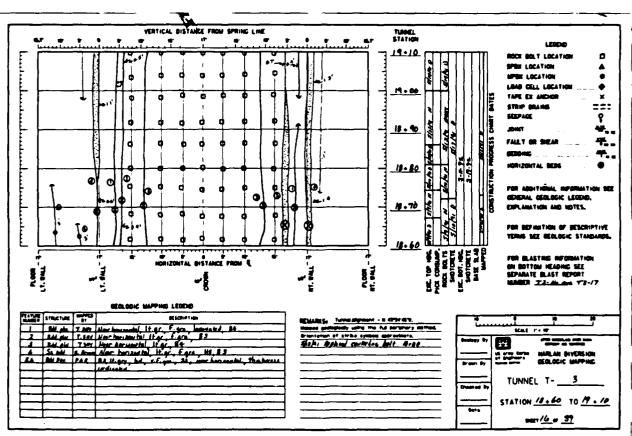
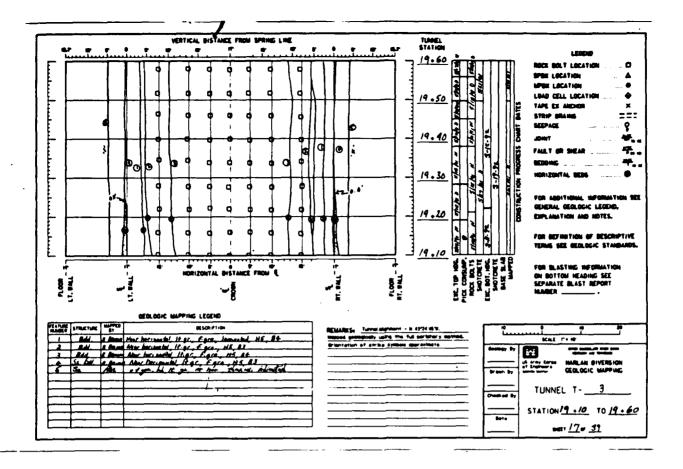


PLATE D-54







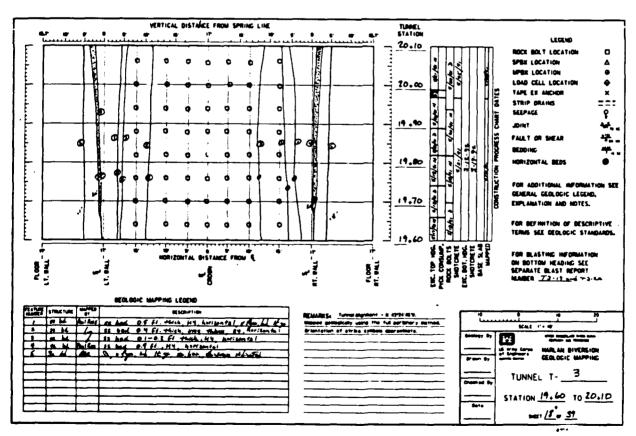
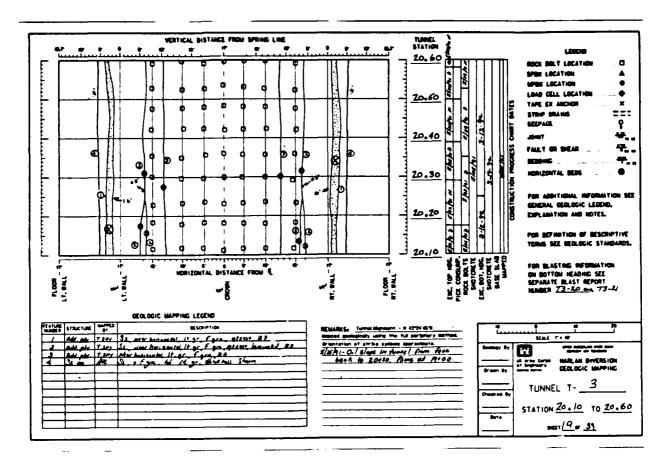
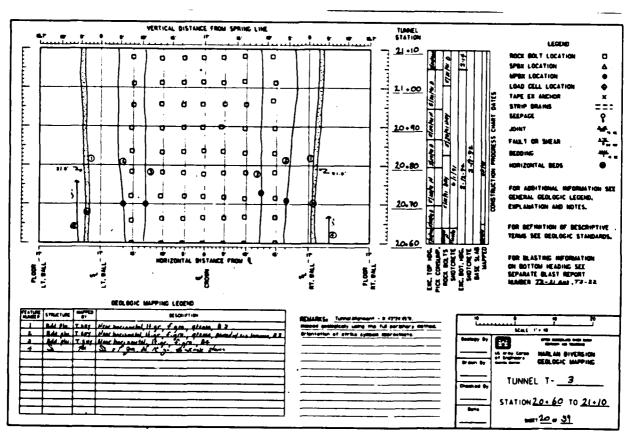
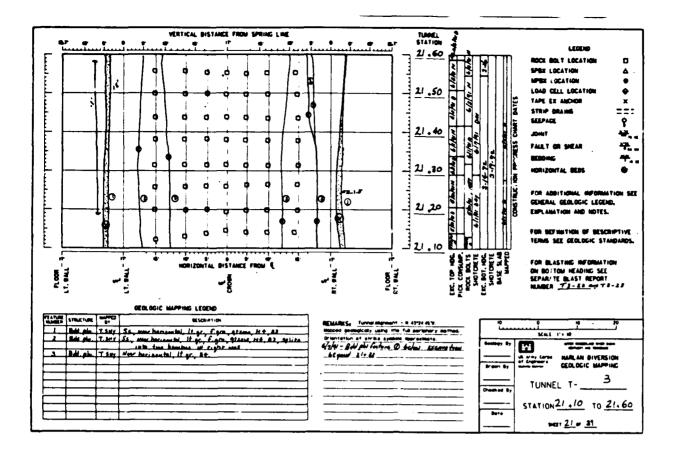
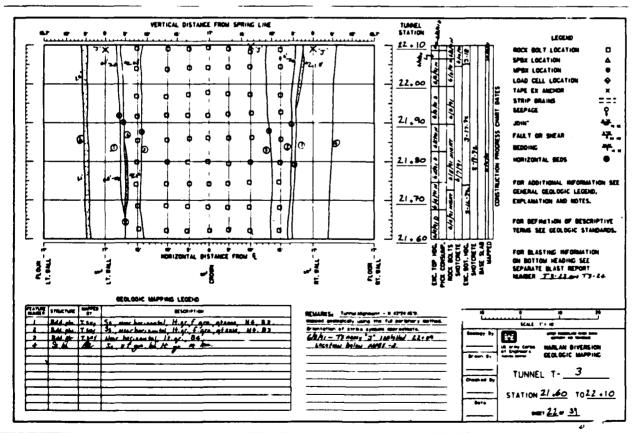


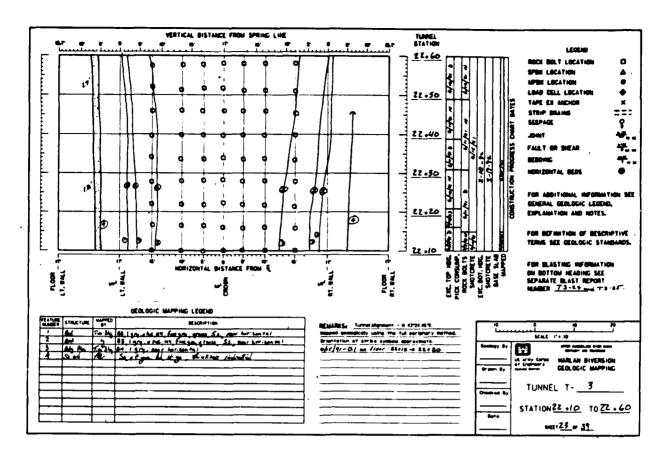
PLATE D-56

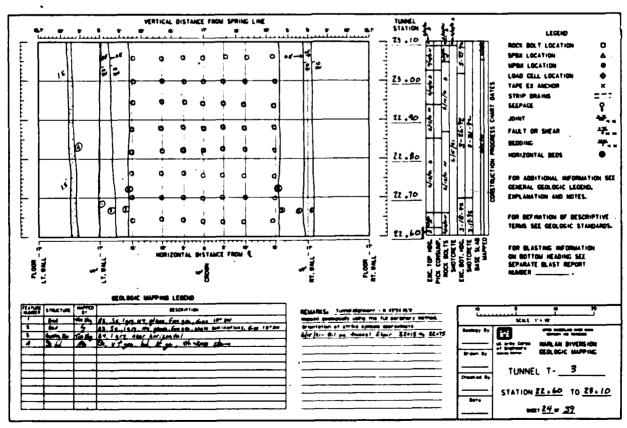


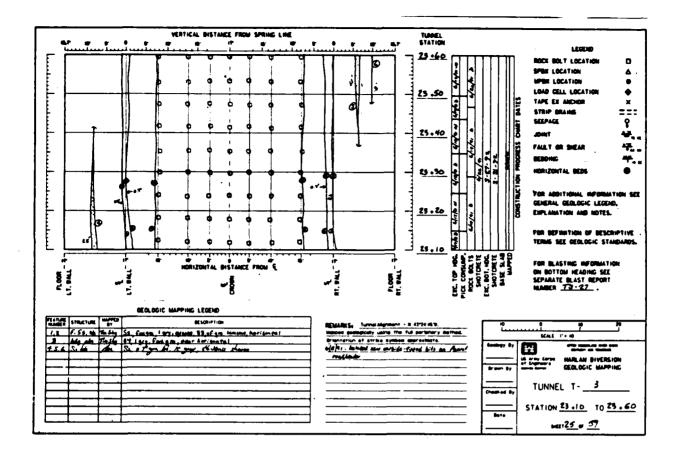












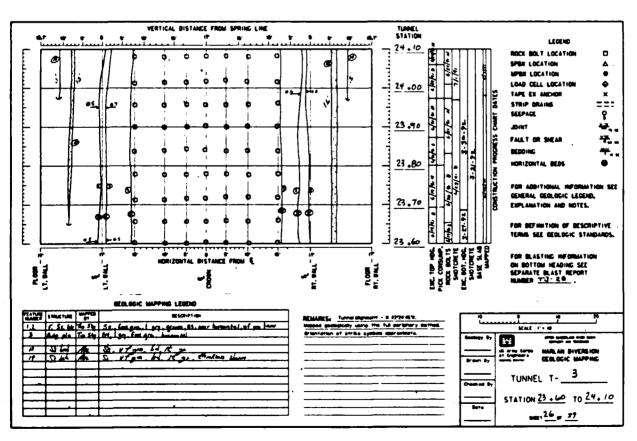
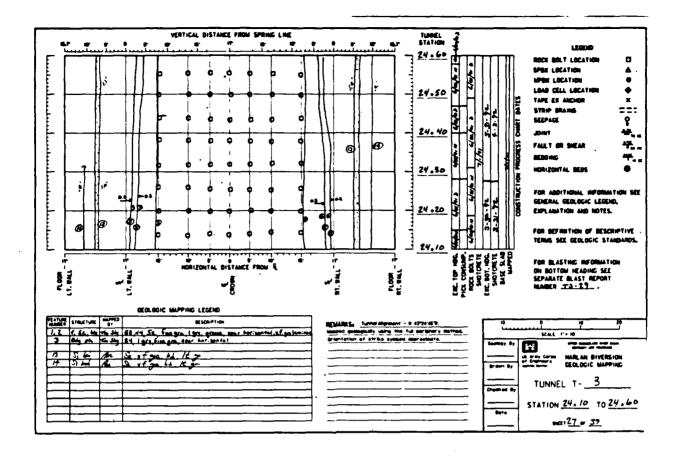


PLATE D-60



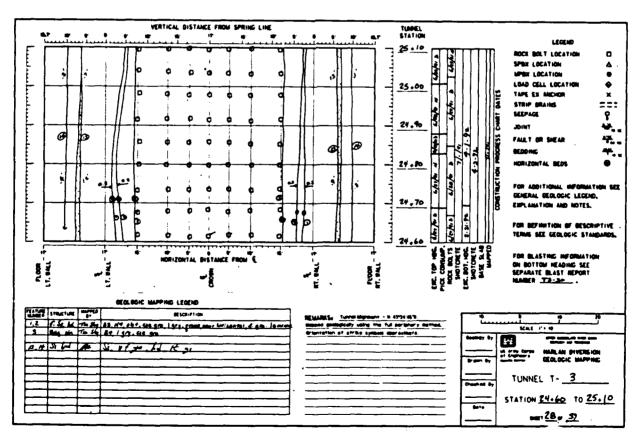
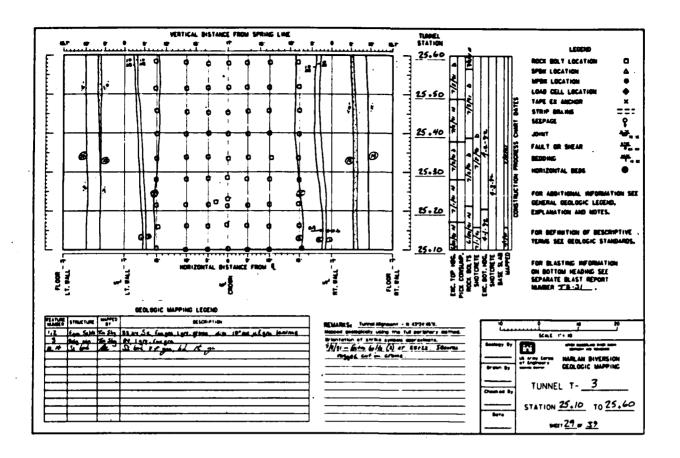


PLATE D-61



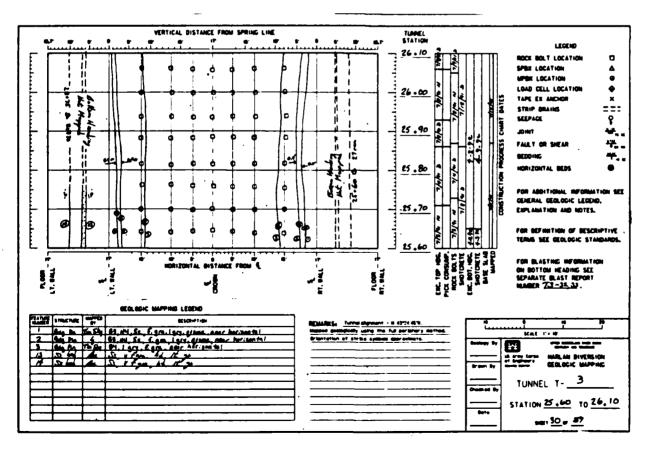
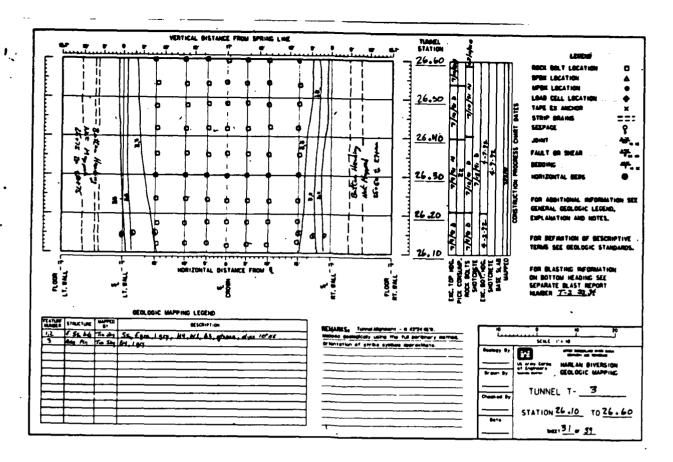
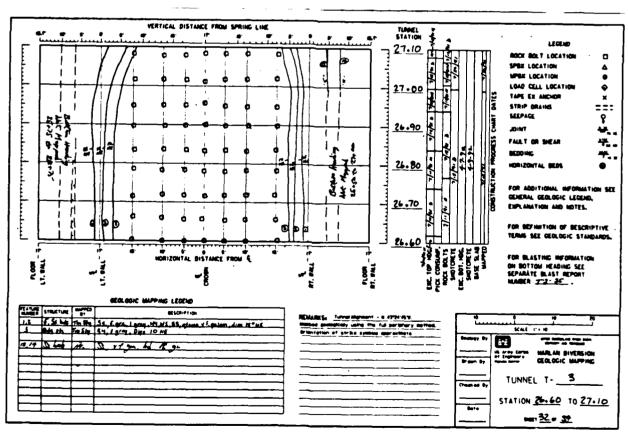
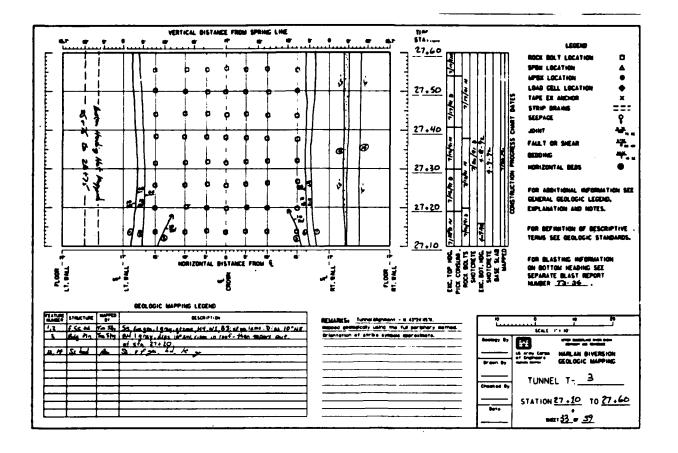


PLATE D-62







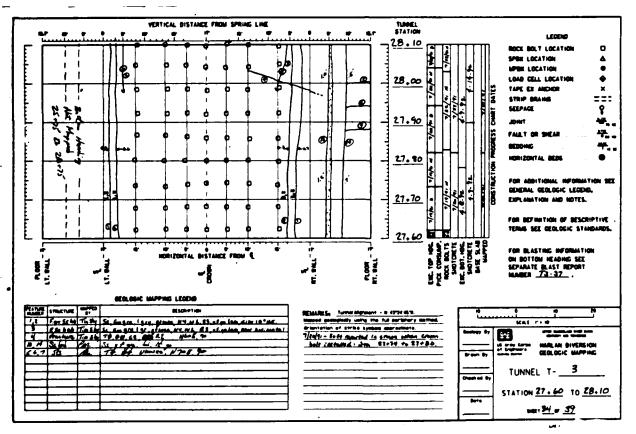
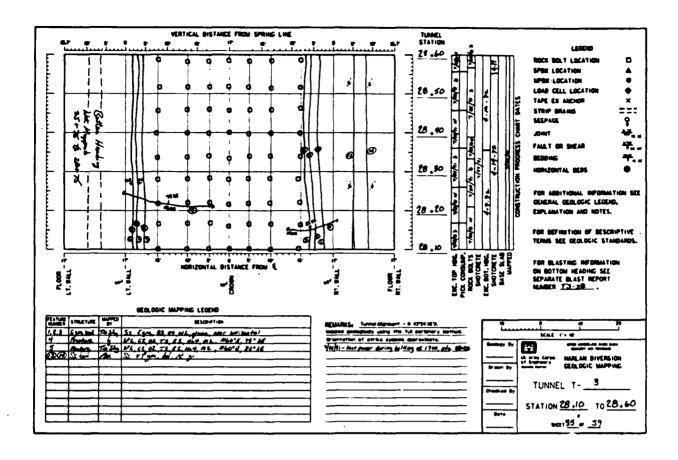


PLATE D-64



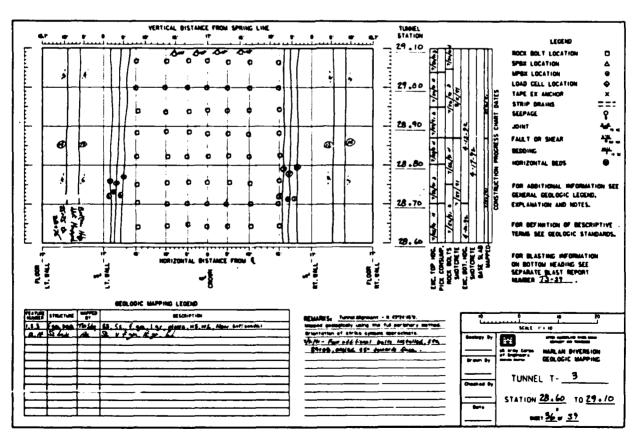
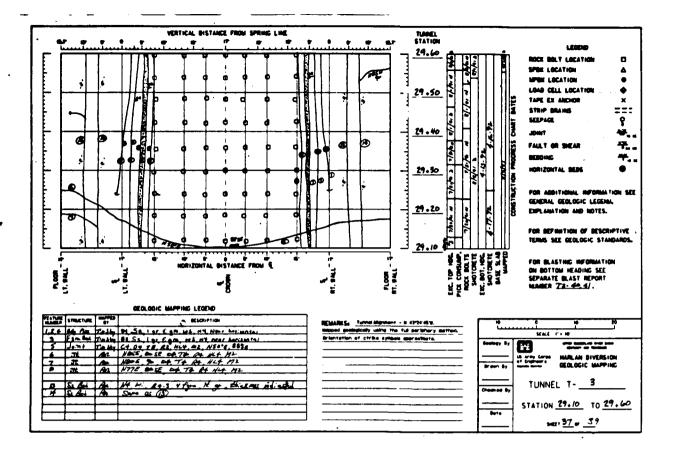


PLATE D-65



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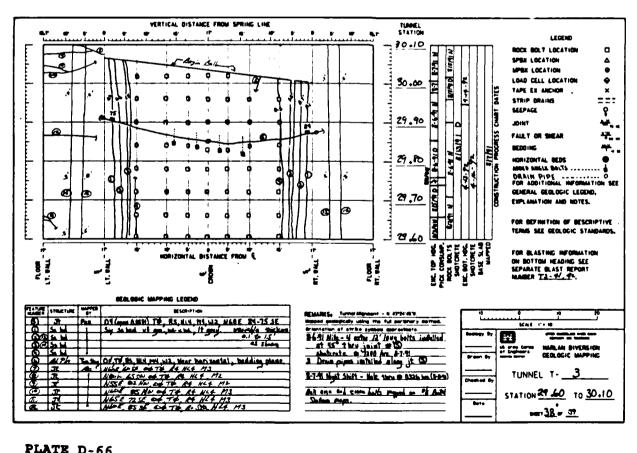
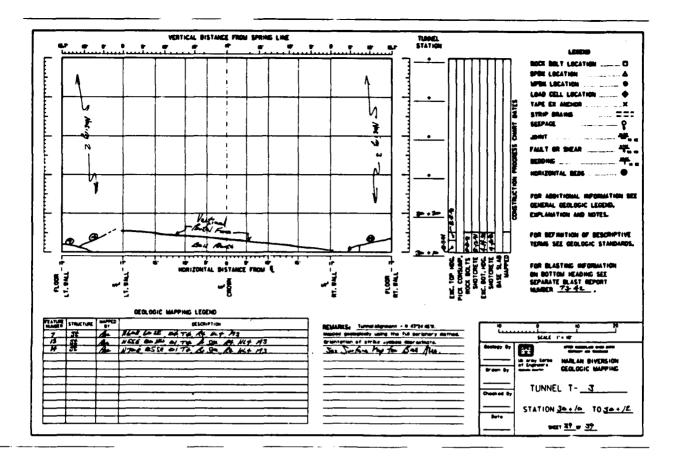
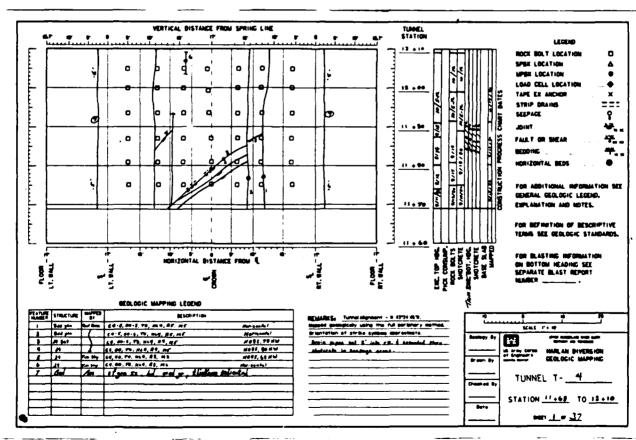
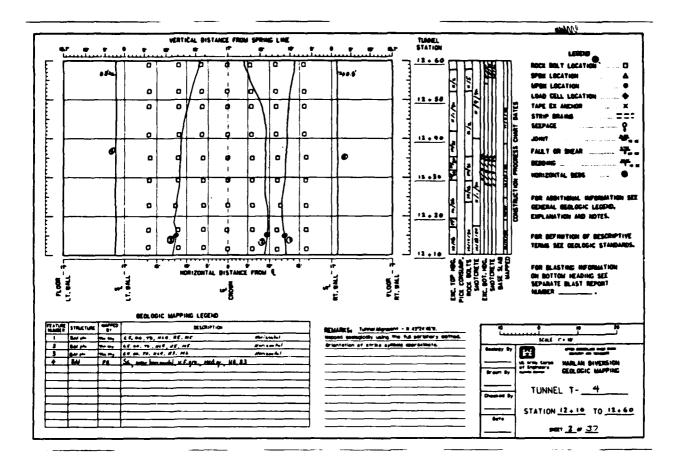
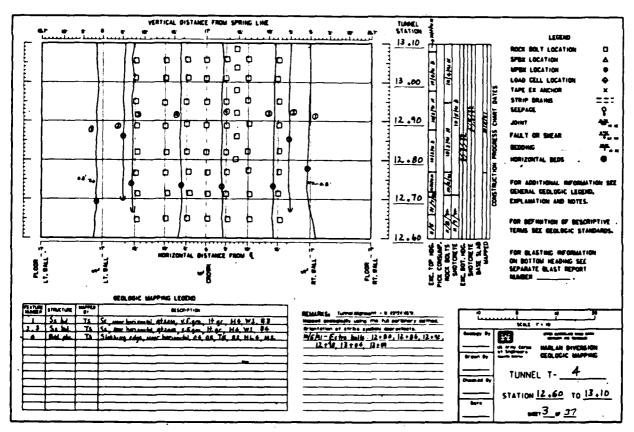


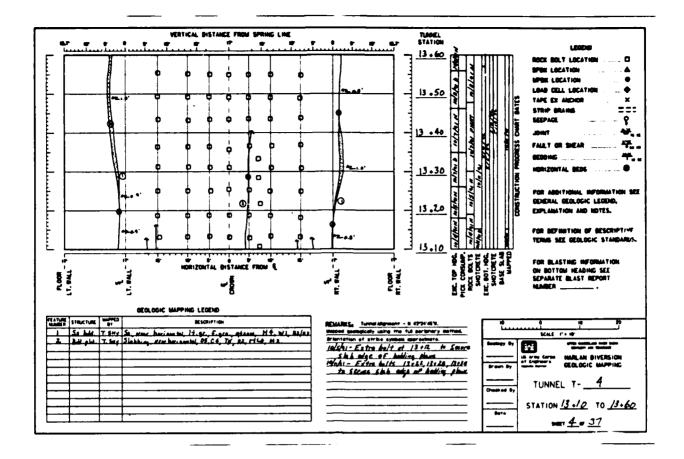
PLATE D-66

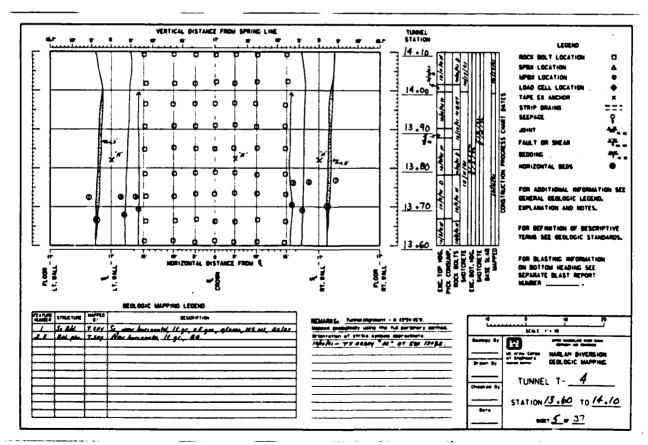


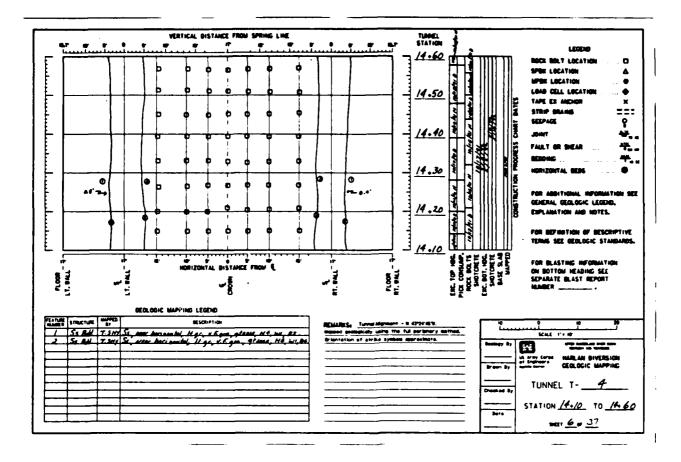












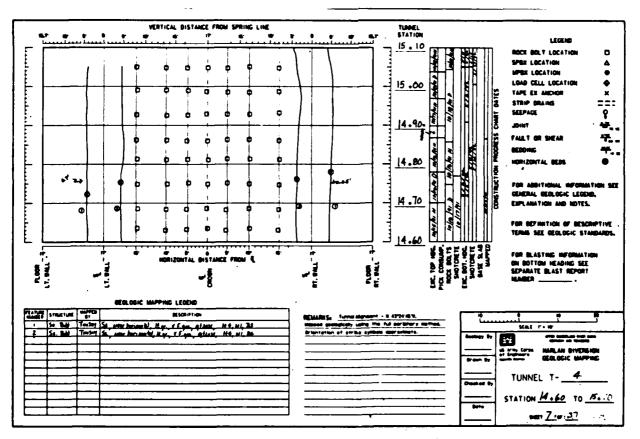
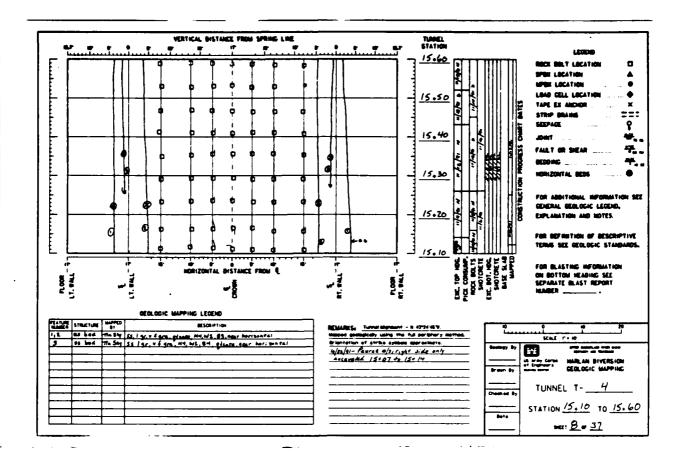
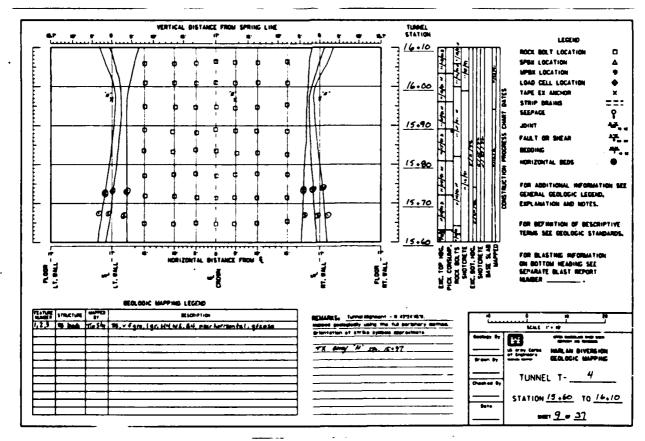
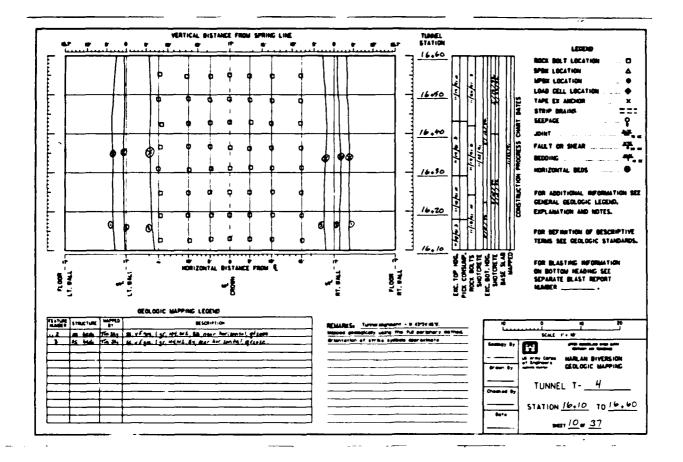


PLATE D-70







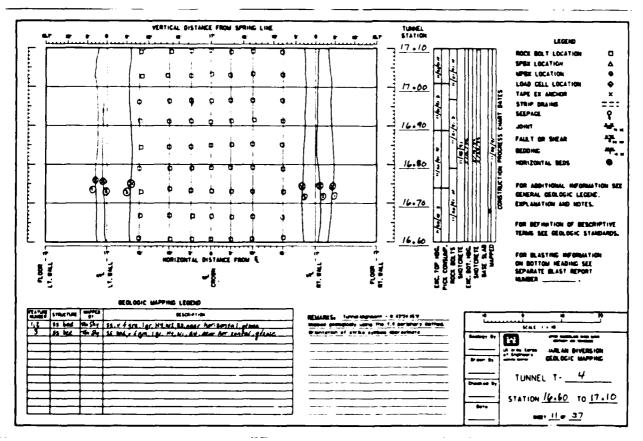
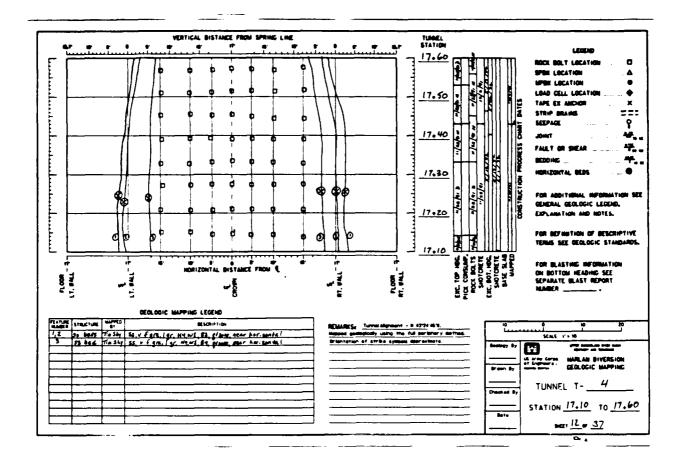


PLATE D-72



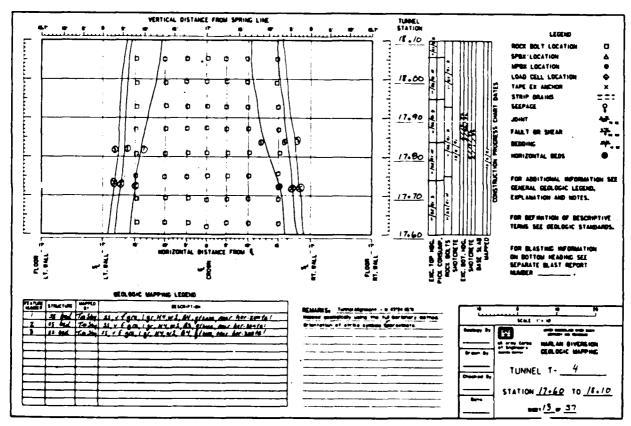
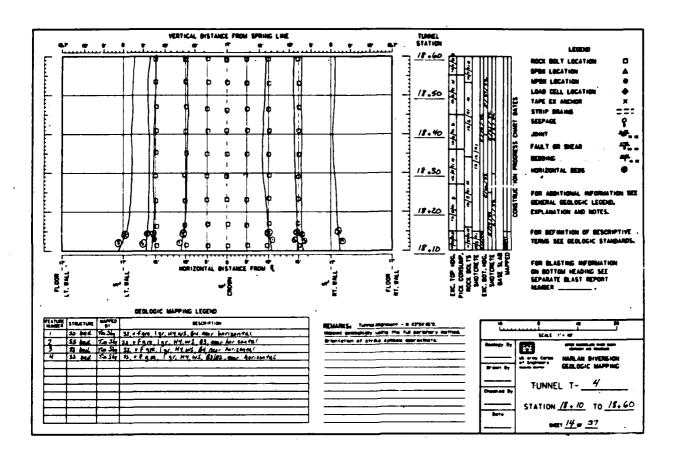


PLATE D-73



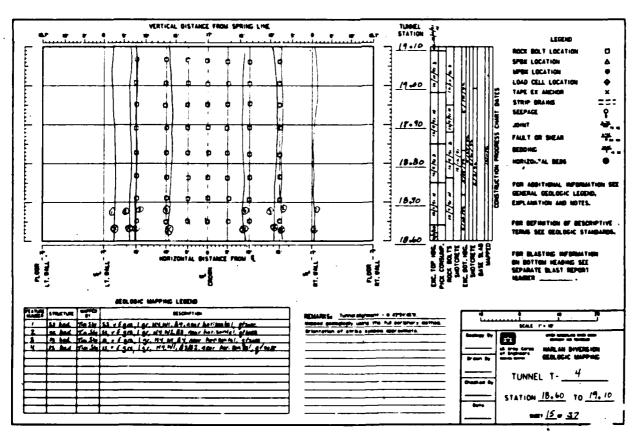
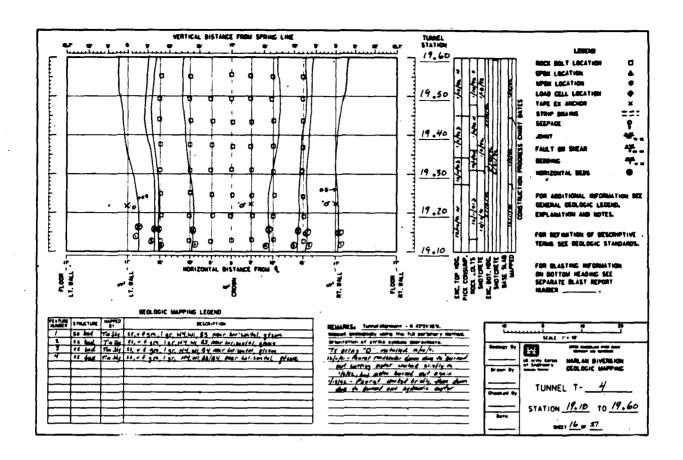


PLATE D-74



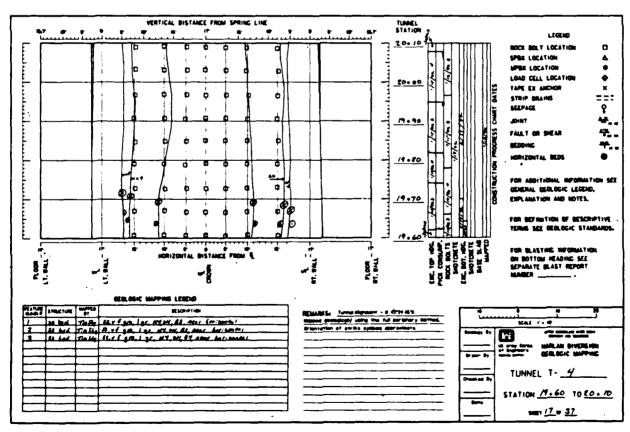
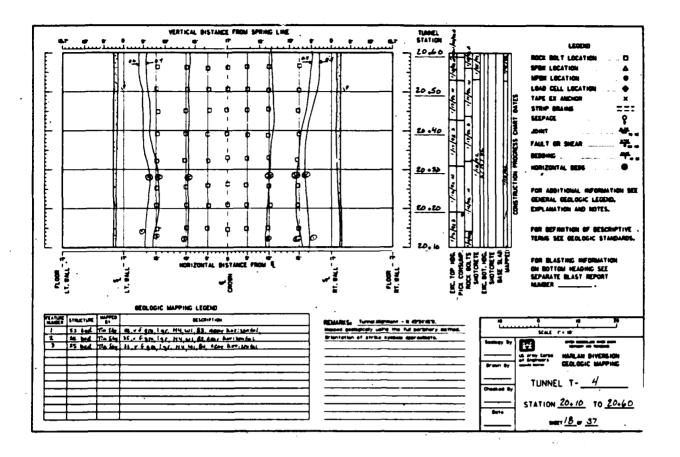


PLATE D-75



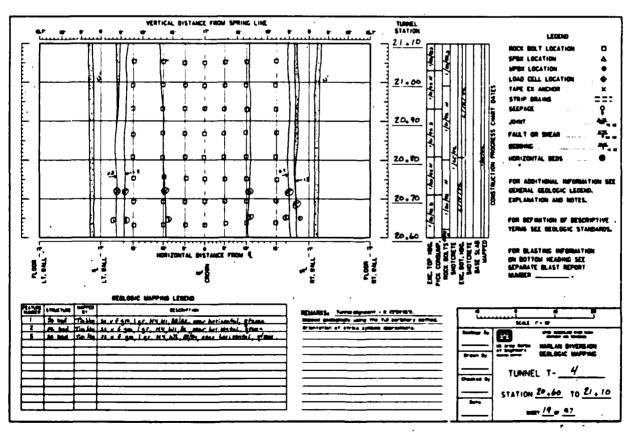
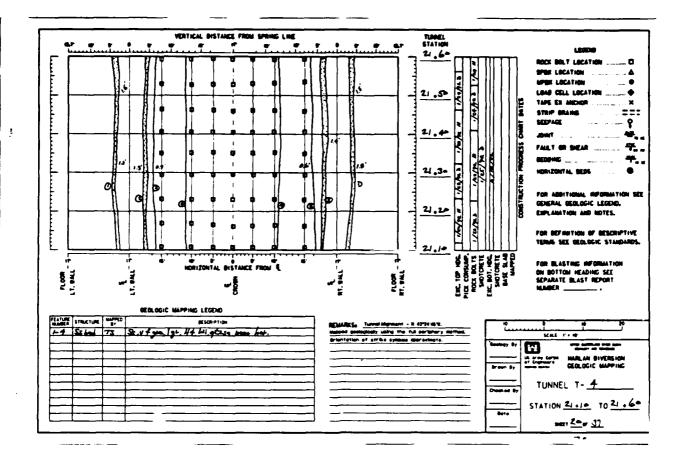
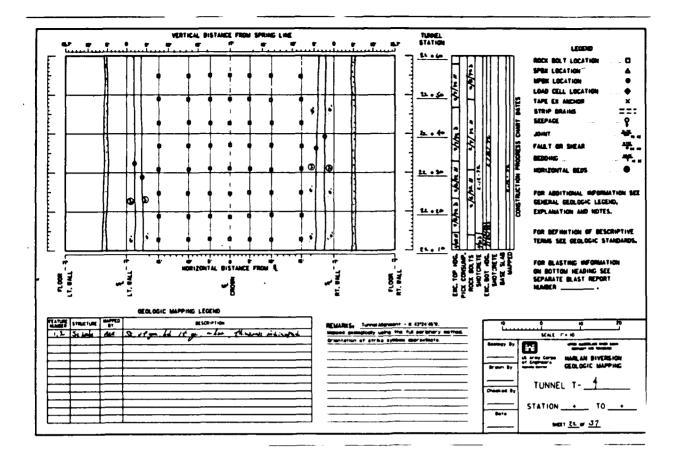
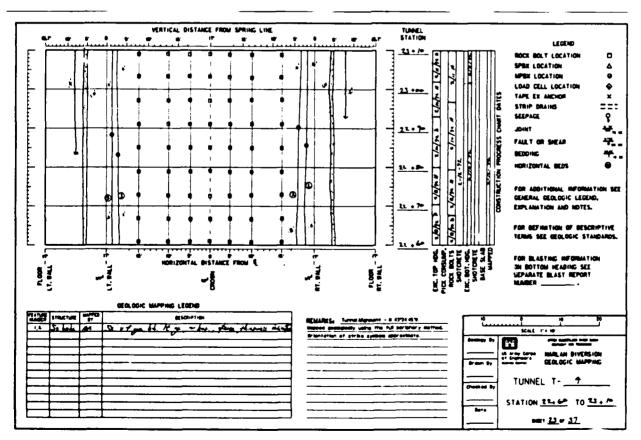


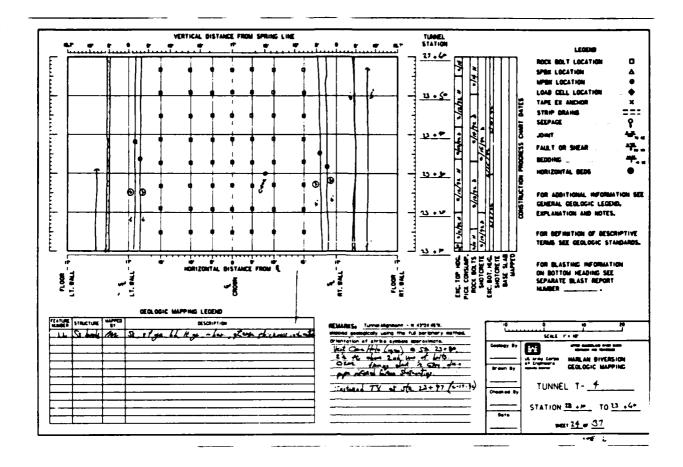
PLATE D-76



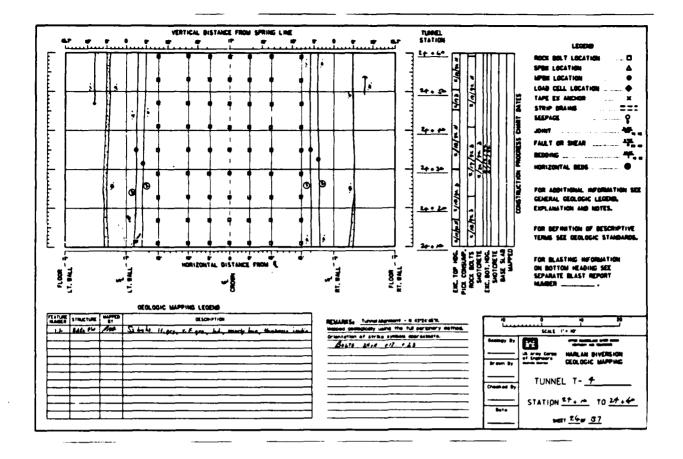
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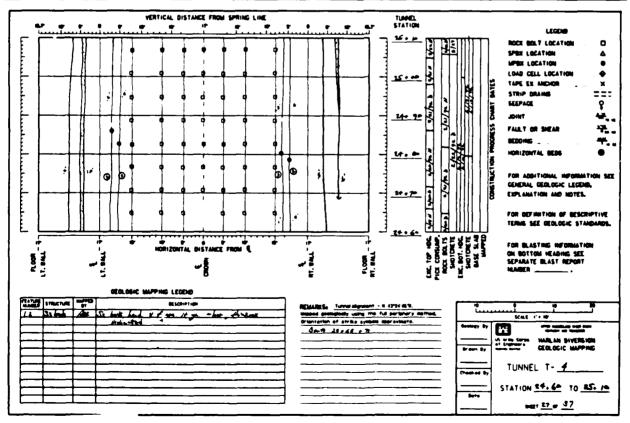
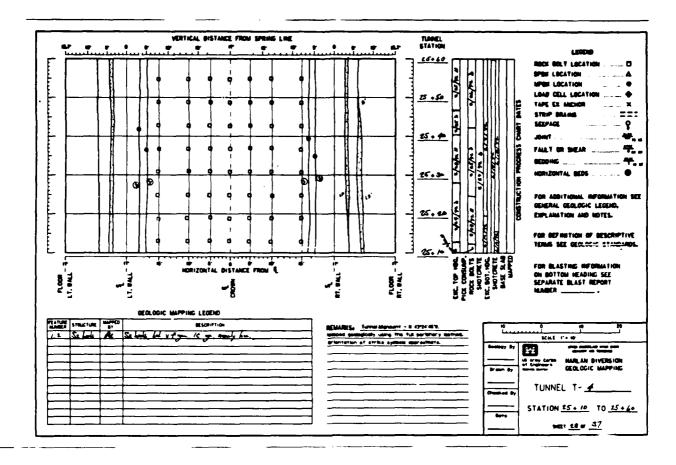
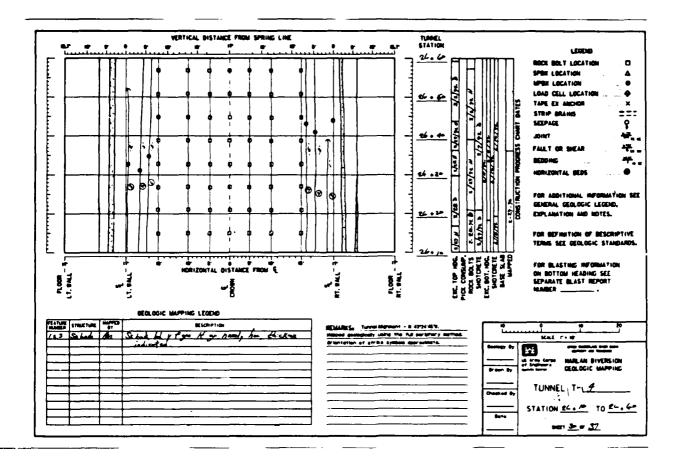


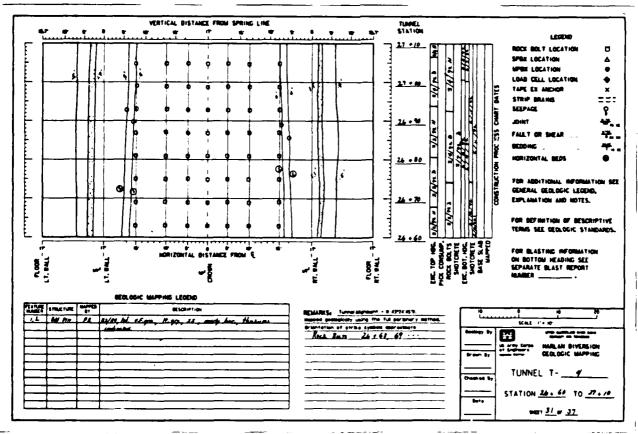
PLATE D-80

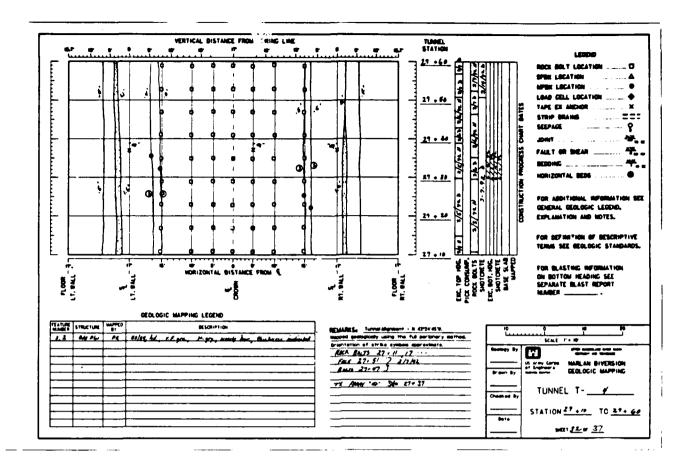


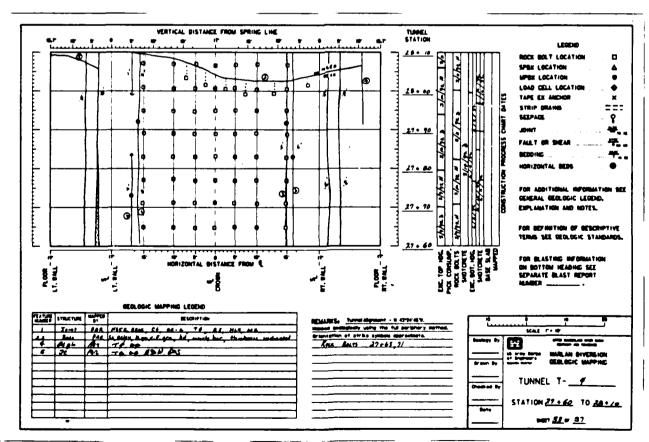
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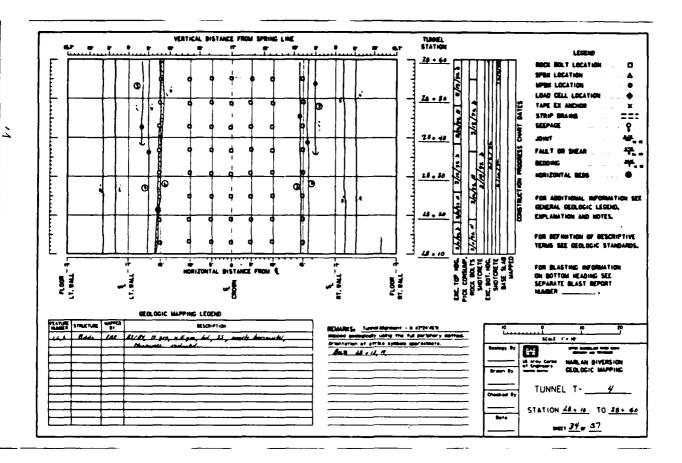


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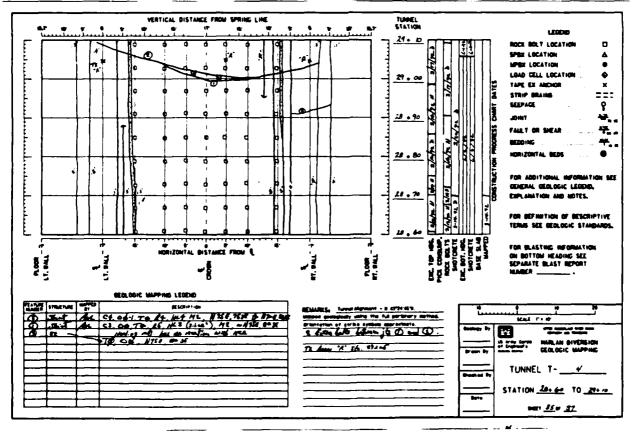
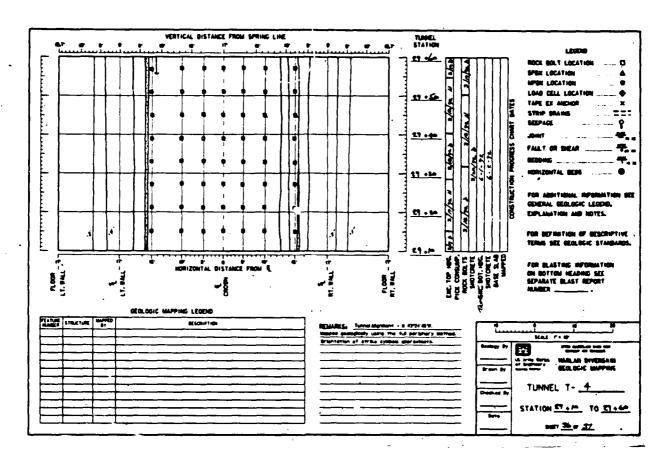
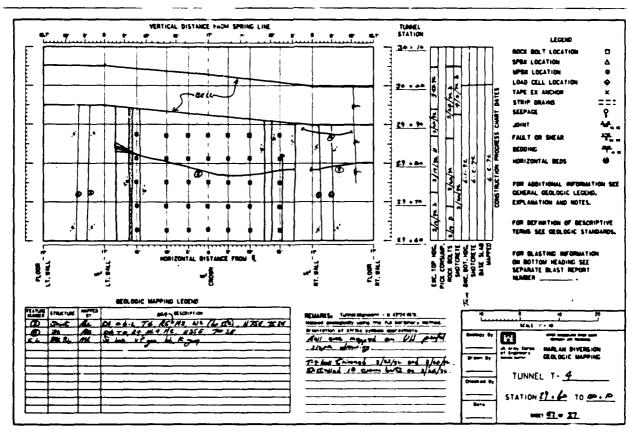


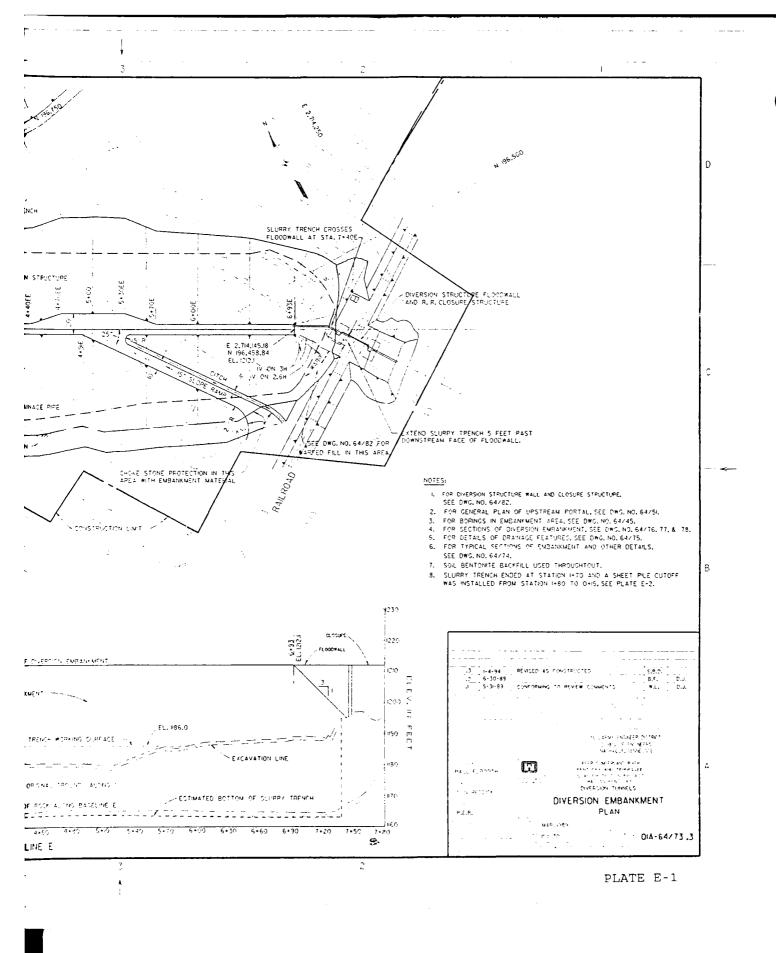
PLATE D-84

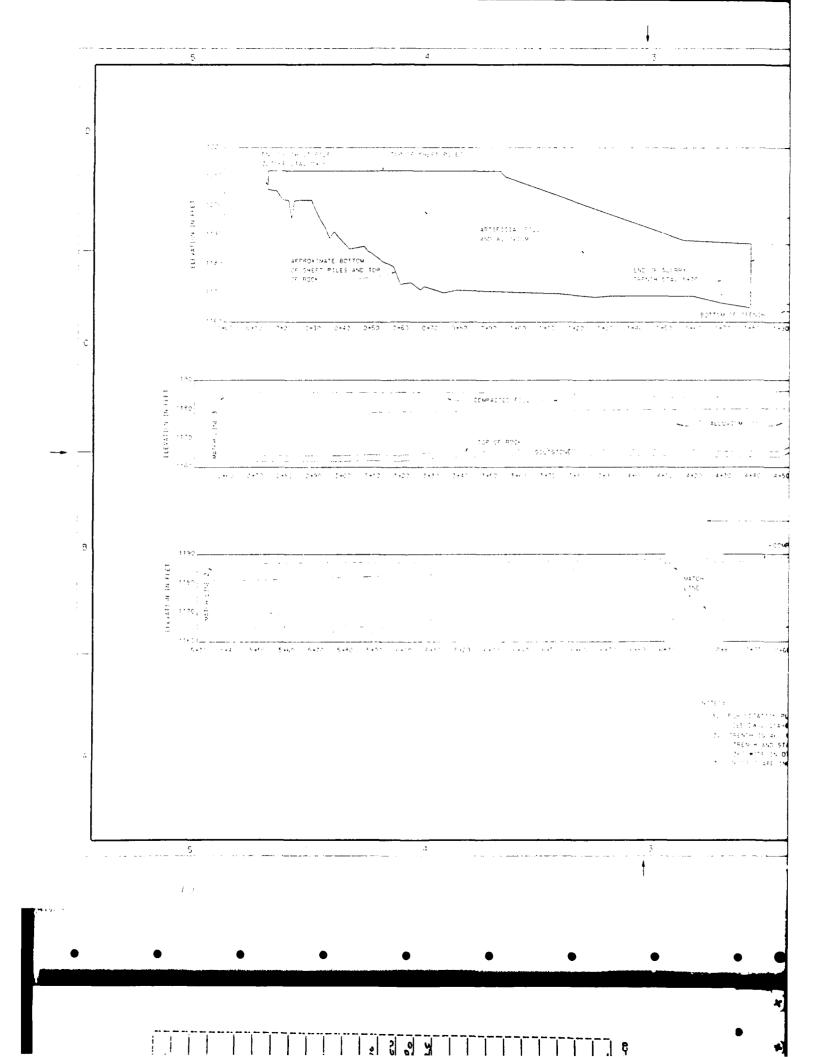


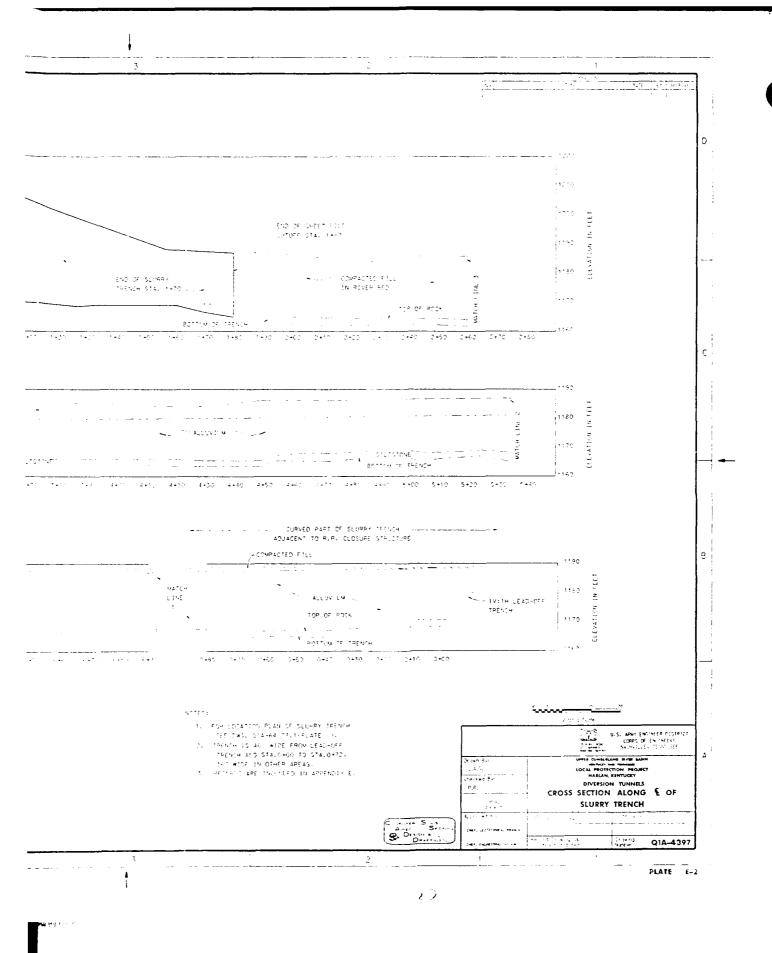


Appendix E - Diversion Embankment and Slurry Trench

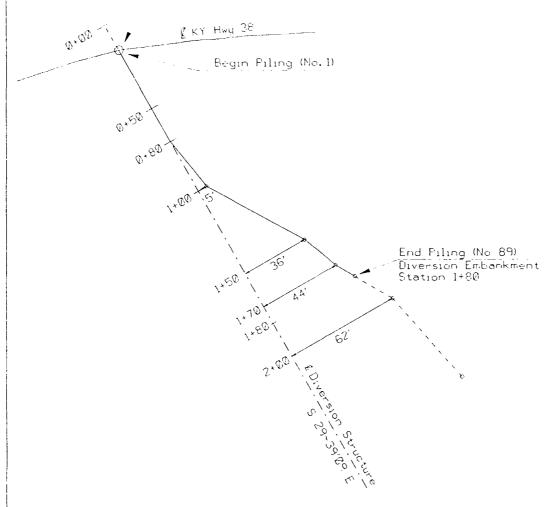
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E-2	Q1A-4/397	-1-7 5000101
E-3		Piling Records
E - 4	Q1A-64/74.3	
E-5	Q1A-64/45.1	Boring Plan
E-6		Embankment Sections
E-7	Q1A-4/401	Boring Logs
E-8		Slurry Trench Backfill
		Borrow Site
E-9		Slurry Trench Backfill
		Gradations
E-10		Slurry Trench Backfill
		Gradations
E-11		Embankment Fill Compaction
		Tests
E-12		
E-12		Water Line Relocation







kY Hwy 38 Station 59+58 = Diversion Embackment Station 0415



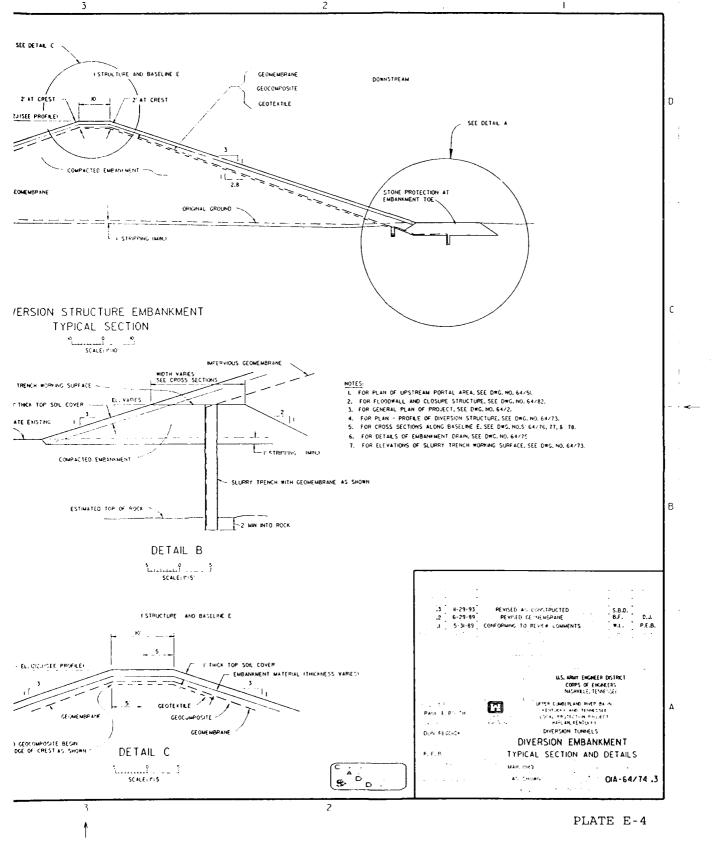
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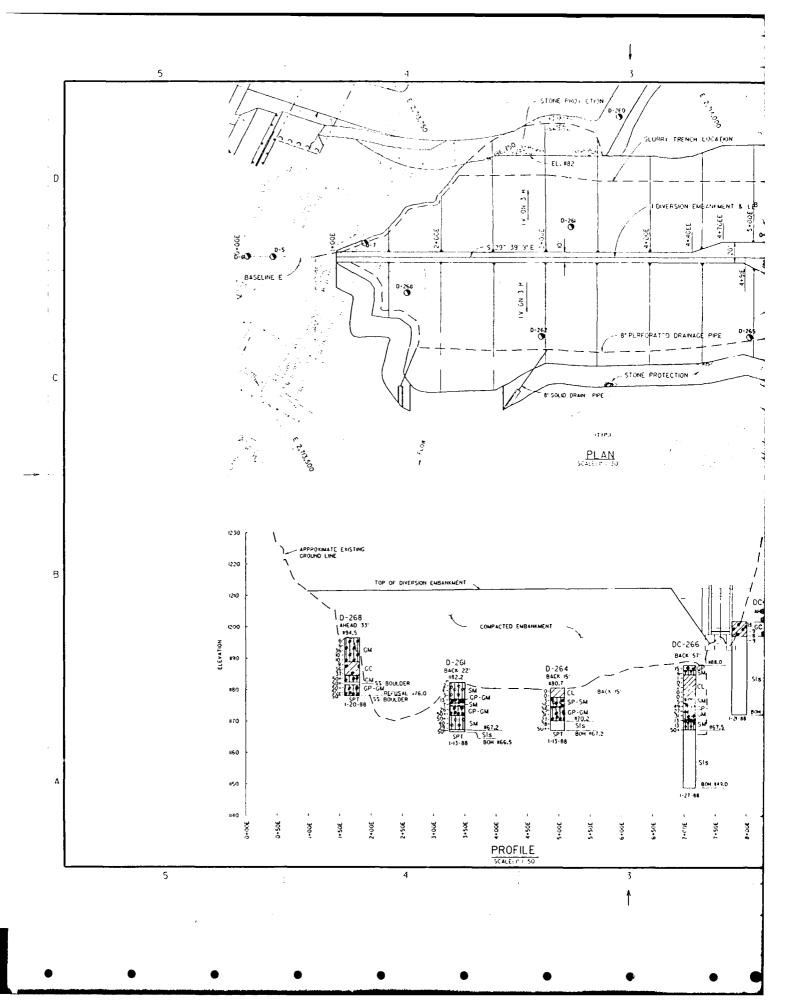
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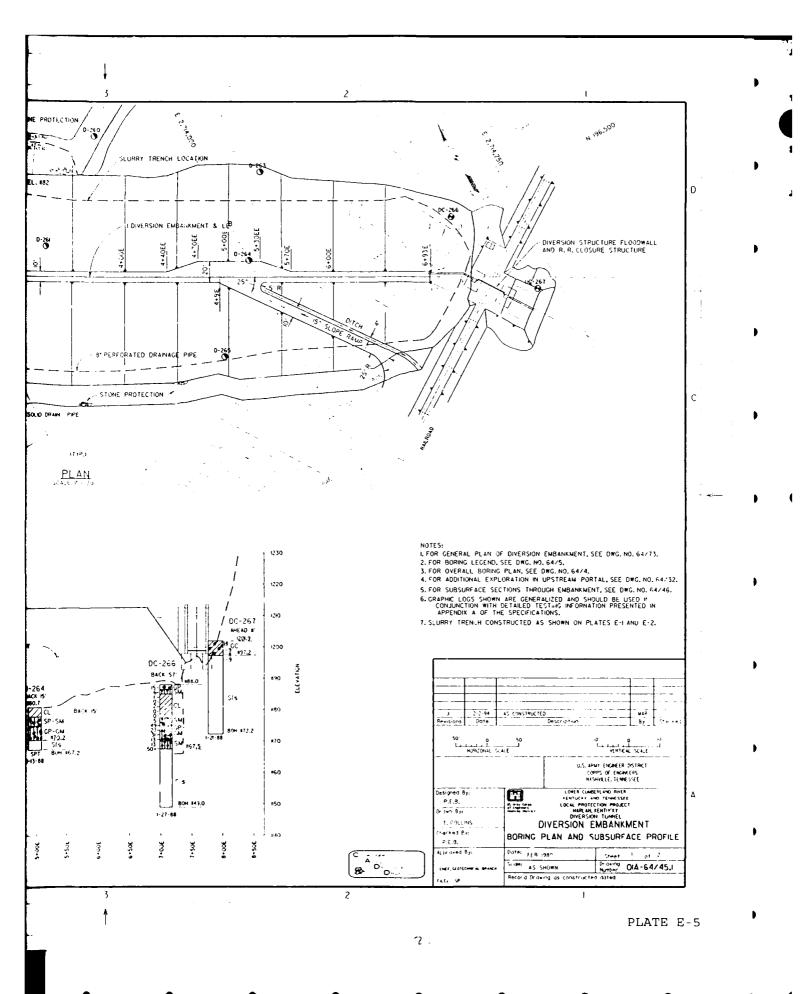
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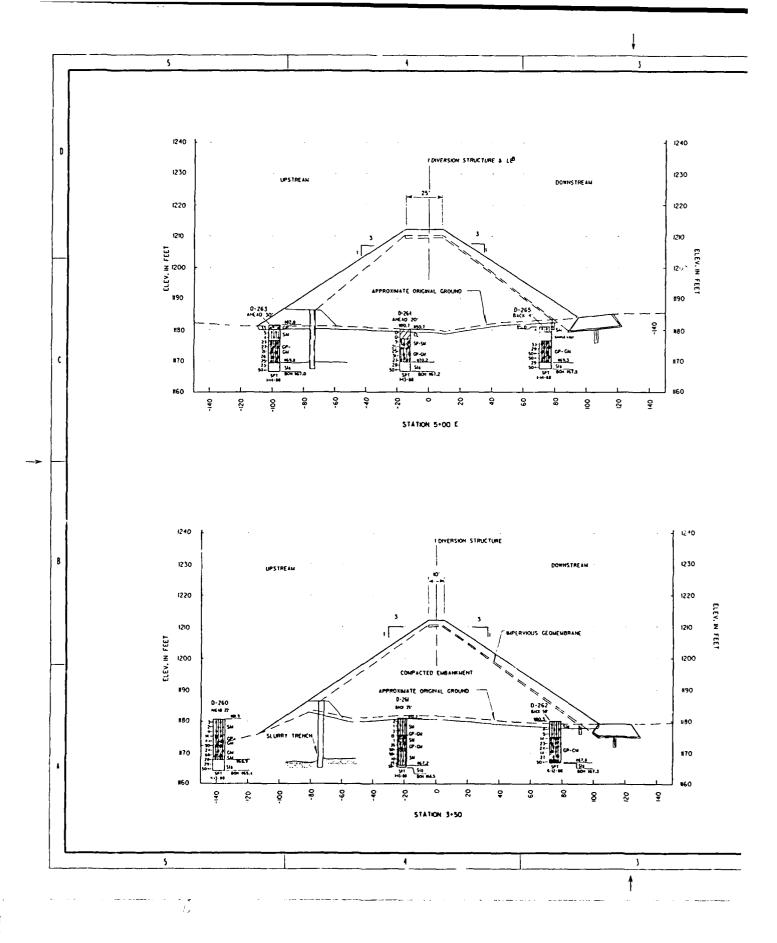
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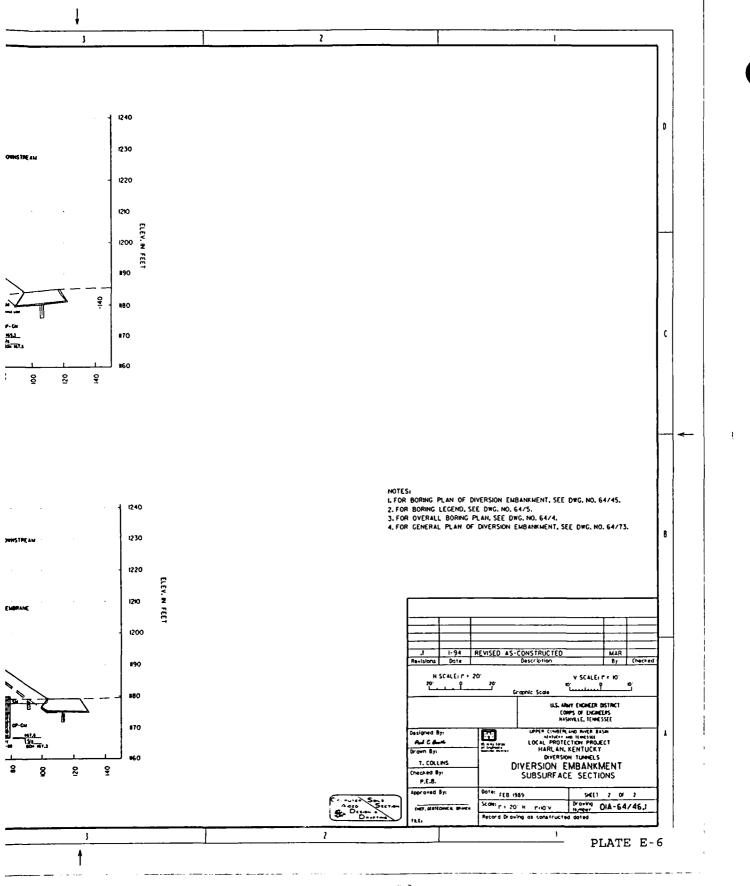


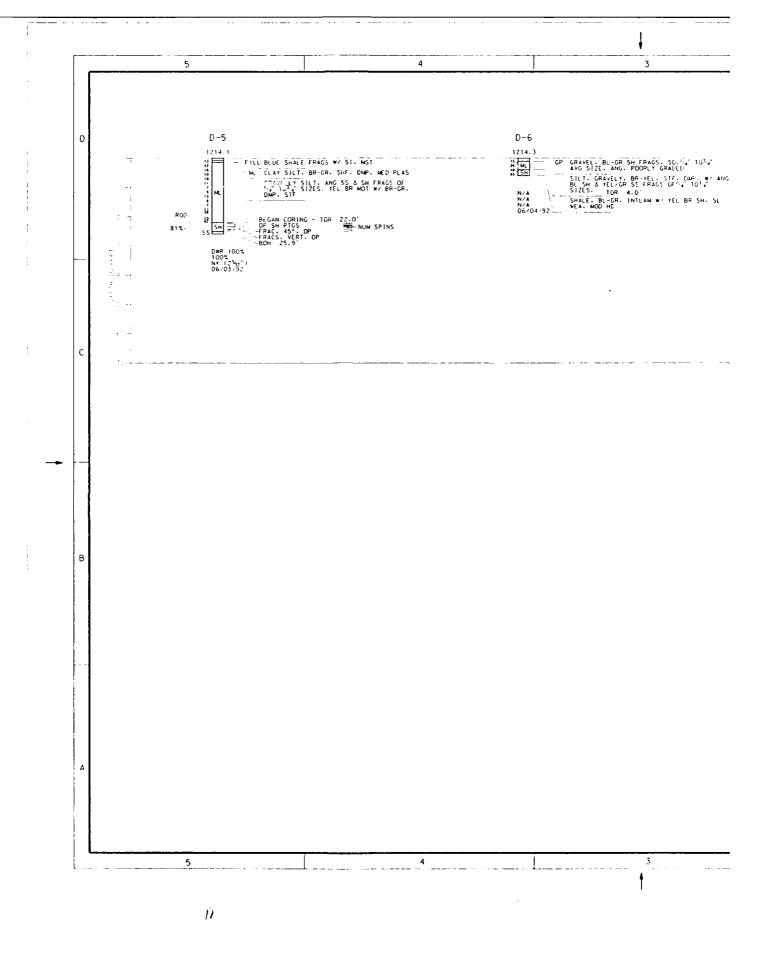
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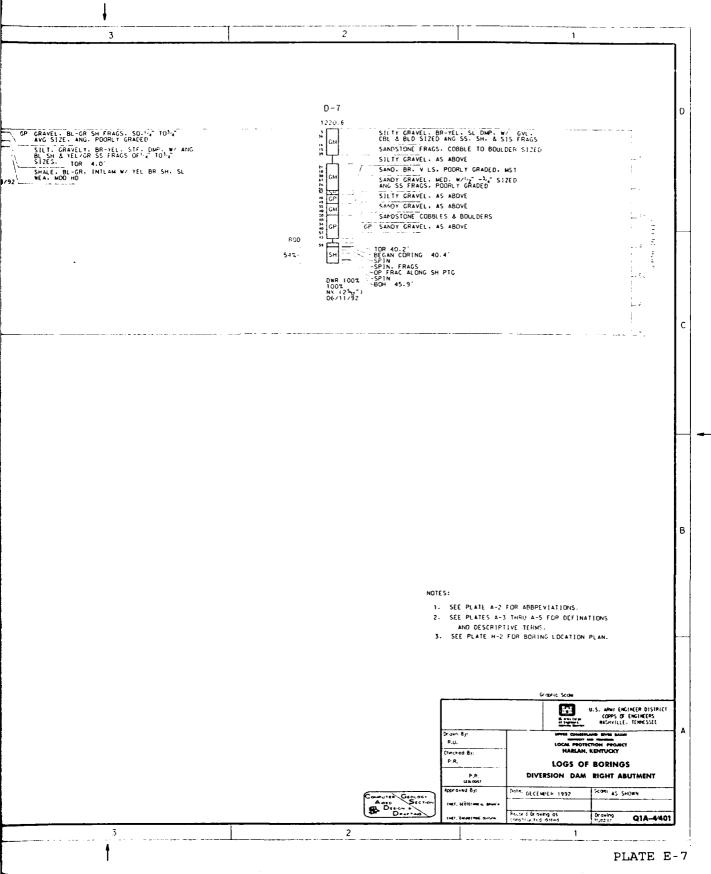












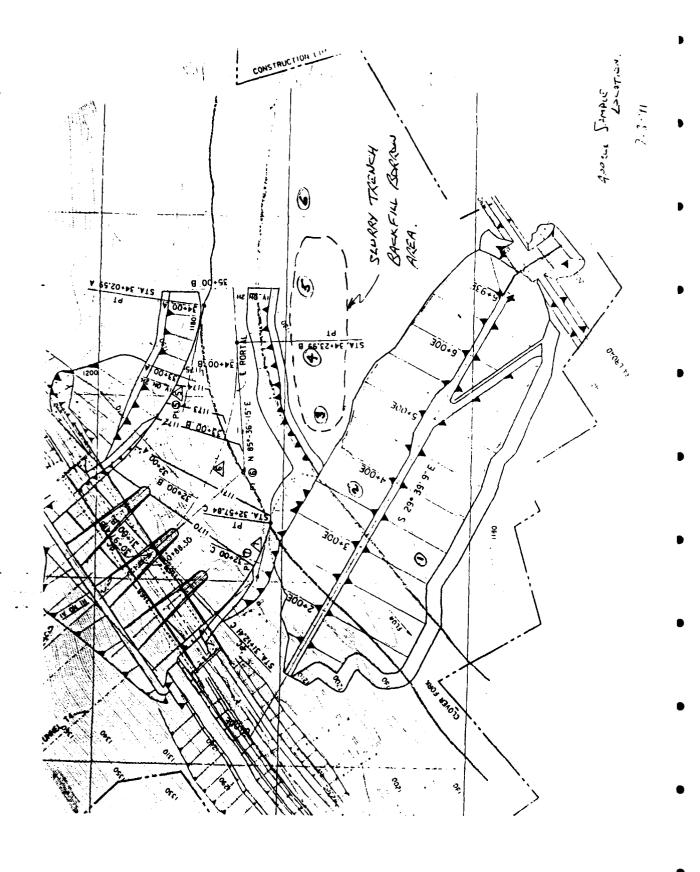


PLATE E-8

PLATE E-9

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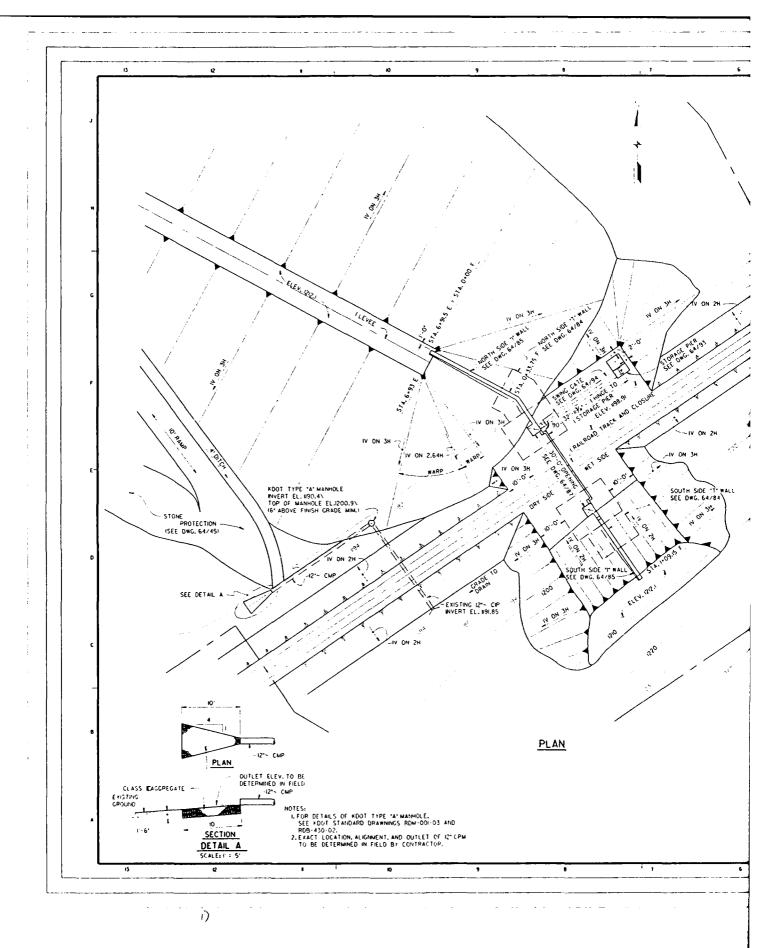
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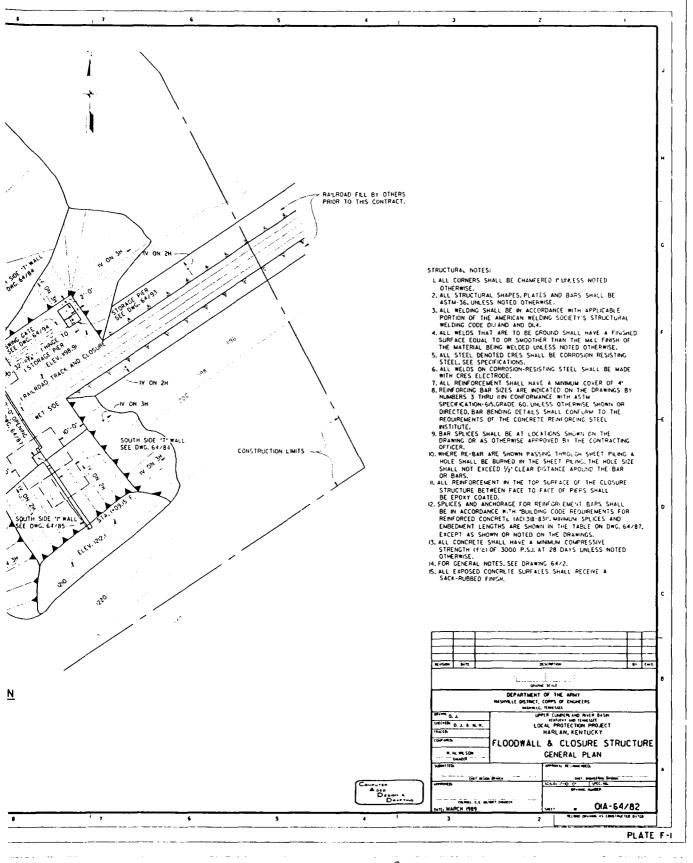
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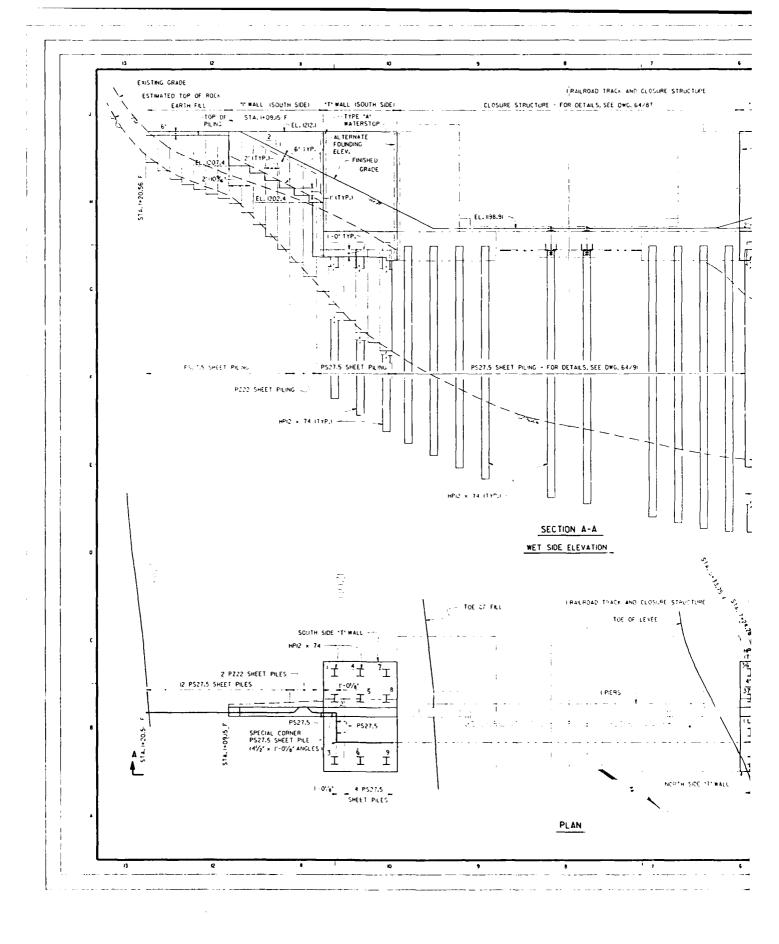
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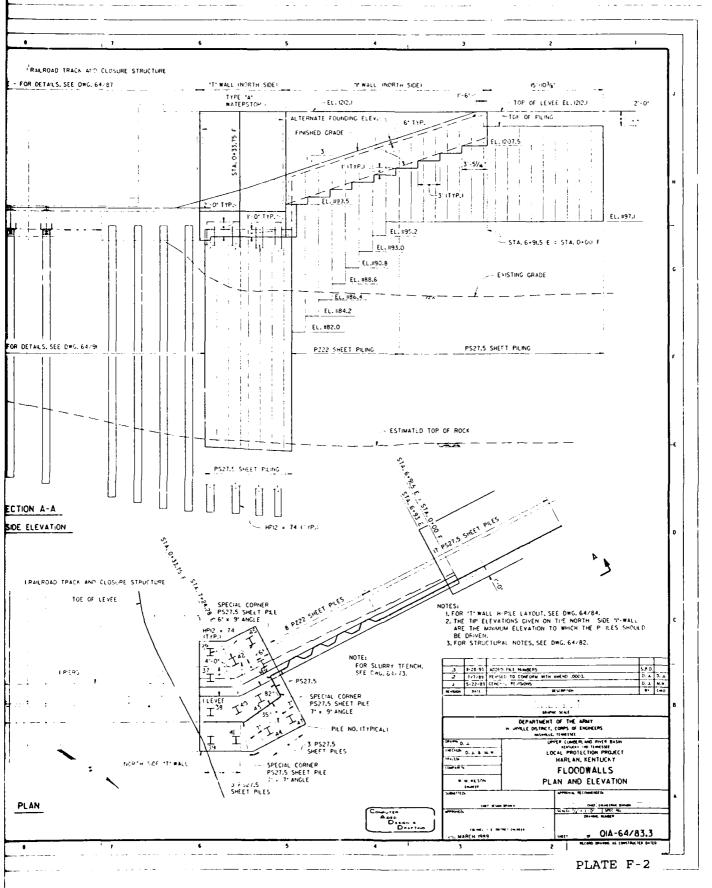
Appendix F - Floodwall and Closure Structure

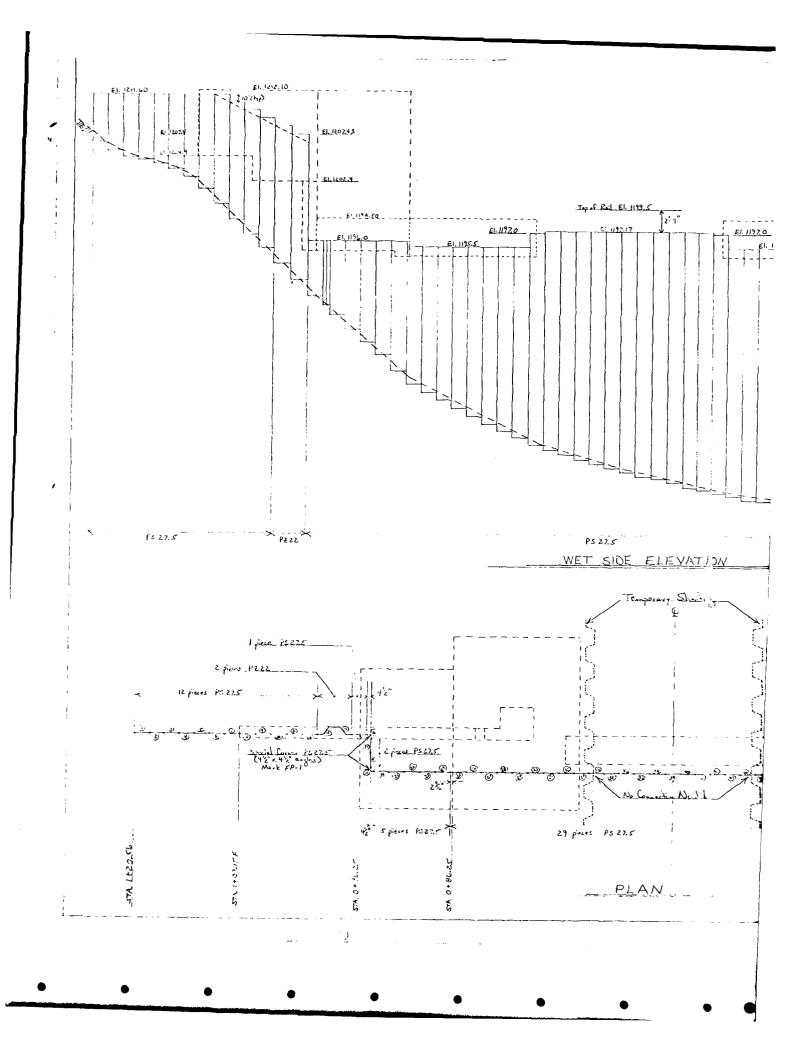
<u>Plate No.</u>	Drawing No.	<u>Description</u>
F-1	Q1A-64/82	General Plan
F-2	Q1A-64/83.3	Plan and Elevation
F-3		Piling Records
F-4	Q1A-64/84.1	T-Wall Details
F-5	Q1A-64/91.1	Pile Layout
F-6		Pile Records

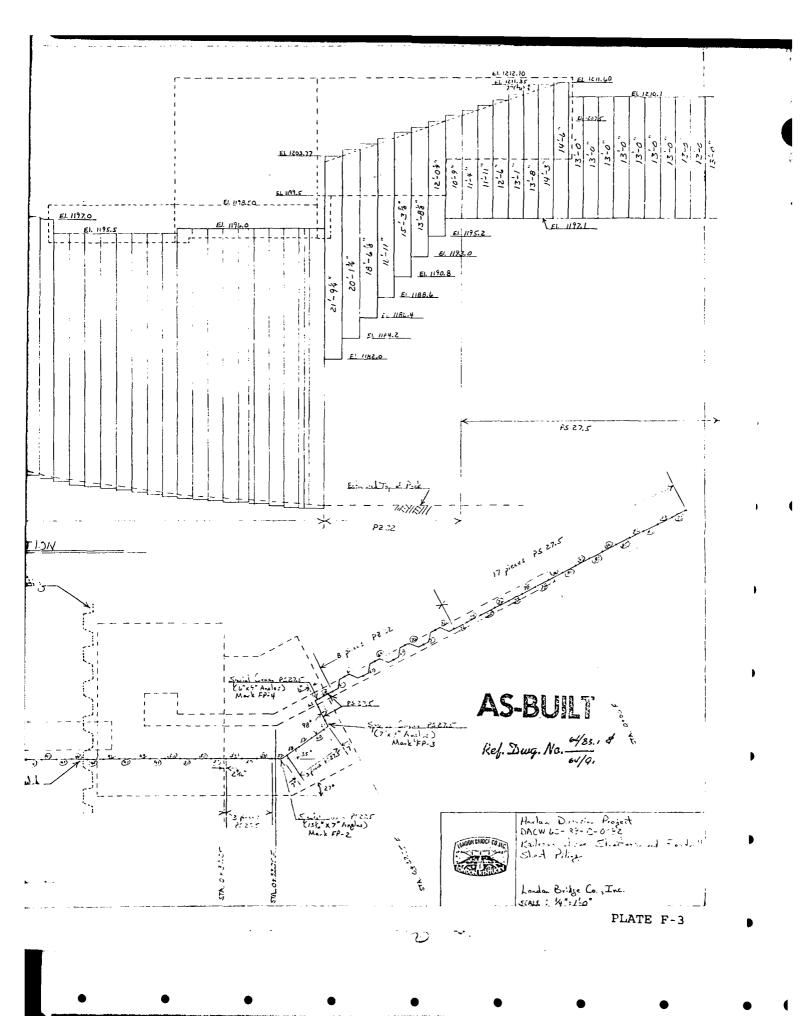


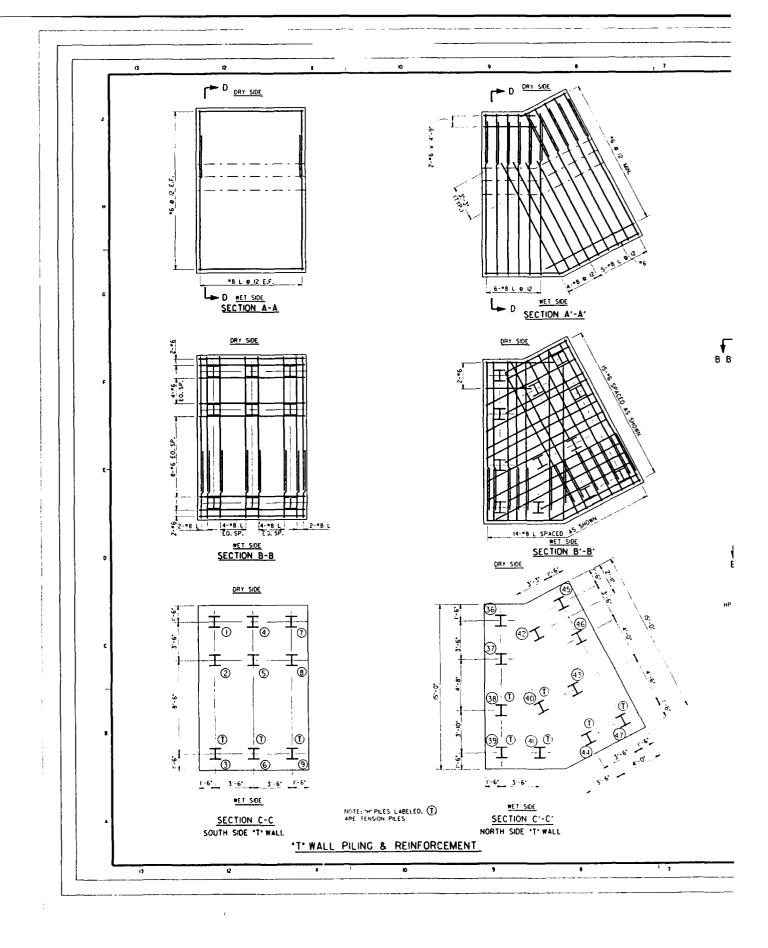


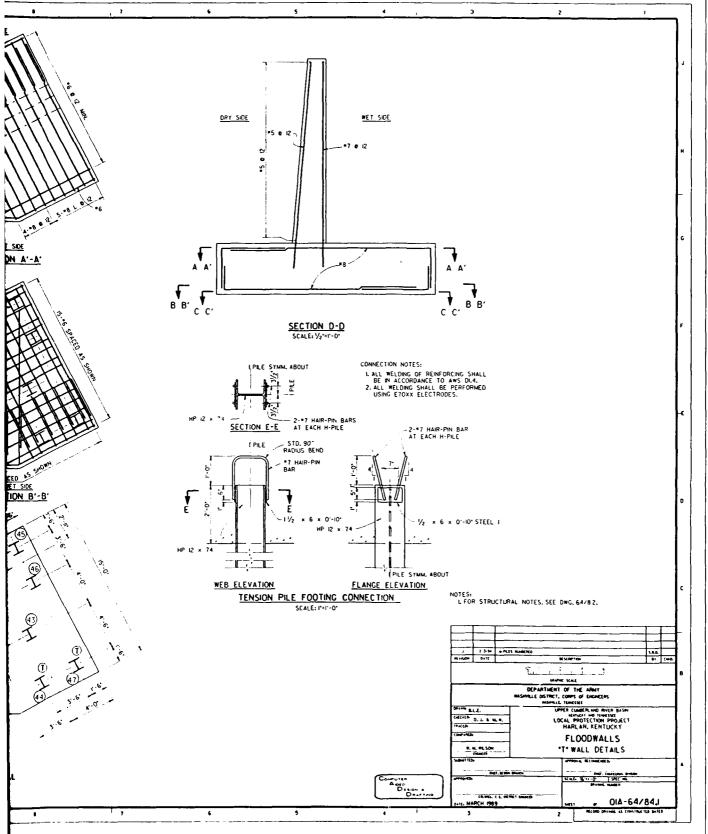






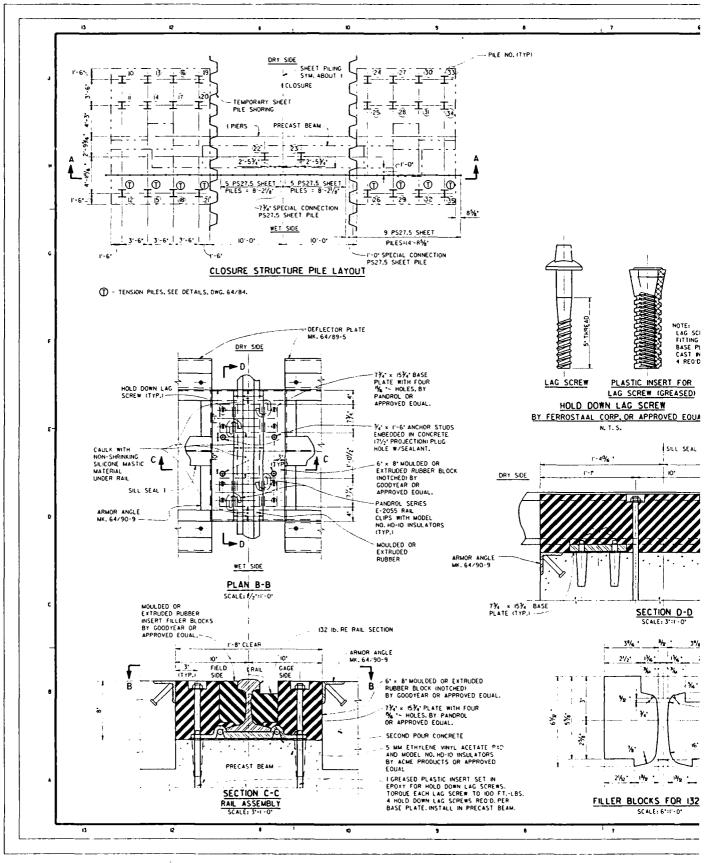




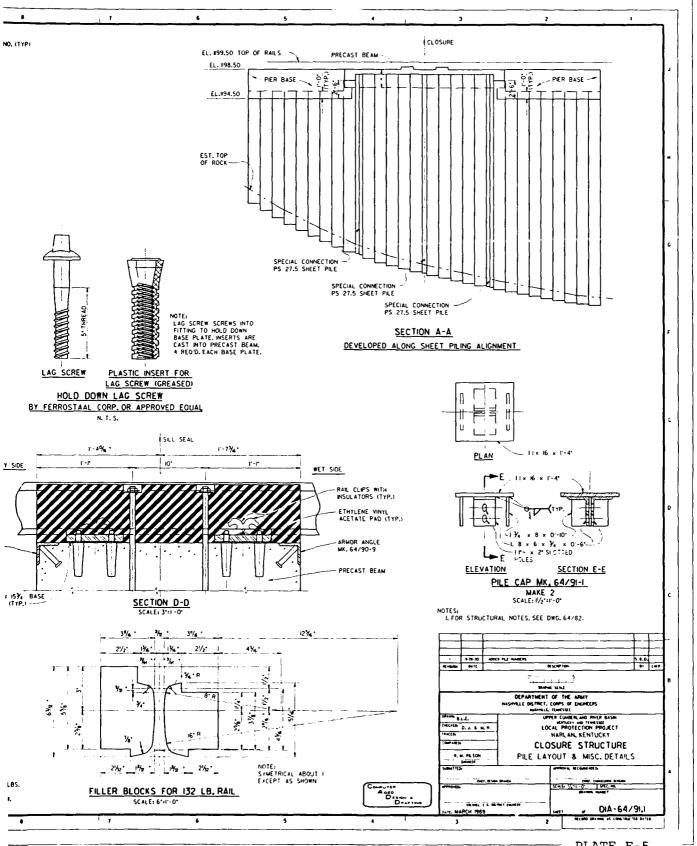


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PLATE F-4



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2!	1-1	1196.52	1169.65	26,85
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28		1196.50	1166.19	29,31
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31		1196.52	1167,92	28,58
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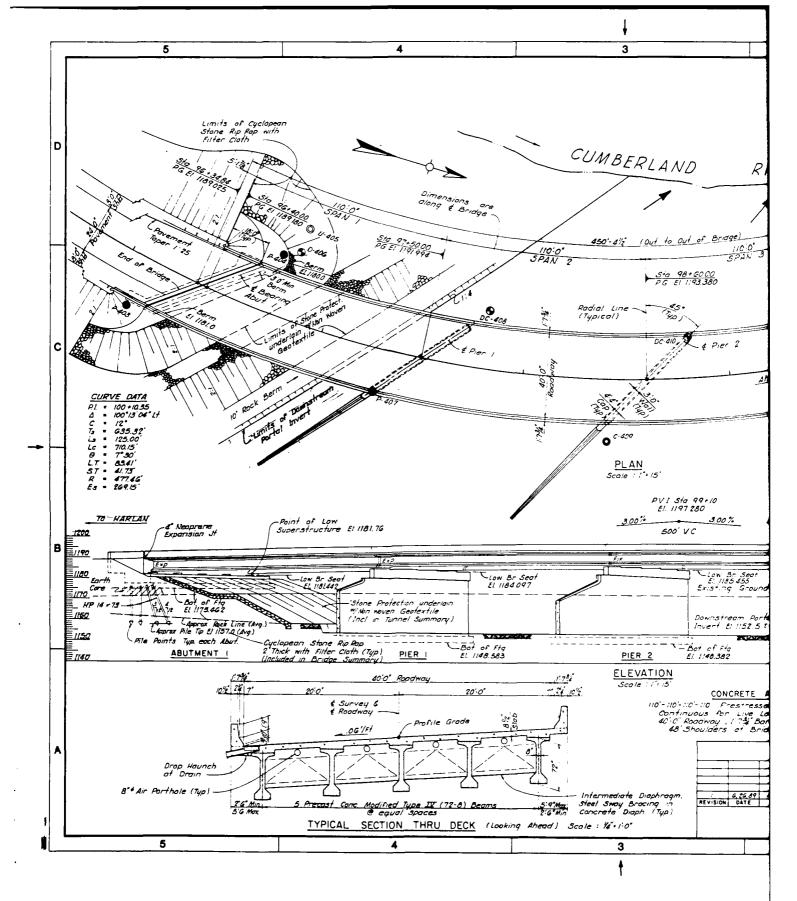
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Checked By:	1	AS CONSTRUCTED D CLOSURE STRUCTURE and FLOODWALL				
- in-th	H-PILE and SHEET PILE RECORDS					
Approved Bys	Date: JAN 1994					
640'. 1 Minks	Record Drawing as constructed dated	PLATE F-6				

Appendix G - Highway 72 Bridge

Plate No.	Drawing No.	<u>Description</u>
G-1 G-2	Q1A-81/76.2	Bridge Layout Pile Layout & Record
G-3 G-4	Q1A-81/79.1 Q1A-4/398	Pier Details Pier Foundation Maps



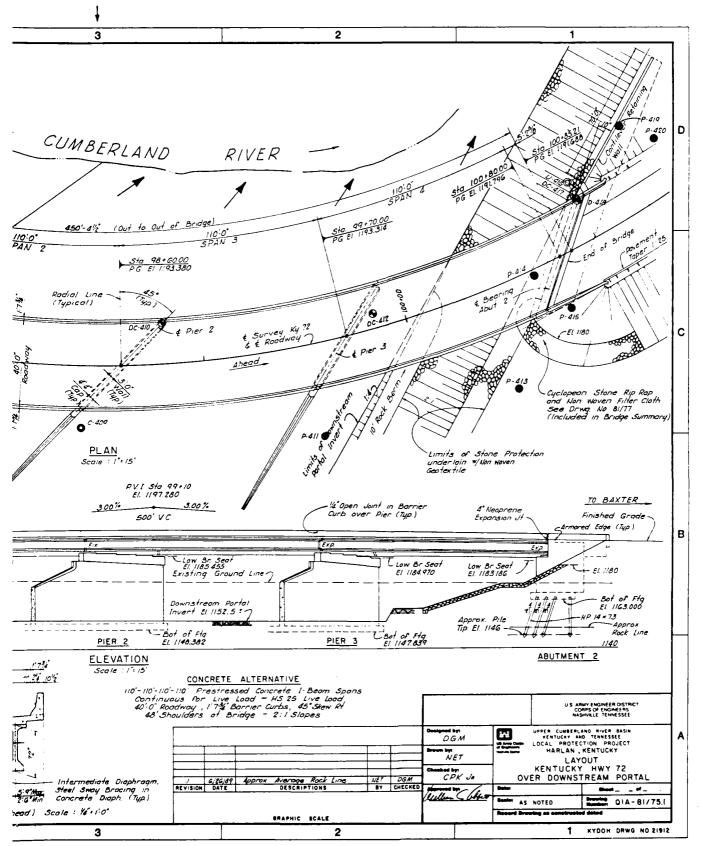
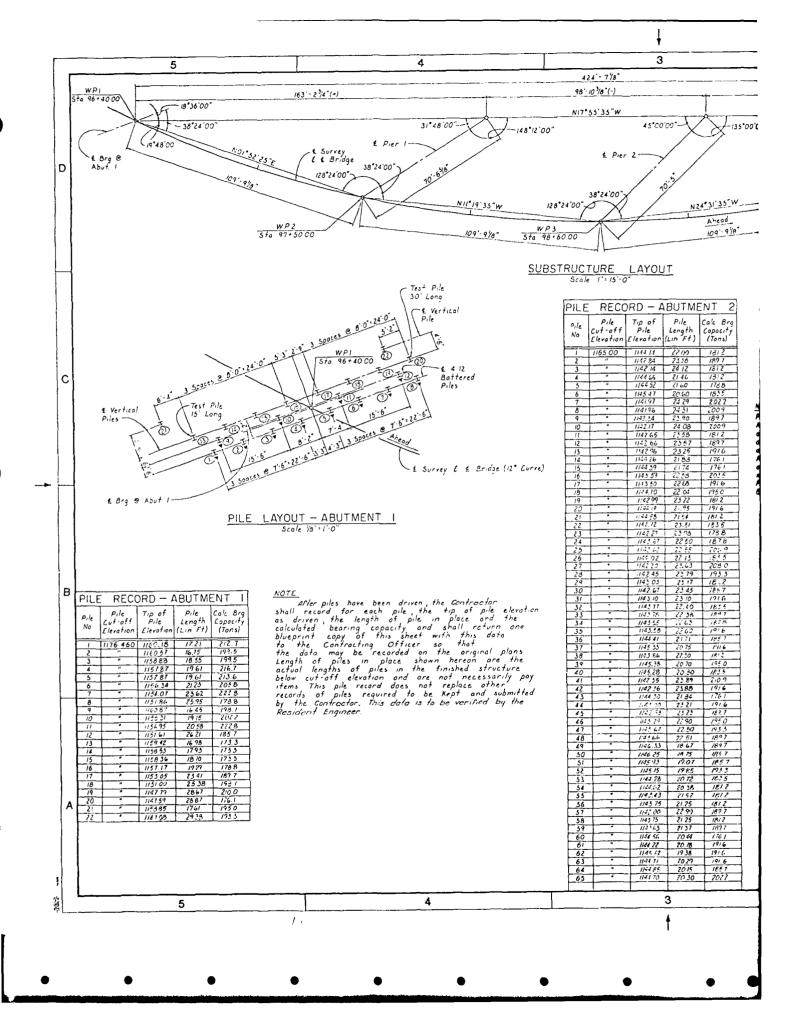
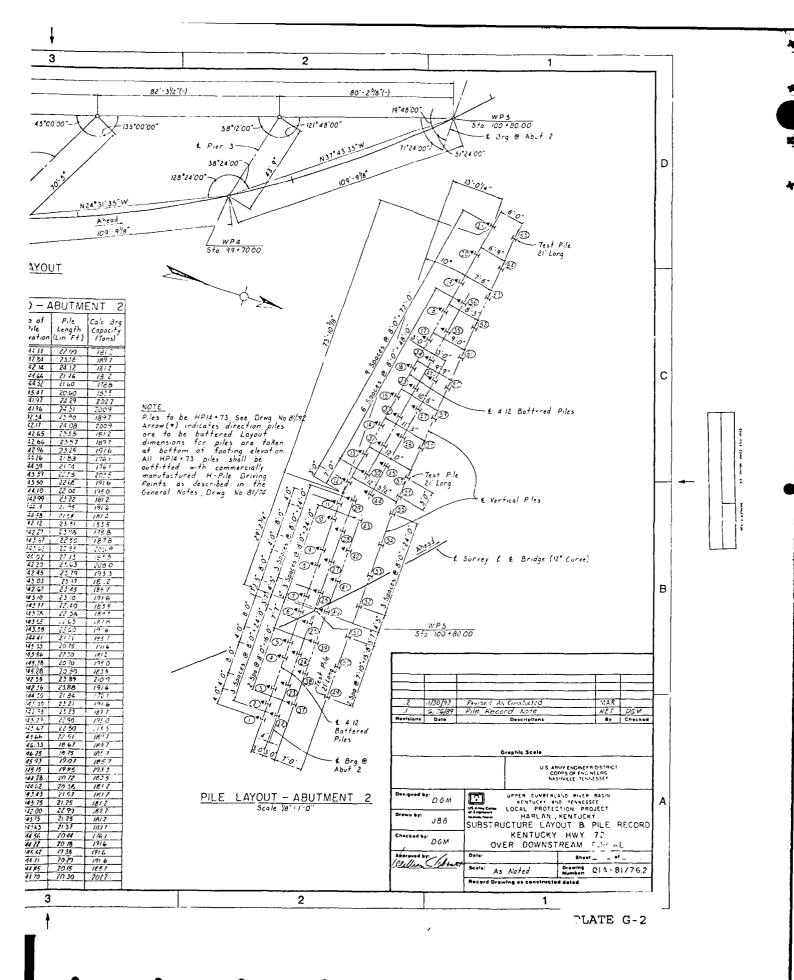
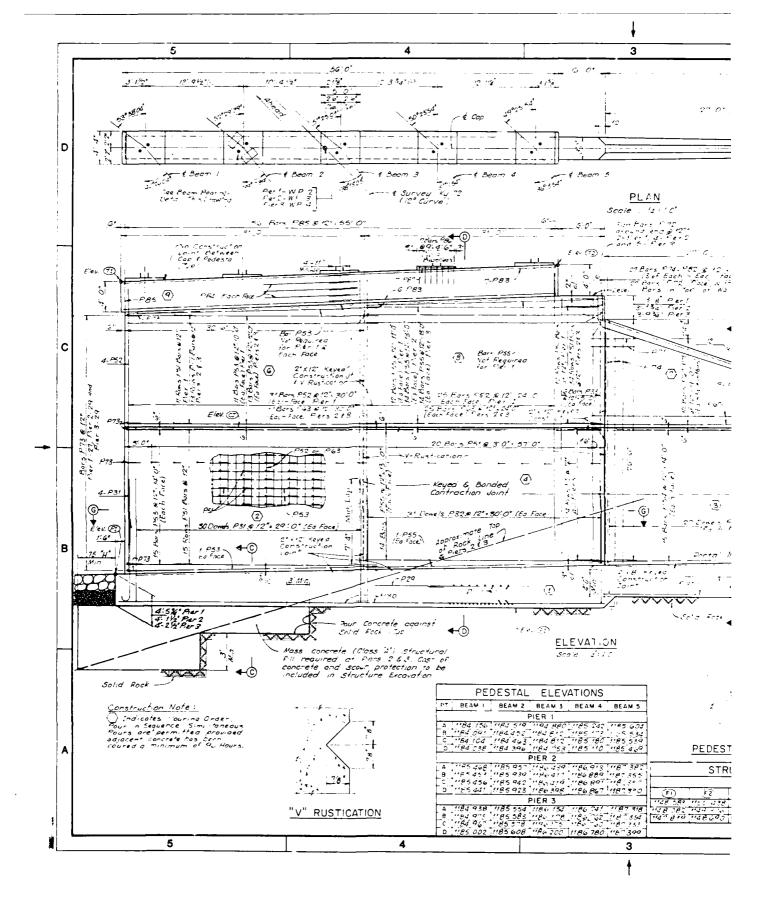
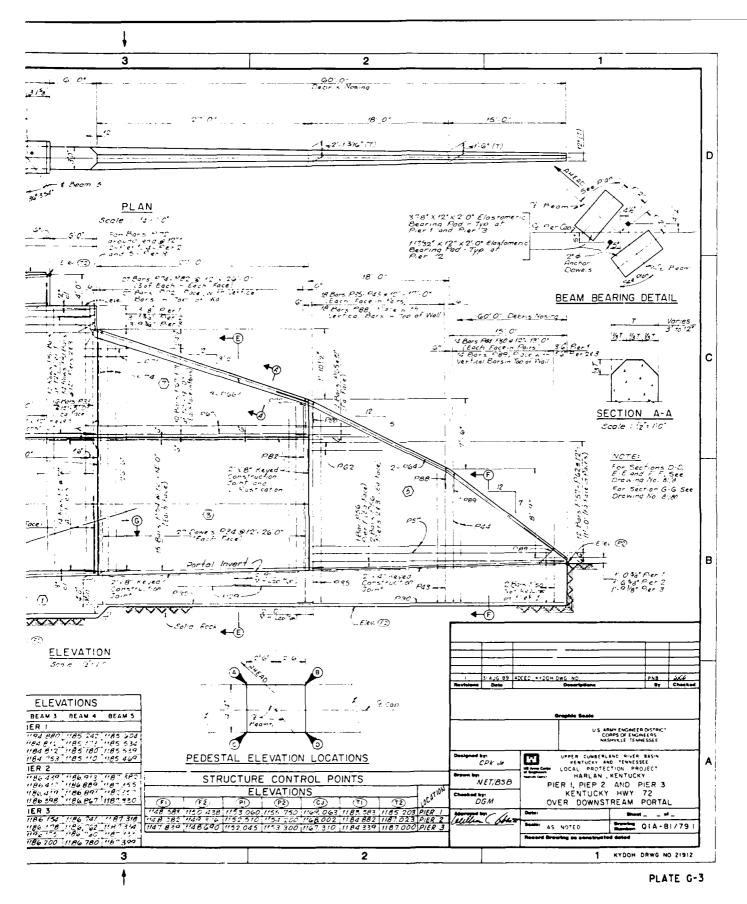


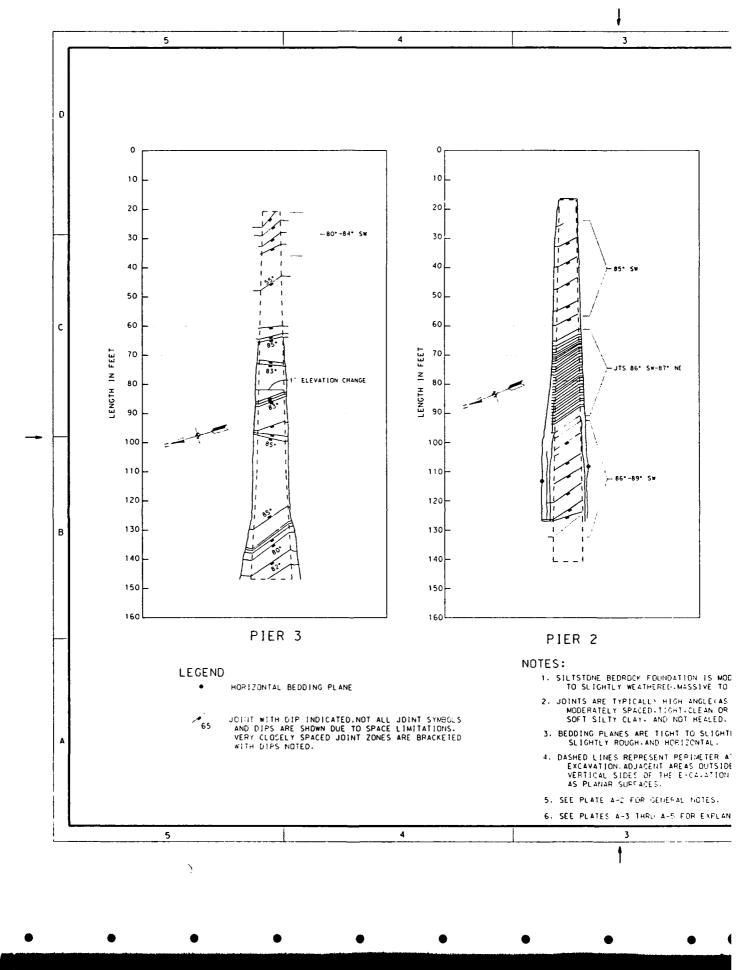
PLATE G-I





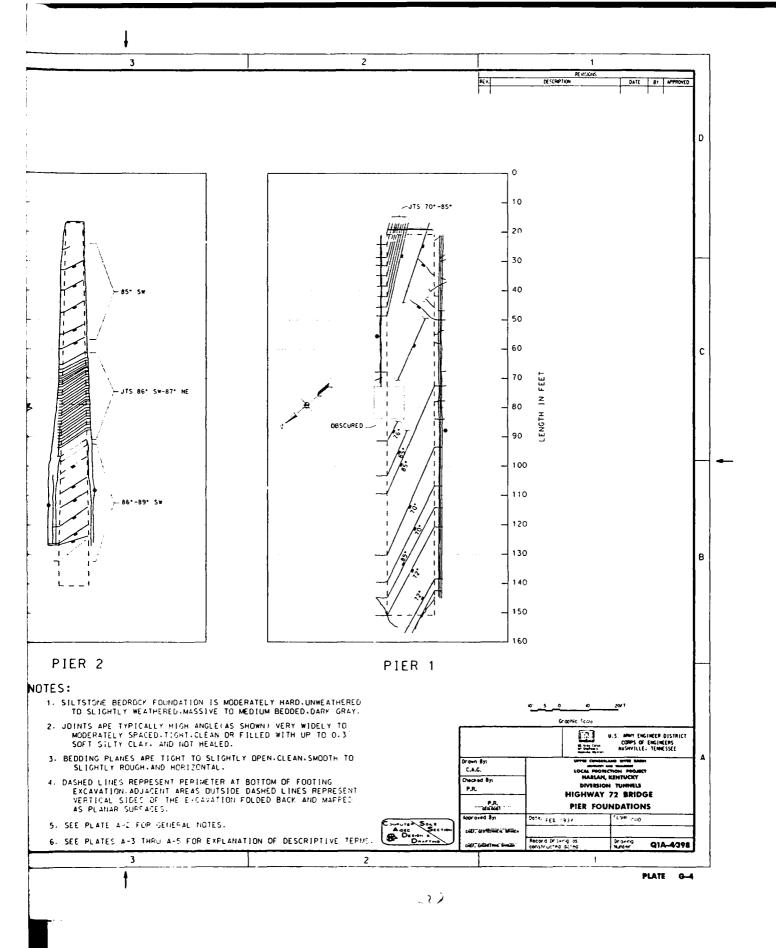






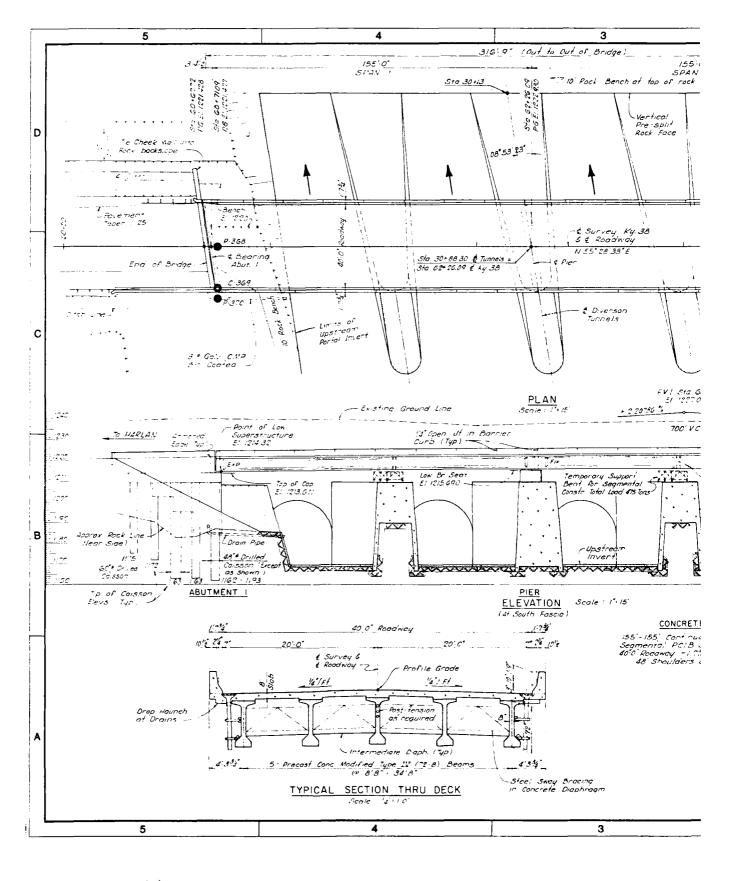
ORIGINAL CONTROLLER CO

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Appendix H - Highway 38 and Bridge

<u>Plate No.</u>	Drawing No.	<u>Description</u>
H-1	Q1A-81/3.2	Bridge Layout
H-2	O1A-81/4.3	Caisson Details
H-3	Q1A-4/399	Boring Logs
H-4	Q1A-4/400	Boring Logs
H-5 thr	u 19	Caisson Hole Maps



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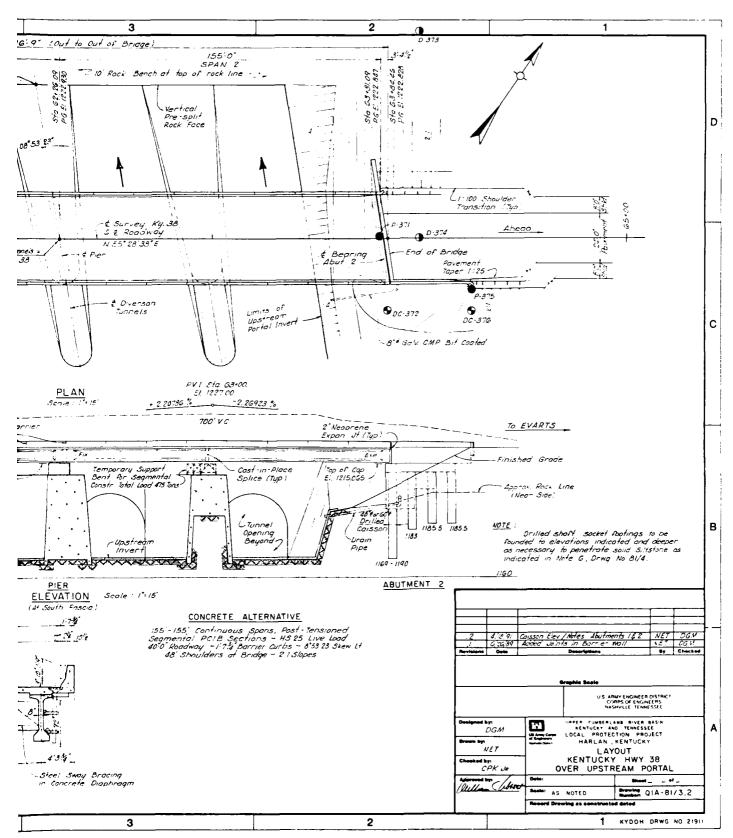
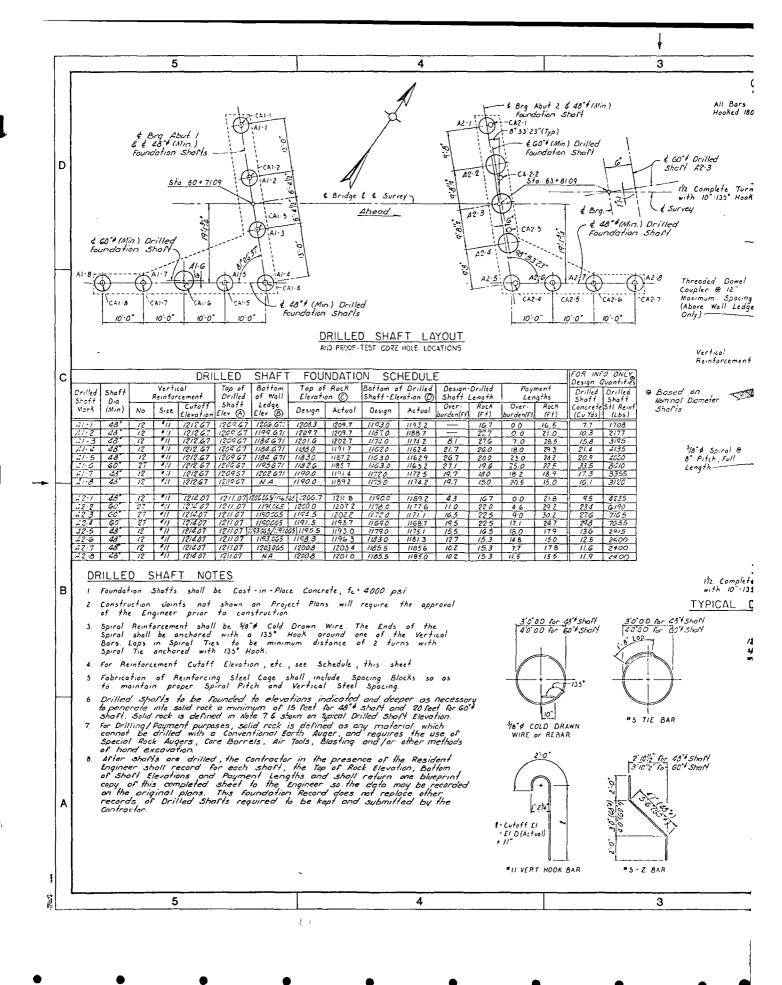
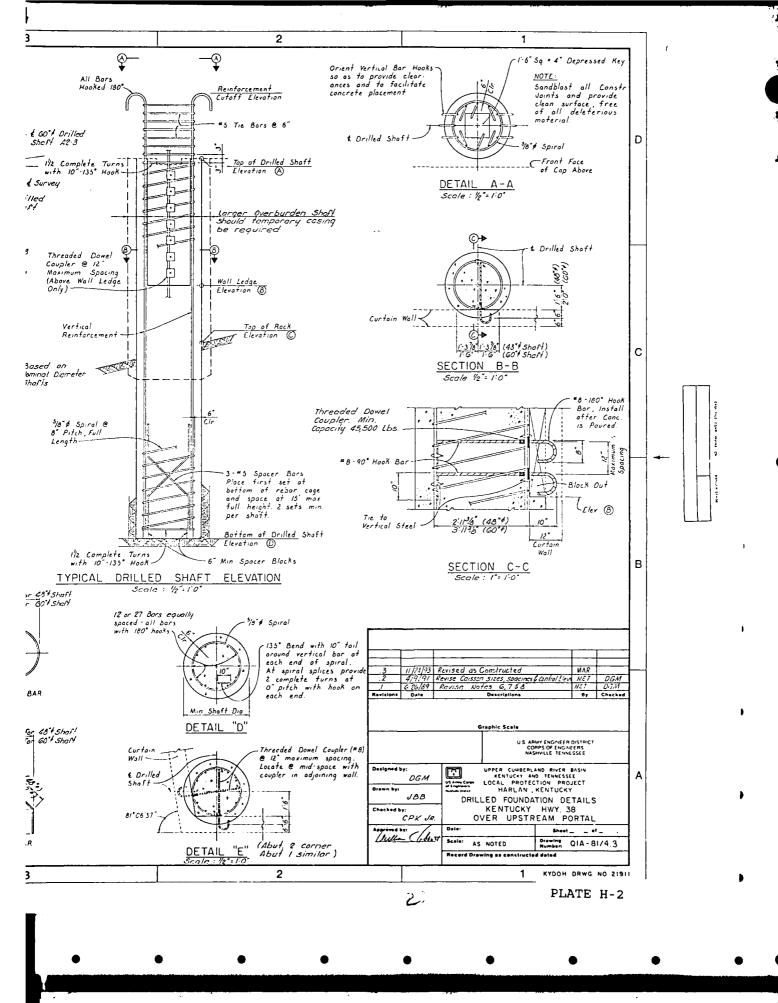
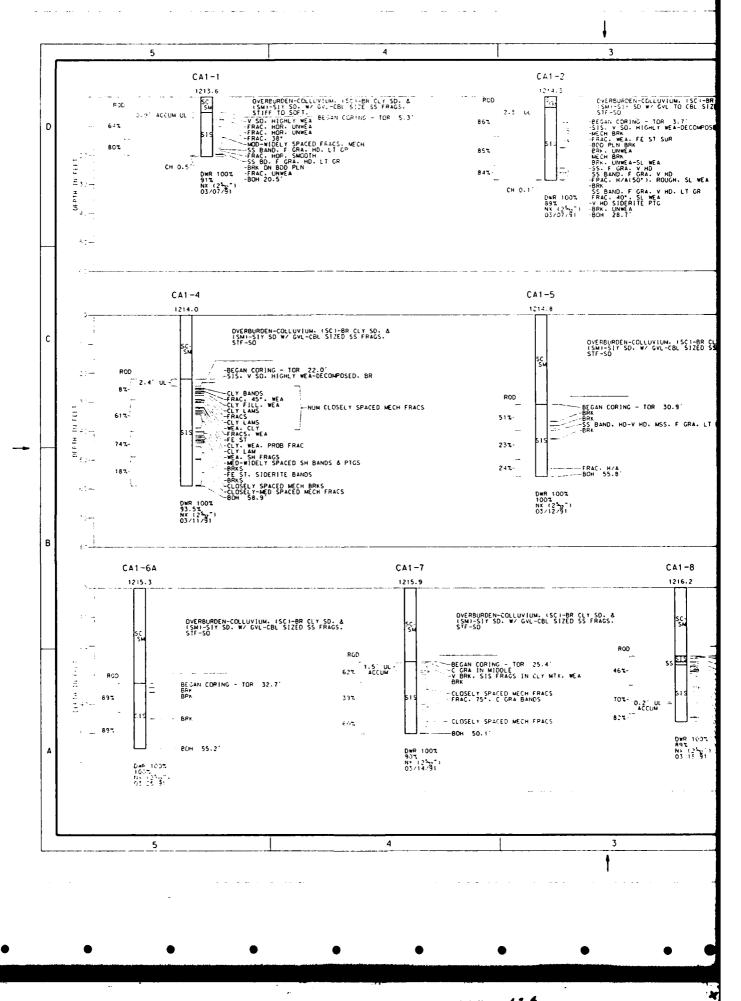


PLATE H-I







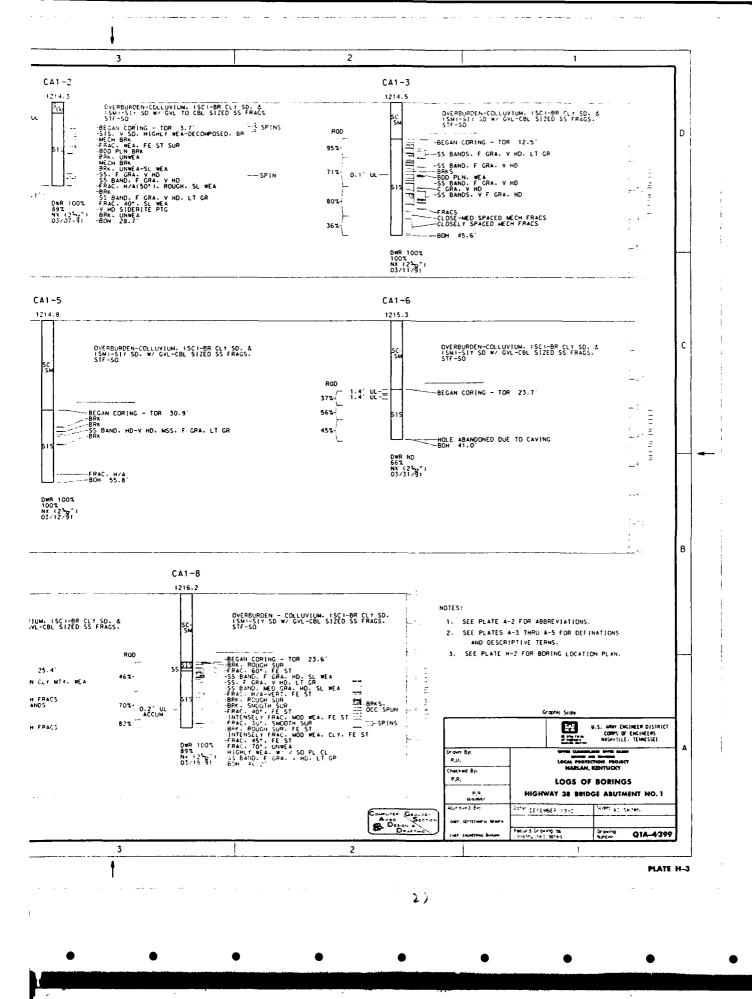
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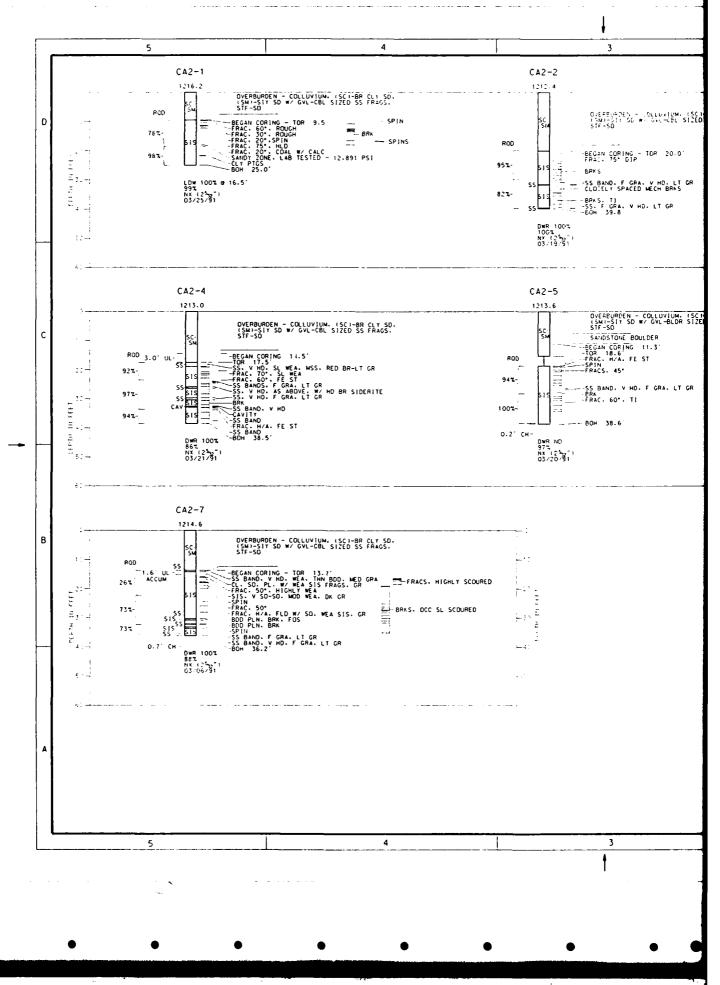
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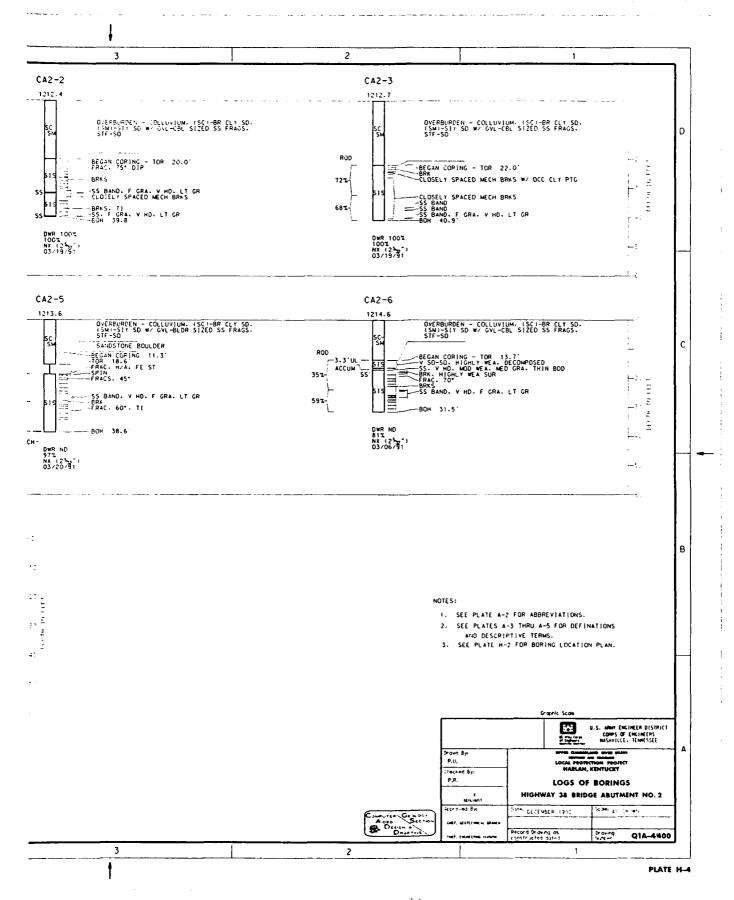
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Drill Time: 15' - 16.5' 2'2.4.4 Concrete Placed: 6-25-91

Plate H-5

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THICKHESS OF OVERRUN		7.0 FT		8 TOT A	L CORE	nec	DVER	v 70				=	
S. TOTAL DEPTH OF HOLE		8.5 FT 5.5 FT	[•	e. SINHA	11192	PL -	Lu	Off					
Caisson No.:							0		A	7	NO	RTH	
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Surface El:	1209.7	/ 	-			0	(12	十	7_ B	80176 جـ په پلا ا	M 200
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FEATURE NO.	DESCRIPTION
0	sup surface in overburden
Ø	Bodding plane, Bd, NY, siz, < gra, 1971, Near horison hal

R	EM.	٩R	K:	5:

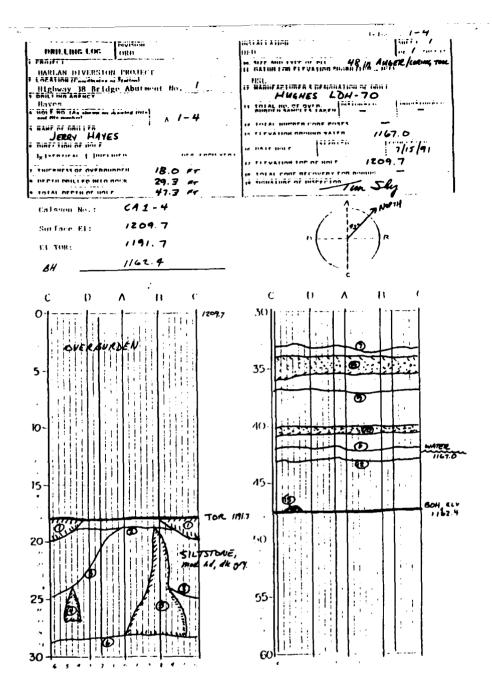
Line A-C is along caisson row alignment. Bearing: <u>N43W</u>

Groundwater: NowE

Drill Time:

Concrete Placed: 7-1-91

Plate H-7



FEATURE NO.	DESCRIPTION
മാര്ക്കുമാര	Cly lens, HZ, WH day plus, OW, TZ, MZ Jr, NBOE, TS 3E, CB, OW, TS, RZ, HLE, ME Juint Filling, Cly, HI, WS
Ø ®	sht, c gra, H4, ws. Ly tens, H2, W4, flowing water (1980).

REHARKS:

Line A-C is along caisson row alignment.

Rearing: N 43° W

Groundwater: Scaling of 1162.5

Drill Time:

Concrete Plannel: 7/18/41

-2)

1-5 | inft : | or | -mer :-DRILLING LOG ORD (SEE ALL ADOR ur o M 117 AND TYPE OF MIL 4 OF AUGER / OOUG TOOL IT MATERIAL PROPERTY OF MIL 4 OF AUGER / OOUG TOOL PRRIFFT HARLAN DIVERSION PROJECT 15FATION Production of Statement Highway 38 Bridge Abut went the. PIST.
13 HARMFAFTIMFRE REMINDATION OF FORCE
HUGHES LDH-70

MINAPERSAME PETERS A 1-5 NAME OF MAILLER HAYES BIATTERIA OF MAILE S FETVATION OROHUM WAIFS ND |***** 7/29/91 22.5 1210.2 PECEN BRILLED INTO HOCE TOTAL DEPTH OF HOLE A1-5 Caleson No.: Surface Et: 1210.2 1187.7 1.1 108: 1163.4 BH Ċ c 0 Ð ٨ B C ٨ B 04 1210.2 501 5 35 ত্ত 10-40 45 15. BOH 1163.4 20 50 1/87.7 Ø 55

FEATURE NO.	DESCRIPTION
1,2,3,5,6	Besting Planes, By, Sist, cam, Igip, WE, NY.
#	Fracture, C2, 03, T3, R4, NEOE, mitical
7	Fracture, cs, op , TS, RZ, NL4, M4 Cly f:lling HI, WS. NTSW, TO NE

REMARKS:

Line A-C is along caleson row alignment. Bearing: N57 &

Groundwater: ND___

Didl Time:

Concrete Placed: 7-30-9/

Tellor, 1-1 Invition -DISTALL VIDES --- ... DRILLING LOG WED AND STORE OF THE 48 IS LOCK MALER PERMIT HARLAN DIVERSION PROJECT
LOCATION Conductor Medical
Highway 38 Bridge Abutwent No. PIST.

13 HABITET STIMER'S DESIGNATION OF FAULT

HUGHES LDH - 70 THE PART AS THE SALLY WAS IN THE STREET HAVES
HAVES
HAVES 7/16/91 7/17/91 latvennien | | | | -18.2 ----18.9 CA1-7 Calsson No.: 1209.6 Surface El: 1191.4 11 108: 1172.5 BH 13 D ۸ В C C Ð C 35 BOH, ELV 1172.5 40 Ю-45 50 20 55

FEATURE NO.	DESCRIPTION					
1,2,8	Bedding planes, DB, T2, M2					
4	Cly leas, NZ, NA					

REMARKS:

Line A-C is along caisson row alignment.

Rearing: N57° E

Groundwater: 0.54 STANDING

Doll Time:

Concrete Placest: 7/18/91

		Uele He. CA					
DRILLING LOG	ORD	NED Or / SMPETS					
HARLAN DIVERSION	PROJECT	10. SIZE AND TYPE OF BIT 48 IN ROCK AUGER.					
Highway 38 Brids	e Abutment No	HSL 13 BANDFACTURER'S RESIGNATION BY MAILL HUGHES LDH-70 15 TOTAL NO. OF GYEN. MINISTER LAMPLES TARGEN MINISTER LAMPLES TARGEN					
4. HOLE HO. (As shown un d and Me number)	A /-8						
JERRY HAYE		14 TOTAL NUMBER CORE BOXES					
6 DIRECTION OF HOLE		BTANIFO, FONEL FIFE					
Elventical Clineri		17. ELEVATION TOP OF HOLE /209.7					
7. THICKNESS OF OVERHU		18. TOTAL CORE RECOVERY FOR BORING					
9. TOTAL DEPTH OF HOLE		19. SIGNATURE OF INSPECTOR Tun Sky					
Caisson No.:	CA-1-8	^					
Surface E1:	1209.7	North Services					
El TOR:	1189.2	D ()B					
BH	// 7 1 : 2						
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eversu.	/209.7	30 0					
5		35 BOH ELV 1174 2					
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FEATURE NO.	DESCRIPTION
1,2	JOINT, C3,00, T5, R3, HL4, M4, [N 200W Cly lens, HZ, W4
4 5	FRAC, CZ, OB, TY, R4, WC4, MZ, EN 20°E Cly 1815, HZ, W4
6,7,8	Bedding planes, Od, TZ MZ

REMARKS:

Line A-C is along caisson row alignment.
Bearing: N59° E

Groundwater: NONE

Drill Time:

Concrete Placed: 7-3-9/

NVIUM. DRILLING LOG HARLAN DIVERSION PROJECT LOCATION (Landmarker Station) Highway 18 Bridge Abut went the Z HIGH HARDER TO BEAUTIFUL OF THE COLUMN TO TH HAVES THE THE HAVES 7/25/91 Ix Iventical | Internies 1211.8 22.6 CA2-1 Calston No.: Surface Fl: 12/1.8 υ C () C B 30 ti 40 Ю 50-20 BOH 1189.2

FEATURE NO.	DESCRIPTION	REMARKS:		
1,2,8	Bedding plane, Sist, BS, Cgra, Igr, nortands W1, H4 Fracture, CL, OB, 71, R4, HL4, M2	Fine A-C is along caleso row alignment. Rearing: NESW		
"	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Groundwater: Nove		
	1	Deill Time:		
L	l	Comprete Placed: 7-3/-9/		

Plate H-12

1

	DRILLING LOG T PROJECT HARLAN DIVERS T LOCATION TO MANUAL THE MANU	Idge Abulment No. 2.	HED STATE AT THE PROPERTY OF THE PARTY OF TH	
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ı	FEATURE NO.	DESCRIPTION	REMARKS:	

		REMARKS:
FEATURE NO.		Line A-C is along caisson
	Bodding plane , I gr , B4, H4, W4, we seen bottombe	
2	France, C2, 01, T4, R2, HL4, M4, N70W	row alignment. Bearing: V43°W
3	Bridding plane, I gr., 84, M4, M3, new buttombe Fracture, C2, O3, T4, R2, HL4, M4, M70M, SCHM Fracture, C2, O3, 74, R2, M40, M6, M605, 88M Spalls to N456, Norlical three	C
#	Spelle to N.458, will:cal fine	Groundwater: 1178.6 37740144
	}	Drill Time:
	J	Concrete Placed:

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	E DEPTH BOILS			9.0	IR TOTAL CORE	TOP OF HOLF		_
	9. TOTAL PEPT		4	0.j	4 SIGNATION	A A		
	Caisson N	ln.: _	CA 2 - 3	· · · · · · ·		/ Î	\nearrow ^N	
	Surface E	1:	1211.2			0 17		
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						REMARKS:		
	FEATURE NO.		DESCRIPT			Jine A-C is	along cai	sson
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	000	BOD INE	PLANE, MENE	MORIZONTAL, Ó Isom abom Pin		roundwater:		DWINE
	Ø	JOINT.		. CZ. OZ. TV. C	<i>µ</i> , <i>u</i>	orill Time:		
	<u> </u>		ZIM POINT -			oncrete Pla		50-9/

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Plate H-14

DRILLING LOG FREIFFE HARLAN DEVERSION FLEETHOMY JE BELGE FREITH FAR TALLAND AND AND AND AND AND AND AND AND AND	and till sik A2-4	# 	History and the second	AT N	FRE	THE HO	RIE RE CONTROL OF THE	FRIA	C C C C C C C C C C	<i>A</i> /- :	s isi	Ay.		4	
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FEATURE NO.	DESCRIPTION	REMARKS: Line A-C is along caisson
Ø ®	Thing NGOE, GS SE OF, T 3-5 (up to one the so chy o six tops), RS, MR9, MS Bdd plo, cop, To, RS, MR4, MZ, ~ how	row alignment. Rearing: #43W Groundwater: #70.7
		Drill Time:
		Concrete Placest:

		Hale He. CA 2-5
DRILLING LOG	DIVISION ORD	INSTALLATION SHFFT,
I. PROJECT	000	NED OF / SHPFTS
HARLAN DIVERSION	PROJECT	TIT BATUM FOR ELEVATION SHOWN (THE MIC.)
Highway 38 Bride	e Abutment No	MSL 18 MANUFACTUREN'S RESIGNATION OF MAICE
Highway 38 Bridg		MUGHES LDH-10
Hayes 4 HOLE HO (As shown on 4	sading Hela	19. TOTAL NO. OF OVER- DISTUMBED UNMISTURBED
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SHERMAN		14 PLEVATION BROUND WATER NONE
S DIRECTION OF HOLE		M NATE HOLF
Elanuser	120 DP4 PROM VERT.	17. ELEVATION TOP OF HOLE /EI/O
7. THICKNESS OF OVERHUR		18 TOTAL CORE RECOVERY FOR BORING -
		19. SIGNATURE OF INSPECTOR
9. TOTAL BEPTH OF HOLE	35.9	-/an Shy
Caisson No.:	CA2-5	T AN
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Surface El:		p (1
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FEATURE NO.	DESCRIPTION
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1 2	Frederies, C2,000, T3,R4 HUM M2 WHOW 250
3	Fraduces, C2, ON T3, R4 HLAM2, NEON, 759
#	Friedric, (2, OS(1.4 ST), HL4, TB, R2, M2. N205, 80 NW.
5	Fracture, cz, 08, TS, R4, NL4, M4, NZSS, 18 NW.

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Line A-C is all row alignment Bearing:	nt.
Groundwater:	
Drill Time: _	· · · · · · · · · · · · · · · · · · ·
Concrete Place	ed:

DRILLING LOG	DRIVITION ORI)	INSTALLATION 2-6
HARLAN DIVERSI		11. BATUR FOR ECEVATION SHOWN TIME . MILL
LOCATION (Coordinates	or Francisco Ige Abutment No. 2	MSL 13 MANUFACTURER TOFFIGHATION OF PAILE
Hayes		HURHES LIN-70
HOLE HO (4. mm -	SK A 2-6	DURNER SAUNLES TAKEN
HAME OF BAILLEA		14 TOTAL MINNER CORE BOXES -
DIRECTION OF HOLE		TOTAL PROPERTY.
THICKNESS OF OVERS		IT ELEVATION TOP OF HOLE /2/1.07
DEPTH DRILLED INTO		18 TOTAL CORE RECOVERY FOR BORING
TOTAL DEPTH OF HO	t 30.3	A. A. Rus
Caisson No.:	A 2-6	
Surface E1: _	1211.07	" > 2
E1 TOR:	1196,27	0 ()B
BH	// 20.77	
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control No.	4 mel Address C . hange	REMARKS:
TURE NO.	DESCRIPTION	
		Line A-C is along caisson row alignment.
1		Bearing: NSBE
l		Groundwater:
l		
i		Drill Time:

Concrete Placed:

	INTERIOR TO THE PROPERTY OF TH
DRILLING LOG (1R)	MED or / success
I PROJECT	NO. SIFE PAID TYPE OF DIT
HARLAN DIVERSION PROJECT	1
Highway 38 Bridge Abutment No. Z	IS HANGE AFTEREN'S BENNEATING OF THE COLOR
II. DRILLINA AGENCY Hayes	HUGHES LDH-70
& HOLF HO IAs shown on thanting title	IS TOTAL NO. OF OVER.
NAME OF BRILLIA	12 TOTAL MINNER CORE PORFS
SHEAMAN THEFTON OF HIS F	IS PLEVATION OPPOINT WATER NONE
Giventical [] inclinen	IR THE SHOTE
F. THICRNESS OF OVERBURDEN 7.7	12 FLEVATION TOP OF HOLD /2//. /
BEPTH DRILLED INTO ROCK /7.8	IN TOTAL CORE RECOVERY FOR RORIHO
TOTAL BEPTH OF HOLE 25.5	Tan Shy
Catason No.: A2-7	3 -1
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F1 TOR: /203.4	. "()
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FEATURE NO.	DESCRIPTION
,	Golding , planes , 84, 191.
2	Budding , planes , 84, 197. Machine , CR, OW, TS, RY, HLY, MS, MSSUS, BOME
3	Cont. Fill, was, ely
4	ss boulder in everburden.

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Line A-C is along caisson row alignment. Bearing: N58E			
Groundwater:			
Drill Time:			
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Plate H-18

DRILLING LOG (IR)	BUSTAGE ATION TO THE PROPERTY OF THE PARTY OF
PRODUCT	(HED) For / Sur
HARLAN DIVERSION PROJECT	of Size and type of mit if thatim fam Plevation under 2100 m prof
Highway 38 Bridge Abutment No.	HSL 13 HABIIPARTURPRIS TIPHIRUATIRU OF BAILL
llayes	HUGHES LDH 70
MOLE NO (As shown on Assume title and Table 2 - 8	
SHERMAN	14 FEFVATION HOMEN WATER NONE
BINECTION OF HOLE [KIVENTICAL INCLUIEN	in the twin o
THICKNESS OF OVERHUNDEN //. 5	- IT FIFVATION TOP OF HOLF /2/2. 5
DEPTH DRILLED INTO ROCK /6.0	IN TOTAL CORP RECOVERY FOR ROMING
107AL DEPTH OF HOLE 27.5	Tain Shy
Catason No.: A2-8	~ ~ ^
Surface E1: /2/2.5	X cor
El TOR: /20/. O	n () A
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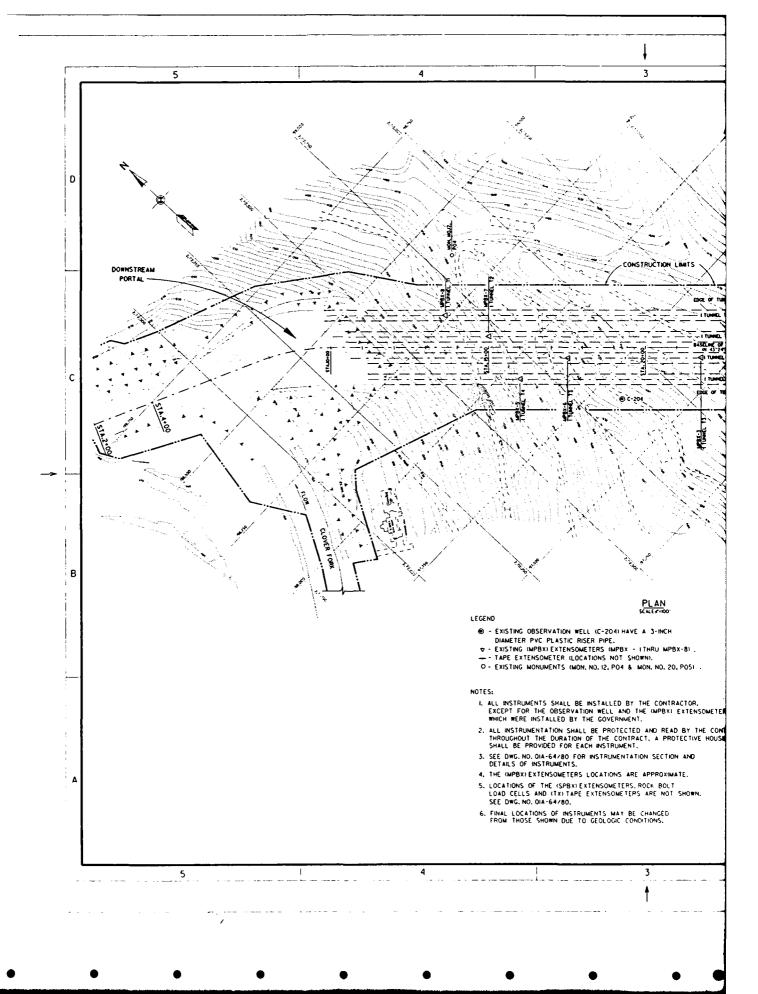
FEATURE NO.	DESCRIPTION
,	Beding planes , BH, 191, Acar horizontal
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3	CY kens, od, we, nut
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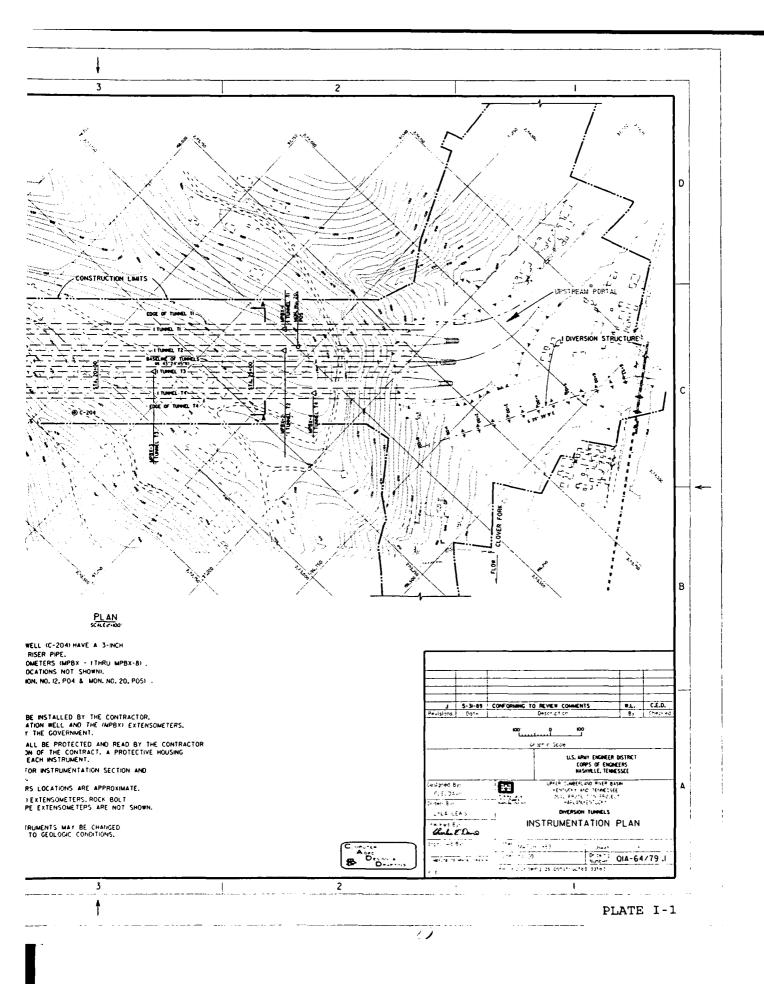
Line A-C is all row alignmen Bearing:	ong caisson it. NSBE
Groundwater:	NONE
Drill Time:	
Commete Place	nd:

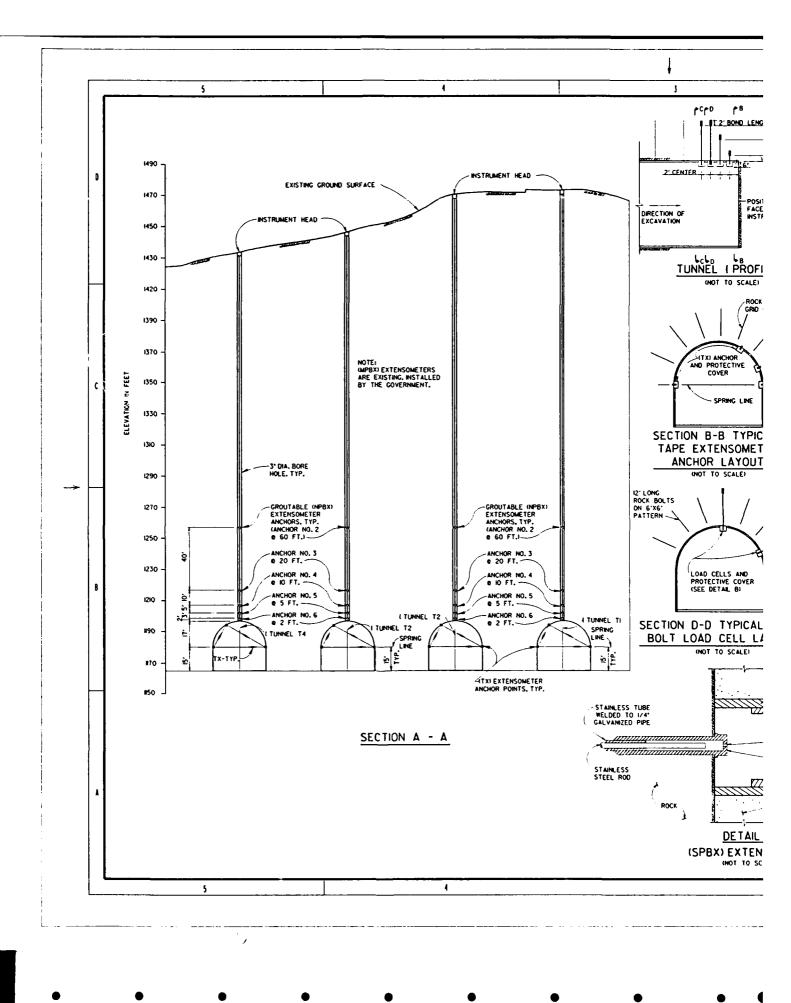
REMARKS:

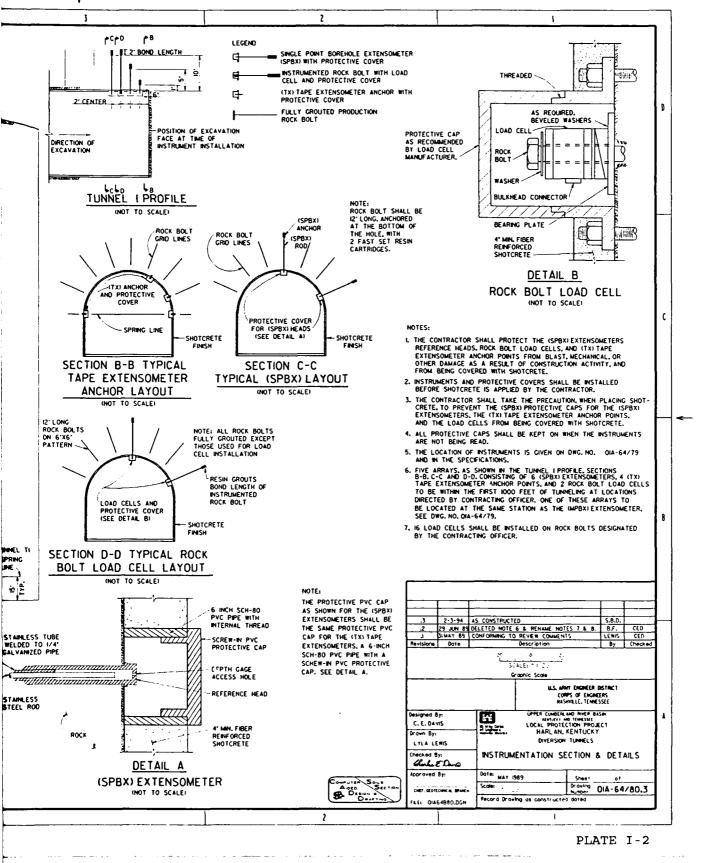
Appendix I - Instrumentation

Plate No.	Prawing No.	<u>Description</u>
	u 11	Section and Details Locations
I-23 I 24 th	ru 23 ru 31	MPBX's Observation Well Load Cells
	ru 40 ru 48	Tape Extensometers SPBX's









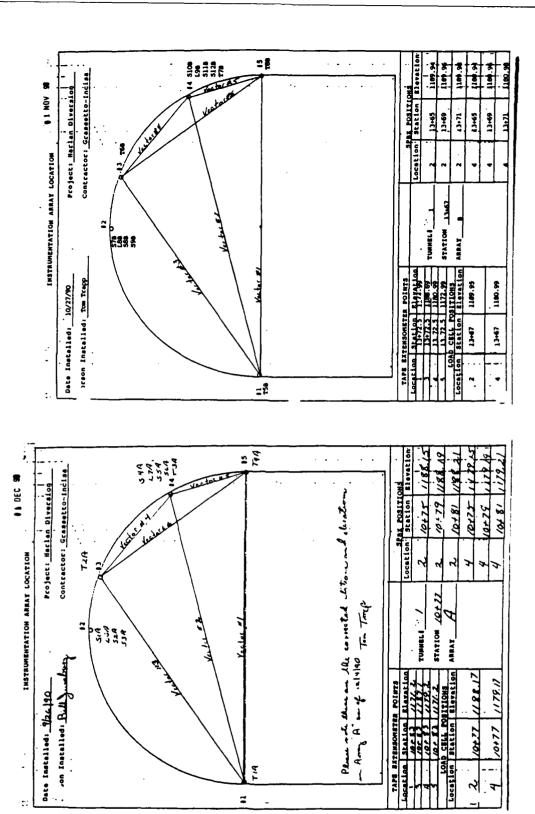


PLATE I-3

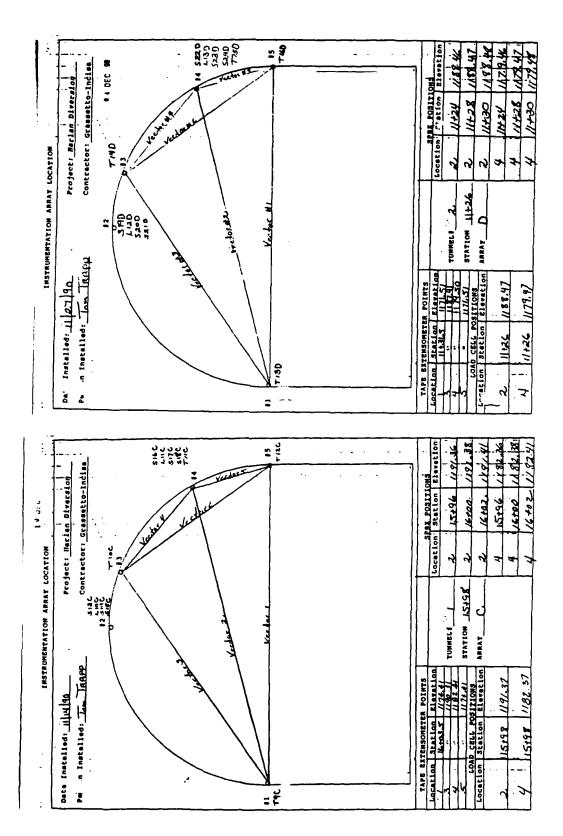


PLATE I-4

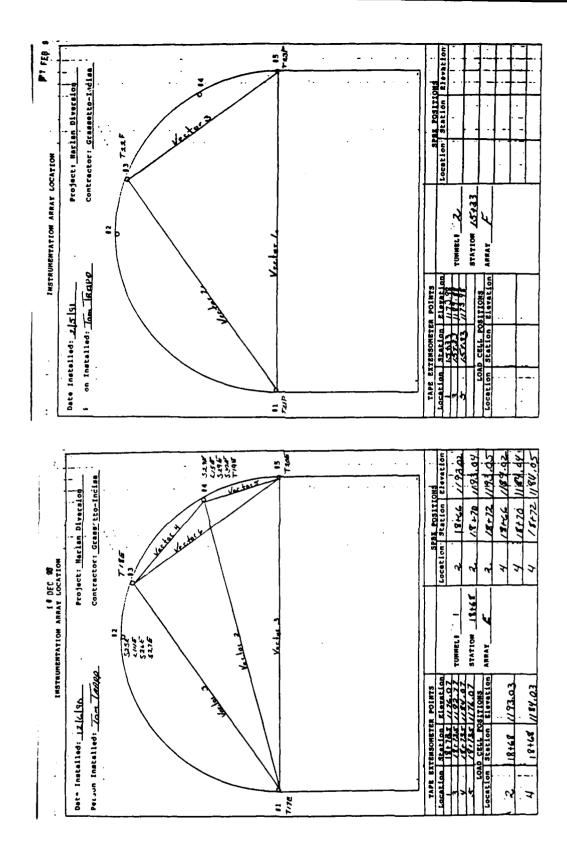


PLATE I-5

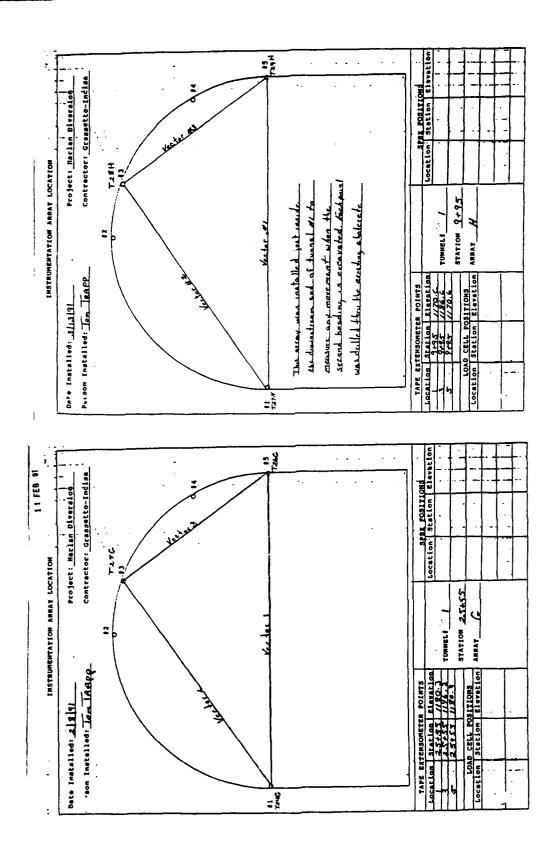
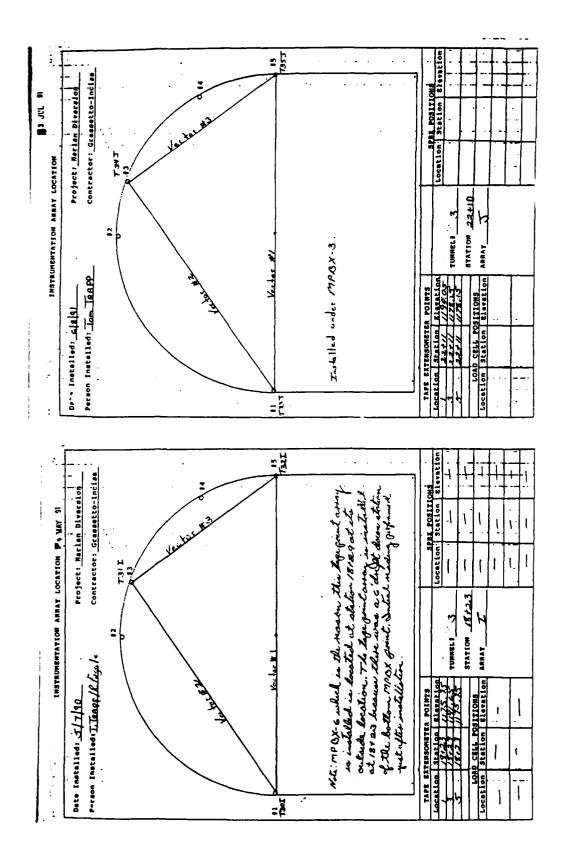


PLATE I-6



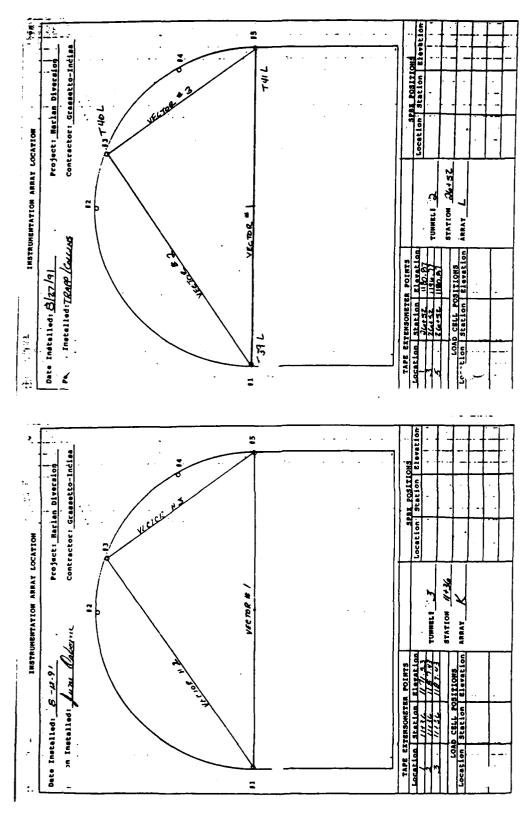


PLATE I-8

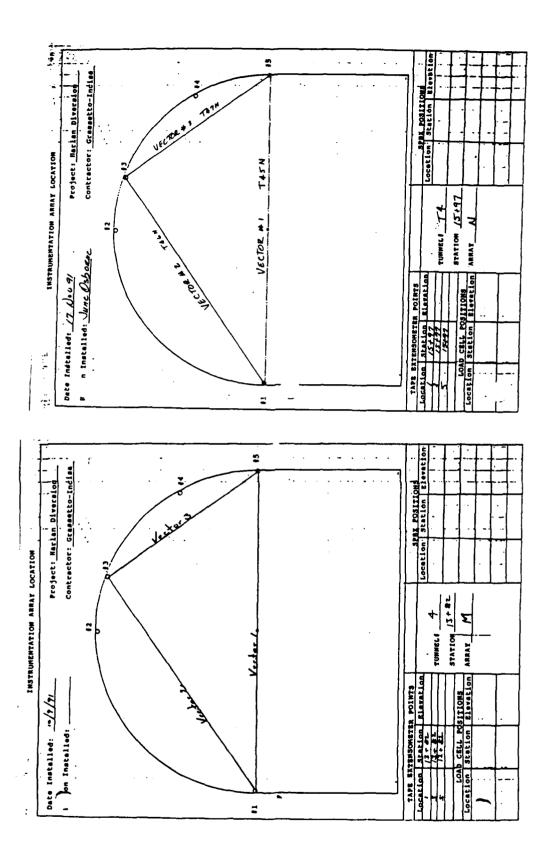


PLATE I-9

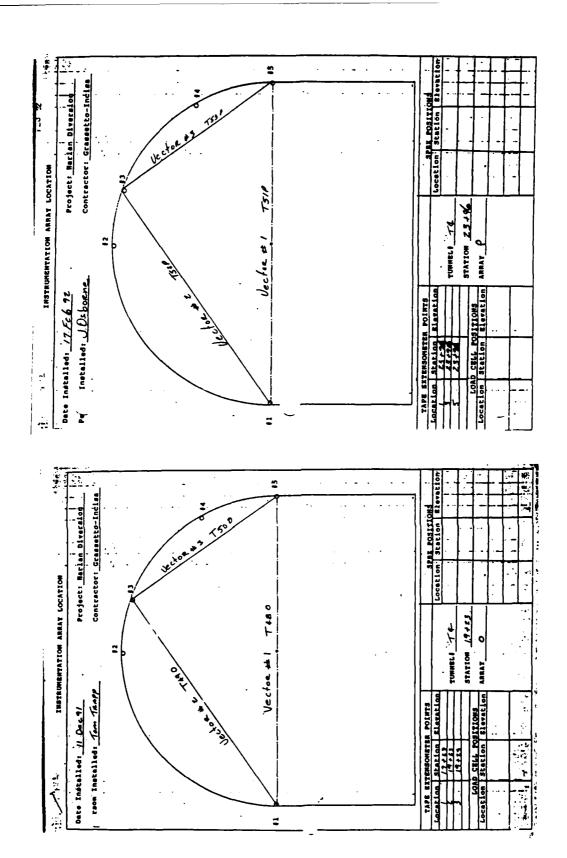


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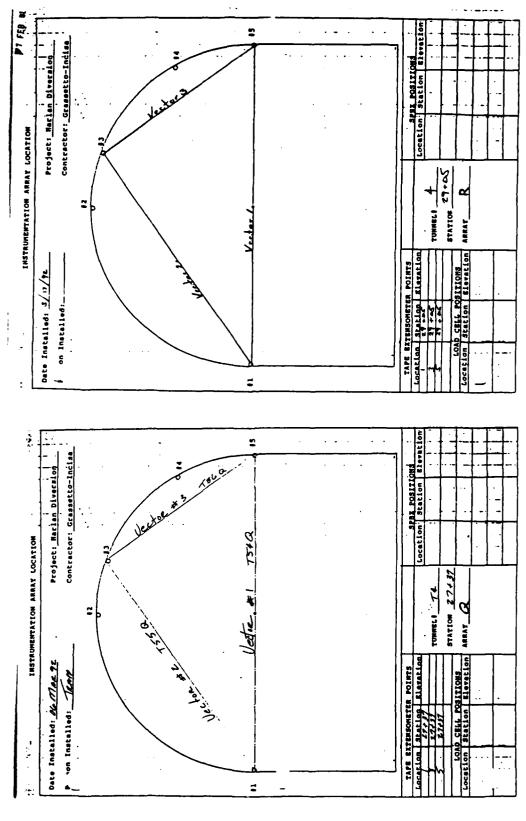
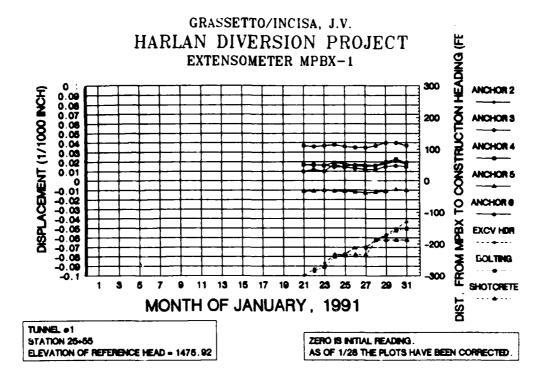
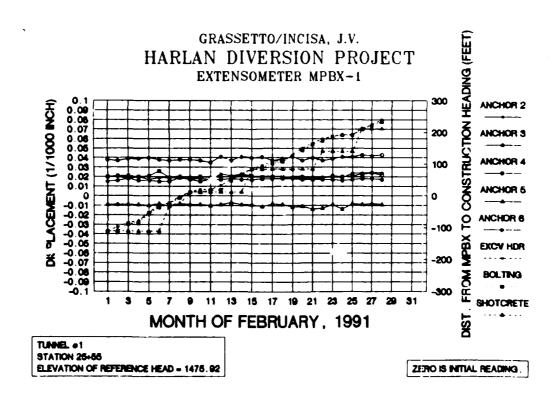
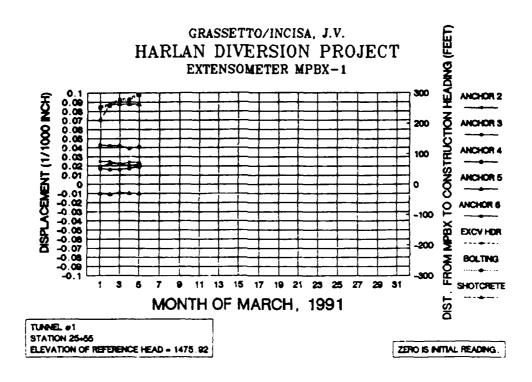
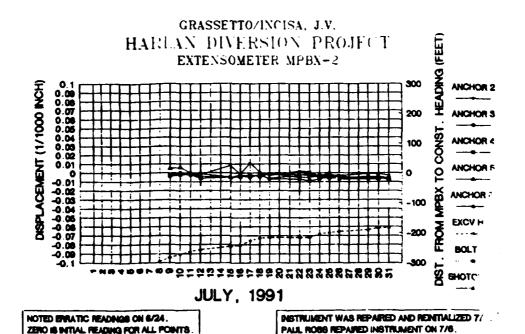


PLATE I-11









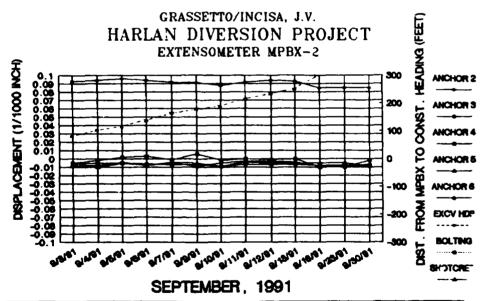
TUNNEL #2 / STATION 28-43

STARTING ON 7/9 IS NEW SET OF NUMBERS

GRASSETTO/INCISA, J.V. DING (FEET) HARLAN DIVERSION PROJECT EXTENSOMETER MPBX-2 ANCHOR 2 200 ANCHOR 3 ANCHOR A 100 ANCHOR 6 -100 EXCV HDR -200 BOLTING SHOTCRETE AUGUST, 1991

NOTED ERRATIC READINGS ON 6/24 ZERO IS INITIAL READING FOR ALL POINTS TUNNEL #2 / STATION 26+43

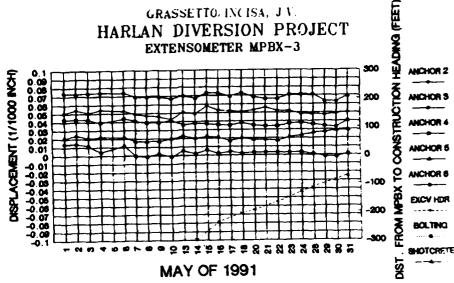
INSTRUMENT WAS REPAIRED AND REINITIALIZED 7/8 PAUL ROSS REPAIRED INSTRUMENT ON 7/8. STARTING ON 7/9 IS NEW SET OF NUMBERS



NOTED ENVATIC READNOS ON 6/24. ZERO IS INITIAL READING FOR ALL PORTS TUNNEL #2 / STATION 28-48

NETRUMENT WAS REPARED AND REINITIALIZED PAUL NOBS REPAIRED INSTRUMENT ON 7/8. STARTING ON 7/9 IS NEW SET OF NUMBERS

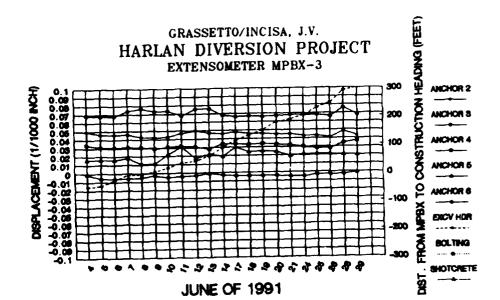




TUNNEL +3 STATION 22-10 6 ELEVATION OF REFERENCE HEAD . 1410.56

ZERO IS INITIAL REA"

BOLTING



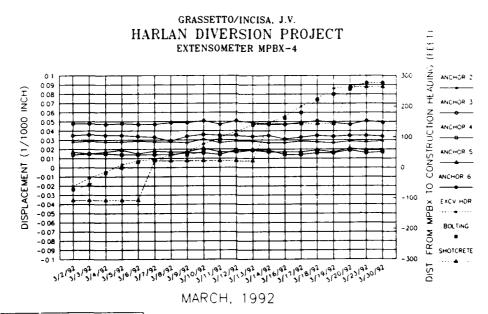
TUNEL +S STATION 22-10 6 ELEVATION OF REFERENCE HEAD = 1410.66

ZERO IS INITIAL READING.

GRASSETTO/INCISA, J.V. HARLAN DIVERSION PROJECT EXTENSOMETER MPBX-4 300 ANCHOR 2 0 09 0 08 DISPLACEMENT (1/1000 INCH) 0 07 ¥ 200 CONSTRUCTION 0.05 0 04 100 ANCHOR 5 001 ANCHOR 6 -001 -0 02 -0 03 9 EXCV HOR - 100 -0 04 MPBX -0 05 -0 06 **BOLTING** - 200 -007 FROM -0 0**8** SHOTCRETE -009 DIST $\frac{2/3/9^2}{2/10/9^2} \frac{2/10/9^2}{2/10/9^2}$ FEBRUARY, 1992

TUNNEL #4 STATION 27+24-7 ELEVATION OF REFERENCE HEAD = 1443-02

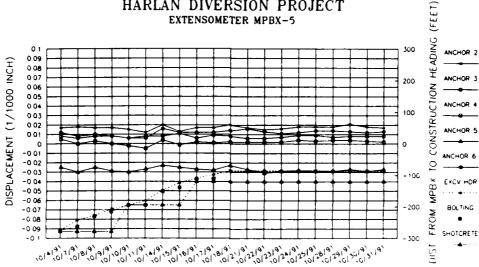
ZERC IS INITIAL READING



TUNNEL #4
STATION 27+24 7
ELEVATION OF REFERENCE HEAD = 1443 02

ZERO SINITIAL REMOVAL



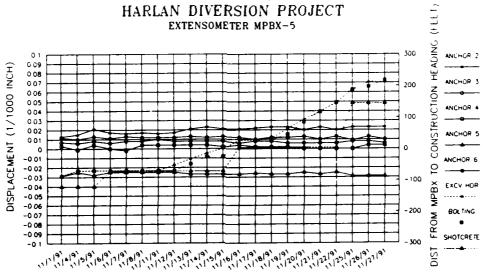


OCTOBER OF 1991

TUNNEL #4 STATION 15+33.4 ELEVATION OF REFERENCE HEAD = 1438.67

JERO S NITAL READING



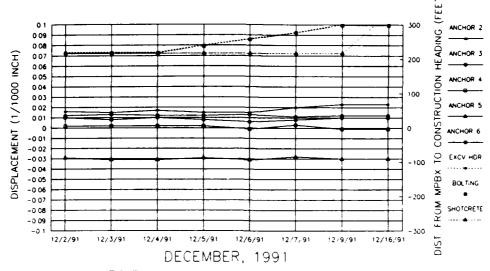


NOVEMBER, 1991

TUNNEL #4
STATION 15+93 4
ELEVATION OF REFERENCE HEAD = 1438 6

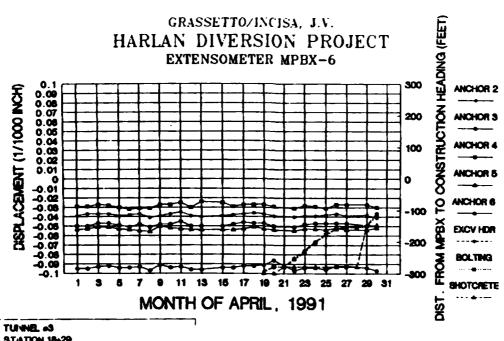
ZERO IS INITIAL READING

GRASSETTO/INCISA, J.V. HARLAN DIVERSION PROJECT EXTENSOMETER MPBX-5



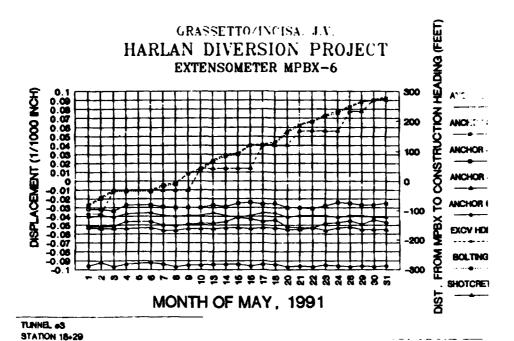
TUNNEL #4
STATION 15+93 4
ELEVATION OF REFERENCE HEAD = 1438 67

ZERO IS INITIAL READING

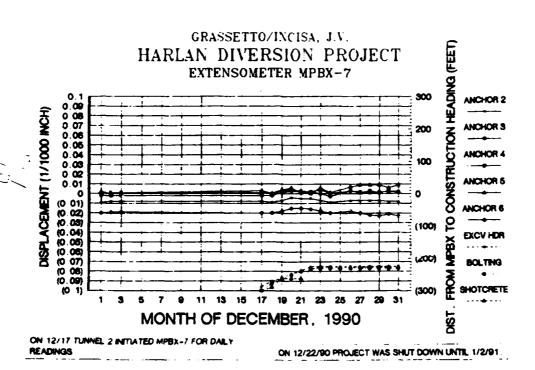


STATION 18-29 ELEVATION OF REFERENCE HEAD - 1487.67

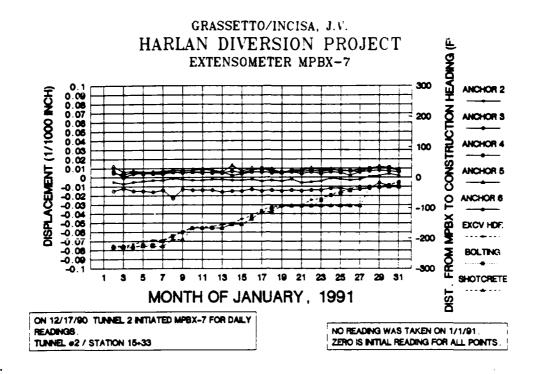
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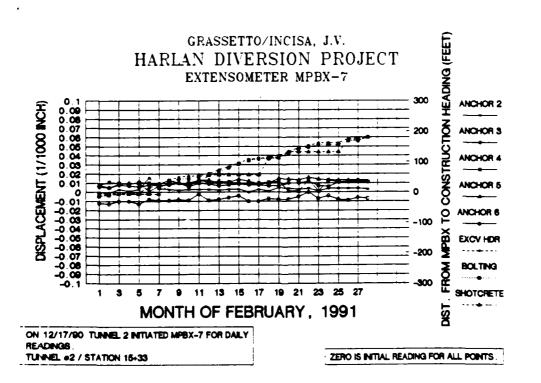


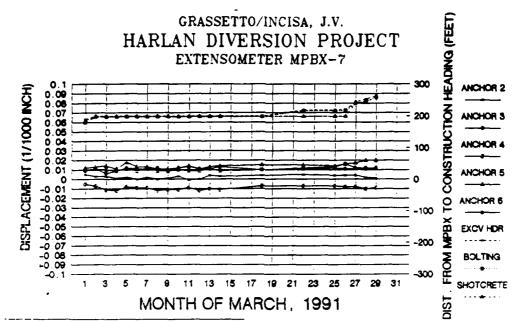
ELEVATION OF REFERENCE HEAD - 1487.67



ZERO IS INITIAL READING



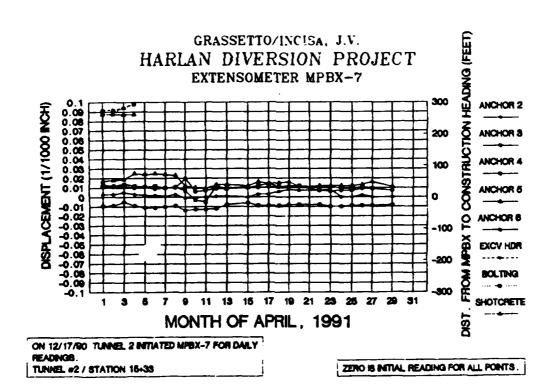




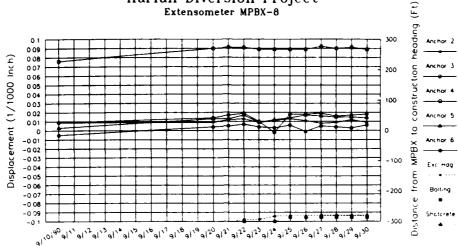
ON 12/17/90 TUNNEL 2 INITIATED MPBX-7 FOR DAILY REACHINGS

TUNNEL #2 / STATION 15-33

ZERO IS INITIAL READING FOR ALL POINTS.





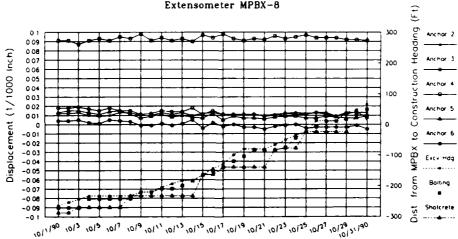


September 1990

Tunnel #1 Station 13+65 Elevation Reference Head = 141543

Zero is nit ai reading

Grassetto/Incisa, J.V. Harlan Diversion Project Extensometer MPBX-8

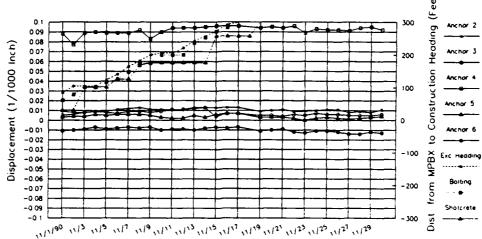


October 1990

Tunnel #1 Station 13+65 Reference Head Elevation 1415 43

Zero is initial reading



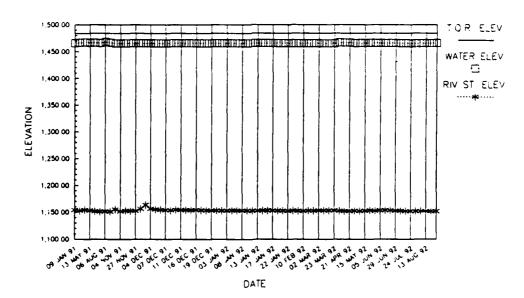


November 1990

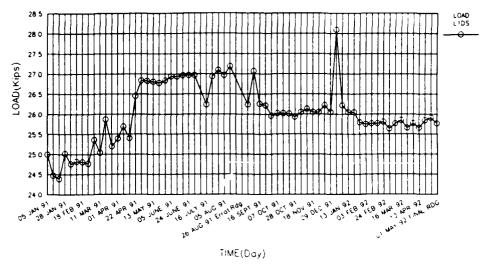
Tunnel #1 | Station 13+65 | Reterence Head Elevation 1415 43

Zero is initial reading

HARLAN DIVERSION PROJECT OBSERVATION WELL(C-204)



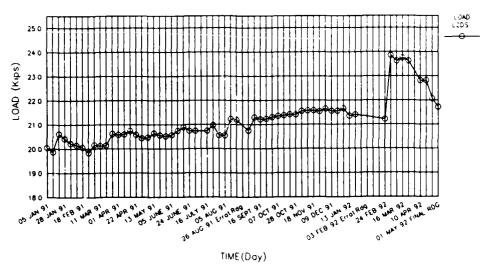
HARLA' DIVERSION PROJECT LOAD JELL (LIDS) READOUT GRAPH



Load Ce1 C1DS installed 7/12/90 bocation Sta 10+36.1 / Offset 51.1 Rt ("8") Elevation 1189-361

UT MAY 92 NO FURTHER MONITORING

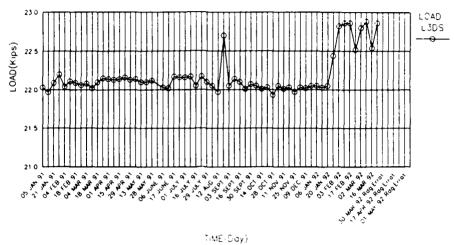
HARLAN DIVERSION PROJECT LOAD CELL (L2DS) READOUT GRAPH



Load Cell L2DS installed 7/12/90 Sociation Sta 10+92.6 / Offset 17.3' Rt (1811) Elevation 1189.42

01 MAY 92 NO FURTHER MONITORING

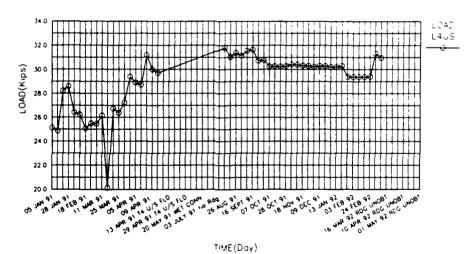
HARLAN DIVERSION PROJECT LOAD CELL (L3DS) READOUT GRAPH



Coad Cell USDS Installed (8714) 96 Cacation Sta 10+813 / Oriset 16 8 Rt BU(1911) Elevation (1169 56

Of MAY 92 NO FURTHER MUNITUR NO.

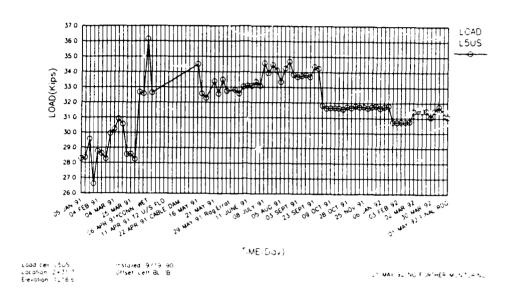
HARLAN DIVERSION PROJECT LOAD CELL (L4US) READOUT GRAPH



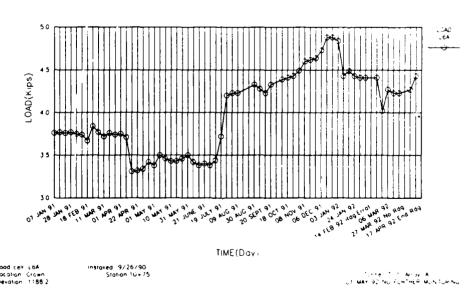
Load Ceil L4US installed 8/27/90 Location 8L18" 30+13 /Offset 78 8'Rt of BL("B") Elevation 1234 0

OF MAY 92 NO FURTHER MONITORING

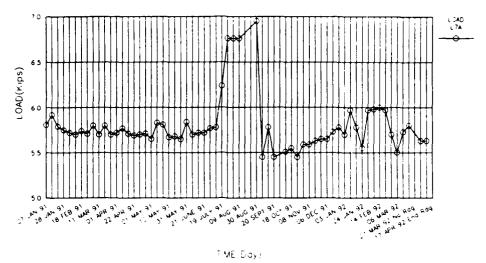
HARLAN DIVERSION PROJECT LOAD CELL (L5US) READOUT GRAPH



HARLAN DIVERSION PROJECT LOAD CELL (L6A) READOUT GRAPH



HARLAN DIVERSION PROJECT LOAD CELL (L7A) READOUT GRAPH

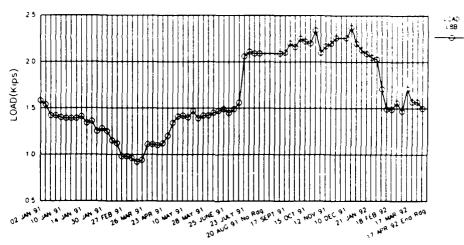


boad len 174 bocaton Alghi Baunch Elevation 1173 I

natalled 9 16 90 Station 10+165

Tyrner T-1 Arroy A Us war ey to KikinER Mchilch No.

HARLAN DIVERSION PROJECT LOAD CELL (8B) READOUT GRAPH

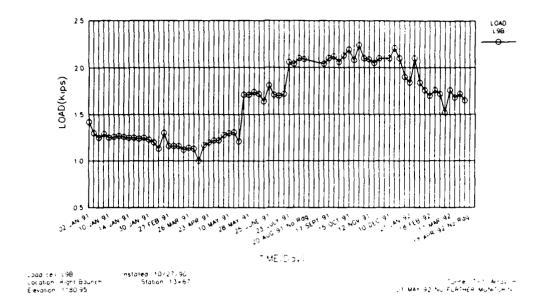


TIME (Day)

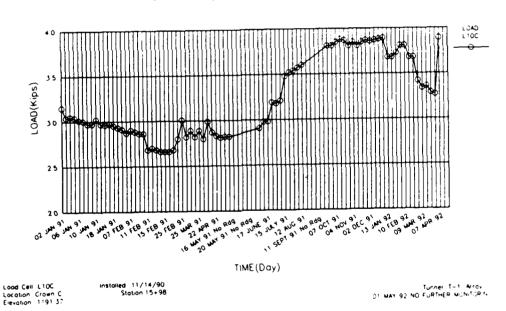
Load cell L88 Installed 10/27/90 Location Crown Array B" Station 13+65-67 Elevation 118+95

funnes Tell Avray & or MATIBLE N. F. ATHER MONITORING

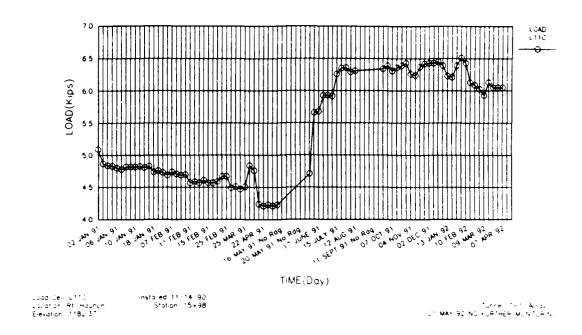
HARLAN DIVERSION PROJECT LOAD CELL (L9B) READOUT GRAPH



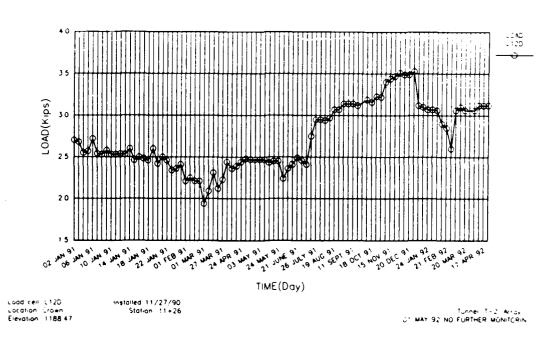
HARLAN DIVERSION PROJECT LOAD CELL (LIOC) READOUT GRAPH



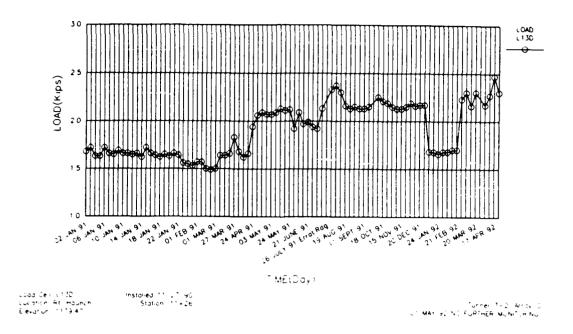
HARLAN DIVERSION PROJECT LOAD CELL (LIIC) READOUT GRAPH



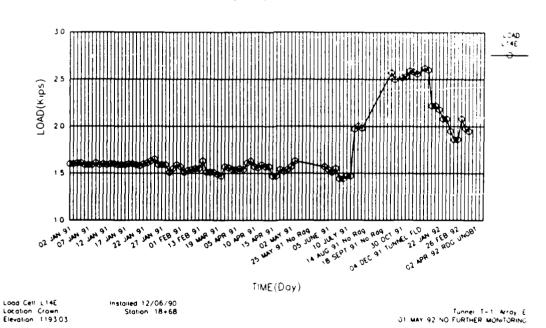
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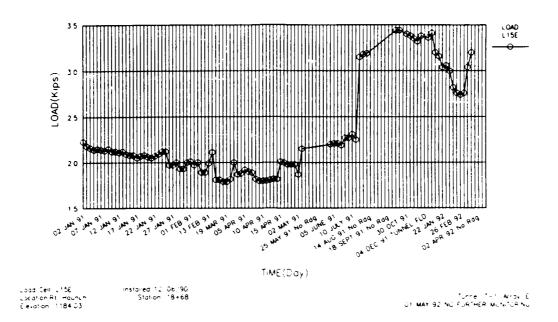
HARLAN DIVERSION PROJECT LOAD CELL (LI3D) READOUT GRAPH



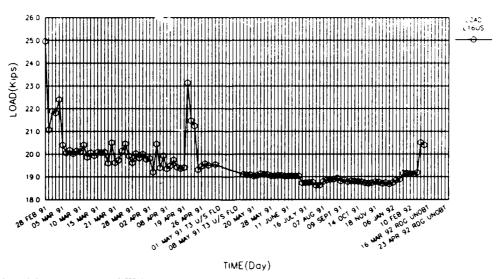
HARLAN DIVERSION PROJECT LOAD CELL (L14E) READOUT GRAPH



HARLAN DIVERSION PROJECT LOAD CELL (LISE) READOUT GRAPH



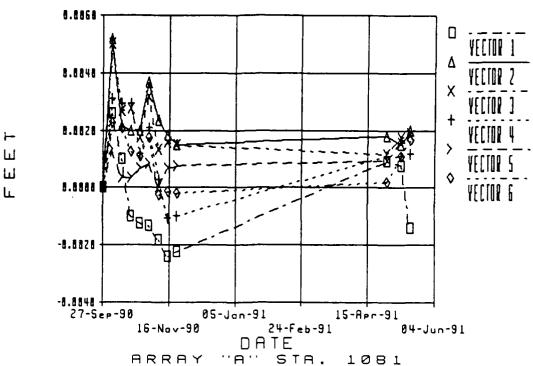
HARLAN DIVERSION PROJECT LOAD CELL (L16US) READOUT GRAPH



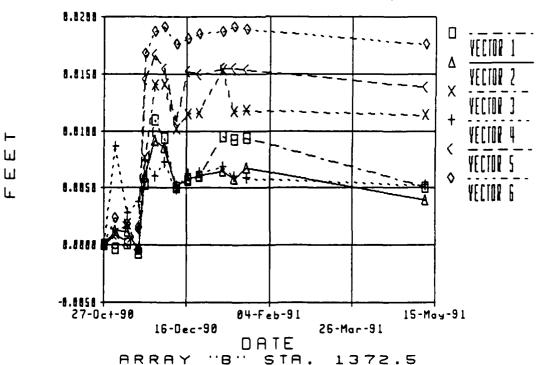
Load Cell L16US Installed 28 FEB 91 Location Sta 30+20, 10' Lt of rt Wall of T-3 Elevation 1210 10

01 MAY 92 NO FURTHER MONITORING

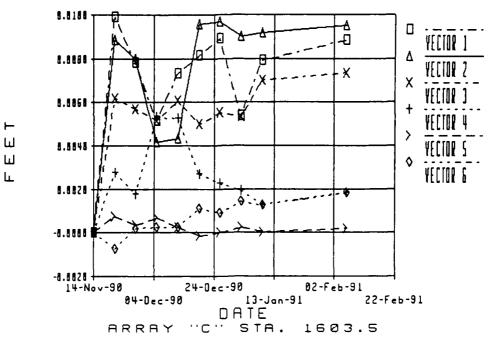
HARLAN, KENTUCKY
TAPE EXTENSIMETERS, TI



HARLAN, KENTUCKY
TAPE EXTENSOMETERS, TI



HARLAN, KENTUCKY
TAPE EXTENSOMETERS. T1



HARLAN, KENTUCKY
TAPE EXTENSOMETERS, TZ

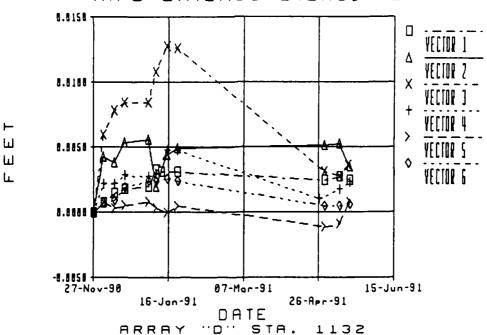
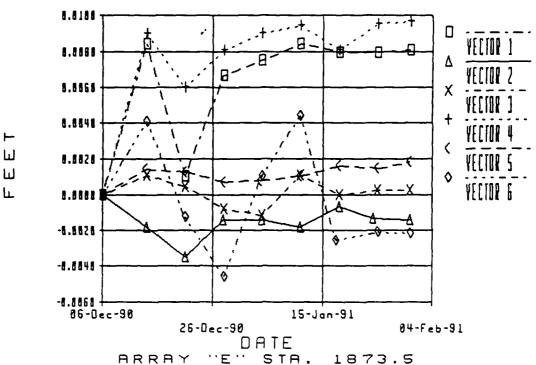


PLATE I-33

HARLAN, KENTUCKY
TAPE EXTENSIMETERS, TI



HARLAN, KENTUCKY
TAPE EXTENSOMETERS, TZ

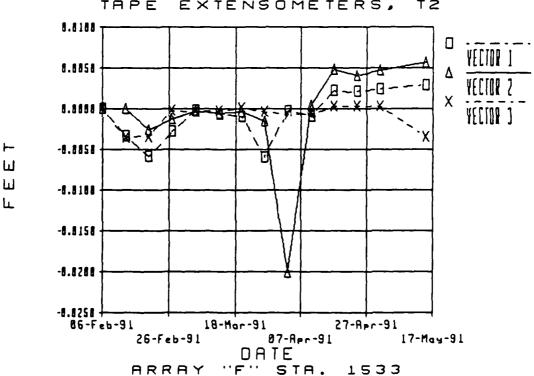
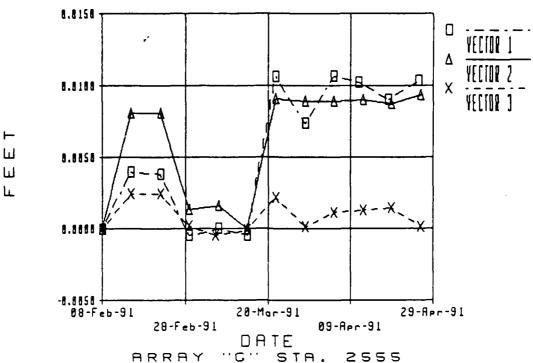
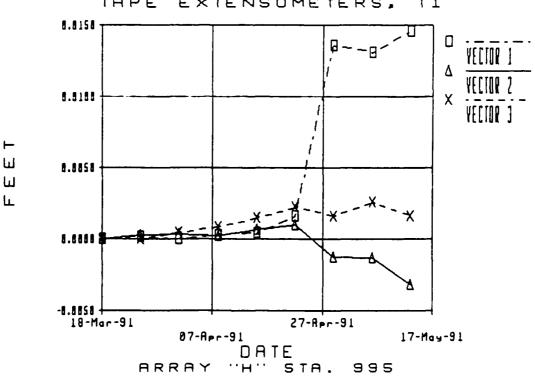


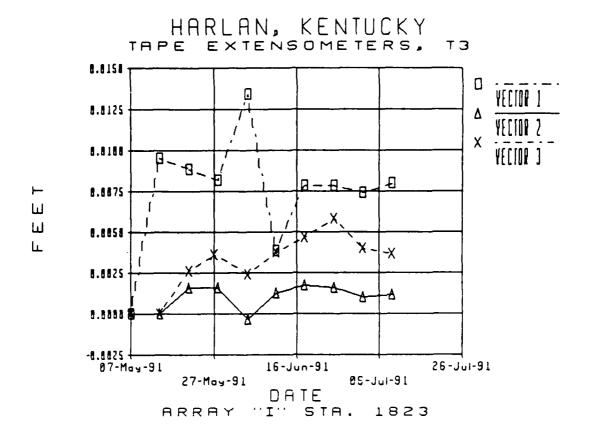
PLATE I-34





HARLAN, KENTUCKY
TAPE EXTENSOMETERS, TI





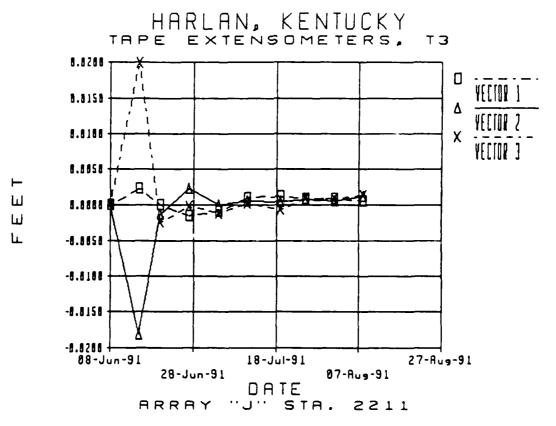
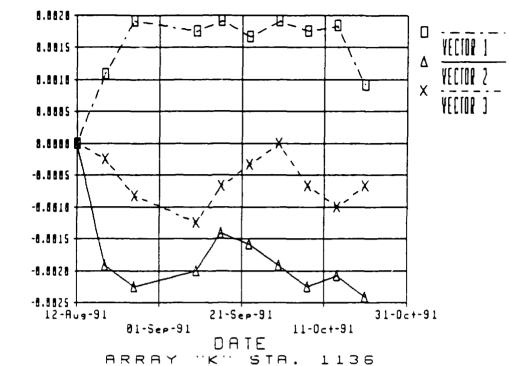


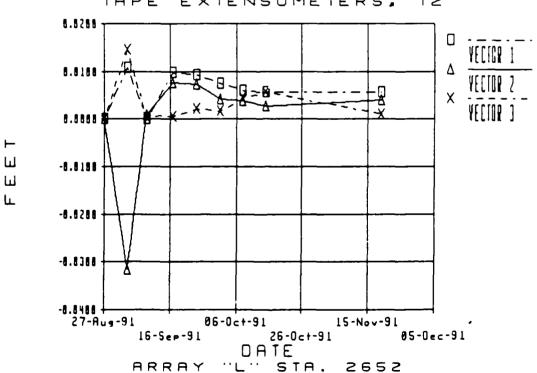
PLATE I-36



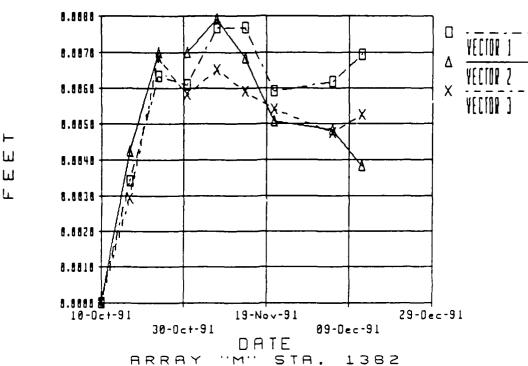


Ш Ш

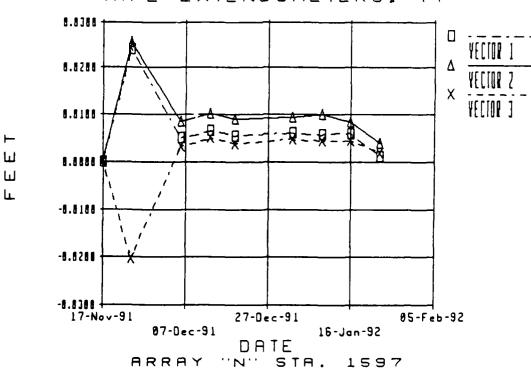
HARLAN, KENTUCKY
TAPE EXTENSIMETERS, TZ



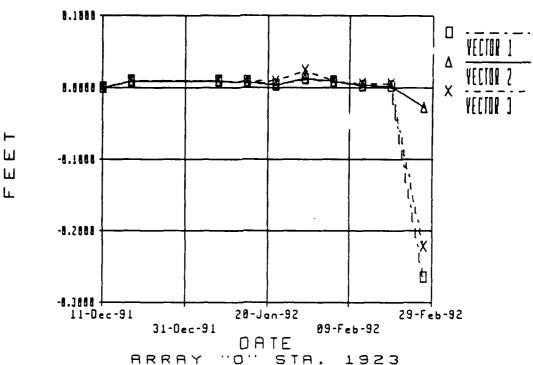




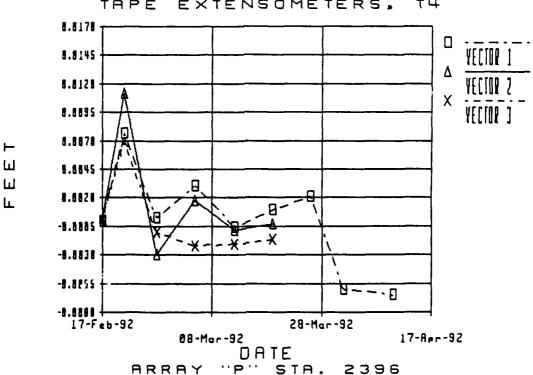
HARLAN, KENTUCKY
THPE EXTENSOMETERS. TH

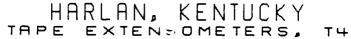


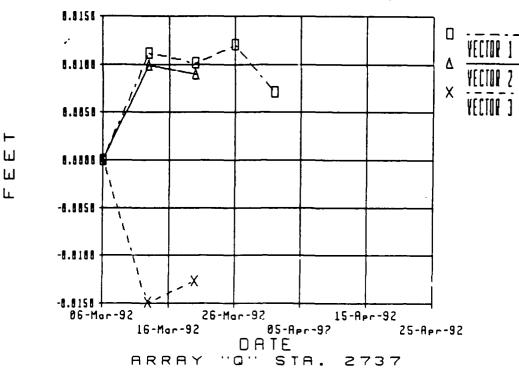




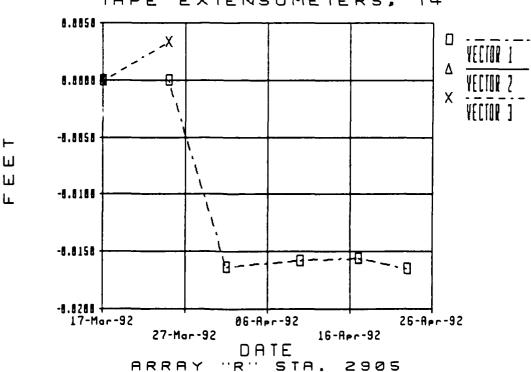
HARLAN, KENTUCKY
TAPE EXTENSIMETERS, TH



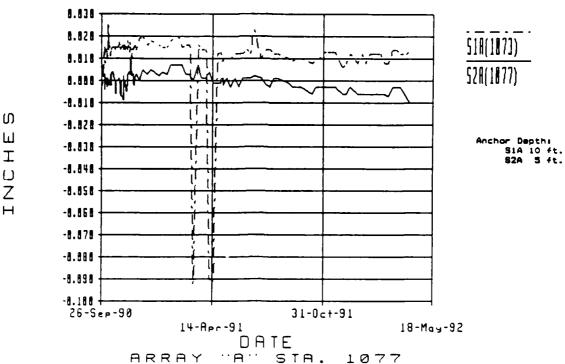




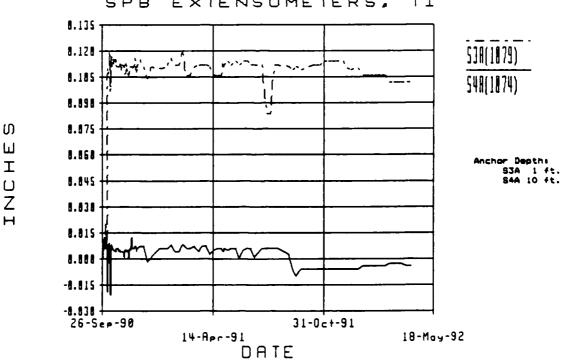
HARLAN, KENTUCKY
TAPE EXTENSIMETERS, TH





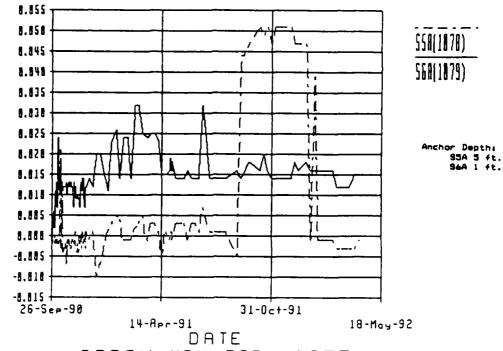


HARLAN, KENTUCKY SPB EXTENSOMETERS, T1



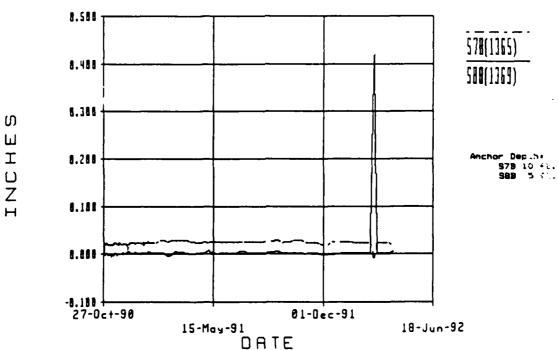
ARRAY "A" STA. 1077





ARRAY "A" STA. 1077





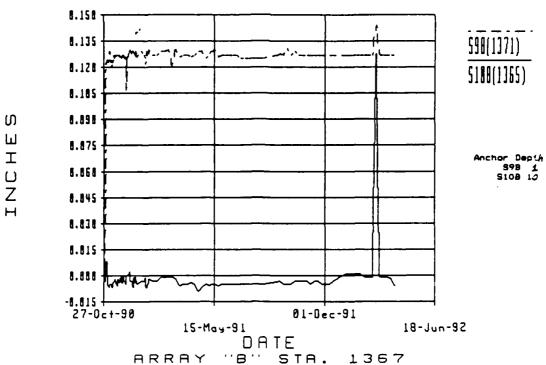
ARRAY "B" STA. 1367

E S

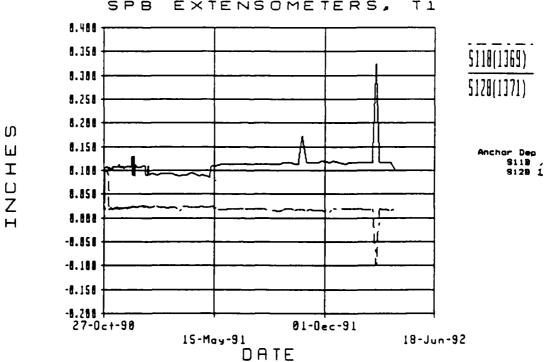
I

U Z H

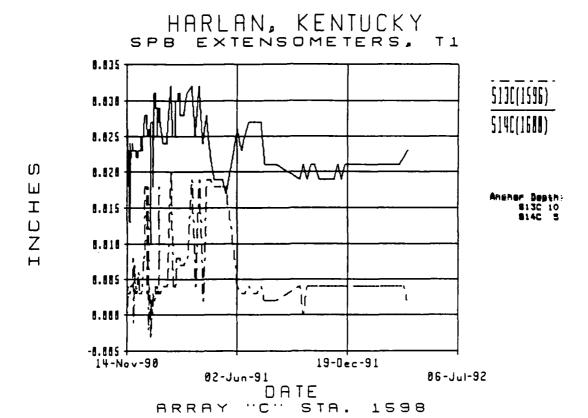


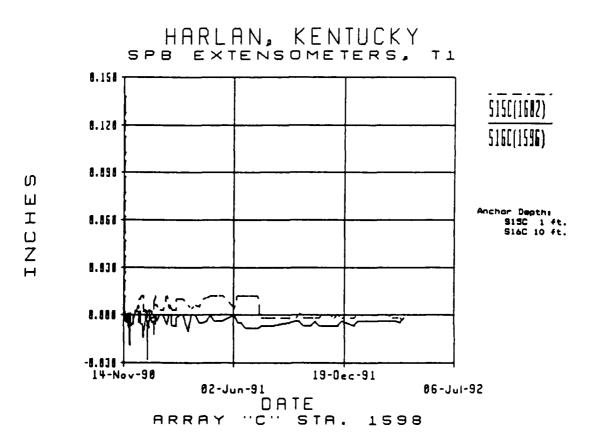


HARLAN, KENTUCKY SPB EXTENSOMETERS, T1

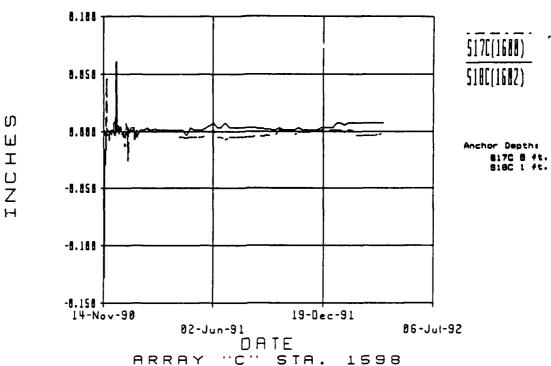


ARRAY "B" STA. 1367

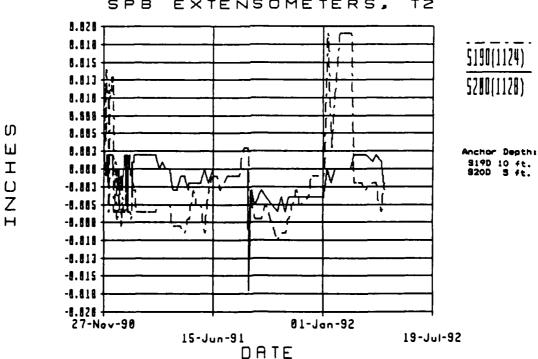




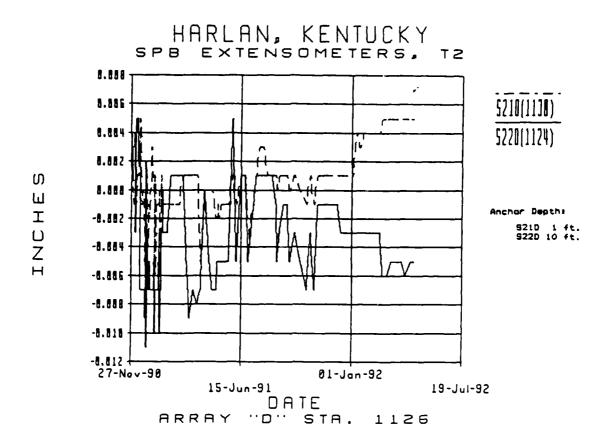








ARRAY "D" STA. 1126



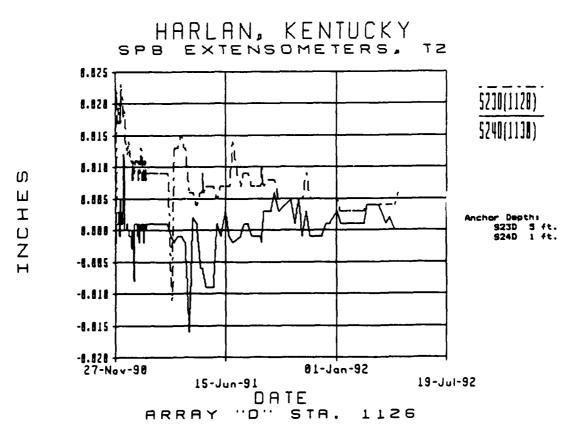


PLATE I-46

